





Work Plan 5

(8th May 2022 - 7th May 2023)

ASEAN Regional Integration Support by the European Union - (ARISE) Plus

Project No. ACA/2016/389774

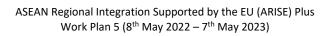
Service Contract No. EUROPEAID/138416/DH/SER/MULTI





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ARISE PLUS FACT SHEET

Project Title	ASEAN Regional Integration Supported by EU (ARISE Plus)	
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LIST OF ABBREVIATIONS

ABAC ASEAN Business Advisory Council

ACCSQ ASEAN Consultative Committee on Standards and Quality

ACCC ASEAN Connectivity Coordinating Committee
ACCP ASEAN Committee on Consumer Protection

AC-SPS ASEAN Committee on Sanitary and Phytosanitary Measures

ACSS ASEAN Community Statistical System
ACTD ASEAN Common Technical Dossier
ACTR ASEAN Common Technical Requirements

ACTS ASEAN Customs Transit System

ACTS-IP ACTS Information Portal management

AEC ASEAN Economic Community

AECB ASEAN Economic Community Blueprint
AEGFS ASEAN Expert Group on Food Safety
AEO Authorised Economic Operators

AFAFGIT ASEAN Framework Agreement on the Facilitation of Goods in Transit

AFAFIST ASEAN Framework Agreement on the Facilitation of Inter-State Transport

AFAMT ASEAN Framework Agreement on Multimodal Transport

AFFA ASEAN Federation of Forwarders Associations
AFS-CC ASEAN Food Safety Coordinating Committee

AHEEERR ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime

AIMD ASEAN Integration Monitoring Division

AMS ASEAN Member State

API Active Pharmaceutical Ingredient(s)

APLAC Asia Pacific Laboratory Accreditation Cooperation
ARAC ASEAN Risk Assessment Centre for Food Safety
ARASFF ASEAN Rapid Alert System for Food and Feed
ARISE ASEAN Regional Integration Support by the EU

ASEAN Association of South East Asian Nations

ASEAN-CBTP ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers

by Road Vehicle

ASEC ASEAN Secretariat

ASI-CT ASEAN Statistical Indicators-Consolidated Template

ASOA ASEAN Standard for Organic Agriculture

ASPSC ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance

ASSIST ASEAN Solutions for Services, Investment and Trade

ATFJCC ASEAN Trade Facilitation Joint Consultative Committee

ATFW ASEAN Trade Facilitation Work Plans

ATT Authorised Transit Trader

ASW-SC ASEAN Single Window Steering Committee

ATIGA ASEAN Trade in Goods Agreement

ATR ASEAN Trade Repository
AWP Annual Work Plan

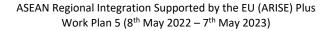
BE Bio-equivalence

BFSDAS Broad Framework for the Sustainable Development of ASEAN Statistics

BOP Balance of Payments Statistics

CCA ASEAN Coordinating Committee on the Implementation of ATIGA

CCI ASEAN Coordinating Committee on Investment







CCBWG Customs Capacity Building Working Group
CCC ASEAN Customs Coordinating Committee
CCS ASEAN Coordinating Committee on Services

CECWG Customs Enforcement and Compliance Working Group

CEN-CENELEC European Committee on Standardization – European Committee for

Electrotechnical Standardisation

CIFOCOss Chronic Individual Food Consumption Database – summary statistics

CLMV Cambodia, Lao PDR, Myanmar, Viet Nam
COB Council of ASEAN Insurance Bureaux
COST Committee on Science and Technology

CPTFWG Customs Procedures and Trade Facilitation Working Group

DISCOTH Dissemination, Communication, and Others Related

DSG Deputy Secretary General

DG-DEVCO Directorate-General for International Cooperation and Development

EBOPS Extended Balance of Payments Statistics

EC European Commission

EDSM Enhanced Dispute Settlement Mechanism
EEE Electrical and Electronic Equipment

EU European Union

EUABC EU-ASEAN Business Council FA Financing Agreement

FAO Food and Agriculture Organization
FDIS Foreign Direct Investment Statistics

GIFT Global Individual Food Consumption Data Tool

GMP Good Manufacturing Practice
HLAF High Level Advocacy Forum

ICH International Council for Harmonization

IGDRP International Generic Drug Regulators Program
IMTS International Merchandise Trade Statistics
ITD Information Technology Development

KE Key Expert(s)

KLTSP Kuala Lumpur Transport Strategic Plan

KPI Key Performance Indicator
JNKE Junior Non-Key Expert

LSAD Legal Services and Agreements Directorate

MIS Management Information System
MPAC Master Plan on ASEAN Connectivity
MRA Mutual Recognition Arrangement

MSME Micro-, Small-, and Medium-Sized Enterprises

MST Malaysia, Singapore, Thailand

NKE Non-Key Expert

NAB National Accreditation Body

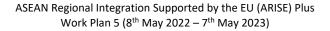
NFAB National Focal Points for Accreditation

NSO National Statistical Office
NTM Non-Tariff Measure(s)
NTR National Trade Repository

NTTCC National Transit Transport Coordinating Committees

OFS Other Fields of Statistics
OWP Overall Work Plan

PFPWG Prepared Foodstuffs Product Working Group
PIC/S Pharmaceutical Inspection Co-operation Scheme







PPWG ACCSQ Pharmaceutical Product Working Group

PSC Project Steering Committee PWG Product Working Group(s)

SC-AROO Sub Committee on ATIGA Rules of Origin
SCPC Sub-Committee on Planning and Coordination
SDGI Sustainable Development Goals Indicators
SITS Statistics on International Trade in Services

SNA System of National Accounts
SNKE Senior Non-Key Expert

SPCD Strategic Plans for Customs Development

STOM ASEAN Senior Transport Officials

SOM AMAF Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry

SQL Structured Query Language

TA Technical Assistance
TAT Technical Assistance Team
TBT Technical Barriers to Trade
TFD Trade Facilitation Division

TFWG ASEAN Transport Facilitation Working Group

TRIM Trade-related Investment Measure
TTCB Transport Coordinating Board

UNCTAD United Nations Conference on Trade and Development

VCO Visibility, Communication and Outreach

WGDSA Working Group on Data Sharing, Analysis, Dissemination and Communication

WGIIS Working Group on International Investment Statistics

WGIMTS Working Group on International Merchandise Trade Statistics
WGSITS Working Group on Statistics on International Trade in Services

WGSNA Working Group on System of National Accounts

WHO World Health Organization

WSDGI Working Group on Sustainable Development Goal Indicator

WTO World Trade Organization





EXECUTIVE SUMMARY

Background

The EU has been one of the most significant dialogue partners of ASEAN to have supported ASEAN Economic Community (AEC) integration efforts. The flagship support instrument of the EU to support AEC integration efforts has been the ARISE Plus Programme. ARISE Plus works closely with the ASEAN Secretariat, ASEAN Sectoral Bodies, Working Groups and the private sector. The project supports 12 Elements, 14 Strategic Measures and 38 Key Action Lines of the ASEAN Economic Community (AEC) Blueprint 2025. ARISE Plus operationalises 7 priority areas of the EU-ASEAN Plan of Action, and is relevant to SDGs 2, 3, 8, 9 and 17. The initial contract for the programme had a duration of 3 years covering the period 8th November 2017 to 7th November 2020 and a total budget of €13.8. Following a successful Mid Term review of the programme, ARISE Plus was extended by two years with an additional €7.4 million in funding taking the project to 5 years, November 2022, and €21.5 million. The project was further extended in May 2022 until May 2023, with a no-cost extension, at the request of the ASEAN Secretariat and ASEAN Sectoral bodies, highlighting the importance of the project in supporting AEC integration efforts.

ARISE Plus continues to adapt well to support ASEAN in current areas of work and new demand driven areas. These have served to enrich EU-ASEAN collaboration in supporting AEC integration efforts and have led to deeper and more strategic cooperation efforts.

ARISE Plus in numbers

- Partnerships with 13 ASEC Divisions, over 38 ASEAN Sectoral Bodies and over 100 ASEAN
 Member State organisations including private sector through its activities and events.
- Supports 12 Elements, 14 Strategic Measures and 38 Key Action Lines of the ASEAN Economic Community (AEC) Blueprint 2025.
- Operationalises **7 priority areas** of the EU-ASEAN Plan of Action.
- Relevant to SDGs 2, 3, 8, 9 and 17.
- Implemented over 181 workshops, including 103 regional and 78 national workshops.
- Over 8,741 workshop participants from all 10 ASEAN Member States.
- Inclusive approach:
 - Over 4,741 women participating in workshops
 - o **2,634 participants** from CLMV countries
 - o Partnerships with women's business groups such as the ASEAN Women Entrepreneurs Network (AWEN), small businesses and other business associations.

Progress to-date

Several tangible and significant results have been delivered by ARISE Plus to-date and a summary of the major results is provided below.





Improving ASEAN regulatory environment through finalisation of legal review of ATIGA

The legal review of the ASEAN Trade in Goods Agreement (ATIGA) was further **refined and finalised** during AWP4. The final 432-page report covered a comparative benchmarking of the ATIGA in the light of relevant ASEAN developments, the applicable WTO commitments and obligations and the relevant Preferential Trade Agreements including 'new generation' FTAs. Specific chapters and commitments on the Regional Comprehensive Economic Partnership (RCEP) Agreement, in relation to Rules of Origin were also included.

The Final report was presented to the ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC) in July 2021. A regional online workshop was held in September 2021 involving ASEC, members of the Consultative Committee on ATIGA (CCA) including representatives from the ASEAN member states. This created wide awareness on the findings and recommendations of the legal review and potential improvements to ATIGA during its forthcoming revision.

Enhancing private sector engagement and awareness towards greater intra-ASEAN trade

Private sector engagement and socialisation is a key strategy adopted by ARISE Plus to ensure businesses are aware and convinced to take full advantage of the platforms that have been developed. Outreach workshops were held for businesses in Laos, Indonesia, Philippines, Vietnam, Brunei Darussalam and Cambodia to increase awareness on the availability, benefits and operation of ASSIST and ATR which included engagement with SMEs and women entrepreneurs.

