





Tenth Six-Monthly Progress Report ASEAN Regional Integration Support by the European Union - (ARISE) Plus

8 May 2022 – 7 November 2022

Programme No. ACA/2016/389774

Service Contract No. EUROPEAID/138416/DH/SER/MULTI





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ARISE PLUS FACT SHEET

Programme Title	ASEAN Regional Integration Support by EU (ARISE Plus)
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LIST OF ABBREVIATIONS

ABAC ASEAN Business Advisory Council

ACCSQ ASEAN Consultative Committee on Standards and Quality

ACCC ASEAN Connectivity Coordinating Committee
ACCP ASEAN Committee on Consumer Protection

ACD ASEAN Cosmetic Directive

AC-SPS ASEAN Committee on Sanitary and Phytosanitary Measures

ACSS ASEAN Community Statistical System
ACTD ASEAN Common Technical Dossier

ACTR ASEAN Common Technical Requirements

ACTS ASEAN Customs Transit System

ACTS-IP ACTS Information Portal management

AEC ASEAN Economic Community

AECB ASEAN Economic Community Blueprint
AEGFS ASEAN Expert Group on Food Safety
AEO Authorised Economic Operators

AFAFGIT ASEAN Framework Agreement on the Facilitation of Goods in Transit

AFAFIST ASEAN Framework Agreement on the Facilitation of Inter-State Transport

AFAMT ASEAN Framework Agreement on Multimodal Transport

AFFA ASEAN Federation of Forwarders Associations

AFTA ASEAN Free Trade Area

AHEEERR ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime

AGVCBP ASEAN Goods Vehicle Cross Border Permit

AMS ASEAN Member State

API Active Pharmaceutical Ingredient(s)

APLAC Asia Pacific Laboratory Accreditation Cooperation

APSC ASEAN Political-Security Community

ARAC ASEAN Risk Assessment Centre for Food Safety
ARASFF ASEAN Rapid Alert System for Food and Feed
ARISE ASEAN Regional Integration Support by the EU

ASCC ASEAN Socio-Cultural Community
ASEAN Association of South East Asian Nations

ASEAN-CBTP ASEAN agreement on the Facilitation of the Cross-Border Transport of

Passengers by Road Vehicle

ASEC ASEAN Secretariat

ASI-CT ASEAN Statistical Indicators-Consolidated Template

ASOA ASEAN Standard for Organic Agriculture

ASPSC ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance

ASSIST ASEAN Solutions for Services, Investment and Trade
ATFJCC ASEAN Trade Facilitation Joint Consultative Committee

ATFW ASEAN Trade Facilitation Work Plans

ATT Authorised Transit Trader

ASW-SC ASEAN Single Window Steering Committee

ATIGA ASEAN Trade in Goods Agreement



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ATR ASEAN Trade Repository

AWP Annual Work Plan BE Bio-equivalence

BFSDAS Broad Framework for the Sustainable Development of ASEAN Statistics

CCAD Community and Corporate Affairs Directorate

CCA ASEAN Coordinating Committee on the Implementation of ATIGA

CCI ASEAN Coordinating Committee on Investment
CCBWG Customs Capacity Building Working Group
CCC ASEAN Customs Coordinating Committee
CCS ASEAN Coordinating Committee on Services

CECWG Customs Enforcement and Compliance Working Group

CLMV Cambodia, Lao PDR, Myanmar, Viet Nam
COB Council of ASEAN Insurance Bureaux
COST Committee on Science and Technology

CPTFWG Customs Procedures and Trade Facilitation Working Group

CRD Community Relations Division
DSG Deputy Secretary General

DG-DEVCO Directorate-General for International Cooperation and Development

EC European Commission

EDSM Enhanced Dispute Settlement Mechanism

EEE Electrical and Electronic Equipment

EU European Union

EUABC EU-ASEAN Business Council FA Financing Agreement

FAO Food and Agriculture Organization

GIFT Global Individual Food Consumption Data Tool

GMP Good Manufacturing Practice

ICH International Council for Harmonization

IGDRP International Generic Drug Regulators Program

KE Key Expert(s)

KLTSP Kuala Lumpur Transport Strategic Plan

JNKE Junior Non-Key Expert

LSAD Legal Services and Agreements Directorate

MIS Management Information System
MPAC Master Plan on ASEAN Connectivity
MRA Mutual Recognition Arrangement

MSME Micro-, Small-, and Medium-Sized Enterprises

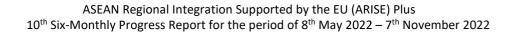
MST Malaysia, Singapore, Thailand

NKE Non-Key Expert

NAB National Accreditation Body

NFAB National Focal Points for Accreditation

NTM Non-Tariff Measure(s)
NTR National Trade Repository







NTTCC National Transit Transport Coordinating Committees

PCPMD Programme Coordination and Project Management Division

OWP Overall Work Plan

PFPWG Prepared Foodstuffs Product Working Group
PIC/S Pharmaceutical Inspection Co-operation Scheme
PPWG ACCSQ Pharmaceutical Product Working Group

PSC Project Steering Committee
PWG Product Working Group(s)

SC-AROO Sub Committee on ATIGA Rules of Origin

SNKE Senior Non-Key Expert

SPCD Strategic Plans for Customs Development

S&T Science and Technology Division STOM ASEAN Senior Transport Officials

SOM AMAF Senior Officials Meeting ASEAN Ministers on Agriculture and Forestry

TA Technical Assistance

TAT Technical Assistance Team
TBT Technical Barriers to Trade
TFD Trade Facilitation Division

TFWG ASEAN Transport Facilitation Working Group

TRIM Trade-related Investment Measure
TTCB Transit Transport Coordinating Board

UNCTAD United Nations Conference on Trade and Development

VCO Visibility, Communication and Outreach

WGDSA Working Group on Data Sharing, Analysis and Communication

WHO World Health Organization WTO World Trade Organization





EXECUTIVE SUMMARY

Overview

ARISE Plus has been closely collaborating with the ASEAN Secretariat (ASEC), ASEAN Sectoral Bodies, working groups and other stakeholders to implement ASEAN Economic Community (AEC) integration efforts since 2017. The initial contract for the programme had a duration of 3 years from 8th November 2017 to 7th November 2020 and a total budget of €13.8 million. Following a successful Mid-Term review, ARISE Plus was extended by two years with an additional €7.4 million in funding taking the project to 5 years until November 2022, and €21.5 million in total funding. The project was further extended in time until May 2023, at the request of the ASEAN Secretariat and ASEAN Sectoral bodies, highlighting the importance of the project in supporting AEC integration efforts.

This tenth six monthly progress report covers the period from 8th May to 7th November 2022 and is the penultimate six-monthly progress report. The activities carried out support the ASEAN economic integration effort and are guided by the ASEAN Economic Community Blueprint 2025. ARISE Plus supports 12 Elements and 14 Strategic Measures of the ASEAN Economic Blueprint (AECB) ARISE PLUS also supports 7 priority areas of the ASEAN-EU Plan of Action.

As part of the focused approach adopted for Annual Work Programme 5 (AWP5), 22 major activities were implemented during the reporting period. Substantial effort focused on capacity building and knowledge transfer to strengthen sustainability, with a total of 43 workshops and events held. These have continued the strong collaboration between the ASEAN Secretariat, relevant sectoral working groups, committees, the private sector and the EU.

Major results during the reporting period

The major achievements during the reporting period are summarised below. For additional details please refer to Section 1 of the report under the respective activities.

Empowering the ASEAN private sector

ARISE Plus has dedicated efforts to ensure the ASEAN Trade Repository (ATR) and the ASEAN Solutions for Investments, Services and Trade (ASSIST) meet their potential in ASEAN as digital tools to enhance intra-ASEAN trade. These tools enhance intra-ASEAN trade for the private sector, by providing transparency, legal certainty and mechanisms for rapid and effective solutions to cross-border issues encountered by ASEAN enterprises.

Private sector engagement and socialisation activities have been carried out throughout the years to ensure businesses are aware of these platforms. During the reporting period, outreach workshops were held for businesses in Malaysia and Philippines to increase awareness on the availability, benefits and operation of ASSIST and ATR.

To date, ARISE Plus has conducted 16 ASSIST and ATR outreach events for business involving a total of **827 participants**. All 16 events involved substantial efforts to engage women-owned businesses, such as those from the ASEAN Women Entrepreneur Network (AWEN), resulting in 530 women and 297 men participants. The efforts to engage women-owned businesses are in line with the EUs Gender Equality Strategy 2020-2025.





The outreach events were complemented with dedicated train-the-trainer (ToT) sessions. The ToT sessions focussed on building national capacity in the operation of ASSIST by identifying and training trainers from the private sector in each country. This approach allows for further transfer of knowledge within the country and ensures sustainability of the efforts. During the reporting period a ToT session was held in Malaysia. A total of 16 ToT sessions have been conducted by ARISE Plus to date, with 343 trainers trained including 225 women and 118 men.

The efforts of ARISE Plus are in line with *Key Message 3 of the AEC Blueprint 2025 Mid-Term Review (MTR): to embark on broader and more meaningful engagement with the private sector and women entrepreneurs.*



Relevant to:





Further information is available on:

https://ariseplus.asean.org/atr-assist-outreach-mal/

Enhancing intra ASEAN trade through improved transparency mechanisms

The momentum to enhance ASEAN trade-related regulatory transparency has continued through the ASEAN Trade Repository (ATR). The ATR provides the private sector with the latest information on non-tariff measures (NTMs) and thus provides regulatory transparency to support decision making by enterprises. This supports decision making and facilitates trade across ASEAN. The work involves due diligence such as identification, classification and verification of NTMs, which is supported by ARISE Plus and validated by AMS authorities before being uploaded to the ATR.

Continuous support to relevant ATR/NTR Focal Points and competent authorities in the AMS has been provided by ARISE Plus through webinars and



the use of a robust ATR methodology. The total number of AMS-validated NTMs uploaded to the ATR has significantly increased from 336 in 2018 to **5,863** in 2022 (to date) as a result of the EU support from ARISE Plus.





Relevant to:





Further information is available on: https://atr.asean.org/index.php/links/search/

Building a stronger ASEAN through harmonisation and standardisation

ARISE Plus continues to support standards and conformity assessment in ASEAN to further intensify harmonisation and standardisation. Milestones in this area include the *Guidelines for Implementation of Harmonised Accreditation in ASEAN*. This was jointly developed by ARISE Plus and the regional stakeholders, endorsed and successfully published. The guidelines will supplement the existing ASEAN Guidelines on Accreditation and Conformity. ARISE Plus continues to guide and play an important role in supporting key discussions within the ASEAN Consultative Committee for Standards and Quality (ACCSQ).

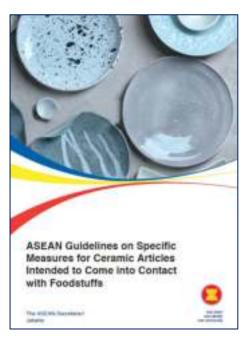
The project has also extended additional support to Cambodia to produce its draft law on accreditation which is currently being internally reviewed by Cambodian authorities before adoption.

Substantial progress has also been made in the agri-food area with the completion of the drafts of the Organic Agriculture MRA and the ASEAN Organic Agriculture Certification Scheme. Upon conclusion of the legal vetting process the MRA will be submitted to the Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM-AMAF) for endorsement.

The ASEAN Guidelines on Specific Measures for Ceramic Articles Intended to Come into Contact with Foodstuffs has been adopted by ACCSQ and published.

As the project approaches its final phase of implementation, transfer of knowledge and skills remains an important focus. A training on Risk Based Inspection for ASEAN Food Safety Regulators was conducted to enhance the necessary skills available within the region.









Relevant to:



Further information is available on:

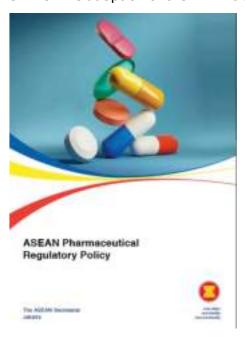
https://ariseplus.asean.org/guidelines-for-implementation-of-harmonised-accreditation/https://ariseplus.asean.org/leafy-veg-june/

Towards a single ASEAN pharmaceutical market and production base

A noteworthy achievement during the reporting period is the adoption of the ASEAN Pharmaceutical Regulatory Policy (APRP) by Health and Economic Ministers of the AMS. The adoption of the APRP is a

key step towards realising a single ASEAN market and production base in pharmaceuticals. The Covid-19 pandemic has amplified the urgency and importance of access to high quality and safe pharmaceutical products. These efforts supported by ARISE Plus will contribute to the rights of the ASEAN people to good health and safety in emergency and other situations.

Additional achievements include the endorsement of the ASEAN Pharmaceutical Regulatory Framework by ASEAN Senior Officials from the AMS Health and Economic Ministries. Capacity development continues to be a key component in the design of the support provided by ARISE Plus; in line with this, regional experts in Indonesia and Thailand were provided training to undertake inspections on bioequivalence centres. The support provided by ARISE Plus continues to be instrumental in supporting the aspired social economic development in the ASEAN region.



Relevant to:



Further information is available on:

https://ariseplus.asean.org/udpate-aprp/





Supporting seamless transit of goods across ASEAN to enhance the regional economy

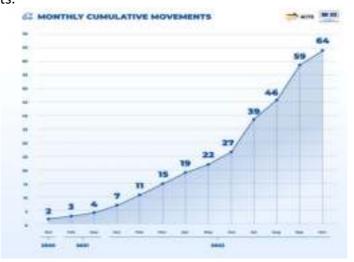
During the reporting period ARISE Plus undertook two more outreach events to engage private sector

stakeholders in Laos and Cambodia completing the ASEAN Customs Transit System (ACTS) outreach among ASEAN countries connected via land. These events build on the previous similar events in Malaysia, Singapore, Thailand and Vietnam. Acknowledging that more can be done, ARISE Plus went on to organise the North-South Corridor outreach event which brought together manufacturers, transporters and banks from Singapore, Malaysia and Thailand. This event helped fill information gaps among the private sector stakeholders.

The events included testimonies from industry players, information such as processes at departure, border and destination, bank guarantees, registration process and challenges faced during the pandemic. Every event included a Q&A session which was interactive and served as a good platform to discuss concerns and clear doubts.







To-date 22 ACTS private sector partnership building events have been organised which includes 6 national and regional outreach events and 16 follow-up workshops. The events involved 726 participants with a good balance between men (435) and women (291). The efforts of ARISE Plus in directly reaching out to the industry players have resulted in **64 movements** using the ACTS to-date. The substantial increase of ACTS movements in 2022 is the result of increased uptake among the private sector owing to the successful outreach efforts.