These outreach events are being complemented with dedicated train-the-trainer (ToT) sessions. The ToT sessions focus on building national capacity in the operation of ASSIST by identifying and training trainers from the private sector in each country. This approach allows for further transfer of knowledge within the country and ensures sustainability of the efforts.

The outreach events organised by ARISE Plus and the anonymity mechanism introduced has resulted in higher usage of the ASSIST platform. Such continuous efforts are in line with *Key Message 3 of the AEC Blueprint 2025 Mid-Term Review (MTR): to embark on broader and more meaningful engagement with the private sector and women entrepreneurs.* These demonstrate the strong and strategic nature of EU-ASEAN collaboration.

Improving regulatory transparency for business through support for effective implementation of ATIGA

ARISE Plus is dedicated to ensure the ASEAN Trade Repository (ATR) can meet its true potential in ASEAN. Through close cooperation with ASEAN Member States 5,054 NTMs have been validated and uploaded on the ATR platform to date, which will continue to benefit the private sector in terms of regulatory transparency.

Strengthening the sustainability of ASEAN food safety systems through a harmonised regulatory regime and enhanced capacities

ARISE Plus is supporting ASEAN's efforts to strengthen the sustainability of food safety systems by establishing a harmonised regulatory regime, traceability systems and a comprehensive capacity building programme.





Substantial efforts to develop AMS capacity were made during AWP4 through the development of training modules, training courses and training workshops for AMS officials to conduct inspections and evaluate food safety systems. These are in accordance with the provision of the MRA for Inspection and Certification Systems on Food Hygiene for Prepared Food Stuff. **Nine training workshops** were conducted covering capacity building across a range of food safety topics. These topics include how to conduct inspections on food hygiene including training on the MRA for Prepared Foodstuff; food borne disease outbreaks, conducting risk-based inspections, such as profiles of pesticides used on leafy vegetables and food safety sampling; and food safety risk communication

The ASEAN Food Safety Regulatory Framework Agreement (AFSRF Agreement) has been finalised and is awaiting formal agreement by the AMS. ARISE Plus has also supported efforts to harmonise the regulatory regime in organic agriculture through an MRA and the associated harmonised certification scheme which are now in their final stages of acceptance by the Experts Group. Other work includes support for the harmonisation of nutrition reference values and the development of specific measures for ceramic food contact materials

Enhancing safety and reliability of pharmaceuticals through a harmonised regulatory regime

ARISE Plus has continued to support the enhancement of safety and reliability of pharmaceuticals through the establishment of a harmonised regulatory regime. The efforts of ARISE Plus over several years, have come to fruition with a final version of the ASEAN Pharmaceutical Regulatory Policy (APRP) which has been endorsed by the Senior Economic and Health officials (SEOM) and ASEAN Senior Officials Meeting for Health Development (SOMHD). The APRP is awaiting adoption by the ASEAN Economic and Health Ministers. The ASEAN Pharmaceutical Regulatory Framework has also progressed substantially and is nearing finalisation with a review being carried out by ASEAN Member States. These regulatory instruments will provide the basis for the legally binding ASEAN Pharmaceutical Regulatory Framework Agreement (APRFA).

ARISE Plus also supported the newly established ASEAN Pharmaceutical Testing Laboratory Committee (APTLC) including the development of their Five-year work plan. The ARISE Plus work in the pharmaceuticals area is characterised by the cross-pillar coordination between the health and economic sectors, which is in line with the recommendation from the AEC Blueprint 2025 MTR for deeper and more meaningful cross-sectoral collaboration.

Partnership building with the private sector for seamless movement of goods in ASEAN through the ASEAN Customs Transit system (ACTS)

The ACTS is seen as a high-quality solution to facilitate cross-border transport of goods in ASEAN and to address some of the challenges the region faces as a result of the COVID-19 pandemic. This reflects the effectiveness and efficiency of the ACTS. Live runs, using ACTS, by freight forwarders are taking place on a weekly basis now.

ARISE Plus is committed towards enhancing the uptake of ACTS among private sector stakeholders and to overcome the restrictions brought by the COVID-19 pandemic. In line with this ambition a comprehensive private sector partnership building plan was launched during AWP4. Three major private sector partnership building events were organised which involved over 300 participants from the private sector. These included a joint event for private sector in Malaysia and Singapore,





and events for the private sector in Thailand and Vietnam respectively. Presentations during the events include a testimony from the General Manager of City Zone Express Sdn Bhd who has used and benefited from ACTS and presentations on the end user technical and practical aspects of ACTS that include information such as processes at departure, border and destination, bank guarantee, registration process and challenges faced during the pandemic. Every event included a Q&A session which has always been very interactive, demonstrating interest among the private sector.

ARISE Plus also organised several on demand follow up workshops for the private sector. These provided further support on ACTS usage, and addressed issues and concerns for the country context. Outreach events for Cambodia and Lao PDR are being planned for June and July 2022. Close cooperation between ARISE Plus, the ACTS Central Management Team (CMT) at ASEC and the private sector will ensure the success and sustainability of ACTS.

The private sector partnership building activities for the ACTS are complemented through bi-weekly discussions involving government officials from the six partner ASEAN Member States operating the ACTS, CMT, ASEC Transport and Customs divisions and ARISE Plus in order to resolve issues regarding live ACTS operations. ACTS version 3.3.0, which includes a number of important upgrades, has successfully installed, tested and deployed in live operating environments across the six ASEAN Member States.

Creating awareness on AEC integration efforts

ARISE Plus has been a trusted strategic partner of the ASEAN Secretariat (ASEC) and the ASEAN Integration Monitoring Directorate (AIMD) in ensuing the ASEAN Economic Integration agenda. To uphold the commitment of EU as a strategic partner to ASEAN, ARISE Plus has continued providing support to ASEAN Economic Integration beyond the MTR launch by channelling efforts into creating awareness and outreach amongst stakeholders such as business and research organisations. ARISE Plus has provided support in developing video-graphics, posters and five sets of social media posts on the MTR of the AEC Blueprint 2025. These materials have garnered over 7,500 views on the ASEC social media channels including YouTube, Instagram and LinkedIn.

ARISE Plus also translated one of the posters into Bahasa Indonesia, Thai, Khmer, Lao and Vietnamese to support wider dissemination.

Mainstreaming recommendations from the AEC Blueprint 2025 MTR across ARISE Plus

ARISE Plus, is one of the first ASEAN dialogue partner projects to have successfully mainstreamed a number of recommendations from the AEC Blueprint 2025 Mid-term Review (MTR) across its project components. These include recommendations "R1: strengthen coordination, monitoring and evaluation mechanisms" and "R2: develop consolidated implementation frameworks for cross cutting issues ".

ARISE Plus has adopted a strategy of results-oriented monitoring, reporting, publications, and dissemination to mainstream recommendations R1 and R2. This brings together interrelated elements of analysis, monitoring, learning, reporting, communications and outreach to deliver strategic knowledge products that support decision making. During the reporting phase work has continued on analysis and content for the six-monthly progress report, and other EUD requirements such as EU Bluebook. Results oriented monitoring and measuring of learning outcomes for technical ARISE Plus events has been undertaken through the deployment of 54 online workshop monitoring





tools covering pre-training and end of event assessment to support decision making by the project team. A total of 23 event monitoring reports with analysis of participant feedback data have been developed and shared with the project team. To enhance communication and outreach for technical ASEAN events, over 20 communication products and tools have been developed including eFlyers, microsites, slide shows for waiting rooms and exit rooms, and animated presentations. These have been underpinned by key messages and user oriented content to facilitate take-up and buy-in by event participants.

Strengthening coordination and coherence across EU ARISE Plus national and regional projects

ARISE Plus (TA Regional) and the EU Delegation to ASEAN have continued to play an important role in facilitating coordination and coherence across the EU ARISE Plus portfolio of nine national projects (covering Cambodia, 2 for Indonesia, Lao PDR, Malaysia, Myanmar, Philippines, Thailand, Vietnam) and two regional projects (ARISE Plus TA Regional and ARISE Plus IPR). The project has continued to disseminate flash reports on events. During the reporting period, 17 flash reports were shared amongst the ARISE Plus portfolio of projects and whilst the EU Delegation to ASEAN shared these amongst the EU Delegations across the ASEAN Member States.

A successful Regional Coordination meeting was organised by the ARISE Plus TA Regional and the EUD to ASEAN, on 05 April 2022. The meeting brought together over 30 participants from EU Delegations across the region, the nine national projects and the two regional projects. Projects shared their success stories and this was followed by a synthesis of the technical areas covered by the various projects. The ARISE Plus family of projects is relevant to the ASEAN Economic Community (AEC) Blueprint 2025 across five characteristics (or aspirations) and 22 out of 29 Elements (or sectors of cooperation), making the EU one of the most active dialogue partners of ASEAN.

Monitoring ASEAN progress of the SDG 2030 agenda through statistical support

ARISE Plus has continued to support the ASEAN Statistical Community in the monitoring of the progress towards SDGs. The project conducted a joint workshop with ASEANStats on SDG social indicators. The workshop involved key stakeholders from the ASEAN Community Statistical System (ACSS) and 41 participants from the AMS.

Strengthening ASEAN statistical capacities

ARISE Plus has provided a number of capacity building programmes to the ASEAN Statistical Community. This includes monitoring of the digitalisation progress. ARISE Plus has developed various guidance materials, provided cross pillar support, enhanced quality assurance and strengthened skills through trainings to facilitate regional integration of statistical data. Among others one main activity during this reporting period was the follow up phase of training on data collection, monitoring, reporting and dissemination related to the SDG Indicators (SDGIs). This activity will enable ASEAN to monitor its progress towards achieving the 2030 Agenda. Other statistical support provided in the different technical areas will collectively improve the skills and capacity of ASEAN stakeholders especially for CLMV countries in data collection, management and use towards achieving regional digitalisation aims to support further economic development.





These have improved the capacity of ASEAN staff to undertake more accurate analysis and development high quality statistical reports.

Strategy and Approach

The strategy of AWP5 focuses on the delivery of select priority activities, delivery of additional outputs as a result of the recent extension, emphasis on sustainability and continued alignment with key findings of the AEC Blueprint 2025 Mid-Term Review (MTR).

Annual Work Plan 5 (AWP5) of ARISE Plus covers the period 8th May 2022 to 7th May 2023. The planned activities will build on the existing terms of reference (TOR) and successes of the programme. AWP5 would focus resources on **23 priority activities**. This is a reduction from the original 37 activities planned in AWP4. This will translate into clear, added value benefits to the Sectoral and Technical Bodies to overcome the challenges from the pandemic and implement the recommendations from the AEC Blueprint Mid-term Review. The focus will also ensure activities are strongly results-oriented and as far as possible sustainable.

The extension of six months will enable the project to support additional ASEAN cycles of technical and formal meetings which will lead to increased outputs and outcomes in line with the intervention logic of the action. The extension strategy also includes the delivery of an increased **number of outputs**, compared to our original targets including:

- 16 additional implementation tools for ASEAN technical and Sectoral Bodies (e.g. roadmaps, studies, guidelines and protocols)
- 17 additional outreach and workshop events
- 8 additional sets of capacity building and reference material for ASEAN
- over 25 additional communication products
- 17 additional analytical documents

The additional outputs will result in critical milestones being achieved which will provide strong momentum to the ASEAN integration process and strengthen the EU's role as a strategic partner of ASEAN.

The **23 priority activities** that are proposed for the extension period, include significant **emphasis on sustainability** through private sector partnerships, capacity building, guidelines and roadmaps. The results of the AEC Blueprint 2025 Mid-term Review (MTR) recommendations are being adopted by the sectoral and technical bodies in their annual plans for 2022 and beyond. This provides the EU and ARISE Plus with a further opportunity to enhance sustainability, with more significant opportunities for the various implementation tools to be adopted by ASEAN. This would ensure that benefits from ARISE Plus will continue to be delivered after the project ends in May 2023. AWP5 also provides opportunities to enhance coherence and complementarity between ARISE Plus regional project components and the other regional and bilateral ARISE Plus projects. The activities also include significant communication and visibility prospects for the EU through events and knowledge products.

The ARISE Plus regional programme has always stood guided by the AEC Blueprint 2025 including alignment with key findings from the AEC Blueprint 2025 MTR and this will continue during AWP5. The ARISE Plus WP5 alignment is complementary to the ASEAN Comprehensive Recovery Framework (ACRF) and Implementation Plan to COVID-19.





AWP5, continues to place significant emphasis on **strengthening results-based management** including planning, monitoring, reporting and implementation as per **MTR Key Message 1 and an enabling factor in the ACRF**. This builds on the experience and lessons leant from AWP4. **Technical**

training provided across all components will focus on **clear learning outcomes** including tangible results-oriented actions expected from training recipients.





Cross-pillar and cross-sectoral collaboration has been a key feature of Arise Plus work and will be continued during AWP5. These will build on existing ARISE Plus efforts including food safety which brings together actors from health, agriculture, and trade; and ACTS

and AFAMT which brings together actors from transport, customs, banking and trade; ATR and ASSIST outreach efforts which bring together a range of private sector stakeholders; and engagement of policy makers, private sector CSOs and academia as part of the support to the Post-2025 AEC Vision and Blueprint formulation process.

AWP5 activities will adopt broader engagements with the private sector, research organisations, civil society and policy makers, where relevant including dialogue when formulating ASEAN instruments and focus group discussions to identify issues and solutions and support decision making across activities.





In line with MTR Key Message 4, ARISE Plus will focus its efforts on Strategic Measures that directly contribute to the broader goals of the AEC Blueprint 2025. This will include support for the increased operationalisation of ACTS and

adoption by the private sector; more effective use of existing platforms such as the ATR ASSIST by the private sector to facilitate trade; accelerating the adoption and implementation of AFSRFA and various supporting instruments in food safety; mainstreaming results based-management and statistics across sectors where ARISE Plus is active.



AWP5 will continue the integration of **new developments** and trends by ARISE Plus in line with **Key Message 5 from** the MTR. These include **digitalisation** through platforms such as the ATR, ASSIST and ACTS; integrating

sustainability across key areas of technical assistance such as organic agriculture, food safety, traceability and the use of SDG indicators which also align with the ACRF priorities. Gender considerations will continue to be strengthened through engagement of stakeholders such as ASEAN Women's Entrepreneurship Network (AWEN); the use of an existing core group of women experts across most components; and a balance of men and women facilitators and speakers for events, always ensuring at least one women speaker. Dialogue with stakeholders from private sector will be undertaken across all components. ARISE Plus is supporting ASEAN systems and regulatory regimes that will lead to more inclusive and resilient recovery from COVID-19 in-line with the ACRF. Examples include ACTS, AFAMT, harmonised systems for food safety and increased use of big data and results oriented monitoring for decision making.





Highlights of Annual Work Plan 5

The highlights of AWP5 are presented below in terms of the main components of ARISE Plus, their expected outcomes and the main interventions that will contribute to these outcomes.

Component 1

Component 1 focusses on **enhancing trade-related regulatory transparency in ASEAN**. AWP5 contributions to this outcome will focus on the continued operationalisation of the ATR by supporting AMS to identify, classify and notify NTMs and to build their capacity to sustain these efforts. This will include gathering of information to advance the ATR (e.g. tariff nomenclatures, national trade and customs rules) and on-demand training for AMS. Outreach for the private sector will be continued on the ATR and ASEAN Solutions for Investments, Services and Trade (ASSIST) platform to improve awareness, uptake, effectiveness and sustainability. Work will also include diagnostic studies for the sustainability of ASSIST and ATR and technical updates to their websites.

The facilitation of deeper and more effective private sector engagement and engaging MSMEs and women entrepreneurs will continue to be a feature of this component in line with Key Messages 3 and 5 of the AEC Blueprint 2025 MTR.

Component 2

The expected outcome of Component 2 is the harmonisation of regulatory regimes in food safety and pharmaceuticals in ASEAN.

Support for conformity assessment will include drafting of legislation for Cambodia's accreditation system, preparing the Final Draft of the Guidelines for Implementation for harmonised accreditation in ASEAN and outreach events carried out for stakeholders in support of their adoption.

The project will also support the ACCSQ in their Post-2025 planning process through the development of input papers for key thematic priority areas for deliberation and further development by the ACCSQ at their formal meetings. These will be fully aligned and driven by priorities in the AEC Blueprint MTR.

Renewed efforts to strengthen sustainability of food safety systems for ASEAN will be undertaken during AWP5 in alignment with the ACRF. Efforts will include drafting of outlines for technical regulations for implementation of the ASEAN Food Safety Regulatory Framework Agreement (AFSRF), Final drafting of the MRA for the organic certification scheme and development of the Implementation Plan for the ASEAN Mark for Organic Agriculture. The ASEAN Risk Assessment Centre for Food Safety (ARAC) will be supported through the conduct of a study with recommendations towards institutional sustainability and the preparation of a Strategic Plan for implementation. The Final guideline for ceramics as a food contact material will also be drafted. Significant capacity building efforts will continue with development of materials and implementation of workshops to support the implementation of the MRA for the organic certification scheme and for food safety

The work on pharmaceuticals will focus on continued support for ASEAN Pharmaceutical Regulatory Policy and ASEAN Pharmaceutical Regulatory Framework Agreement with the preparation of their final drafts. A preliminary list of recommended protocols for the agreement to support implementation will also be drafted. Capacity building will include a training course for inspectors.





A key feature of the work in this component will be the continued and enhanced cross-sectoral and cross-pillar engagement in-line with AEC Blueprint MTR key finding 2. This sectoral bodies from agriculture, fisheries, livestock, health and trade for food safety and health and trade for pharmaceuticals.

Component 3

The expected outcome of component 3 is the enhanced harmonisation of the customs, transport and logistics systems in ASEAN.

During AWP5, contributions to this outcome will focus on strengthening the operationalisation, effectiveness and sustainability of the ACTS in Malaysia, Singapore, Thailand, Cambodia, Laos, Vietnam. This will be undertaken through learning outcomes-oriented training on technical, procedural, and reporting aspects of the ACTS and help-desk functions. The successful ACTS Private Sector Partnership Building Strategy will be continued with national level events, regional events and in-depth follow-up workshops with private sector operators.

Functional upgrades to the ACTS will be undertaken, in the form of ACTS Version 3.4.0 and 3.5.0 in response to AMS requirements with acceptance testing and deployment to be led by the AMS. ACTS upgrades will include the development of the Transport Management System and Online Trader Registration System modules, ACTS Dashboards, Collection of additional statistics functionality and upgrade to the newest compatible versions of the System Platform Software. A Roadmap for the ACTS to be sustainable following the completion of ARISE Plus will also be developed. Other efforts will include development of a Strategy paper for inclusion of railways into ACTS and a Feasibility study for movement of dangerous goods.

Support for the ASEAN Framework Agreement on the Facilitation Of Inter-State Transport (AFAFIST) will include the development of a Roadmap for AFAFIST implementation, Procedural Manuals for public and private sector and a Pilot for a two country transit.

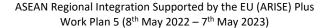
For the ASEAN Framework Agreement on Multi-modal Transport (AFAMT) a Strategy paper and Roadmap for AFAMT implementation will be drafted and Pilot implementations between Vietnam, Thailand and Singapore will be supported.

Both ACTS and AFAMT initiatives will build on existing successes to enhance the cross-sectoral collaboration, involving transport, customs, banking and trade sectors; and private sector engagement including transport operators, banks and insurance companies in line with AEC Blueprint MTR Key Messages 2 and 3. The full implementation of the ACTS will be an important strategy to support ACRF recovery process and to strengthen longer-term resilience of supply chains across all member states through digitalisation, in-line with MTR key message 5.