Among goods transported were advance materials for health care and manufacturing, followed by mechanical and electronic components and printer components. ARISE Plus will continue to offer support to better link manufacturers, transporters and the banks to enhance the uptake of the ACTS. Relevant to:







Further information is available on: https://ariseplus.asean.org/acts-lao/

Supporting stakeholder engagement to formulate the AEC Post-2025 Vision

The European Union through ARISE Plus is one of the first ASEAN Dialogue partners, alongside Australia, and Japan through Economic Research Institute for ASEAN and East Asia: (ERIA) to support the formulation of the Post-2025 Vision for the ASEAN Economic Community (AEC).

Building on the strong collaboration between the project and the ASEAN Secretariat for the AEC Blueprint 2025 Mid-term Review (MTR), ARISE Plus responded to a demand from ASEC to support the ASEAN Integration Monitoring Directorate (AIMD) and the High-Level Task Force in the Post-2025 Visioning process. This work will contribute to the formulation of the new AEC Blueprint. ARISE Plus has drafted a Concept Note to guide the Stakeholder Engagement Strategy for the AEC Post-2025 Visioning process. The draft Concept Note includes key considerations based on findings from the AEC Blueprint 2025 MTR, and a detailed methodology to engage stakeholders from AMS governments, private sector, civil society and research organisations in the Post-2025 Visioning process. Relevant to:





Further information is available on:

https://asean.org/asean-commences-work-on-asean-communitys-post-2025-vision/

Mainstreaming recommendations from the AEC Blueprint 2025 MTR across ARISE Plus

To ensure effective partnership between the EU and ASEAN, ARISE Plus, has successfully incorporated recommendations from the AEC Blueprint 2025 Mid-term Review (MTR) across the project. These include recommendations "R1: strengthen coordination, monitoring and evaluation mechanisms" and "R2: develop consolidated implementation frameworks for cross cutting issues ".

ARISE Plus has adopted a strategy of results-oriented monitoring, reporting, publications, and dissemination to mainstream recommendations R1 and R2. This brings together interrelated elements of analysis, monitoring, learning, reporting, communications and outreach to deliver strategic knowledge products that support decision making.

During the reporting phase, work has continued on data analysis and strategic messaging for the six-monthly progress report, and other EU activities such as the digital ASEAN-EU Cooperation Expo, which highlights ASEAN and EU cooperation as part of their 45th anniversary of relations.¹ Online workshop monitoring tools covering pre-training and end of event assessment have been deployed to support decision making by the project team. To enhance communication and outreach for technical events, over 38 communication products and tools have been developed including eFlyers, microsites, slide shows for waiting rooms and exit rooms, and animated presentations. These have been enhanced by key messages and user oriented content to facilitate take-up and buy-in by event participants.

¹ https://hopin.com/events/asean-eu-cooperation-expo-2022#booths





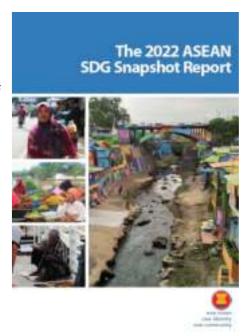
Examples of microsites:

https://ariseplus.asean.org/acts3/

SDG Indicators: regional efforts with a global outlook

Coordination of data collection from ASEAN Member States (AMS) has been challenging. However, ARISE Plus has provided structured support for Quality Monitoring, Compilation and Reporting of SDG Indicators in ASEAN. Among the achievements during this reporting period is the Final Draft of the 2019 -2020 ASEAN SDG Indicator (SDGi) dataset and the release of the ASEAN 2022 SDG Snapshot publication.

These achievements are pivotal in ensuring ASEAN remains focussed on the SDG agenda and continues to channel initiatives that are guided by the Sustainable Development Goals. The support provided by ARISE Plus ensures that the SDGs continue to be relevant as a common set of regional and global goals. Continuous support to involve and train local stakeholders was provided through activities such as the Regional Workshops on SDGi Data Review and Regional Workshop on the draft ASEAN SDG Snapshot 2022 Publication.



The participatory approach ensures that the necessary skills are transferred to ensure continuity of efforts.

Relevant to:



Further information is available on:

https://www.aseanstats.org/publication/the-2022-asean-sdg-snapshot-report/





SECTION 1 PROGRESS ON PROJECT ACTIVITIES



Component 0: Management and Outreach

Sub Component 0.1 Programme Management and Coordination

A total of 22 major activities were implemented during the reporting period. An overview of the key project management indicators are summarised below.

Substantial efforts were put in for capacity building, transferring know-how and creating outreach as part of the sustainability strategy. 43 workshops were conducted during the reporting period, a new record compared to the previous reporting periods and are visualised in the figure below.



In addition to organising more workshops, the project adopted a more targeted approach in the selection of participants as reflected in the figure below. 1,315 participants from the region took part in ARISE Plus events during the reporting period. Since commencement, ARISE Plus has mentored over 10,000 workshop participants.







The activities planned, coordinated and implemented by ARISE Plus during the reporting period were achieved through the mobilisation of 1,032 Senior Non-Key expert days.



30,562.18 Euro of incidentals were used during the reporting period focusing on platforms for online events and the use of translators continuing the efficiency in the use of incidentals. The trend in the use of incidentals can be seen from the infographics below.







In addition, the Team Leader has engaged in:

- Regular consultation all relevant stakeholders, including high level officials in ASEAN and EU Delegations;
- Provided strategic inputs to the AEC pillar of the ASEAN Secretariat;
- Managed the team of Key and non-Key Experts, including the reviewing of all ToRs for NKE's,
- Monitoring of performance and outputs using internal monitoring tools;
- Reported regularly to the EU Delegation on progress achieved in implementing the programme;
- Participated actively in M&E activities, including corrective action and integration of best practices learnt from the previous years.

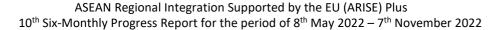
Sub Component 0.2 Demand Driven Contingency

No requests were received for additional activity funding during the reporting period. However, the days allocated to this budget line will be reallocated to ACTS related activities to accommodate the higher than anticipated demand from the private sector and the delays encountered in the software development for ACTS Version 3.50

Sub Component 0.3 Communications and Outreach

The Team Leader in conjunction with the Key Experts and the backstopping team maintained the visibility of project during this period. To enhance communication and outreach for technical events, over 38 communication products and tools have been developed including eFlyers, microsites, slide shows for waiting rooms and exit rooms, and animated presentations. These have been enhanced by key messages and user oriented content to facilitate take-up and buy-in by event participants. An example of a microsite can be found at https://ariseplus.asean.org/acts3/

Extensive use of the project website was made http://ariseplus.asean.org, , and listed below are







news articles posted on the website.

ARISE Plus Website:

- ACTS Private Sector Partnership Building Outreach to Vietnam (https://ariseplus.asean.org/event/acts-vie/)
- 2. ATR and ASSIST Outreach Event Malaysia (https://ariseplus.asean.org/event/atr-assist-outreach-mal/)
- 3. ACTS Private Sector Partnership Building Outreach to Cambodia (https://ariseplus.asean.org/acts-cam/)
- 4. Risk Profile of Pesticides Use in Leafy Vegetable in ASEAN Member States (https://ariseplus.asean.org/event/leafy-veg/)
- 5. ASEAN Pharmaceutical Regulatory Framework (APRF) (https://ariseplus.asean.org/event/aprf-june/)
- 6. ASEAN Health Ministers, Economic Ministers adopt ASEAN Pharmaceutical Regulatory Policy (https://ariseplus.asean.org/udpate-aprp/)
- 7. ACTS Private Sector Partnership Building Outreach to Lao PDR (https://ariseplus.asean.org/event/acts-lao/)
- 8. ASEAN Member States Continue Negotiations on the ASEAN Pharmaceutical Regulatory Framework (APRF) Agreement (https://ariseplus.asean.org/aprf-july/)
- 9. Workshop on Development of "Guidelines for Implementation of Harmonised Accreditation in ASEAN" (https://ariseplus.asean.org/guidelines-for-implementation-of-harmonised-accreditation/)

In addition, the team has circulated a series of flash reports to the EUD Jakarta and the ARISE Plus National projects.

ARISE Plus Flash Reports:

- 1. ACTS Private Sector Partnership Building Outreach to Vietnam
- 2. Workshop on the ASEAN Pharmaceutical Regulatory Framework
- 3. ATR and ASSISIT Outreach Event Malaysia
- 4. ACTS Private Sector Partnership Building Outreach to Cambodia
- 5. Risk Profile of Pesticides Use in Leafy Vegetable in ASEAN Member States
- 6. ASEAN Pharmaceutical Regulatory Framework (APRF)
- 7. ASEAN Health Ministers, Economic Ministers adopt ASEAN Pharmaceutical Regulatory Policy"
- 8. ACTS private sector engagement Workshop Summary
- 9. ACTS Private Sector Partnership Building Outreach to Lao PDR
- 10. ACTS Private Sector Partnership Building: Outreach Event on North South Corridor







Component 1.0: Trade Facilitation and Transparency

Component 1 on Trade Facilitation and Transparency is organized on the basis of three Sub-Components of activities in order to: 1) logically reflect the areas of ARISE Plus intervention mandated under the ToRs; 2) better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held within the relevant ASEAN Sectoral Bodies in AWP1, AWP2 and AWP3 (i.e., SEOM, ATF-JCC, CCA, CCS, CCI, the respective AMSs' ASEAN Chairs, ASEC, ABAC, etc.); and 3) leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Despite the lingering impact of the *Covid-19* pandemic, all activities are largely on course vis-à-vis the Work-Plan objectives and/or the 'on-demand' nature of the support being provided. Once again, particularly noteworthy is the further progress that has been achieved, during the last 6 months of project implementation, both in quantitative and qualitative terms, with respect to the progressive operationalization of the *ASEAN Trade Repository* under Sub-component 1.1. In fact, despite many beneficiary Governments still operating largely through virtual modes, in light of the continuing policies to prevent *Covid-19* spread (*i.e.*, Cambodia, Lao PDR, Malaysia, the Philippines, Thailand and Viet Nam), the number of validated non-tariff measures (NTMs) uploaded on the ATR has grown from 5,054 to 5,863, equivalent to a 16% increase. The number of cases filed so far under the system of *ASEAN Solutions for Investment, Services and Trade* (ASSIST) stands at 29, indicating that the increased outreach and awareness creation efforts are showing positive outcomes.

Going forward into the last semester of project implementation, Component 1 shall continue to follow the planned support and focus will be placed on the progressive implementation of the ATR (*i.e.*, the completion of all the first four Categories of the ATR's trade-related regulatory transparency is foreseen for the end of 2022, as per the AMSs' determinations within the ATF-JCC and SEOM), on continuing to support private sector engagement (*i.e.*, outreach and awareness creation on the ATR and ASSIST, as well as the full implementation of the Roundtable Discussions on Trade Facilitation with private sector organisations), and focussing on the long-term sustainability of both the ATR and ASSIST by conducting dedicated 'diagnostics' that are intended to seek feedback from all relevant stakeholders (*e.g.*, ASEC, AMSs, ABAC, joint business councils, and other private sector organisations) in order to leave ASEAN with two legacy mechanisms of trade facilitation that shall continue to support trade integration within the region beyond the lifespan of the Project. This, in turn, shall contribute to the implementation of the post-Covid ASEAN recovery framework, and support ASEAN's drive to streamline NTMs, address NTBs, and foster rule of law and regulatory transparency.

'On demand' activities shall also remain available to ASEAN with respect to ASSIST (primarily outreach activities and IT and operational support of the ASEAN Secretariat), strengthening NTM notifications to comply with Article 11 of the ATIGA, and the appropriate tools to address outstanding NTMs cases for purposes of streamlining NTMs in light of the post-Covid19 Recovery Framework





Sub-Component 1.1 ATIGA Implementation with Focus on Transparency and NTMs

Outcome

Expected outcome: Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs

Activity 1.1.1

Main Beneficiaries Engaged

Tasks Status

Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs

ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN.

• Deployed four SNKEs to engage with the AMSs' authorities in charge of the respective NTRs and of web-linkages to the ATR. The objective remained the gathering of all relevant NTMs in the first four ATR categories, in order to properly classify them, 'package' them for upload onto the ATR, verify them within ARISE Plus, submit them to the respective AMSs' authorities for validation, and upload them onto the ATR by web-linking them to the NTR and/or to the available national online databases. Work was conducted in Cambodia, Indonesia, Lao PDR, Malaysia, the Philippines, Thailand and Viet Nam (*Brunei Darussalam and Singapore are no longer recipients of technical assistance by ARISE Plus in this area of intervention).

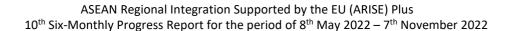
Status: Ongoing

 Held a series of 'on demand' ATR Outreach Events (in combination with ASSIST Outreach Events and ASSIST Train-the-Trainers Workshops) to the benefit of the private sector in 2 AMSs, particularly catering to SMEs and to women entrepreneurs within the ASEAN Women Entrepreneurs Network (AWEN). These events were held in Malaysia on 23 May 2022 and in the Philippines on 21 and 22 September 2022.

Status: Ongoing

Conceptualised a 'Diagnostic Study' on the ATR/NTR Sustainability, including an ATR website update, to review all the information uploaded onto the ATR by each AMS since AWP1, in order to identify problems, gaps, inaccuracies, IT glitches (e.g., broken weblinks) and other shortcomings, as well as the needs and feasibility of a website update, so as to leave a well-functioning ATR (for Categories 1 to 4 thereof) by the end of the ARISE Plus Project

Status: Ongoing







Outputs Delivered

- Interim Reports and/or Final Reports (depending on the individual SNKE's ToRs), containing the detailed account of all work conducted during the semester by the SNKEs in relation to the SPS, TBT and non-SPS/non-TBT NTM sub-categories that were identified, collected, classified and 'packaged' in the respective AMSs, for verification by ARISE Plus, validation by AMSs and subsequent upload by AMSs on the ATR under the sub-categories on SPS (4.1), TBT (4.2), non-SPS/TBT NTM (4.3 to 4.15), as well as ATR categories 1, 2 and 3, have been produced in either Word files or Excel spreadsheets.
- In order mitigate the impact of the Covid-19 pandemic, in given AMSs the SNKEs continued to hold webinars with the relevant AMSs' ATR/NTR Focal Points and competent authorities in most countries, with an increasing number of in-person meetings already implemented (especially in TH, PH and VN), where allowed by the prevailing local regulations. The number of validated non-tariff measures (NTMs) uploaded on the ATR has still grown has grown from 5,054 to 5,863, equivalent to a 16% increase over the semester. Most importantly, the ATR sub-categories of SPS and TBT measures are now virtually completed for all AMSs (i.e., only Brunei Darussalam's TBT NTMs are still to be uploaded on the ATR, despite them having reportedly already been validated), while the sub-categories of non-SPS and non-TBT measures, as well as the categories 1, 2 and 3 of the ATR taxonomy, are largely completed (i.e., most are 'packaged', but remain to be validated and uploaded on the ATR by Brunei Darussalam, Cambodia, Lao PDR, Malaysia, the Philippines, Thailand and Viet Nam). Importantly, Indonesia and Singapore have already completed their work vis-à-vis all the first 4 categories of ATR transparency and Indonesia is now being assisted to maintain its ATR information updated and accurate, especially vis-à-vis new NTMs being adopted or existing ones being modified or repealed.





Problems Encountered

Besides the continuing complexity of the task at hand, the biggest hurdle during the last semester has been again the difficulty of systematically engaging with the relevant governmental stakeholders for purposes of validating the 'packaged' NTMs, largely as a consequence of the lingering Covid-19 pandemic, but also for lack of capacity and/or ownership. Overall, the ATR/NTR-related work keeps suffering from a general lack of inter-ministerial coordination and delays for the unavailability of line agencies to meet and timely advance the identification and classification work. A significant number of (wholly or partly) verified and properlypackaged measures (i.e., 1,182 NTMs at time of this reporting) await validation and could be uploaded relatively quickly onto the ATR, thereby moving closer to full operationalisation for the ATR categories 1 to 4, if AMSs invested more resources in this ATIGA obligation. This is an area where the ability of ARISE Plus Regional to build institutional capacity is limited, but greater synergies with the ARISE Plus National Programmes are being pursued, particularly for purposes of guaranteeing the medium/long-term sustainability to the ATR and the respective NTRs (e.g., joint programmes have been held with ARISE Plus Cambodia, ARISE Plus Lao PDR, ARISE Plus Malaysia, and ARISE Plus Philippines. The 'Diagnostic Study' is intended to capture all these problems and offer a range of possible solutions.

Lessons Learned

N.A

Overall Result to-date

• Further consistent increase in the degree of ASEAN trade-related legislative and regulatory transparency, in line with Article 13 of the ATIGA. The process of identification, classification and 'packaging' of the respective AMSs' NTMs for upload on the ATR is:

<u>Categories 1, 2 and 3</u>: Completed or well advanced and only requiring validation and ATR upload in Cambodia, Lao PDR, Indonesia, Singapore, Thailand and Viet Nam

Sub-Category 4 - SPS: Completed in all AMSs;

<u>Sub-Category 4 - TBT</u>: Completed in 9 AMSs and only ATR upload in Brunei Darussalam; and

<u>Sub-Category 4 - Non-SPS/Non-TBT</u>: Completed in Indonesia and Singapore; Advanced in Cambodia, Lao PDR, Malaysia, Myanmar, Viet Nam; and Delayed in Brunei Darussalam, Philippines and Thailand.

With particular regard to the ATR Category 4 on NTMs, to date the following quantitative results have been achieved (vis-à-vis the baselines provided in the May 2018 'Mapping Reports'. It should also be recalled that all NTMs on the ATR in 2018 were not in line with the ATR methodology and the vast majority of the information was inaccurate, incorrect or outdated). Please note that the numbers in green are final





(i.e., validated and uploaded on the ATR):

AMS	NTMs in 2018 'Mapping Report'	Validated and Uploaded on ATR (to date)	Collected and Wholly of Partly Verified, but not yet Validated by AMSs (to date)	Expected Entries by January 2023
BD	67	131	0	> 200
кн	5	436	258	> 550
ID	0	665	0	> 700
LA	0	336	31	> 450
MY	1	374	143	> 450
ММ	5	252	0	> 300
РН	176	708	390	> 800
SG	50	565	0	> 550
тн	31	1,412	344	> 1,500
VN	1	984	16	> 1,000
TOTAL	336	5,863	1,182	> 6,500

- Major improvements were also achieved in terms of the quality and uniformity of the information uploaded on the ATR (accessible at https://atr.asean.org/index.php/links/search/) and in terms of the AMSs' ATR/NTR Focal Points' understanding of the methodology, approach and requirements to progressively operationalize and sustainably maintain the ATR.
- Greater awareness among ASEAN's private sector, especially SMEs and women entrepreneurs, of the trade facilitation potential of the ATR and of ASEAN's trade-related regulatory transparency was fostered.

Sub-Component 1.2 Trade Facilitation and Private Sector Engagement

Outcome

Private sector engagement, including among MSMEs and women-owned enterprises, is enhanced through increased participation in the activities of the ATF-JCC, particularly in the areas of trade facilitation, as well as by further operationalising the ASSIST digital facility.





Activity 1.2.1 Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors

Activity 1.2.1	Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors
Main Beneficiaries Engaged	SEOM, ATF-JCC, CCA, CCS, CCI, SID, AMSs' ASSIST Focal Points, ASEC's TFD and Private Sector.
Tasks Status	 Held 3 dedicated ASSIST Outreach Events (in combination with the ATR Outreach Events) to benefit of the private sector in 2 AMSs, particularly catering to SMEs and to women entrepreneurs within the ASEAN Women Entrepreneurs Network (AWEN). These events were held in Malaysia on 23 May 2022 and in the Philippines on 21 and 22 September 2022.
	Status: Ongoing
	 Held 1 intensive Train-the-Trainers Workshops on ASSIST, back-to-back to the ATR/ASSIST Outreach Event, with online interactive simulations in cooperation with the ASEC. This event was held in Malaysia on 23 May 2022.
	Status: Ongoing
	 Provided regular ('on demand') support to the ASEAN Secretariat (i.e., ASSIST's Central Administrator) to manage the ongoing ASSIST cases (2 new cases filed during the semester) and to address a multitude of technical issues (i.e., procedural matters, IT glitches, dashboard management, support to AMSs, etc.).
	Status: Ongoing
	 Conceptualised a 'Diagnostic Study' on the ASSIST Sustainability, including on the ASSIST website technical review and possible update, in order to identify problems, functional shortcomings, IT glitches and/or other areas of possible improvement, so as to leave a well- functioning ASSIST by the end of the ARISE Plus Project.
	Status: Ongoing
Outputs Delivered	N.A.
Problems Encountered	 In light of the continuing rather low utilisation of ASSIST for Trade in Goods and Trade in Services since its roll-out in 2016, the focus of the technical assistance by ARISE Plus shall remain on building greater awareness on ASSIST among ASEAN's private sector, particularly now that hybrid and even fully physical events are increasingly being held in AMSs, so as to promote greater utilization of the existing systems and trade facilitation. ARISE Plus' intervention remains demand-driven and caters to AMSs' needs and initiatives. The increase in ASSIST filings in





Activity 1.2.1	Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors
	 the last 2 years appears to indicate that the outreach drive is bearing fruits. In recent weeks, several requests have been received by private sector organisations within the ASEAN region for outreach and capacity building support, particularly among women entrepreneurs and SMEs. So far, the impact of the <i>Covid-19</i> pandemic has not resulted in any implementation problem.
Lessons Learnt	Greater awareness and continuing outreach initiatives are necessary in order for ASEAN's business sector to increase its utilisation of ASSIST. The new anonymity feature has continued to play a positive role in increasing the rate of ASSIST utilisation and should continue to be further socialised among ASEAN's private sector, representative organizations and lawyer/law firms. Discussions are being held with a number of AMSs' private sector organisations (i.e., in Myanmar, Singapore and Thailand, which are the three AMSs where the outreach and training events have yet to take place) and with some ARISE Plus national programmes (i.e., in Cambodia, Lao PDR, Malaysia, Myanmar and the Philippines) to continue synergising, avoiding duplication and amplifying the reach of the intervention.
Overall Result to- date	Another 2 new cases were filed during the period, including the first ever lodged by a Brunei Darussalam complainant. This represents further proof that the outreach and awareness creation efforts are bearing fruits.

Activity 1.2.3 Strengthening Public-Private Cooperation

Main Beneficiaries Al	BAC, EUABC, ATF-JCC, ASEC
Tasks Status	Coordinated with ASEAN's private sector, particularly within the ASEAN Business Advisory Council (ABAC), the <i>ASEAN Women Entrepreneurs Network</i> (AWEN), other national and regional private sector organisations, and the ASEC's Trade Facilitation Division in order to advance private sector engagement with ASEAN institutions, notably with respect to the implementation of the <i>ATIGA</i> trade facilitation instruments and the <i>NTMs Guidelines</i> , the use and management of the <i>ATR and ASSIST</i> (including by means of IT support to address regular glitches), and the creation of greater awareness within the private sector on the <i>ATR and ASSIST</i> as the two primary ASEAN trade facilitation tools. Status: Ongoing





Activity 1.2.3	Strengthening Public-Private Cooperation
	 Continued the process of researching, analysing, drafting and compiling into a single publication 10 Policy Briefs on issues of relevance to ASEAN trade facilitation (i.e., New generation PTAs and ATIGA's structural reform; 2) Regulatory transparency; 3) NTMs streamlining and NTBs removal; 4) Dispute avoidance and dispute settlement; 5) Agricultural trade and SPS facilitation; 6) Nonagricultural trade and TBT harmonization; 7) Rules of origin and ASEAN centrality; 8) Supply chain connectivity; 9) Digitalization and ecommerce facilitation; and 10) Trade and sustainable development), within the context of the ATIGA implementation. These Policy Briefs shall be discussed with ASEAN's private sector through dedicated Discussions on Trade Facilitation to be held during Q4 of 2022. Status: Ongoing
Outputs Delivered	One presentation of ARISE Plus' progress report with respect to the support to and implementation of the key ASEAN trade facilitation instruments (i.e., ATR and ASSIST) was given before the ATF-JCC on 2 June 2022, in order to support the ATF-JCC's feedback to ASEAN's private sector representatives on trade facilitation, regulatory transparency, and dispute avoidance and resolution.
Problems Encountered	The impact of the <i>Covid-19</i> pandemic has reduced the opportunities for ARISE Plus to access high-level events organized by ABAC (<i>i.e.</i> , ABIS or other regular ABAC meetings), as well as the events of the joint business councils and selected AMSs' business organizations' gatherings, such as VCCI in Viet Nam, Kadin in Indonesia, FTI in Thailand, PhilExport in the Philippines, and EuroCham events in other AMSs. As a consequence, fewer outreach events were held and ARISE Plus slowed down in its drive to create awareness on its flagship tools catering to the private sector.
Lessons Learnt	N.A.
Overall Result to- date	ASEAN's private sector has been systematically engaged for purposes of creating greater awareness on and utilization of the ATR and ASSIST.







Component 2.0: Standards and Conformity Assessment in Particular Healthcare and Agrobased Product

Component 2 on Standards and Conformity Assessment deals with generic issues on standardisation with a focus on the agro-food and pharmaceutical sectors. The Sub-Components accordingly deal with conformity assessment, harmonisation of standards, food safety, organic agriculture and pharmaceuticals. Support is provided to the relevant ASEAN counterpart sectoral bodies. These include the ACCSQ which oversees all of standards and conformity assessment, its working groups and product working groups (WG 1 on Standards, WG 2 on Conformity assessment and accreditation, Prepared Food Foodstuffs WG and the Pharmaceutical Product WG). Other counterparts include health sector bodies; Health Cluster 4 on Food Safety, and ASEAN Health Cluster 3 on the pharmaceutical sector. The Experts Working Group on Organic Agriculture is the main counterpart in the development of the Organic Agriculture MRA. The support provided has been aligned with the respective work plans of the ASEAN bodies as reflected in the ARISE Plus AWP 5.

The travel restrictions from the *Covid-19* pandemic did not materially reduce the support but did slow the progress with a few exceptions. The ASEAN secretariat and the Member States representatives have become accustomed to working on-line and this has resulted in implementation of a substantial number of workshops, consultations and training events, being held virtually during the reporting period.

The significant developments on the various Sub-Components are:

<u>Subcomponent 2.1:</u> WG 2 has finalized the *Guidelines for Implementation of Harmonised Accreditation in ASEAN* in Member States and this has been endorsed by ACCSQ. This guideline will serve to advance the use of accreditation as a basis for mutual recognition across the sectors that ACCSQ covers.

<u>Subcomponent 2.3:</u> Substantive_progress has been made on preparation for implementation of the Mutual Recognition Arrangement for Inspection and Certification Systems on Food Hygiene for Prepared Food Stuff (MRA on PF). ARISE Plus has completed training of experts who will conduct inspections and evaluate Member States' food safety systems under the provisions of the MRA. In the current period the implementation procedures have been updated and a supplementary training session is planned to brief experts and JSC-MRA-PF Members on the updates to the procedures.

The support continued to be provided for the Mutual Recognition Arrangement on Organic Agriculture under the purview of SOM-AMAF Experts Working Group for Organic Agriculture. This MRA and the associated harmonised certification scheme has now been principally agreed by the Experts Group and plans are in place to for legal scrubbing of the text with the assistance of the Legal Services Department of ASEAN Secretariat.

The development of the ASEAN Guidelines on Specific Measures for Ceramic Articles Intended to Come Into Contact with Foodstuffs is completed and this guideline has been endorsed by ACCSQ. Work on development of a guideline on specific measures for plastics food control materials is planned.

Training has been provided to Member States Officials on *Conducting Inspections on Food Hygiene, Food Borne Disease Outbreaks* and on the conducting *Risk Based Inspections, Food Safety Sampling and Risk Communications* under the purview of ASEAN Health Cluster 4 on food safety.





<u>Subcomponent 2.4</u> The most significant development in this Sub Component is the progress made in the ASEAN Pharmaceutical Regulatory Environment. ARISE Plus supported a series of intensive discussions between Members of PPWG and AHC 3 and this has resulted in a final version of the ASEAN Pharmaceutical Regulatory Policy that has been adopted by AEM and AHMM. The ASEAN Pharmaceutical Regulatory Framework document has been developed in parallel and has been finalized and endorsed by Senior Officials of the Health Ministers and Economic Ministers. These documents have provided a basis for drafting of a legally binding ASEAN Pharmaceutical Regulatory Framework Agreement (APRFA). Several rounds have deliberations have been supported and there is a consensus on a substantial part of the APRF Agreement. Deliberations are continuing on the remaining parts.

The final module of the training for ASEAN experts who will qualify to undertake inspections under the ASEAN Mutual Recognition Arrangement for Bioequivalence Study Reports of Generic Medicinal Products was completed in the period and this qualifies the participants to commence inspections for applicant bio-equivalence centres.