Component 4

The expected outcome from Component 4 is strengthened results-based management and evidence-based decision making in the ASEAN integration process.

During AWP5 ARISE Plus will continue to mainstream results-based management in all sectors that the project is active in-line with Key Message 1 of the MTR. Results-based management will cover planning (including outcome oriented key performance indicators), results oriented monitoring, results oriented reporting and implementation. Support for effective private sector outreach through events and communications materials will continue.







ARISE Plus will support the ASEAN Integration Monitoring Directorate (AIMD) and the High Level Task Force on Economic Integration (HLTF-EI) in preparations for the Post-2025 Visioning process of the AEC and Blueprint formulation. This will include developing a Concept Note which will outline the approach to obtain inputs from key stakeholder groups in ASEAN and a Strategy Paper which will detail operational steps to facilitate stakeholder input at regional and national level.

If additional budget and timeline is available and subject to the design in the concept note, ARISE Plus can facilitate some of the stakeholder data collection activities specially at regional level and coordinate data collection at national level together with the other ARISE Plus projects. Structured analysis and synthesis will then lead to the development of evidence-based Stakeholder Input Papers that will support the AEC Post-2025 Visioning Process and Blueprint formulation respectively.

The project will also support the design of the End-term (Final) Review of the AEC Blueprint 2025. These tasks would support the most strategically significant decision-making processes of the AEC within the time horizon that ARISE Plus has for implementation. Tasks will be guided by the timeline of the HLTF-EI.

The work on statistics will continue to be an important element of supporting evidence-based decision making. Continued support will be provided to improve the quality and dissemination of SDG indicators (SDGIs) this will include the ASEAN SDG Snapshot publication, an updated ASEAN SDG Indicator with 2019-2020 datasets in the ASEAN SDG Portal and communication products for the ASEAN SDG Snapshot. Support for the System of National Accounts (SNA) will include development of a SNA baseline quality report, Methodological Guide for compiling elements of national accounts (e.g. quarterly GDP) and Revised SNA operational guidelines.

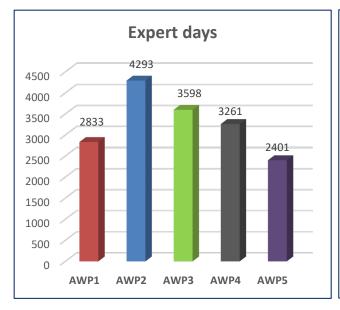
Support to strengthen the quality and reporting on statistics will be provided in the areas of statistics on international trade in services (SITS), foreign direct investment (FDI) and ASEAN Trade in Goods dashboard (IMTS). Utilisation of big data will be enhanced through quality measures, cases studies, training and dissemination including social media.

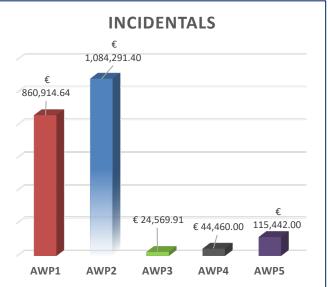
Delivery of Annual Work Plan 5

The data below shows the trends of ARISE PLUS in terms resources, number of activities and workshops. It demonstrates the evolution of the project and the future direction including reduction in the number of activities and increased focus on results-oriented activities and sustainability with an emphasis on capacity building. The infographics below provide actual implementation figures for AWP1, AWP2, AWP3, AWP4 and projected figures for AWP5.

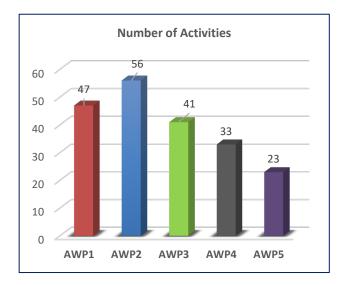


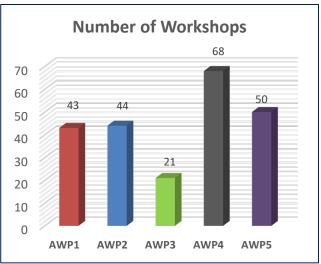






AWP5 is expected to deploy approximately 355 Key expert working days and 2,046 Senior-Non-Key expert working days. A notional provision of 115,442 € for incidentals has been made for translations, key events and production of final outputs.





AWP5 will focus on 23 major activities and the implementation of approximately 50 workshops are envisaged including training, consultations with private sector and other stakeholders as well as cross-pillar and cross-sectoral dialogue in addition to the regular sectoral consultations. The last two months of AWP5 will focus on project reporting, documentation and wrap-up.





PLANNED PROJECT ACTIVITIES



COMPONENT 0 PROGRAMME MANAGEMENT

Planned Resources

	S-NKE	J-NKE	Incidentals
Sub Component 0.1			
Sub Component 0.2	55	83.5	€ 115,442
Sub Component 0.3			
Total	55	83.5	€ 115,442

Sub-Component 0.1 Project Management and Coordination

Activity 0.1.1 Project Management and Coordination

The Team Leader and the Key Experts supported by the Project Officers, will coordinate the implementation of Work Plan 5 activities under the respective components. However, given that this is the final year of the year of the project, activities have been scheduled to be completed by the end of February 2023. The remaining 2 months of the project will be used for project closure, development of success stories and production of the final report by the Key experts and the Monitoring team. It should be noted at this juncture that there is still significant demand for further EU support through the ARISE Plus Project even with the project extension. This is across all components of the project and reflects the unique positioning and strength of EU support to ASEAN's economic integration efforts.

With the experience gained over the last 12 months, the team is well organised and equipped to deal with the lifting of the Covid restrictions and the shift to hybrid working, thereby ensuring all key outputs are delivered. The working methods will remain flexible, and as such resource use will be adjusted to meet the evolving ASEAN and EU calendar. The terms of reference for the short-term experts will be written to reflect this need of flexible working, while respecting the EU procedures. With 23 activities, and over 50 workshops to be delivered the project is looking forward to a busy final year.

Valuable lessons have been learnt during this from the findings from the AEC Blueprint 2025 MTR and incorporating these in ARISE Plus activities during AWP4. These recognise the importance of being results-oriented; encouraging cross-sectoral collaboration; widening dialogue and continuing the consultations to include private sector, research institutes and civil society; focussing on strategic measures that contribute to the goals of the AEC Blueprint 2025 including more effective





use of existing platforms and systems and addressing new developments and trends such as digitalisation, sustainability, gender, inclusiveness and resilience.

In addition to coordinating the activities under Components 1-4, which are defined and detailed in the relevant chapters of this Work Plan, the ARISE Plus Team Leader will promote the project to key stakeholders in the ASEAN and the EU maintain the strong focus on the Private Sector.

Coordination between the ARISE Plus 'Single Market' project and the EU funded National ARISE Plus projects will be maintained and enhanced building on the synergies previously generated.

The planned tasks of the Team Leader also include, but are not limited to:

- Managing the preparation and approval of progress reports and Work Plan 5.
- Consultation and coordination with all relevant stakeholders, including high level officials in the ASEC, ASEAN, EU Delegation and the leads of other dialogue funded projects
- Provide high-level policy / technical / strategic guidance to the project.
- Identify, in cooperation with the ASEC, AMSs, and EUD areas where stronger focus is required to achieve sustainable project results.
- Manage the team of Key and non-Key Experts, including the monitoring of their use of working days and performance given the homebased work.
- Report regularly to the EU Delegation on progress achieved in implementing the project.
- Participate actively in M&E activities, with specific focus on developing and implementing strong results-oriented approach addressing the key messages from the AEC Blueprint 2025 MTR.
- Ensure coordination across all ARISE Plus components, including those implemented at the national level.

Planning & Reporting

The following reports will be prepared and submitted and during the period of ARISE Plus Work Plan 5 implementation:

Period	Reports
8 th May 2022 – 7 th November 2022	Six-monthly Report 10
8 th November 2022 – 7 th May 2023	Six-monthly Report 11 / Final report





Sub-Component 0.2. Demand Driven Facility

Activity 0.2.1 Demand Driven Facility

The budget allocation here represents a small pool of unallocated resources to meet unforeseen demand. With the opening up of countries, the lifting of Covid restrictions and ASEAN organising hybrid and physical meetings, the entire remaining budget provision for incidentals has been included under this activity. This will allow, under the Team Leaders control, the funding of travel and physical events being held over the course of the Work Plan.

From a project implementation perspective, the working days under this facility will only be used to enhance the sustainability of current activities. Given this focus, priority will be given to ongoing activities where the mobilisation of the additional resources will increase the likelihood of sustainable and outcome-oriented results. The criteria for the use of resources for activities projects will be developed as part of M/E work during Q1 of the work programme.

The commitment of the resources will be made in conjunction with the ASEC and the Coordinating Committees / sectoral bodies who are direct beneficiaries of the ARISE Plus.

Sub-Component 0.3. Visibility

Activity 0.3.1 Visibility

Significant progress was made during the last Work Plan in improving the content and the visibility of project.

This will be continued during AWP5 with communications extended through various media sources and systematic mailing of flash reports and articles to the website. The TL in conjunction with the project officers and the experts from M&E sub-component will generate various evidence, analysis, success stories and knowledge products. Over 50 communication and visibility products are expected including event specific materials, outreach products for the private sector and end of project multimedia materials. The Team will also work closely with EUD's visibility contractor sharing photos, flash reports, articles and the calendar of events.







COMPONENT 1.0: TRADE FACILITATION and TRANSPARENCY

Component 1 on Trade Facilitation and Regulatory Transparency is organized on the basis of two remaining Sub-Components of Activity, in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held from AWP1 to AWP4, and for purposes of the AWP5, with the relevant sectoral bodies (*i.e.*, SEOM, ATF-JCC, CCA, Cambodia's 2022 ASEAN Chair, ASEC, etc.), and leverage on the combined resources of each cluster of Activities for purposes of achieving the overall trade facilitation and regulatory transparency results mandated under ARISE Plus.