Expected outcome: Harmonisation of regulatory regimes in food safety and pharmaceuticals in ASEAN

<u>Sub-Component 2.1 Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance</u>

Activity 2.1.1 Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance

Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance
Main Beneficiaries engaged	ACCSQ Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Tasks status (ongoing, completed)	The concept note and plan that had been previously prepared to support the development of the post 2025 strategic plan by ACCSQ was revised based on feedback from ACCSQ Members to accommodate consideration of recommendations by the High Level Task Force on Economic Integration, and after discussions with ASEC Officers. A Programme and presentation material for the proposed workshop has been prepared. Status: Ongoing
Outputs delivered	A programme and presentation material for the proposed workshop has been prepared.
Problems encountered	ACCSQ had decided to delay the workshop and amend the programme and a new date has been proposed for November 2022. There was a view expressed by some ACCSQ members that the post 2025 review should be take into account the recommendations High Level Task Force for Economic Integration completes its work. The concept note was amended and schedule





Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance
	revised to accommodate the views of ACCSQ.
Lessons learnt	N.A.
Overall result to- date	A final concept note identifying issues for discussion and plans for 2 discussion sessions with ACCSQ has been developed and accepted by ACCSQ. A programme and presentation materials have been prepared for the first session.

Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

Activity 2.1.3	Strengthening Conformity Assessment and Accreditation
Main Beneficiaries engaged	National Accreditation Bodies and National Accreditation Focal Points in Member States, Working Group 2.
Tasks status (ongoing, completed)	 Continued support for the development of the "Guidelines for Implementation of Harmonised Accreditation in ASEAN" was provided to WG 2. A Revised draft of the Guidelines for Implementation of Harmonised Accreditation in ASEAN was developed and this was discussed at a workshop was conducted for WG 2 on July 14 2022. Following the workshop, a paper was developed to respond to questions and provide details on references for information in the guideline. Following a review of the information paper WG 2 approved the draft guidelines
	 Status Completed ARISE Plus to continue support to Cambodia in the development of its national accreditation law. The work to be undertaken will include, discussions with officials and finalising the development of the Law. Status Ongoing
Outputs delivered	 A Revised draft of the Guidelines for Implementation of Harmonised Accreditation in ASEAN was developed, and the Guidelines endorsed by ACCSQ and published.
	 Work on supporting the development of the Cambodian law on accreditation has been not progressed significantly. The Cambodian counterparts have yet to respond to draft that was presented during the previous six-month period.
Problems encountered	The Cambodian authority has not yet responded with dates for a discussion on the draft law that has been presented and work on additional support as required for its adoption is on hold.
Lessons learnt	N.A.





Overall result to-

- The Guidelines for Implementation of Harmonised Accreditation in ASEAN are finalised and published. The guidelines will support the implementation of harmonised accreditation and supplement the existing ASEAN Guidelines on Accreditation and Conformity Assessment.
- A draft law on accreditation for Cambodia has been developed after a series of discussions with Cambodian Authorities and presented for internal review by the Cambodian authorities.

<u>Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector</u>

Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety
Main Beneficiaries engaged	Ministerial Bodies and Senior Officials Meetings in the Health, Economic and Agriculture, Task force on the Development of the AFSRF Agreement, PFPWG, Health Cluster 4, ASEAN Sectoral Working Groups on crops, fisheries and livestock.
Tasks status (ongoing, completed)	The development of the AFSRF Agreement is now concluded and awaiting signing by ASEAN Ministers. The Task force will determine next steps implementation after signing of the Agreement.
	There has been no change since the previous reporting period.
	Status: Ongoing
Outputs delivered	N.A.
Problems encountered	There has been a delay in the signing of the agreement and any further work is on hold.
Lessons learnt	N.A.

Overall result to-	Final version of AFSRF Agreement awaiting signing by ASEAN Ministers.
date	

Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs
Main Beneficiaries	Prepared Foodstuffs Product Working Group (PFPWG), Joint Sectoral Committee on MRA on PF, and Members State's food safety regulators.





Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs
engaged	
Tasks status (Ongoing, completed)	Support has been provided to further refine the previously developed procedures for implementation of the MRA.
	A plan has been developed to provide a further half day discussion session for ASEAN Experts on the implementing procedures.
	Status: Ongoing
Outputs delivered	A plan has been developed and shared with JSC MRA-PF to provide a further half day discussion session for ASEAN Experts on the newly updated procedures for implementation of the MRA.
Problems encountered	N.A.
Lessons learnt	N.A.

Overall result todate

Support for developing the implementation of the procedures for the MRA and training of more than 20 experts who will undertake inspections to implement the MRA in (2 modules) was previously completed. A plan for the continued support through a discussion session and updating identified procedures has been developed.

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre (ARAC) for Food Safety
Main Beneficiaries engaged	ARAC, Health Cluster 4, Food Safety Regulators in ASEAN Member States.
Tasks status (Ongoing, completed)	 The plan to conduct an investigation and develop proposals for mechanisms enabling long term sustainability of ARAC with the objective of ensuring the centre adequately supports food safety initiatives in ASEAN has been initiated.
	Status: Ongoing
	The Supplementary work on the development of the risk profile for leafy vegetables was undertaken
	Status: Completed
Outputs delivered	 Consultations with ASEC Officers and plans developed to conduct the study including draft ToR and expert profiles





Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre (ARAC) for Food Safety
	 Video Conference was held on 20th June 2022 for AHC 4 and ARAC representatives to present and discuss the results and recommendations. The recommendations included an <u>Outline of key elements and processes of an ASEAN quideline</u> on national and ASEAN pesticide residue monitoring programmes and provide a description of an ASEAN 3-year Pilot Project on exposure monitoring that could be implemented. The latter was supported by the workshop participants.
Problems encountered	N.A.
Lessons learnt	N.A.
F	
Overall result to- date	 The work on capacity building and investigation of the risk profile for leafy vegetables has been completed. A recommendation has been presented to ASEAN AHC 4 to institute a pilot programme to monitor risk profile to identify risk management options. AHC 4 discussed the recommendations of the project, i.e. Outline of key elements and processes of an ASEAN guideline on national and ASEAN

pesticide residue monitoring programmes and the ASEAN 3-year Pilot Project on exposure monitoring in the 6th AHC 4 Meeting on 10-11 August

Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture

2022 for potential implementation.

Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture
Main Beneficiaries engaged	Expert Working Group on Organic Agriculture (EWG-OA), ASEAN regulatory agencies responsible for organic agriculture, the ASEAN Sectoral Working Group on Crops.
Tasks status (Ongoing, completed)	 Continued support for the EWG-OA in developing the MRA on Organic Agriculture together with the ASEAN Scheme for Organic Agriculture Certification has been provided through workshops and drafting of documents.
	 Support to EWG OA in preparations for the implementation of the MRA with a focus on the development of the ASEAN Organic Agriculture Mark. Status: Completed





Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture
Outputs delivered	The final drafts of the Organic Agriculture MRA and the ASEAN Organic Agriculture Certification Scheme have been prepared. The OA experts Group have currently engaged ASEAN Legal Services to conduct a legal scrubbing of the text to finalize the MRA and submit to Senior Officers (SOM-AMAF) for endorsement and subsequent processes.
Problems encountered	N.A.
Lessons learnt	The deliberations on the MRA have stretched over 4 years to enable consensus on the text by Member States and continuity is required to follow through the work to completion.
Overall result to- date	 Final draft of the ASEAN Mutual Recognition Arrangement on Organic Agricultural Products (MRA-OAP) developed.
	 Final draft of the ASEAN Certification Scheme for Organic Agriculture developed.
	 A provision for the development and adoption of an ASEAN Organic Mark

has been adopted in the agreement and is defined in an annex to the

Activity 2.3.7 Training in Food Safety

agreement.

Activity 2.3.7	Training in Food Safety
Main Beneficiaries engaged	Food Safety Regulatory Agencies in ASEAN Member States, ASEAN Food Safety Training Institutions, ASEAN Working Groups on food safety and ASEAN Consumers.
Tasks status (ongoing, completed)	 Develop and provide training to ASEAN Food Safety Officials on Risk Based Inspections. Status: Completed
	 Develop and provide training to ASEAN Food Safety Officials on additional training courses on topics to be identified in consultation with ASEAN counterparts.
	Status: Ongoing
Outputs delivered	 A Training Workshop on Risk based Inspection Dates: 27-30th June 2022 for ASEAN Food safety Regulators.
	 Consultations were held with ASEC Officers and the ASEAN Health Cluster 4 representatives to identify additional training courses that were required and the following were identified:





Activity 2.3.7	Training in Food Safety
	 Monitoring and managing risks from pesticide residues.
	 Food Safety Emergency response/ Managing food recalls.
	 Managing Health and nutrition claims and nutrition labelling.
Problems encountered	N.A.
Lessons learnt	N.A.
Overall result to-	One Training Workshop (Risk based Inspection) delivered to ASEAN Food

date

- One Training Workshop (Risk based Inspection) delivered to ASEAN Food safety Regulators
- Three (3) additional Training Workshops identified and planned to be delivered to Food Safety Regulators in ASEAN Member States.

Activity 2.3.9 Harmonised Requirements for Food Contact Materials

Activity 2.3.9	Harmonised Specific Measures for Food Contact Materials
Main	Food Safety Regulatory Agencies in ASEAN Member States, Prepared
Beneficiaries	Foodstuffs Working Group, ASEAN Committee on the Harmonization of
engaged	Prepared Foodstuffs Standards (ACHPFS), ASEAN Consumers.
Tasks status (ongoing, completed)	 Finalisation of the ASEAN Guidelines on Specific Measures for Ceramic Articles intended to come into contact with foodstuffs and support for the publication.
	Status: Completed
	 A plan of action and a framework for the harmonisation of requirements for plastics food contact materials developed.
	Status: Ongoing
Outputs delivered	The ASEAN Guidelines on Specific Measures for Ceramic Articles intended to come into contact with foodstuffs has been submitted to ACHPFS for review and consideration.
	 A concept note and plans have been developed and adopted by the ACHPFS to initiate work on the establishment of ASEAN Guidelines on Plastics Food Contact Materials.
Problems encountered	N.A.
Lessons learnt	N.A.





Overall result todate

- Submission of final draft "ASEAN Guidelines on Specific Measures for Ceramic Articles intended to come into contact with foodstuffs".
- Plans developed for initiating work on the development of harmonised guidelines on plastics food contact materials.

Sub-Component 2.4 Support to the Pharmaceutical Sector

Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework
Main Beneficiaries engaged	Pharmaceutical Product Working Group (PPWG), ASEAN Member State National Drug Regulators (NDRAs) ASEAN Health Cluster 3 (AHC3).
Tasks status (ongoing, completed)	 Supporting the finalisation of the APRF document by the PPWG and AHC 3. Status: Completed Continuing support for the APRF Task Force in the development of the ASEAN Pharmaceutical Framework Agreement through supporting workshops and advising on the technical content of the draft agreement, the development of the terms of reference of the proposed ASEAN Pharmaceutical Coordinating Committee. Status: Ongoing
Outputs delivered	 Two workshops held to resolve comments from Member States on the APRF and preparation of drafts APRF on 21 June 2021 and on 10 August 2022). Final version of the APRF document. First draft of the APRF Agreement. Two workshops to deliberate on the draft APRF Agreement (July 11, 12 and 13, 26 -29 & 30 August, 2022.
Problems encountered	N.A.
Lessons learnt	N.A.

Overall result todate

- Adoption by Health and Economic Ministers and Publication of the ASEAN Pharmaceutical Policy.
- Endorsement of the ASEAN Pharmaceutical Regulatory Framework by ASEAN Senior Officers in the Health and Economic Ministers.





 An advanced draft of the ASEAN Regulatory Framework Agreement developed.

Activity 2.4.2 ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products

Activity 2.4.2	ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products
Main Beneficiaries engaged	Pharmaceutical Product Working Group, ASEAN National Drug Regulatory Agencies (NDRAs).
Tasks status (ongoing, completed)	 Training course for ASEAN Experts to undertake inspections on bioequivalence centres organised and delivered. The training will be focussed on on-site inspections and delivered in 2 sessions. Status: Completed
Outputs delivered	 Two sessions of the training were conducted for identified experts from Member States with the following schedule: Session 1 (Thailand) 1. Pre-Inspection Workshop Meeting – 13 June 2022
	2. Mock Inspection Workshop – 27 June – 1 July 2022
	3. Post-Inspection Workshop Meeting – 25 July 2022
	Session 2 (Indonesia) 1. Pre-Inspection Workshop Meeting – 18 July 2022
	2. Mock Inspection Workshop – 1 – 5 August 2022
	Post-Inspection Workshop Meeting – 2 September 2022
Problems encountered	 The second module was delayed due to travel restrictions. An adjustment was made in the schedule to conduct the 3rd module before the 2nd module.
	 The training was planned to be conducted on site in the premises of identified bioequivalence centres. However, this was not possible due to travel restrictions. Alternative plans and arrangements thus had to be made to conduct these through virtual means.
Lessons learnt	Flexible approach that permits changes due to new constraints is advisable.
Overall result to-	With the completion of the 2 nd module, all 3 planned modules of the training for

Overall result todate

With the completion of the 2nd module, all 3 planned modules of the training for BE Inspectors are complete and ASEAN is prepared to commence inspections of BE Centres and implement the ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products.







Component 3.0: Customs, Transport and ACTS

ASEAN has continued to pursue its regional economic integration agenda with significant progress over the past years. The commitments made by the ASEAN leaders are expressed collectively in the AEC Blueprints 2015 and 2025, the Master Plan on ASEAN Connectivity 2025 and the ASEAN Trade in Goods Agreement (ATIGA). Commitments related to Customs and Transport are set out in the ASEAN Agreement on Customs (2012), the ASEAN Customs Vision 2015, the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the Protocol governing the implementation of the ASEAN Harmonised Tariff Nomenclature.

One of the key objectives of the AEC Blueprint 2025 is the progressive reduction or elimination of border and behind-the-border regulatory barriers that impede trade, to achieve the competitive, efficient, and seamless movement of goods within the region.

The planned interventions for Component 3 of the ARISE Plus Terms of reference (ToR) fall into three broad areas, Transport, Customs and ACTS and as such are reflected in the support activities carried out by ARISE Plus.

Under Transport, the activities have assisted the removal of barriers to the free flow of goods by supporting implementation of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST). Support has also been provided in implementation of the ASEAN Framework Agreement on Multi- Modal Transport (AFAMT).

Under Customs, support has been provided on demand to assist the development of an integrated Customs environment for the trading community. However, the advent of the virus pandemic has interrupted many of these activities, as face to face seminars are required in order to make genuine progress, and these are not possible when the virus is endemic in the region.

Under ACTS the focus of the activities has been to support the live operations of ACTS, which has been successfully installed along the north-south and east-west transit transport corridors of ASEAN, involving Malaysia, Singapore and Thailand (MST) and Cambodia, Lao PDR, and Vietnam (CLV) respectively. Resources have been committed for management and training required to ensure for the long-term sustainability of the ASEAN Customs Transit System (ACTS) in the region. Further AMS engagement is being pursued by a series of bi-weekly virtual ACTS conferences involving Customs and Transport Authorities, and the private sector operatives. Comprehensive private sector outreach programme has been conducted with additional outreach events and engagement workshops planned for the next reporting period as well, in order to increase the number of movements carried out under ACTS. Development of the latest ACTS 3.5.0 software release that implements additional functionality and new ACTS sub-systems both for Customs and Transport Authorities is on-going.

Expected outcome: Enhanced harmonisation of the customs, transport and logistics systems in ASEAN.





Sub-component 3.2 Transport Measures

Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)
Main Beneficiaries engaged	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).
Tasks status (ongoing, completed)	 The main catalyst for operationalising the AFAFGIT is the implementation of the ACTS system in the region. ACTS is now on live operational basis, operating in the six Participating Member States (PMS), namely Cambodia, Lao PDR, Malaysia, Singapore, Thailand and Vietnam.
	 Since successful ACTS movements have been carried out since November 2020, we are now starting to achieve regularly 2 to 3 movements per week from Malaysia to Cambodia and Thailand to Singapore.
	 A total of five (5) ACTS Partnership Building programme for the Private Sector were successfully carried out in the 6 PMS, along with 13 Engagement workshops for selected companies.
	 An additional ACTS Partnership Building programme for the Private Sector, targeting the north-south transit transport corridor of the PMS, is scheduled for the end of October 2022.
	 ARISE Plus has developed draft versions of AFAFIST procedural manuals for the government transport officials and private sector.
	 Short term expert has been contracted to develop a Roadmap in supporting AFAFIST, to provide recommendation and action plans for ASEAN Member States which are ready to implement inter-state transport as stipulated in the Agreement.
	 In support of AFAFGIT Protocol 9 — Dangerous Goods, ARISE Plus has engaged a short-term expert to conduct the feasibility study for the movement of dangerous goods.
	Status: Ongoing
Outputs delivered	 ARISE Plus has produced the final draft of the AFAFIST Procedures Manual for Government Transport Officials and the AFAFIST Procedures Manual for Private Sector Transporters, pending inputs from Customs sector.
	 The feasibility study report for the movement of dangerous goods, in support of AFAFGIT protocol 9, will be ready for distribution to TFWG and





Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)
	SWG-ACTS for review and comments.
	 The development of the Transport Monitoring System (TMS) module is now close to completion. TMS will support the management and monitoring of cross border movements of vehicles with ASEAN Goods Vehicle Cross Border Permits (AGVCBP).
Problems encountered	 The AFAFGIT implementation has been delayed due to the restrictions caused by the COVID-19 pandemic. Certain PMS have encountered difficulties in implementing the necessary IT operational support at national level to achieve greater implementation of ACTS.
	 The delay in ratification of AFAFIST by certain ASEAN Member States has also contributed to the delay in the implementation of inter-state customs and transport measures.
Lessons learnt	Senior level Government commitment in ASEAN Member States, as well as closer coordination between Transport and Customs authorities, is vital if complex project such as ACTS are to succeed.

Overall result todate

Government Transport Officials and Private Sector Transporters have greater awareness and understanding of the application of AFAFIST procedures. AMS have deeper understanding in aligning their national legislations with international standards, such as UN Model Regulations on movement of dangerous goods which supports Protocol 9 of AFAFGIT.

Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

Activity 3.2.2	Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)
Main Beneficiaries engaged	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).
Tasks status (Ongoing, completed)	 In support of the implementation of AFAMT, ARISE Plus has continued to support the activities for the pilot multimodal transactions. ARISE Plus has produced a concept note on the interpretation of AFAMT Article 32 (4b) which addresses the registration and recognition of registered multimodal transport operator (MTO) and to assist the nominated MTOs to continue with their pilot multimodal transport activities. Due to shortage of containers attributed to the pandemic, the pilot





Activity 3.2.2	Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)
	activity on multimodal transport transaction was temporarily halted until the supply of containers was restored. However, subsequently ten multimodal transactions were carried out from Thailand to Vietnam.
	 The 43rd TFWG, ARISE Plus was informed that Singapore and Indonesia, the next two AMS having notified the practicability of AFAMT in their countries, would be joining the AFAMT Pilot.
	 Together with ASEAN Secretariat Transport Division, ARISE Plus would be coordinating with the newly expanded group of 4 AMS (Thailand, Vietnam, Singapore and Indonesia) to assist in the implementation of AFAMT pilot activity.
	 ARISE Plus has contracted a short-term expert to assist in the coordination of a pilot implementation and to conduct post pilot implementation review of the multimodal transactions, including recommendations for the wider implementation of AFAMT in ASEAN and to develop a Strategy Paper and Roadmap to support AFAMT implementation.
	Status: Ongoing
Outputs delivered	A total of ten (10) multimodal transactions were conducted between Thailand and Vietnam and the data provided from the nominated multimodal transport operator was collected.
Problems encountered	Some difficulties have been encountered in obtaining the necessary transaction data. The delays in ratification of AFAMT and enactment of national regulations to support implementation of AFAMT by certain ASEAN Member States has limited the scope of the pilot.
Lessons learnt	Coordination between public and private sector groups, and between Customs Authorities and Government transport agencies is essential for AFAMT to be effectively implemented.
Overall result to- date	ARISE Plus support has provided a solid basis for the implementation of AFAMT between Thailand and Vietnam and enhanced the capacity of participating authorities.

Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)

Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)
Main	ASEAN Transit Transport Coordinating Board (TTCB), National Transit
Beneficiaries	Transport Coordinating Committees (NTTCC), ASEAN Senior Transport
	Officials (STOM), ASEAN Transport Facilitation Working Group (TFWG) and





Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)
engaged	ASEAN Secretariat; ASEAN Council of Bureau (COB).
Tasks status (Ongoing, completed)	Status: Ongoing, with no progress achieved since the previous six-monthly report.
Outputs delivered	N.A.
Problems encountered	Cross-border land transport among several ASEAN Member States have been severely disrupted due to the COVID-19's cross-border passenger transport restrictions during the pandemic.
Lessons learnt	N.A.
Overall result to- date	ARISE Plus has supported the development of a firm basis for the operationalisation of the cross-border passenger transport agreement, i.e. ASEAN CBTP.

Sub-component 3.3 ACTS Implementation

Activity 3.3.3 Rolling Out of ACTS

Activity 3.3.3	Rolling Out of ACTS
Main Beneficiaries engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.
Tasks status (Ongoing, completed)	 ACTS has been successfully installed and is running on a live operational basis in six Participating Member States (PMS), Cambodia, Lao PDR, Malaysia, Singapore, Thailand and Vietnam.
	 In cooperation with the ACTS Central Management Team, ARISE Plus has carried out a series of ACTS virtual conferences with public and private sector stakeholders. A total of 53 such bi-weekly meetings have been carried out so far and both Customs and Transport Divisions of ASEAN Secretariat participate in these conferences.
	 Live ACTS operations are being supported by the ACTS Central Management Team (CMT) and ARISE Plus, currently with regular live movements (2-4 per week) from Principals in Malaysia and Thailand. More Principals are working on their bank guarantees with their respective banks in Malaysia, Thailand, and Vietnam and are expected to





Activity 3.3.3 Rolling Out of ACTS

start regular movements in the near future. A total of 64 movements have been conducted so far under ACTS since January 2022.

- CMT, with support from ARISE Plus and approval of PMS, is conducting a two-county ACTS transit pilot with Cambodia and Vietnam, it is currently being planned with the two countries and their private sector. A total of 12 two-country transit coordination meetings have been carried out so far
- ARISE Plus planned, organised and is conducting comprehensive ACTS
 Private Sector Outreach Programme that consists of:
 - Online ACTS Private Sector Partnership Building Events in each of the PMS with the objective to increase ACTS awareness of relevant private sector associations and individual private sector entities in ASEAN and attract them to join ACTS and use it for their transportation of goods within the region. There have been six such events covering the six participating AMS with 542 total participants from private sector so far. One more such event is planned in the next reporting period focusing on East-West transit transport routes in ASEAN.
 - Online ACTS Private Sector Personalised Engagement Workshops individually for each of the private sector entities who expresses interest in joining ACTS. The objective of these workshops is to provide all relevant information and training, to assist interested entities with the registration in the ACTS, obtaining bank guarantee and ASEAN Goods Vehicle Cross Border permits and starting movements under ACTS regime. There have been 16 such events covering the six participating AMS with 184 total private sector participants so far. More such workshops are planned in the next reporting period.
- From the ACTS Private Sector Outreach Programme events and workshops, ARISE Plus have identified a number of issues related to ACTS implementation and is working on resolving those issues with the PMS in the bi-weekly meetings and intersessionally. Such issues include:
 - Inclusion of more banks and increasing their capabilities in issuance of the ACTS bank guarantees;
 - Addition of Inland Customs offices as offices of departure and destination in Malaysia, Singapore and Thailand;
 - Expedited operationalization of Authorised Transit Trader (ATT) scheme;
 - Applicability of ASEAN Goods Vehicle Cross-Border Permits only to vehicles that cross border while moving goods under ACTS; and
 - Correct application of ACTS procedures while using ACTS IT System in live operations.





Activity 3.3.3	Rolling Out of ACTS
	Status: Ongoing
Outputs delivered	 A total of 53 bi-weekly virtual conferences with PMS have been carried out, out of which seven (7) within this reporting period, in order to solve any issues with ACTS live operations and stimulate an increase in volume of ACTS live movements. Both Customs and Transport Divisions of ASEAN Secretariat participated in these conferences. These conferences are on- going;
	 Plans and documents to manage implementation of ACTS on a live operational basis;
	 Plans for two-country ACTS transit with Cambodia and Vietnam; A total of twelve (12) two-country transit coordination meetings have been conducted, out of which nine (9) within this reporting period; These meetings are on-going;
	 A total of six (6) ACTS Private Sector Partnership Building Events have been carried out, out of which three (3) within this reporting period. ACTS Private Sector Partnership Building Events covered all six participating AMS with 542 total participants from private sector organisations; These events are on-going;
	 A total of 16 ACTS Private Sector Engagement Workshops for interested Malaysian, Singaporean, Thai, Vietnamese, Cambodian, and Lao companies have been conducted, out of which twelve (12) within this reporting period, with 184 total private sector participants; These workshops are on-going;
	 Regular weekly ACTS live operational movements are being conducted since January 2022 after completion of the first ACTS Private Sector Engagement Workshop. A total of 64 movements have been conducted so far under ACTS, out of which 45 within this reporting period;
	 Promotional ACTS publicity and visibility material has been produced and is available on the ACTS Information Portal at https://acts.asean.org;
	 Various documents, papers and other material have been produced on issues in ACTS live operations to facilitate their resolution in bi-weekly meetings and intersessionally.
Problems encountered	The COVID-19 pandemic delayed ACTS Implementation and uptake by private sector. Cross-border transport of goods has suffered from additional sanitary procedures and ban of border crossing of trucks and drivers that caused difficulties with ACTS transport operations. These restrictions are expected to be lifted in the near future as pandemic recedes. Malaysia, Thailand and Vietnam imposed last-minute obstacles to ACTS, which has further delayed the project. In addition, there was relatively low demand from Principal Traders and Transport Operators in Cambodia and Lao PDR. Since that time, Thailand and Vietnam have addressed their legal issues, but





Activity 3.3.3	Rolling Out of ACTS
	Malaysia is still expected to address theirs in the next reporting period. Changes in personnel in National ACTS Project Teams in some PMS have led to a reduction in national ACTS expertise and need for further refresher trainings in the next reporting period.
Lessons learnt	In-depth preparation and continuity at national level is essential if a large complex project such as ACTS is to be successful and sustainable. National domain expertise attainable through repeated training both regionally and nationally is essential for sustainability. Private Sector Outreach programme and imminent lifting of the cross-border restrictions are essential for increase in ACTS utilisation by private sector.

Overall result todate

Although there have been delays, the ACTS system and related procedures have been effectively implemented in PMS, and the necessary training and training material have been provided. Live ACTS movements have been carried out by private sector on a regular basis since January 2022 with an upwards trend in number of movements and Principals. Private sector reported 30-40% reduction in costs when using ACTS as compared to other modes of transit available in the region. Even bigger cost saving can be achieved by Principals who transform their sea-freight movements to ACTS road-based movements due to high costs of sea freight at this time. Private sector also reported consistent journey time for goods moving on the same route. 4-4,5 days door to door for goods moving from Malaysia to Cambodia via Thailand. 5-5,5 days door to door for goods moving from Malaysia to Vietnam through Thailand and Lao PDR. 1-1,5 days door to door for goods moving from Thailand to Singapore via Malaysia.

Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)
Main Beneficiaries engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.
Tasks status (Ongoing, completed)	 The CMT has been responsible for supporting ACTS live operations, with day-to-day support from ARISE Plus; this has included organising and hosting bi-weekly video conferences with PMS, the development of procedural supporting documentation and operational ACTS guides, and providing direct support to PMS and private sector operating ACTS live.
	 ARISE Plus has provided capacity building to enhance the technical expertise of the CMT.
	 The ACTS Central Services applications are now under the management of the CMT with technical and managerial support, if required, from





Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)
	ARISE Plus. IT support and maintenance (IT helpdesk and bug-fixing) services cannot be provided by CMT and will have to be arranged for separately by ASEAN Secretariat, as such tasks require specialised IT knowledge, IT-specific organisation, and additional human resources. This will help to ensure future ACTS system resilience and sustainability. ARISE Plus will prepare the Terms of Reference (TOR) for the scope and estimated costs of the required IT support and maintenance services. Subsequently, ARISE Plus and ASEAN Secretariat will seek necessary funding.
	 Extensive additional hand-over training programme for CMT and other relevant ASEC staff was conducted by ARISE Plus and two more additional trainings are still to be provided in the next reporting period on request from ASEAN Secretariat to ensure that CMT can support PMS and private sector in ACTS live operations after ARISE Plus programme is completed.
	Status: Ongoing
Outputs delivered	 The knowledge and skills of CMT members have been enhanced by technical support from ARISE Plus;
	 The ACTS Central Services is now under the management of the CMT with on demand support from ARISE Plus;
	 Hand-over training programme for CMT and other relevant ASEC staff included the following training modules:
	 ACTS Project Management, Quality Assurance procedures and Live Operations;
	 ACTS Procedures and Applications - Training for Trainers;
	 ACTS ICT Operations; and
	 ACTS system software and applications installation, upgrade, support and maintenance.
Problems encountered	Despite the handover trainings conducted for the CMT, IT support and maintenance services (IT helpdesk and bug-fixing) cannot be provided by CMT and will have to be arranged for separately by ASEAN Secretariat. Such tasks require specialised IT knowledge, IT-specific organisation, and additional human resources.
Lessons learnt	CMT function in supporting ACTS live operations after ARISE Plus programme is completed is vital if ACTS implementation is to be successfully sustained. IT support and maintenance services have to be arranged separately from CMT function to make sure the ACTS IT operations are smooth after ARISE Plus programme is completed. This strategy will help to ensure future ACTS system resilience and sustainability.