Outcome

Enhancing trade-related regulatory transparency in ASEAN and providing information on Non-tariff measures to the ASEAN's private sector to better exploit the ATIGA's commitments and expanding intra-ASEAN trade.

Planned Resources

Component 1.0	S-NKE	J-NKE
Sub Component 1.1	165	120
Sub Component 1.2	158	
Total	323	120

Sub-Component 1.1: ATIGA Implementation with Focus on Transparency and NTMs

This Sub-Component focuses on the key remaining activity among the ones whose implementation started during AWP1 and was then carried on during AWP2 and reorganized in AWP3 and AWP4. These were Activity 1.1.1 on "Supporting the Effective Implementation of the ATIGA (ATR and NTRs)", Activity 1.1.2 on "Identifying, Classifying and Notifying NTMs", and Activity 1.1.3 on "Supporting the Coordinating Committee for the Implementation of the ATIGA". Activities 1.1.1 and 1.1.2 were merged in AWP2 into a single activity, renamed "Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs". This activity needs to continue being implemented in order to fully achieve the intended results and guarantee long-term sustainability of the ATR and of its provision of trade-related regulatory transparency. Activity 1.1.3 on "Supporting the Coordinating Committee for the Implementation of the ATIGA" has been discontinued, in light of ASEAN's decision to shift the





responsibility for the operationalisation of the ATR from CCA to the ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC). Given that systematic ARISE Plus reporting to the ATF-JCC takes place under Sub-Component 1.2, reporting on the progress towards operationalisation of the ATR shall continue under that sub-component, thereby avoiding duplication.

The key objective of Sub-Component 1.1 remains the effective implementation of the ATIGA, particularly its commitments on trade-related regulatory transparency and the operationalization of the ASEAN Trade Repository (ATR) and the related network of AMSs' National Trade Repositories (NTRs). A critical element of this transparency effort continues to be the identification, classification and upload on the NTRs/ATR of the AMSs' non-tariff measures (NTMs), as well as the other categories of trade-related regulatory information, notably the AMSs' tariff nomenclatures (Category 1 of the ATR), their MFN tariffs, as well as the preferential tariffs offered under the ATIGA and other ASEAN agreements with its Dialogue Partners (Category 2 of the ATR), and their respective rules of origin (Category 3 of the ATR). This is mandated under Articles 11, 13 and 40 of the ATIGA, as well as by the AEC Blueprint (AECB) 2025 and the ASEAN 'NTMs Guidelines', which collectively look poised to enhance trade facilitation within the region and allow for progressive NTMs' streamlining, where need be, and for the NTMs that amount to non-tariff barriers (NTBs) to be removed.

The continuation of this Sub-Component is fully in line with the programme of progressive ATR operationalisation agreed by the ATF-JCC, particularly with respect to the NTMs category. The focus on the first 4 ATR categories of trade-related regulatory transparency has been endorsed by AMSs in order to ensure that all AMSs complete the same number of information within the timeframe of implementation of ARISE Plus. Of critical importance is the aspect of increased visibility, awareness creation and outreach to the private sector on the availability and benefits of the ATR, as well as the focus on its long-term sustainability, in order to leave ASEAN with a transparency mechanism that shall continue to facilitate trade within the region beyond the lifespan of the Project. This, in turn, shall contribute to the implementation of the post-Covid ASEAN recovery framework, and support ASEAN's drive to streamline NTMs, address NTBs, and foster regional economic integration.

Outcome

Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs

Rationale:

Enhanced trade-related regulatory transparency is critical for the effective implementation of the ATIGA and is one of the key objectives of the AEC Blueprint 2025 and of the ASEAN 'NTMs Guidelines'. There is no better catalyst for effective regional economic integration, intra-ASEAN trade facilitation, increased legal certainty, and commercial predictability than trade-related regulatory transparency. The ATIGA requires that an ASEAN Trade Repository (ATR) be established and fed trade-related information from a network of National Trade Repositories (NTRs) located in





the AMSs. Considerable work has been done to operationalize the ATR, but no AMS has yet completed the process of identification, classification, 'packaging', validation and orderly upload of all its trade-related information on the ATR. Some AMSs are nearing completion, particularly of the first 4 categories of ATR transparency, which ASEAN has agreed to complete during the implementation of the ARISE Plus Project, while others are still trailing behind, facing interinstitutional complexities, capacity constraints, language barriers and a hard task in light of the amount of NTMs and other trade-related laws, regulations and procedures in their respective legal systems.

To get a sense of the scale of the results achieved and of the challenges still lying ahead, at the start of ARISE Plus the ATR contained no more than 163 NTMs, all improperly classified/packaged and of poor quality. At the end of AWP1, this number had risen to about 1,200, none of which had been validated by AMSs. By the end of AWP2, this number stood at roughly 3,000 NTMs, of which 1,877 had been validated by AMSs and are properly classified/packaged and of high quality. By the end of AWP4, the ATR encompasses a target of 6,500 NTMs for the first 4 categories of mandated transparency, of which 5,054 have been properly classified/packaged, validated by AMSs, and already uploaded on the ATR. It is expected that, when fully operationalized in all its 9 categories of mandated transparency, the ATR will contain between 8,000 and 10,000 measures. The exact number and scale of the undertaking are difficult to predict as AMSs constantly legislate and regulate, with new measures being adopted, existing ones being modified, and others being repealed.

ARISE Plus continues to support the effective implementation of the ATIGA through improved transparency, by assisting AMSs to advance the development of the ATR and the NTRs in all AMSs, improving the quantity and quality of the information uploaded on those electronic platforms, in line with the ATIGA Article 13 requirements and the UNCTAD 2012 NTMs classification structure. This will encourage the use of more uniform content, and ensure regular maintenance and long-term sustainability. Additional support to CLMV will continue to be provided, as required. This additional CLMV effort by ARISE Plus is evidenced by the fact that CLMV are so among the best performing and more advanced AMSs in terms of NTMs uploads on the ATR. With respect to CLMV, ARISE Plus has also increased the amount of coordination with the ARISE Plus National Programmes, seeking synergies while avoiding overlaps and duplication. The same efforts are being made vis-àvis other development partners and their support, especially in relation to NTMs.

The focus of the support on NTMs between AWP1 and AWP4 has been on the AMSs' categories of SPS (Sanitary and Phytosanitary) and TBT (Technical Barriers to Trade) trade-related regulation. The focus has now shifted to the other NTMs sub-categories, as well as the categories of tariff nomenclature, preferential tariffs and rules of origin. During AWP4, several outreach events were held in most AMSs, together with outreach and training events on the use of ASSIST, with the aim of socialising the ATR among ASEAN's private sector.

During AWP5, greater focus shall be placed on long-term sustainability, working with AMSs to ensure that ARISE Plus' legacy support of the ATR outlives the Project and facilitates intra-ASEAN trade for years to come. The programme of ARISE Plus support towards the full operationalisation of the ATR remains ambitious and, while it will not be fully completed by the end of AWP5, the most complex categories of trade-related regulatory transparency look poised to be finalised. As always, the implementation of this activity shall factor-in AMSs' needs, other development partners' initiatives, and the ability of ARISE Plus Regional to co-ordinate with other EU technical assistance projects in the ASEAN region.





Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs		
Main Beneficiaries	ASEC's TFD, SEOM, ATFJCC, ATR/NTR Focal Points in the eligible AMSs, and ASEAN's Private Sector		
Planned Tasks	 Deploy international trade experts (SNKEs) to engage with the authorities of each eligible AMS in charge of the respective NTRs and of the web-linkages to the ATR. The objective of the missions is to gather quality information, particularly in relation to all outstanding ATR categories 1 to 4, as mandated under Article 13 of the ATIGA, properly 'package' the information, have it 'validated' by AMSs, upload it on the ATR, synchronize it on the respective NTRs (where available), and continue to provide 'on the job' training to AMSs' Government officials, so as to ensure the medium/long-term sustainability of the ATR. Conduct a Diagnostic Study on the ATR/NTR Sustainability, including an ATR website update, to review all the information uploaded onto the ATR by each AMS since AWP1, in order to identify problems, gaps, inaccuracies, IT glitches (e.g., broken weblinks) and other shortcomings, as well as the needs and feasibility of a website update, so as to leave a well-functioning ATR (for Categories 1 to 4 thereof) by the end of the ARISE Plus Project. Hold, on-demand by AMSs, further outreach and awareness creation events (virtually or in hybrid format) to socialise the ATR with national private sector constituencies (i.e., chambers of commerce, business councils, trade associations and/or business federations) that are interested in intra-ASEAN regional trade. These events shall ideally take place together with ASSIST outreach initiatives and in cooperation with ARISE Plus National Programmes. 		
Deliverables		Time of delivery	
	 Report indicating, for each AMS, the number of NTMs and trade-related information entries (<i>i.e.</i>, weblinks) uploaded on the ATR at the end of AWP5. Each report shall also contain the individual mission and country reports of the individual NKEs that provided the technical assistance in each AMS during AWP5; Report(s) on the outreach and/or specialised training and capacity building events conducted in selected AMSs, if any and on demand by the individual AMSs, targeting small groups of beneficiaries from line agencies (<i>e.g.</i>, Customs, TBT, etc.) and implemented to the benefit of the private sector; and Report on the Diagnostic Study on the ATR/NTR 	Q4 Q1-Q4 Q4	
	Sustainability, including the possible ATR website update, conducted on all the information uploaded on the ATR by each AMS.	Q4	
Expected Results	 The categories and sub-categories of the ATR that need to be populated for each AMS (i.e., Categories 1 to 4 of the ATR) and the related number of information weblinks are quantitatively increased and qualitatively improved, both on the ATR and on the respective AMSs' NTRs (vis-à-vis the level of ATR development reached at the end of AWP4 and 	Q4	





Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs		
	through the 'Diagnostic Study', so as to enhance sustainability); and • Greater awareness and utilisation of the ATR by ASEAN's private sector is achieved, as measured by the number of visits to the ATR and the outreach events held.		
Resources (inputs)	Category		
	Experts	Work Days	
	SNKE	165	
	JNKE	120	

Sub-Component 1.2: Trade Facilitation and Private Sector Engagement

This Sub-Component encompasses Activity 1.2.1 on the "Full Operationalisation and Management of ASSIST" and Activity 1.2.3 on "Strengthening Public-Private Cooperation"). The Activities aimed at "Assisting ASEC and AMSs in TF-Related Policies and Dialogue" and "Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation" were discontinued in AWP2, in coordination with the ASEC, SEOM and ATF-JCC, in light of the lack of demand from the Beneficiary and the parallel scope and implementation of the e-READI project, while the Activity "Supporting the ASEAN Trade Facilitation Joint Consultative Committee" has been subsumed within the tasks of the Key Experts 1 and 2, in order to focus the available resources. However, systematic ARISE Plus reporting to ATF-JCC shall continue and cover both Sub-Component 1.1 and Sub-Component 1.2.