Overall result todate

The expertise of the CMT on ACTS has been expanded to the extent that prospects are now good for the sustainable ACTS live operations from the perspective of central management and ACTS Central Services.





Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Main Beneficiaries engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.
Tasks status (Ongoing, completed)	 PMS were supported on technical ACTS issues in the ICT environments via email and/or conference calls by the project's ACTS IT Team. Malaysia benefited from on-site support by the Malaysia-based ACTS IT Team member.
	 ACTS version 3.3.0 is successfully used by the private sector conducting regular live ACTS movements.
	 IT Support and maintenance (helpdesk and bug-fixing) services were provided to PMS to ensure business continuity of ACTS, all bugs identified were fixed, tested by ARISE Plus and will be included in next major ACTS 3.5.0 software release (ACTS 3.4.0 was merged into the 3.5.0 software release).
	 ACTS 3.5.0 software release, which is planned for November 2022 delivery, includes:
	 17 ACTS change requests agreed by SWG-ACTS and approved by ACTS Change Management Board (CMB);
	 Transport Monitoring System (TMS) for management of ASEAN Goods Vehicle Cross-Border Permits (AGVCBPs) and monitoring of the movements of trucks used in ACTS in ASEAN region;
	 Online Trader Registration (OTR) system allowing traders to apply online for registration in ACTS, ATT status, and simplifications available for ATTs;
	 Dashboard for ACTS National Transit Application (NTA) that will simplify day to day work for Customs officers involved in processing of ACTS movements;
	 Automated collection of additional statistics on ACTS movements; and
	 Bug-fixes.
	 An additional CR has been prepared to resolve the issue with applicability of the AGVCBP. It has been approved by the SWG-ACTS and ACTS CMB for implementation. It will be implemented in the next reporting period and included with the ACTS 3.5.0 software release.
	Status: Ongoing





Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Outputs delivered	 All software bugs identified during live operations and testing performed on the system were resolved and fixes are being prepared to be included in ACTS 3.5.0 software release, which is planned for November 2022 delivery;
	 17 ACTS change requests agreed by SWG-ACTS and approved by ACTS Change Management Board (CMB) are being implemented in ACTS 3.5.0 software release;
	 Two new ACTS subsystems (TMS and OTR) and other large ACTS functionality (Dashboard and new ACTS statistics) are being implemented in ACTS 3.5.0 software release.
Problems encountered	Generally, very few problems other than delays caused by COVID-19 pandemic were encountered in this activity. Despite the delays, the activity progressed well with good cooperation from ASEAN Member States and professional functional upgrade development by the ACTS IT Team. ACTS software enhancements delivery schedule has been adjusted to allow for additional functionality of ACTS 3.5.0 to be implemented together with the original scope of ACTS 3.4.0 under one single software releases - ACTS 3.5.0.
Lessons learnt	Having suitable ICT experts in the ACTS IT Team from the region at national and regional levels has been essential to ensure sustainability of ACTS operations and future system resilience.
	Special refresher trainings for PMS' Customs and Transport Authorities will be required (as requested by the PMS) in addition to the usual User Acceptance Testing (UAT) hand over briefing, due to large scope of the ACTS 3.5.0.
Overall result to- date	ACTS functionality is now being finalized as per original scope of ACTS 3.4.0 and ACTS 3.5.0 software releases under one single ACTS 3.5.0 software release and will be deployed in ACTS live operations in PMS and Central

and ACTS 3.5.0 software releases under one single ACTS 3.5.0 software release and will be deployed in ACTS live operations in PMS and Central Services by February 2023. The functionality, user-friendliness and efficiency of ACTS will be further enhanced for the benefit of private and public sector stakeholders.







Component 4.0: ASEAN Economic Integration Monitoring and Statistics

Expected outcome: Strengthened results-based management and evidence- based decision making in the ASEAN integration process.

Sub-component 4.1 ASEAN Economic Integration Monitoring

This subcomponent focuses on monitoring the substantial support provided by the EU, through ARISE Plus, for AEC integration efforts, supporting ASEAN Secretariat and ASEAN Sectoral bodies in strategic decision making through provision of high-quality analysis, monitoring, evaluation, strategic publications and creating wider awareness and outreach of AEC integration efforts and EU support for this process.

Activity 4.1.1 has evolved from the monitoring of ARISE Plus and its bilateral and regional programmes to a more strategic activity focusing on results-oriented monitoring, publications, and communication. This reflects the substantial contributions made by ARISE Plus since November 2017 and the added-value of bringing together interrelated elements of analysis, monitoring, learning, reporting, communications and outreach. During the reporting period work included analysis and content for the Annual Work Plan 5 (AWP5), the PSC presentation, updates to the logframe achievements and continued development and implementation of feedback tools for events. The activity has also developed a substantial number of communication and knowledge products. These have been underpinned by key messages and user oriented content to facilitate take-up and buy-in by external audiences. This is part of the ARISE Plus results oriented and sustainability strategy reflected in the AWP5 and incorporates findings from the AEC Blueprint 2025 Mid-term Review (MTR) across all project components including project management.

Activity 4.1.2 builds on follow-up of recommendations of the Mid-Term Review (MTR) of the AEC Blueprint 2025, which was conducted in 2021. The strong collaboration with AIMD resulted in a demand driven request from AIMD to support ASEC on the Post-2025 Visioning process which will form the basis of the new AEC Blueprint. This process is closely guided by the Ha Noi Declaration on the ASEAN Community Post 2025 Vision that calls for the take up of a whole-of-community approach for the Post-2025 Visioning process to synergise efforts across Pillars and among sectors.

Activity 4.1.1 Results oriented monitoring, publications and dissemination for ARISE Plus

Activity 4.1.1	Results oriented monitoring, publications and dissemination for ARISE Plus
Main Beneficiaries Engaged	EU MISSION TO ASEAN, EUD to AMS ARISE Plus TAT of regional project, ARISE Plus TAT of other regional projects, ARISE Plus TAT of national projects, ASEAN Integration Monitoring Division (AIMD), Relevant ASEAN Bodies.





Activity 4.1.1	Results oriented monitoring, publications and dissemination for ARISE Plus
Tasks status	 ARISE Plus regional project internal monitoring: Undertook monitoring analysis and developed qualitative narrative on key achievements of ARISE Plus for Annual Work Plan 5 Executive Summary. Status: Completed Undertook analysis and developed infographics for Project Steering Committee (PSC) meeting presentation Status: Completed Updates to logframe including project achievements for uploading to OPSYS Status: Completed Undertook analysis and developed write-up and visuals for the ARISE Plus booth in the ASEAN-EU Cooperation Expo Status: Completed Developed communication and outreach materials to support ARISE Plus technical events Status: Ongoing Developed workshop monitoring tools (online) covering pre-training (where relevant) and post-event assessment and undertook analysis including generation of infographics Status: Ongoing undertook analysis and developed qualitative narrative on key achievements of ARISE Plus for Executive Summary, Six-monthly report 10. Status: Ongoing
Outputs delivered	 Infographics for Annual Work Plan 5. Analysis and infographics for PSC meeting presentation. Updated logframe with project achievements. 68 workshop monitoring tools (online) for pre-training (where relevant) and post-event assessment. 38 communication and outreach products to support ARISE Plus technical events including: ARISE Plus booth in ASEAN-EU Expo, 4 eFlyers, 3 microsites, 3 slide show waiting rooms, 3 slide show exit rooms, 4 designed agendas, 3 zoom backgrounds, 4 designed animated presentations, 10 infographics (8 on overall project 'Arise plus in numbers' and 2 on ACTS), 3 MC event scripts including strategic key messages for ACTS Private Sector Engagement activities. (Refer Annexes in NKE Reports for sub-component 4.1). Analysis and qualitative narrative on key achievements of ARISE Plus for Executive Summary, Six-monthly report 10.
Problems encountered	N.A.
Lessons learnt	Strong teamwork, effective key messages and communication products have contributed to the strong interest by the private sector to participate





Activity 4.1.1	Results oriented monitoring, publications and dissemination for ARISE Plus
	and gain more information on the ACTS.
Overall result (major output) to- date	Enhanced management, decision making and outreach in the ARISE Plus regional project through a robust internal monitoring, reporting, learning and strategic messaging.

Activity 4.1.2 Supporting the Post-2025 AEC Visioning Process and Blueprint Formulation

Activity 4.1.2	Supporting the Post-2025 AEC Visioning Process and Blueprint Formulation
Main Beneficiaries Engaged	 ASEAN Integration Monitoring Division (AIMD) ASEAN Economic Community Council (AECC) High-Level Task Force on ASEAN Economic Integration (HLTF-EI) ASEAN Sectoral Bodies under AEC ASEC AEC Department (desk officers)
Tasks status	 Supported the Post-2025 AEC visioning process by: conducting consultations with AIMD to develop a proposed strategy with initial ideas on stakeholder engagement. The proposed ideas included: objectives, key considerations based on findings from the MTR, methodology, proposed topics, proposed activities, and expected outputs. Status: Ongoing Developed a presentation on key findings from the AEC Blueprint 2025 MTR relevant to the area of standards and conformance in close collaboration with AIMD. This was presented at the 57th ACCSQ meeting. Status: Completed
Outputs delivered	 Proposed strategy with initial ideas to guide Stakeholder Engagement in the AEC Post-2025 Visioning process. Presentation on key findings from the AEC Blueprint 2025 MTR relevant to the area of standards and conformance in close collaboration with AIMD.
Problems encountered	The Post-2025 Visioning Process requires coordination with a large number of organisations including AMS governments, private sector, civil society and research organisations. Well defined coordination mechanisms and roles are required for an efficient process that avoids duplication and minimises gaps.
Lessons learnt	The strong working relationships, regular communication and high-quality TA outputs have continued to build trust, credibility and strategic collaboration with AIMD.

Overall result
(major output)
to-date

ASEC supported to undertake decision making for the remaining period of the AEC Blueprint 2025 implementation (2021-2025) through high quality technical and analytical outputs as part of an evidence-based MTR of AEC Blueprint 2025 that was released in 2021. Additional decision making on how





to engage stakeholders in the development of the post-2025 vision of the AEC, was supported through the proposed ideas and strategy on stakeholder engagement.

Sub-Component 4.2 ASEAN Statistics Capacity Building

Outcome

Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all AMS (and particularly but not limited to CLMV countries).

The aim of the support for Sub-Component 4.2 is to strengthen coordinating role of the ASEAN Community Statistical System (ACSS) for improved statistical quality and production capacities to be able cater for relevant new data requests from ASEAN Sectoral Bodies. Sub-Component 4.2 deals with fostering harmonisation of international merchandise trade statistics (IMTS), system of national accounts (SNA), and Balance of Payments Statistics - covering statistics on international trade in services (SITS) and foreign direct investment statistics (FDIS). Also covered are support for monitoring of the sustainable development goals indicators (SDGI), monitoring the implementation of the ACSS Code of Practices (CoP), enhancing statistical dissemination and communication and cross-pillar activities (DISCOTH). Emphasis remains on activities which lead to sustainability, notable impact and visibility.

Below are the notable developments under sub-component 4.2 during the current reporting period.

Strengthened Supporting Mechanism and instruments for harmonisation of statistics

- Good implementation of the agreed guide/methodology/handbook/work plan:
 - SITS Methodological Benchmarking led to better understanding of issues, to be addressed at national workshops planned under AWP5.
 - FDIS Issues-Centring Work Plan implemented in some areas led to better appreciation of regular reconciliation studies in FDIS and of inter-agency cooperation within the country as well as bilateral cooperation between AMSs.
 - SNA operational Guides led to implementation of the ongoing specific TA in Lao PDR, and to awareness of the need for addressing coherence issues among all macroeconomic accounts.
- Greater appreciation of the AHA mechanism was observed in improving FDIS data collection systems through learning from other country's best practices

Enhanced quality assurance and production of harmonised statistics

- Baseline quality reports are now available for all working groups, most recently for the working group on SNA (WGSNA), with the endorsement of the SNA CoP tools for by the 24th Meeting of the ACSS Sub-Committee on Planning and Coordination (SCPC24).
- ASEAN SDGI data series 2016-2020 are now available in ASEANStats Data Portal.

Strengthened dissemination and communication, and enhanced visibility





• ASEAN SDGI Snapshot publication is now released, featuring a snapshot of developments of 29 key indicators between 2016 and 2020.

Activities Undertaken by the ARISE Plus Statistics Coordinator

During the reporting period, the Statistics Coordinator focused on planning, coordination, facilitation, and monitoring of the implementation of AWP4 and AWP5 activities.

Activity 4.2.1 Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)

Activity 4.2.1	Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)
Main Beneficiaries engaged	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Sustainable Development Goals Indicators (WGSDGIs).
Tasks status (ongoing, completed)	 (1) Strengthening SDGI Quality Monitoring, Compilation and Reporting – Preparation of SDGI Snapshot Publication Undertook data availability and quality review. Undertook Regional Workshop on SDGI Data Review. Undertook data aggregation, preparations of the ASEAN SDGI dataset and ASEAN SDGI Snapshot 2022 publication. Undertook Regional Workshop on the draft ASEAN SDGI Snapshot 2022 publication.
	 Status: Completed, end of August 2022. (2) Strengthening SDGI Quality Monitoring, Compilation and Reporting – Preparation of SDGI Snapshot Publication Layout Design Developed the overall plan of the publication layout design. Produced draft 1 of the publication layout design. Revised draft 1 and worked on draft 2. Finalised and produced the SDGI Snapshot publication for online dissemination, and reporting. Status: Completed, end of August 2022.
Outputs delivered	 (1) Strengthening SDGI Quality Monitoring, Compilation and Reporting – Preparation of SDGI Snapshot Publication Final Draft 2019-2020 ASEAN SDGI dataset. Final Draft ASEAN 2020 SDGI Snapshot publication. Mission report, and workshops materials. (2) Strengthening SDGI Quality Monitoring, Compilation and Reporting – Preparation of SDGI Snapshot Publication layout design ASEAN SDGI Snapshot publication with an acceptable layout design in PDF/more suitable file. Mission report.





Activity 4.2.1	Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)
Problems encountered	 Late data submission from some AMSs has contributed to the delay in releasing the online publication. For some indicators, between one and four AMSs has no 2020 or 2016 data available; necessitating the use of some proxies data.
Lessons learnt	Active participation of members of the WGSDGI during the consultations/workshops has prevented further delay.
Overall result to- date	 Updated ASEAN SDGI Data Portal with 2019 and 2020 dataset. Better picture of the progress towards achieving SDG goals in some key areas of most interest to ASEAN. Enhanced visibility of ASEAN statistics, in general, and ASEAN SDG Indicators, in particular.