The key objective of this cluster of activities remains the enhancement of trade facilitation within the ASEAN region, through the support and coordination of private sector engagement, primarily thought ABAC, the Joint Business Councils (e.g., EUABC) and the ASEC, in line with the objectives in the ATIGA, the AEC Blueprint 2025 and the ASEAN Trade Facilitation Framework (ATFF). The broader context of this cluster of activities is ASEAN trade facilitation, which will be driven by ARISE Plus mainly through the full operationalization, socialisation and proper management of the system of ASEAN Solutions for Investments, Services and Trade (ASSIST) for Trade in Goods and Trade in Services, and the involvement of ASEAN's private sector in a number of Roundtable Discussions on Trade Facilitation in relation to trade in goods. Further efforts will be made to further operationalise and build greater awareness among ASEAN's private sector on ASSIST, as the preeminent tool of public-private cooperation and trade facilitation.

A stronger interface between ASEAN institutions and the private sector is foreseen within the context of the efforts being made by ASEAN to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), to enhance public-private non-binding consultations on NTMs/NTBs (ASSIST),. The increased use of these tools by ASEAN's private sector appears critical to foster trade facilitation practices, contribute to the implementation of the post-Covid ASEAN recovery framework, and support ASEAN's drive to streamline NTMs, address NTBs, and increase intra-ASEAN trade and regional economic integration, particularly to the benefit of micro- and small/medium-sized enterprises (MSMEs), as well as women-owned businesses.





Outcome

Private sector engagement, including among MSMEs and women-owned enterprises, is enhanced through increased participation in the activities of the ATF-JCC, particularly in the areas of trade facilitation, as well as by further operationalising the ASSIST digital facility.

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Rationale:

ASSIST is one of the key trade facilitation tools adopted by ASEAN to implement the ATIGA and allow the private sector (i.e., ASEAN-based enterprises, business councils, chambers of commerce, trade associations and business federations) to directly and autonomously engage with the AMSs with respect to the regional integration agenda and to address specific NTMs, NTBs and/or other operational issues affecting intra-ASEAN cross-border trade.

To date, a total of only 29 cases have been triggered through ASSIST, which appears a low figure compared to its potential trade facilitative role. Greater efforts to build awareness on ASSIST within the ASEAN private sector are starting to bear fruits (i.e., 25 cases were filed in the last 3 years) and need to be furthered in order to guarantee effectiveness and long-term sustainability to the tool. In particular, more needs to be done to increase the awareness of the private sector within the region, primarily by means of holding dedicated trainings/seminars/workshops to the benefit of the private sector in a number of remaining AMSs, socializing the website, the operating manual, the tutorials and the 'train-the-trainers' toolkits that have been translated into all ASEAN languages. Particular emphasis should continue to be placed at catering to the needs of MSMEs and womenowned enterprises or associations (e.g., the ASEAN Women Entrepreneurs Network, AWEN).

Therefore, during the AWP5, ARISE Plus aims at further supporting the full operationalization, socialization and management of ASSIST (for Trade in Goods and Services), particularly by holding a series of outreach events to the benefit of the private sector in a number of AMS where they have not yet been held and, if resources allow, 'on demand'. This should provide ASEAN with an improved and more effective tool for trade facilitation and private sector engagement, in line with the ATIGA, the AEC Blueprint 2025 and the 'NTMs Guidelines'. A Diagnostic Study shall also be conducted, including a technical review of the ASSIST website, in order to address and enhance sustainability of this digital trade facilitation solution.

Activity 1.2.1	Full Operationalisation, Socialization and Management of ASSIST for Trade in Goods and Trade in Services		
Main Beneficiaries	SEOM, ATF-JCC, CCA, CCS, AMSs' ASSIST Focal Points, ASEC's TFD and SID, and ASEAN's Private Sector		





Activity 1.2.1	Full Operationalisation, Socialization and Management of ASSIST for Trade in Goods and Trade in Services		
Planned Tasks	 Hold further awareness creation events and outreach initiatives (virtually or in hybrid format), among the targeted AMSs' private sector organizations, on ASSIST for Trade in Goods and Trade in Services, in cooperation with the respective AMSs' ASSIST Focal Points and their private sector stakeholders (i.e., ABAC; the national or regional chambers of commerce, trade associations, business councils, and business federations; MSMEs and womenentrepreneurs' associations or networks; law societies; academia; media, etc.); Provide training, through Train-the-Trainers Workshops, covering the ASSIST website pages, the operating manual, the 'train-the trainers' toolkits, and the tutorials; Conduct a Diagnostic Study on the Sustainability of ASSIST, including on the ASSIST website technical review and possible update, in order to identify problems, functional shortcomings, IT glitches and/or other areas of possible improvement, so as to leave a well-functioning ASSIST by the end of the ARISE Plus Project; and Assist, as necessary and on demand, the ASEC's TFD and SID, as well as individual AMSs' ASSIST Focal Points, if so requested, to manage ASSIST cases. 		
Deliverables	Time of delivery		
	 Reports on the awareness creation events and outreach initiatives on ASSIST, held in cooperation with relevant private sector stakeholders in the targeted AMSs. Training materials developed and used to conduct training events in relation to the use of the ASSIST website, the ASSIST operating manual, the 'toolkits' and tutorials. Report on the Diagnostic Study conducted on the ASSIST Sustainability, including on the possible ASSIST website update. 		Q1 – Q4 Q1 – Q4 Q4
Expected Results	 ASSIST for Trade in Goods and ASSIST for Trade in Services are better socialised and used by the ASEAN private sector and properly managed by the ASEC and the AMSs; and Private sector engagement (including among MSMEs and women entrepreneurs) and ASSIST awareness increase, both in terms of the quantity of cases lodged by ASEAN enterprises or their representative entities and of the quality of the solutions provided by AMSs, through the further operationalization of ASSIST. 		
Resources (inputs)	Expert days		
	Experts Work Days		ys
	JNKE	73	





Activity 1.2.3 Strengthening Public-Private Cooperation

Rationale:

The role of the private sector in ASEAN integration is important as a key stakeholder in the process. The AEC Blueprint 2025 recognises that greater involvement of the private sector and more structured participation will be beneficial to the achievement of all ASEAN goals. Private sector inputs and partnerships are essential not only in designing regional strategies and initiatives, but also in identifying impediments towards deeper regional economic integration.

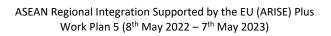
Currently, the private sector engages with relevant ASEAN Sectoral Bodies as they requested. The AEC Blueprint 2025 calls for the redoubling of efforts to engage the business sector, particularly MSMEs, to provide easier access to official information on implementation, and obtain timely feedback on policies and trade-related measures. A stronger interface between ASEAN institutions and the private sector should be maintained and strengthened to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), streamline NTMs and remove NTBs (ASSIST), as well as keep maintaining the private sector's consultations with the relevant Sectoral Bodies (*e-Platform for Consultations with the Private Sector*).

The ABAC, as the primary private sector apex body channelling the positions and needs of nine ASEAN+1 business councils and of 66 business entities, is the institutionalised representative of the private sector before the ATF-JCC, SEOM and the other relevant ASEAN sectoral bodies. Its engagement needs to be continued to ensure that it can convey the recommendations, views, and contributions of the ASEAN private sector to relevant sectoral bodies to further discuss and find ways to further address bottlenecks and simplify customs and trade procedures with a view to facilitating intra-ASEAN trade. Besides the institutionalized engagement of the private sector with the ATF-JCC, TFWG, ACCEC, ACCSQ, CCA, ASWSC, CCC and other relevant sectoral bodies through the newly established *e-Platform for Consultations with the Private Sector*, there is a need to maintain the consultations between the ABAC and the other business councils and women entrepreneurs (*i.e.*, AWEN).

The objective of this Activity is to strengthen the dialogue between the private sector and relevant sectoral bodies related to the implementation of trade facilitation commitments under relevant ASEAN economic agreements. In particular, during the AWP5, ARISE Plus will continue to hold its flagship Roundtable Discussions on Trade Facilitation (RDonTF), either virtually or in hybrid format, and provide the related key takeaways to the benefit of relevant sectoral bodies and the private sector.

The implementation of this activity has a horizontal nature across the three Components of ARISE Plus and shall also benefit the support and overall coordination by Key Expert 1 (Team Leader) through Activity 0.2.

Activity 1.2.3	Strengthening Public-Private Cooperation
Main Beneficiaries	ABAC, EU-ABC, ATFJCC, relevant sectoral bodies, and ASEC
Planned Tasks	 Hold dedicated RDonTFs, virtually and/or through hybrid or physical events, on key topics of trade facilitation in order to support the consultations between relevant sectoral bodies and the private sector; and Support and coordinate the relevant sectoral bodies, ABAC and EUABC, as well as with ASEC, to report on the key takeaways from the RDonTF.







Activity 1.2.3	Strengthening Public-Private Cooperation			
Deliverables			Time of delivery	
	Regular Progress Reports provided to the relevant sectoral bodies and private sector; and		Q1 – Q4	
	Reports with the concepts and key takeaways of the RDonTF held on trade facilitation issues.		Q1 – Q2	
Expected Results	Trade facilitation in the region is enhanced through the discussions and key takeaways from the RDonTF.		Q4	
Resources (inputs)	sources (inputs) Expert days			
	Experts	Work Da	ys	
	SNKE	85		
	JNKE			







COMPONENT 2.0 STANDARDS AND CONFORMITY ASSESSMENT IN PARTICULAR HEALTHCARE AND AGRO-BASED PRODUCT

This component focusses on supporting ASEAN to advance market integration by assisting the ASEAN Committee on Standards and Quality (ACCSQ) as the body responsible for overseeing work on standards and conformity assessment and simultaneously assisting its working groups and other ASEAN bodies under the Health and Agriculture Ministers. The assistance provided to support the horizontal initiatives on standards and conformity assessment is supplemented by specific and comprehensive assistance the Agro-based goods and pharmaceuticals sectors. The Component comprises of 4 Sub-Components, each with a set of activities that reflect Technical Assistance mandated under the terms of reference of ARISE Plus.

The counterparts for Component 2 are ASEAN bodies within the Market Integration, Human Development and Sectoral Development Directorates of the ASEAN Secretariat. The specific bodies that are engaged in the activities include the ASEAN Consultative Committee for Standards and Quality (ACCSQ), ACCSQ Working Groups 1 & 2, the Pharmaceutical Product Working Group (PPWG), the Prepared Foodstuff Product Working Group (PFPWG); and Heath Cluster 3 (Strengthening health system and access to care) Health Cluster 4 (Ensuring food safety).

Outcome

Harmonisation of regulatory regimes in food safety and pharmaceuticals in ASEAN

Planned Resources

Component 2.0	S-NKE	J-NKE
Sub Component 2.1	66	
Sub Component 2.3	186	
Sub Component 2.4	86	
Total	338	





<u>Sub-component 2.1 Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance</u>

ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance (ASPSC), developed with the assistance of ARISE in 2016, continues to provide direction for ACCSQ and its working groups in setting its the targets.

ARISE Plus has provided support to the ACCSQ for the development of the key policies and guidance documents on standards, conformity assessment, accreditation and technical regulations and to the dissemination and implementation of these policies and guidelines and supporting implementation at national level.

Further progress in regional economic integration is dependent on the implementation of the policies, guidance documents, and recognition arrangements by Member States. The activities of the Working Groups that focus on the implementation of mutual recognition arrangements, harmonisation of regulatory regimes and other initiatives relating to harmonisation of standards and on accreditation of conformity assessment effectively build upon the policy and guidance documents that have been previously developed. Future interventions are planned to be on a n on demand basis and will include the updating of the policy guidelines developed for standards, conformity assessment, accreditation and technical regulations. Specific plans are in place for updating the guidance on the harmonisation of standards in the plan.

Activity 2.1.1 Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance

Rationale:

ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance (ASPSC), developed with the assistance of ARISE in 2016, provided direction for ACCSQ and its working groups. ACCSQ has established key performance indicators (KPIs) for the 6 strategic thrusts identified in the Plan and these strategies have been referenced by the working groups to develop their respective action plans and expected outcomes.

Key stakeholders are ACCSQ Members, ACCSQ Working Groups (WGs) and Product Working Groups (PWGs), Desk Officers in ASEAN Secretariat responsible for ACCSQ, ACCSQ Working Groups and Product Working Groups.

Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance
Main Beneficiaries	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Planned Tasks	Supporting ACCSQ in developing post 2025 strategic plans.
	Time of delivery





Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance		
Deliverables	 Discussion papers, and recommendate that ACCSQ may address in the papers. Workshop(s) and associated arraplans and recommendations. 	oost 2025 strategic	Q1
Expected Results	Compilation of results of discussions and recommendations for ACCSQ to establish post 2025 strategic plans.		
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE	20	
	JNKE		

Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

Activity 2.1.3	Strengthening Conformity Assessment and Accreditation		
Main Beneficiaries engaged	National Accreditation Bodies and National Accreditation Focal Points in Member States, Working Group 2.		
Planned Tasks	 Continue support for the development of the "Guidelines for Implementation of Harmonised Accreditation in ASEAN" is being provided to WG 2. These guidelines are to be published as a new document to support the implementation of the existing ASEAN Guidelines on Accreditation and Conformity Assessment. ARISE Plus to continue support to Cambodia in the development of its national accreditation law. The work to be undertaken will include, discussions with officials and finalising the development of the Law. 		
Deliverables		Time of delivery	
	The final draft of the "Guidelines for Implementation of Harmonised Accreditation in ASEAN" developed.	Q3	
	A recommended draft for the proposed law developed and Cambodian stakeholders provided with the rationale, information and explanations on the law.	Q3	





Activity 2.1.3	Strengthening Conformity Assessment and Accreditation
Expected Results	 ASEAN adopt and implements the "Guidelines for Implementation of Harmonised Accreditation in ASEAN".
	 Cambodia initiates the formal process for adoption of the Accreditation Law.

Resources	Category		_
(inputs)	Experts	Work Days	
	SNKE	46	
	JNKE		

<u>Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety</u> in Agro-based Sector

This sub-component includes activities for the ASEAN bodies under the Agriculture, Health and Trade Ministers. In these sectors, the seven activities provide support to the relevant ASEAN Working groups, Considerable progress has been made under a number of activities in the sectors during the ARISE Plus project, most notably the development of the ASEAN Food Safety Regulatory Framework (AFSRF) Agreement is completed and the ASEAN Secretariat is awaiting formal notification for signing by Ministers.

For the PFPWG, work on the development of the implementing procedures for MRA on PF has been completed and the complete set of procedures have been adopted by the Joint Sector Committee. Training for ASEAN Experts who will be evaluating applications to be recognised under the MRA is ongoing with the first of the 2 modules having been completed. The ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS) has made good progress in the harmonisation of food additives, food contaminants, and food contact materials.

For the Agriculture sector support provided to the Experts Group on organic agriculture has resulted the third draft of the MRA for organic agriculture certification and the associated harmonised ASEAN Certification Scheme for Organic Agriculture, while work for enhancement of the operations of the ASEAN Risk Assessment Centre (ARAC) for Food Safety and support for the implementation of ARASFF is in progress.

Under this work plan ARISE Plus will build on the previous successes and

- Continue to provide support to the Task Force for AFSRF for the preparations for adoption and implementation of the AFSRF Agreement (Support to the Agriculture, Economic and Health sector) on an on-demand basis.
- Continue to provide support the implementation of the MRA on PF through training of evaluators (approved experts) to enable the implementation of the MRA on a pilot basis; (Support to PFPWG)
- Support the ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS.





- Support the finalisation of the MRA on Organic Agriculture Certification; (Support to EWG-OA)
- Enhance the Institutional effectiveness of ARAC for long term sustainability, and build the
 international recognition through conduct of studies for production of technical reports and
 new risk assessments (Support to ASEAN Health Cluster4)
- Continue supporting the development of the ASEAN Network for Food safety Training and the associated training courses (Support to the ASEAN Health Cluster 4 and Agriculture.

Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety <u>Rationale:</u>

The ASEAN Food Safety Regulatory Framework (AFSRF) Agreement legal text has been finalised. It is now awaiting the signing of the Agreement. The Agreement, upon adoption and implementation, will facilitate improved co-ordination among the different bodies involved in food safety in ASEAN and launch a process to the realisation of a harmonised and comprehensive regulatory regime for food safety in ASEAN. The AFSRF Agreement requires that elements of the food safety that are regulated will be defined in dedicated legal instruments (referred to as protocols in the AFSRF Agreement).

While awaiting the signing of the Agreement and its entry into force, The ASEAN Senior Officials have decided to extend the term of the Task Force that was established to develop the Agreement. ASEAN Secretariat is in the midst of consultation with the task force to determine its plans and support needed for the immediate future. ARISE Plus has thus planned to maintain support to the Task Force for the AFSRF on a on demand basis. The assistance that could be provided would include preliminary work on the development of protocols for the elements of food safety that are recommended by the Task Force. Support may additionally be provided for the functioning of the ASEAN Food Safety Coordinating Committee that will commence its formal operations upon the entry into force of the AFSRF Agreement.

Activity 2.3.1	Developing and implementing the ASEAN Food Safety Regulatory Framework	
Main Beneficiaries	Ministerial Bodies and Senior Officials Meetings in the Health, Economic and Agriculture, Task force on the Development of the AFSRF Agreement, PFPWG, Health Cluster 4, ASEAN Sectoral Working Groups on crops, fisheries and livestock.	
Planned Tasks	Tasks will be determined through an on-demand basis. (The development of the AFSRF Agreement and this is now concluded in awaiting formal adoption. The Task force will determine next steps implementation after signing of the Agreement.)	
Deliverables		Time of delivery
	N/A	
Expected Results	N/A	





Activity 2.3.1	Developing and implementing the ASEAN Food Safety Regulatory Framework		
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE		
	JNKE		

Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

Rationale:

The Prepared Foodstuffs Product Working Group (PFPWG) developed the Mutual Recognition Arrangement of inspection and certification systems on food hygiene for prepared foodstuffs in 2016. Recent assistance has been provided to develop implementing procedures and has assisted in the development and adoption of a full set of operating procedures. The implementation requires the assessment of applicant Member State's Food Hygiene inspection and certification systems to be evaluated by a panel of experts. ARISE Plus current plans are to support in the training and development of the panel of experts so as to enable the assessments to proceed in a competent and consistent manner and the pilot implementation of the MRA.

Additionally, support will continue to be provided directly to Member States to prepare for the implementation of the MRA on PF. Such support includes assistance to conduct Gap Analysis on Member States food safety systems and will include recommendations on changes required.

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs		
Main Beneficiaries	Prepared Foodstuffs Product Working Group (PFPWG), Joint Sectoral Committee on MRA on PF, and Members State's food safety regulators.		
Planned Tasks	 Providing support to training of ASEAN Experts in the implementation of the MRA – developing revisions to the operating procedures and conducting briefings/discussion sessions. Supporting AMS in preparing for participation in the MRA. 		
Deliverables		Time of delivery	
	 Updated Procedures for implementation of the MRA. Discussion sessions for JSC MRA and ASEAN Experts. Support to AMS in preparation of submissions for participation in the MRA. 	Q1 – Q3	
Expected Result	ASEAN Experts and JSC MRA are better prepared to implement the MRA.		