Activity 4.2.2 Supporting Gaps Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008.

Activity 4.2.2	Supporting Gaps Analysis and implementation of the Operational
Activity 4.2.2	Guidelines for the ACSS Roadmap on SNA 2008.
Main Beneficiaries engaged	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on System of National Accounts (WGSNA).
Tasks status (ongoing, completed)	(1) Enhancing the SNA Quality Measures, Advancing the compilation of Priority SNA Indicators and Enhancing the SNA Supporting Mechanism: CoP and Macroeconomic Coherence Case Study.
	 Supported the development of the SNA Quality Measures. Reviewed the current state, issues and data availability and priority, for the TA to Lao PDR. Conducted a case study and regional workshop on coherence amongst all macroeconomic accounts, and prepared methodological notes for the case study.
	Status: Ongoing, task 1 completed; task 2-3 on track.
	(2) Enhancing the SNA Quality Measures, Advancing the compilation of Priority SNA Indicators and Enhancing the SNA Supporting Mechanism: TA to Lao PDR.
	 TA to Lao PDR to help the country establish a proper methodology for compiling the agreed priority action.
	 Reviewed the current state, issues and data availability and priority.





Activity 4.2.2	Supporting Gaps Analysis and implementation of the Operational
	Guidelines for the ACSS Roadmap on SNA 2008. ○ Developed the relevant technique and tools to compile the agreed SNA priority action.
	 Support for the conduct of a case study and regional workshop on macroeconomic accounts coherence.
	 Contribute to the conduct of the Regional Workshop (soon to be carried out).
	Status: Ongoing, task 1 item 1 completed.
Outputs delivered	(1) Enhancing the SNA Quality Measures, Advancing the compilation of Priority SNA Indicators and Enhancing the SNA Supporting Mechanism: CoP and Macroeconomic Coherence Case Study.
	 ACSS COP Quality Measures for WGSNA reviewed by SCPC and endorsed by the ACSS Committee.
	 Expanded gap Analysis and Issues-centring Work Plans/priority actions – ongoing
	 Methodological Notes on coherence issues and on case study - ongoing.
	(2) Enhancing the SNA Quality Measures, Advancing the compilation of Priority SNA Indicators and Enhancing the SNA Supporting Mechanism: : TA to Lao PDR.
	 Improved methodology/tools in GDP for Lao PDR – ongoing.
Problems encountered	 TA to Lao PDR: with too broad a scope of the SNA, identification of priority issues/targets for the TA took longer than expected.
	 Conducting the TA online could invite risks on its effectiveness due to issues in internet connection
Lessons learnt	More discussions/consultations with the right staff of the recipient country (ies) helped expedite the TA implementation.
Overall result to- date	Momentum established for regular self- assessment of the implementation of the CoP in national account statistics.

Activity 4.2.3 Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS

Activity 4.2.3	Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS
engaged	Committee, Sub-Committee on Planning and Coordination (SCPC), the
	WGSITS and WGIIS.





Activity 4.2.3

Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS

Tasks status (ongoing, completed)

- (1) Strengthening Methodology and Compilation of Priority Categories of Balance of Payments Statistics in Statistics of International Trade in Services (SITS)-Phase 2.
 - Undertook assessment and reviewed methodology and practices (Benchmarking).
 - Undertook case study on methodology and best practices in measuring digital services.
 - Organised and supported Regional Workshop on SITS.
 - Organised and supported Sub-regional Workshop/Training on SITS.

Status: Ongoing. <u>Tasks 1, 3 and 4</u> completed. <u>Task 2</u>: Very little materials were for found; the WG, thus, proposed to discontinue the case study; and instead, to conduct the second joint-regional workshop on digital trade, and a regional workshop on SITS for discussing the implications on the compilation of trade in services of the latest development in digital trade. Another proposed change was the expansion of the coverage of the Benchmarking exercise (<u>Task 1</u>) to all AMSs.

- (2) Strengthening the Methodology and Practice for Enhanced Compilation and Dissemination of FDI Inward Stocks, Outward Flows and Partner Country Data Phase 2.
 - Undertook a TA to implement the Issues-Centring Work Plan.
 - Conducted a methodological study on FDI broken down by the ultimate investing country (UIC).
 - Undertook the development of training module for training of trainers on basic concepts and measurements, for junior staff.
 - Organised and supported a Regional Workshop on FDI Statistics.
 - Organised and supported a Sub-Regional Workshop/ Training on FDI Statistics concepts and measurements for junior staff.

Status: Completed. <u>Task 2:</u> insufficient materials on country experiences in UIC, hence less resources than planned were used.

Outputs delivered

- (1) Strengthening Methodology and Compilation of Priority Categories of Balance of Payments Statistics in Statistics of International Trade in Services (SITS)-Phase 2.
 - Methodological review and Improvement measures on SITS for the five selected countries, and technical advice for others.
 - Regional Workshop materials and report.
 - Sub-Regional Workshop materials and report.
- (2) Strengthening the Methodology and Practice for Enhanced Compilation and Dissemination of FDI Inward Stocks, Outward Flows and Partner Country Data Phase 2.





Activity 4.2.3	Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS
	 Methodology for implementation of the Issues-Centring Work Plan for 4 countries. A training module on basic concepts and measurements for junior staff. Compilation systems/sources for outward FDI in certain areas. Workshop as well as Sub-Regional Workshop materials.
Problems encountered	 Slower than anticipated pace of development in digital trade compilation at the international fora has led to the termination of the case study due to insufficient best practices availability. Lack of experiences in compilation of UIC has limited the coverage and content of the methodological study on UIC. Adverse impacts of COVID-19 led to unpredictable delay in implementing the planned changes.
Lessons learnt	 Active participation of members of the WGSITS has avoided idle resources resulting from the termination of the case study, by using it for strengthening methodological benchmarking. AHA mechanism could improve FDIS data collection systems; e.g. through learning from other country's best practices.
Overall result to- date	 Compilation issues of SITS confirmed, and will be discussed in national workshop on SITS - to be conducted following the benchmarking exercise. Increased collaboration between WG of trade in goods and WG of trade in services, expected to contribute to more speedy and synchronised future development of digital trade compilation. Increasing practice of regular reconciliation studies in FDIS by using different databases. Increasing awareness of the importance of inter-agency cooperation in FDIS within the country as well as bilateral cooperation between AMSs. Enhanced FDIS data submission and coverage, in particular related to FDIS inward.

Activity 4.2.4 Support for strengthened IMTS data processing and quality, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment

Activity 4.2.4	Support for strengthened IMTS data processing and quality, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment
Main	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS
Beneficiaries	Committee, Sub-Committee on Planning and Coordination (SCPC), Working





Activity 4.2.4	Support for strengthened IMTS data processing and quality, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment
engaged	Group on International Merchandise Trade Statistics (WGIMTS).
Tasks status (Ongoing, completed)	All IMTS activities under the AWP4 have been completed and reported under SMR9; new activities under AWP5 will take place starting in November 2022.
Outputs delivered	N.A.
Problems encountered	N.A.
Lessons learnt	N.A.
Overall result to- date	N.A.

Activity 4.2.5 Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility

Activity 4.2.5	Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility
Main Beneficiaries engaged	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), and Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA).
Tasks status (ongoing, completed)	All Statistical Dissemination and Communication activities under the AWP4 have been completed and reported under SMR9; no new activities were planned under AWP5.
Outputs delivered	N.A.
Problems encountered	N.A.
Lessons learnt	N.A.
Overall result to-	N.A.

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SECTION 2 INTERNAL MONITORING ASESSMENT

This section provides an internal monitoring assessment of the ARISE Plus programme since the commencement of the programme. The internal assessment focusses on core assessment criteria of relevance, partnerships, efficiency and effectiveness in line with the Monitoring Strategy of the programme. The analysis covers the programme from its inception on 8th November 2017 until 7th November 2022. The monitoring analysis provides aggregate data and in selected areas it provides a breakdown by reporting period.

Relevance

ARISE PLUS has had a strong level of relevance to the needs and priorities of its stakeholders, namely the ASEAN Secretariat, the ASEAN Member States (AMS) and the European Union (EU). The relevance of ARISE Plus has manifested itself through the strategic support it provides to 12 Elements and 14 Strategic Measures of the ASEAN Economic Blueprint (AECB) and 38 Key Action Lines of the ASEAN Consolidated Strategic Action Plan (CSAP). ARISE PLUS also supports 7 priority areas of the ASEAN-EU Plan of Action. As articulated in the Executive Summary ARISE Plus is relevant to 6 SDGs. These can be visualised from figure 1.



Figure 1: relevance of ARISE PLUS

Partnerships

The ARISE PLUS programme has engaged with 13 ASEC Divisions, 38 ASEAN Sectoral Bodies, working groups and ASEAN entities and 85 AMS entities as illustrated in figure 2.





Figure 2: overview of ARISE PLUS partnerships

The partnerships within each of the major categories can be visualised in further detail through figures 3 and 4.

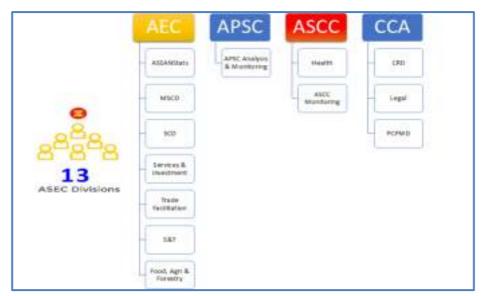


Figure 3: ASEC Divisions engaged²

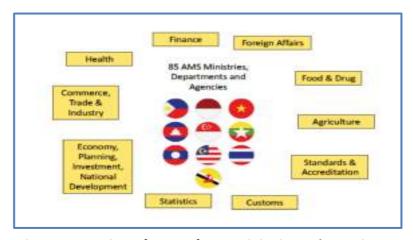


Figure 4: overview of types of AMS Ministries and agencies engaged

² AEC=ASEAN Economic Community, MSCD = Monitoring Surveillance and Coordination Division, SCD = Standards and Conformance Division, S&T= Science & Technology Division, APSC = ASEAN Political Security Community, ASCC = ASEAN Socio Cultural Community, CCA = Community and Corporate Affairs, CRD = Community Relations Division, PCPMD = Programme Cooperation and Project Management Division





Efficiency

Availability and utilisation of resources

A total of 4,115.5³ key expert (KE) days, 11,865 senior non-key expert (SNKE) days and 203.5 junior non-key expert (JNKE) days have been used since programme commencement until end of October 2022.

Over 263 non-key expert (NKE) assignments have been contracted and 2,184,678.46 Euros of incidentals utilised since commencement to-date. The utilisation of resources can be visualised from figures 5 and 6 by reporting period.

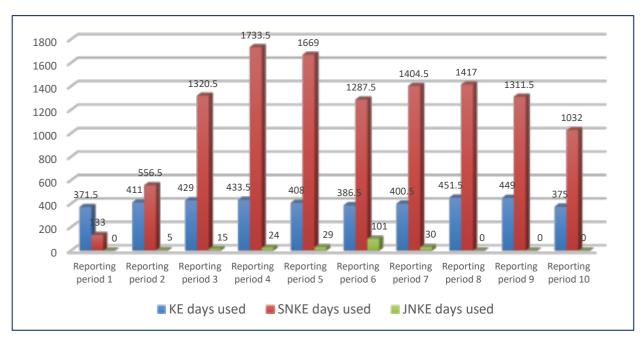


Figure 5: expert days used (by reporting period)

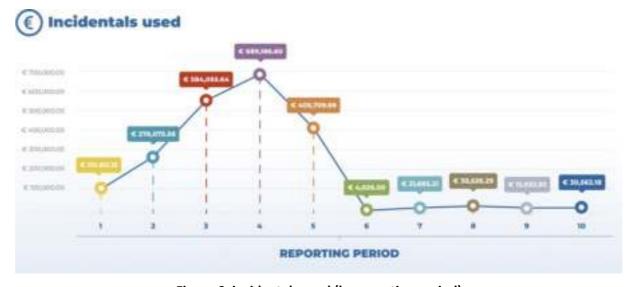


Figure 6: incidentals used (by reporting period)

During the current reporting period, the usage of incidentals was largely due to translation of materials and use of platforms for ASEAN events and workshops which were held online.

³ According to figures as of October 2022 – these might be subject to change based on the approval process of the EUD ARISE Plus is implemented by AETS, ARTEMIS, DT Global and FratiniVergano





Activities Implemented

Resources used have resulted in the implementation of a total of 22 major activities during the reporting period with a focus on less activities as part of the strategy of AWP5. The number of activities implemented during each successive reporting period can be visualised from figure 7, showing the decreasing trend since SMR8 as the project consolidated activities and focused efforts on less activities to enhance results and sustainability prospects.

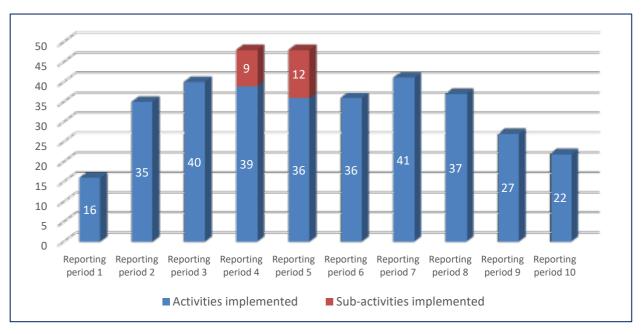


Figure 7: activities implemented by reporting period

A total of 224 workshops have been implemented since commencement of ARISE Plus with 43 workshops implemented during the reporting period. These figures together with a breakdown of both national and regional workshops are provided in figure 8.

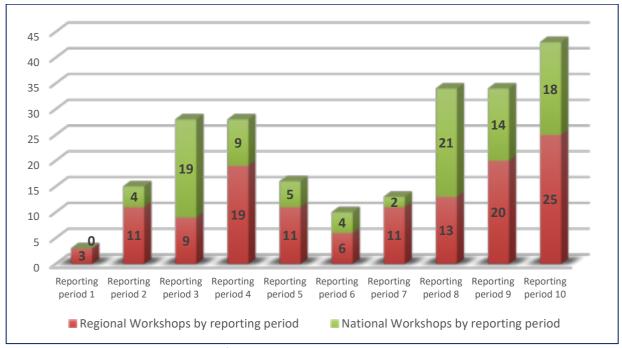


Figure 8: number of regional and national workshops by reporting period



ARISE Plus achieved a landmark with 10,056 participants attending workshops since commencement of project. These include 1,315 workshop participants during reporting period 10, as visualised in figure 9.



Figure 9: workshop participants by reporting period

Effectiveness

Effectiveness of workshops

As illustrated in figure 10, ARISE PLUS workshops were hosted by all AMS demonstrating the strong ownership of the programme amongst AMS. ARISE Plus has facilitated all 224 workshops whilst directing hosting 108 workshops through virtual means.

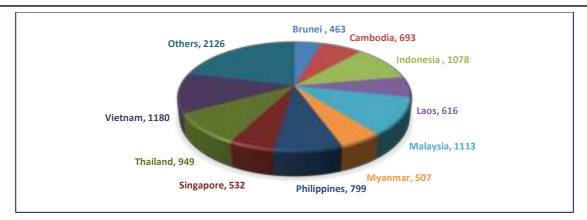


Figure 10: number of ARISE PLUS workshops by host (from inception)

ARISE PLUS workshops have been characterised by an effective inclusive approach. All 10 ASEAN Member states are well represented across the participants. A total of 2,996 participants from the CLMV countries have attended the workshops. See figure 11 below for a breakdown of workshop participants by nationality.







⁴Others

Figure 11: breakdown of workshop participants by nationality

ARISE PLUS workshops have had a strong gender balance in participation during each of the reporting periods as visualised from figure 12. A total of 5,479 female participants and 4,577 male participants have attended ARISE PLUS workshops since commencement. There has been a substantial increase in the proportion of female participants during the past three reporting periods due to concerted efforts by ARISE Plus to engage stakeholders and women's business networks, such as AWEN and women's groups in the AMS.

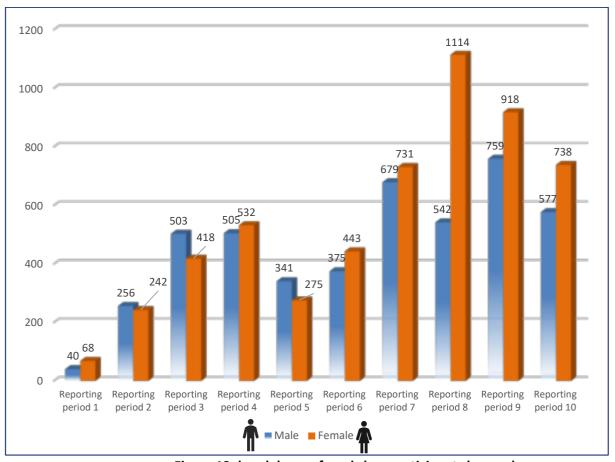


Figure 12: breakdown of workshop participants by gender

⁴ **Others:** include staff from ASEC, ARISE Plus experts and supporting staff, EU private sector representatives such as EuroChams, staff from EU Member State embassies, EUD and European Institutes





SECTION 3 OVERVIEW OF USE OF RESOURCES

Cumulative Resources							
Reporting Period	KE1	KE2	KE3	KE4	Senior Non-Key	Junior Non-Key	Incidentals
Inception Period (8 th November 2017 - 7 th May 2018)	107	71.5	99.5	93.5	133	0	€ 101,811.15
SMR 2 (8 th May 2018 – 7 th November 2018)	97.5	97	103	113.5	556.5	5	€ 276,075.56
SMR 3 (8 th November 2018 – 7 th May 2019)	109	106	100	114	1,320.5	14.5	€ 584,055.64
SMR 4 (8 th May 2019 – 7 th November 2019)	118	92.5	106	117	1,733.5	24	€ 704,574.91
SMR 5 (8 th November 2019 – 7 th May 2020)	107.5	90.5	96	114	1,669	29	€ 406,930.01
SMR 6 (8 th May 2020 – 7 th November 2020)	108.5	78	95	105	1,287.5	101	€ 9,928.69
SMR 7 (8 th November 2020 – 7 th May 2021)	94.5	86	113	107	1404.5	30	€ 21,695.21
SMR 8 (8 th May 2021 – 7 th November 2021)	106.5	113	121	111	1417	0	€ 35,111.20
SMR 9 (8 th November 2021 – 7 th May 2022)	120	100	108	121	1,311.5	0	€ 13, 933.92
SMR 10 (8 th May 2022 – 7 th November 2022)	113	76	93	93	1,032	0	€ 30,562.18
Actual to Date	1,081.5	910.5	1,034.5	1,089	11,865	203.5	€ 2,184,678.46
Programme Budget	1,190	965	1,102	1,155	12,793	400	€ 2,255,625.00
Balance Remaining	108.5	54.5	67.5	66	928	196.5	€ 70,946.54





Resources Used at the Component Level for the Reporting Period								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
COMPONENT 0.0	Management and Outreach	113						€ 19, 977.43
COMPONENT 1.0	Trade Facilitation and Transparency		76			157		€ 4,822.13
COMPONENT 2.0	Standards and Conformity Assessment in Particular Healthcare and Agrobased products			93		160		0
COMPONENT 3.0	Customs, Transport and ACTS				93	480		€ 5,395.52
COMPONENT 4.0	ASEAN Economic Integration Monitoring and Statistics					235		0
Bank Charges								€ 367.10
TOTAL		113	76	93	93	1,032		€ 30,562.18





ANNEX 1 - LIST OF OFFICIAL MEETINGS PARTICIPATED IN BY ARISE PLUS

No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
1	10 – 12 May 2022	Virtual	30th Meeting of the Sub-Working Group on ASEAN Customs Transit System (SWG ACTS)
2	20 May 2022	Virtual	33rd Pharmaceutical Product Working Group (PPWG)
3	25 May 2022	Virtual	40th ACCSQ Working Group on Conformity Assessment (WG 2)
4	02 June 2022	Virtual	23rd ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC)
5	07 June 2022	Virtual	57th Meeting of the ASEAN Consultative Committee for Standards and Quality (ACCSQ)
6	19 July 2022	Virtual	5th Project Steering Committee (PSC) Meeting
7	27 July 2022	Virtual	24th ASEAN Trade Facilitation Joint Consultative Committee (24th ATF-JCC)
8	11 August 2022	Virtual	6th ASEAN Health Cluster 4 Meeting
9	07 September 2022	Virtual	24th Meeting of the Sub-Committee on Planning and Coordination (SCPC24)
10	21 September 2022	Virtual	35th Prepared Foodstuff Product Working Group (PFPWG)
11	27 – 28 September 2022	Virtual	43rd ASEAN Transport Facilitation Working Group (TFWG)
12	29 September 2022	Virtual	14th ASEAN Transport Transit Coordinating Board (TTCB) Meetings
13	04 – 06 October 2022	Virtual	31st Meeting of the Sub-Working Group on ASEAN Customs Transit System (SWG ACTS)
14	26 October 2022	Virtual	48th Meeting of the ACCSQ Working Group 1 on Standards (WG 1)





ANNEX 2 - EVENTS ORGANISED BY ARISE PLUS

No.	Dates	Place	Regional/ National	Beneficiary	Meetings/Workshops/Study tours/Trainings
1	17 May 2022	Virtual	National	Malaysia	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - MAL Group 5
2	23 – 24 May 2022	Virtual	Regional	AMS	Fourth Workshop on the Development of ASEAN Mutual Recognition Arrangement (MRA) on Organic Agricultural Products
3	23 May 2022	Virtual	National	Malaysia	ATR and ASSIST Outreach Event for Malaysia
4	24 – 25 May 2022	Virtual	National	Malaysia	ASSIST Train-the-Trainers Workshop for Malaysia
5	27 – 31 May 2022	Virtual	Regional	AMS	Sub Regional Training Workshop on FDIS
6	7 June 2022	Virtual	National	Malaysia	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - MAL Group 6
7	13 June 2022	Virtual	Regional	AMS	Training for Experts (Module 2) - MRA of Bioequivalence (BE) Study Reports of Generic Medicinal Products: Pre-inspection training for Thailand
8	14 June 2022	Virtual	National	Thailand	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - THA Group 1
9	16 June 2022	Virtual	National	Cambodia	ACTS Private Sector Partnership Building: Outreach to Cambodia
10	20 June 2022	Virtual	Regional	AMS	Risk Profile of Pesticides use in leafy Vegetable in ASEAN Member States
11	20 – 23 June 2022	Virtual	Regional	AMS	Sub-Regional Training and Workshop on Basic Concepts and Definitions of Statistics of International Trade in Services (SITS)



ASEAN Regional Integration Supported by the EU (ARISE) Plus 10th Six-Monthly Progress Report for the period of 8th May 2022 – 7th November 2022



12	21 June 2022	Virtual	Regional	AMS	ASEAN Pharmaceutical Regulatory Framework
13	27 – 30 June 2022	Virtual	Regional	AMS	Training Workshop on Risk based Inspection
14	27 June – 1 July 2022	Virtual	Regional	AMS	Training for Experts (Module 2) - MRA of Bioequivalence (BE) Study Reports of Generic Medicinal Products: Mock Inspection Workshop for Thailand
15	29 June – 1 July 2022	Virtual	Regional	ASEAN Secretariat	ACTS Central Management Team (CMT) Training Part 1
16	5 July 2022	Virtual	National	Vietnam	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - VIE Group 1
17	6 – 7 July 2022	Virtual	Regional	ASEAN Secretariat	ACTS Central Management Team (CMT) Training Part 2
18	11 July 2022	Virtual	Regional	AMS	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - AMAZING Logistic Group
19	11 – 12 July 2022	Virtual	Regional	AMS	ASEAN Pharmaceutical Regulatory Framework
20	12 July 2022	Virtual	National	Vietnam	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - VIE Group 2
21	14 July 2022	Virtual	Regional	AMS	Regional Workshop on the Development of ASEAN Sustainable Development Goals (SDG) Indicators Snapshot 2022
22	14 July 2022	Virtual	Regional	AMS	Workshop on: Development of "Guidelines for Implementation of Harmonised Accreditation in ASEAN"
23	18 July 2022	Virtual	Regional	AMS	Training for Experts (Module 2) - MRA of Bioequivalence (BE) Study Reports of Generic Medicinal Products: Pre-inspection training for Indonesia
24	19 July 2022	Virtual	National	Vietnam	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - VIE Group 3



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25	21 July 2022	Virtual	National	Lao PDR	ACTS Private Sector Partnership Building: Outreach to Lao PDR
26	25 July 2022	Virtual	Regional	AMS	Training for Experts (Module 2) - MRA of Bioequivalence (BE) Study Reports of Generic Medicinal Products: Post Inspection Workshop for Thailand
27	25 July 2022	Virtual	Regional	AMS	The Regional Workshop on Code of Practice (COP) Section B and C for WGSNA
28	1 – 5 August 2022	Virtual	Regional	AMS	Training for Experts (Module 2) - MRA of Bioequivalence (BE) Study Reports of Generic Medicinal Products: Mock Inspection Workshop for Indonesia
29	2 August 2022	Virtual	National	Cambodia	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - CAM Group 1
30	4 August 2022	Virtual	Regional	ASEAN Secretariat	ACTS Central Management Team (CMT) Training: ICT Operations Training
31	10 August 2022	Virtual	Regional	AMS	Final Discussion on ASEAN Pharmaceutical Regulatory Framework
32	9 – 12 August 2022	Virtual	Regional	ASEAN Secretariat	CMT and ASEC ACTS ICT Setup and Rollout Training
33	18 August 2022	Virtual	Regional	AMS	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - OTL Group
34	26 August 2022	Virtual	Regional	ASEAN Secretariat	ACTS Project Management, Quality Assurance Procedures
35	26 – 30 August 2022	Virtual	Regional	AMS	Third Workshop - ASEAN Pharmaceutical Regulatory Framework Agreement (APRF)
36	1 September 2022	Virtual	National	Cambodia	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - CAM Group 2
37	2 September 2022	Virtual	Regional	AMS	Training for Experts (Module 2) - MRA of Bioequivalence (BE) Study Reports of Generic Medicinal Products: Post Inspection Workshop



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					for Indonesia
38	13 September 2022	Virtual	National	Lao PDR	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - LAO Group 2
39	20 September 2022	Virtual	National	The Philippines	Coordination Meeting with the Filipino ATR/ASSIST Focal Point within BIS at DTI, plus one-on-one consultations with several TRGAs for validation of the NTMs to be uploaded
40	21 September 2022	Virtual	National	The Philippines	Meeting with PHILEXPORT to present and coordinate the outreach on ATR and ASSIST to the benefit of Filipino Business
41	11 October 2022	Virtual	Regional	AMS	ASEAN Customs Transit System (ACTS) Private Sector Engagement Workshop - Nippon Express Group
42	18 – 20 October 2022	Virtual	Regional	AMS	4th Workshop - ASEAN Pharmaceutical Regulatory Framework Agreement (APRF)
43	27 October 2022	Virtual	Regional	AMS	ASEAN Customs Transit System (ACTS) Partnership Building: Outreach to Private Sector in North-South Transit Corridor (MY, SG, TH)





ANNEX 3 - LIST OF ASEAN SECTORAL BODIES, WORKING GROUPS AND ENTITIES WITH WHICH ARISE PLUS WORKS

- ASEAN Business Advisory Council (ABAC)
- ASEAN Coordinating Committee on Connectivity
- ASEAN Committee on Consumer Protection (ACCP)
- ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS)
- ASEAN Consultative Committee on Standards and Quality (ACCSQ)
- ASEAN Coordinating Committee on Investment (CCI)
- ASEAN Coordinating Committee on the Implementation of ATIGA (CCA)
- ASEAN Customs Coordinating Committee (CCC)
- o ASEAN Customs Directors-General
- ASEAN Expert Group on Food Safety
- ASEAN Federation of Forwarders Associations (AFFA)
- o ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee
- ASEAN Risk Assessment Centre for Food Safety (ARAC)
- ASEAN Smart Cities Network (ASCN)
- ASEAN Sectoral Working Group on Crops
- ASEAN Sectoral Working Group on Fisheries
- ASEAN Sectoral Working Group on Livestock
- ASEAN Senior Transport Officials (STOM)
- ASEAN Single Window Steering Committee (ASW-SC)
- ASEAN Trade Facilitation Joint Consultative Committee (ATFJCC)
- ASEAN Transport Facilitation Working Group
- ASEAN Transport Facilitation Working Group (TWFG)
- Customs Capacity Building Working Group
- Customs Enforcement and Compliance Working Group
- EU-ASEAN Business Council (EUABC)
- Experts Working Group on Organic Agriculture
- Senior Economic Officials Meeting (SEOM)



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- Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM AMAF)
- Transit Transport Coordinating Board (TTCB)
- Sub-committee on ATIGA Rules of Origin (SCA-ROO)
- o ASEAN Community Statistical System (ACSS) Committee
- Sub-Committee on Planning and Coordination (SCPC)
- Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)
- o Working Group on SNA