Activity 2.3.2	Implementing the MRA on I Food Hygiene for Prepared Fo		n Systems of
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE	10	
	JNKE		

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

The ASEAN Risk Assessment Centre for Food Safety was established with ARISE support to the ASEAN Experts Group on Food Safety (AEGFS) under the authority of the AMSs' Health Ministers in August 2014. As ASEAN accelerates its harmonisation of food standards and food safety measures, there is a need for risk assessments to be undertaken to provide data and results to risk managers, to ASEAN Bodies undertaking harmonisation, and to Member States.

ARAC is a key element in the ASEAN Food safety Regulatory Framework. The analysis that it conducts will provide a basis for the development off harmonised standards and food safety measures undertaken to mitigate risks. The potential role of ARAC is vast as ASEAN seeks to harmonise its food safety standards and measures. ARAC has conducted a risk assessment on a pilot scale and review the current status conducted with the support of ARISE. The governing body of ARAC, the ASEAN Health Cluster 4 recognised that there is a need to review the governance for long term sustainability, the defined scope of work and the operating procedures. ARISE Plus has indicated that it will support AHC 4 in these efforts.

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food	d Safety
Main Beneficiaries	ARAC, Health Cluster 4, Food Safety Regulators in ASEAN Me	mber States
Planned Tasks	 Conduct an investigation and develop proposals for mechanisms enabling long term sustainability of ARAC with the objective of ensuring the centre adequately supports food safety initiatives in ASEAN. Supplement the work undertaken on the development of the risk profile for leafy vegetables by elaborating and explaining the findings and recommendations at a seminar. 	
Deliverables		Time of delivery
	 A report containing proposals for mechanisms enabling long term sustainability of ARAC with the objective of 	Q1





Activity 2.3.3	Supporting the ASEAN Risk Asses	sment Centre for Food	Safety
	 ensuring the centre adequately s initiatives in ASEAN. A seminar conducted for AHC 4 e and recommendations on the ris vegetables. 	explaining the findings	Q2
Expected Result	 AHC4 and ARAC are provided with ARAC. AHC 4 are provided with recomm of pesticides used in leafy vegeta 	nendations on ensuring sa	·
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE	40	
	JNKE		

Activity 2.3.6 Strengthening ASEAN Co-operation on Organic Agriculture

Rationale:

The availability of standards and credible certification services facilitates and supports the adoption of organic practices by producers. Organic Certification enables producers to provide confidence to consumers on the organic status of their products. ASEAN Member States initiated regional cooperation for Organic Agriculture with the development of the ASEAN Standard for Organic Agriculture (ASOA). This standard endorsed by for the ASEAN Ministers Agriculture and Forestry (-AMAF) in 2014.

The ASEAN Standard is voluntary and the Expert Working Group on Organic Agriculture (EWG-OA) has recommended that Member States adopt this Standard or align their national standards with the ASOA. Most of the ASEAN Member States have since aligned their National standards to ASOA. The EWG-OA has additionally developed the "ASEAN Guide for Organic Certification". This document was endorsed by the 40th SOM-AMAF meeting in 2018. The EWG-OA has made significant progress in developing a mutual recognition arrangement for certified organic agricultural products.

The interventions that are planned for the work plan will continue from the previous plan to complete the finalisation of The ASEAN Sectoral mutual recognition arrangement (MRA-OA), by supporting negotiations and discussions to resolve the remaining differences.





Activity 2.3.6	Strengthening ASEAN co-operation	on on organic agricult	ure
Main Beneficiaries	Expert Working Group on Organic Agriculture (EWG-OA), ASEAN regulatory agencies responsible for organic agriculture, the ASEAN Sectoral Working Group on Crops.		
Planned Tasks	 Continue supporting the EWG-OA in developing the MRA on Organic Agriculture together with the ASEAN Scheme for Organic Agriculture Certification through workshops and drafting of documents. 		
	 Support to EWG OA in preparation including the development of the 	·	
Deliverables			Time of delivery
	Final draft of the MRA on Organic Ag Organic Agriculture Certification Sch		Q3
Expected Result	ASEAN Member States establish an agreement to enable mutual recognition of organic agriculture certification.		
Resources	Category		
(inputs)	Experts	Work Days	_
	JNKE	11	

Activity 2.3.7 Training in Food Safety

Rationale:

The capacity and capability of the food safety authorities to conduct their activities in a competent, effective and responsible manner is a critical factor for the success of food safety strategies. Insufficient capacity and inadequate capability lead to sub-standard enforcement of requirements and compromises public health and consumer protection Th training will simultaneously support the actual implementation of harmonised standards at Member States level and the development of a coherent and sustainable food safety system in ASEAN.

A long-term view that assures sustainability has been undertaken in implementing this activity. It is aimed at establishing a coordination mechanism that will enable ASEAN Member States to share resources by linking training providers and potential beneficiaries. As the training is targeted at ASEAN Working Groups and Bodies, on food safety, ARISE Plus will coordinate the activities in consultation with the ASEAN Bodies. The specific training courses that have been identified based on inputs from and consultation with AMS and the relevant ASEAN Bodies will continue to be implemented on a pilot basis. Training modules developed for the training will be compiled and shared with ASEAN secretariat and other regional food safety training institutes to be utilised to conduct future training both at national and regional level.





Activity 2.3.7	Training in Food Safety		
Main Beneficiaries	Food Safety Regulatory Agencies in ASEAN Member States, ASEAN Food Safety Training Institutions, ASEAN Working Groups on food safety and ASEAN Consumers.		
Planned Tasks	 Develop and provide training to ASEAN Food Safety Officials on Risk Based Inspections. Develop and provide training to ASEAN Food Safety Officials on 2 additional training courses on topics to be identified in consultation with ASEAN counterparts. 		
Deliverables			Time of delivery
	Three training courses delivered to a ASEAN Food Safety Officials.	t least a total of 120	Q3 – Q4
Expected Result	Training provided to an estimate 120 ASEAN officials on food safety to support implementation of harmonised measures by ASEAN Officials.		
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE	100	
	JNKE		

Activity 2.3.9 Harmonised Requirements for Food Contact Materials

Rationale:

Food Contact Materials (FCMs) have an important role in food supply chain by protecting food against contamination during storage and transportation. However, they have potential to transfer a number of harmful chemicals into food. Therefore, an effective control system for FCMs is critical to ensure the safety of food products throughout their shelf-life.

With the support of ARISE Plus, the ASEAN General Guidelines on FCMs were developed and subsequently agreed by the 26th Meeting of PFPWG and endorsed by the 49th Meeting of ACCSQ. These guidelines provide AMS with a harmonized approaches and requirements for the establishment of control systems for FCMs.

In 2019, ASEAN Guidelines for Good Manufacturing Practice (GMP) for FCM was agreed by the 29th Meeting (November 2019) of PFPWG and endorsed by the 52nd Meeting of ACCSQ as a supplementary guidance document. The PFPWG Meeting mooted the proposal for Member States to develop Specific Measures for harmonisation of the different categories of FCMs at the next meeting.

The PFPWG at its 19 November 2020 meeting endorsed the Work Plan for the Development of Harmonised Specific Measures for FCMs and sought support from ARISE Plus for this purpose. ARISE Plus has undertaken this task as it is a signific and relevant component of the proposed ASEAN Food





Safety Regulatory Framework and has a high impact in facilitation intra ASEAN trade in prepared food products.

Activity 2.3.9	Harmonised Requirements for Fo	od Contact Materials	
Main Beneficiaries	Food Safety Regulatory Agencies in A Foodstuffs Working Group, ASEAN C Prepared Foodstuffs Standards (ACH	ommittee on the Harmo	nisation of
Planned Tasks	Plan and organise workshops to di requirements for plastics food conta	•	t of harmonised
Deliverables	Time of delivery		
	 A plan of action and a framework harmonisation of requirements f contact materials developed. 		Q3
	 A series workshops held to delibe adoption of the plan of action an harmonisation of requirements f 	d framework for the	
Expected Result	ASEAN initiates work on the harmoni	sation of requirements f	or plastics FCMs.
Resources	Category		
(inputs)	Experts	Work Days	
	JNKE	25	

Sub-Component 2.4 Support to the Pharmaceutical Sector

This subcomponent includes activities for both ASEAN Health Cluster 3 and the Pharmaceutical Product Working Group. The activities under this Sub-component are targeted at facilitating the development of a regulatory framework in line with the ACCSQ 2016-2025 strategic plan and, the implementation of the related components ASEAN Health Sector. A major target is the development of an overall framework for pharmaceuticals regulation that will incorporate and strengthen the several ongoing initiatives of the Pharmaceutical Product Working Group on mutual recognition and harmonisation of requirements.

Such a framework would enable the integration and consolidation of the initiatives developed by the Pharmaceuticals Product Working Group (PPWG) and initiatives of ASEAN Health Cluster 3.

ARISE Plus will support:

- The development of an ASEAN Regulatory Framework for Regulation of Pharmaceutical products that incorporates and expands on existing initiatives.
- The implementation of the MRA of bio-equivalence study reports of generic medicines through the training of evaluation panel members.





 The development of the ASEAN Pharmaceutical Laboratory Network work plans and launch initial activities.

Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Rationale:

A robust regulatory framework is essential to ensure the availability of safe, effective and high quality medicinal products and is part of a modern healthcare system. This involves a range of regulatory processes including pre-marketing authorization of products; controls on manufacturing, importation, distribution and retail; post-marketing surveillance; and promotion of the rational use of medicines.

Regulatory cooperation in the region and harmonization with international standards is a necessity for all regulatory authorities with pharmaceutical research, development and production getting increasingly global. On the other hand, medical treatments are getting more and more complex, as evidenced by the emergence of advanced therapies (gene therapy, cell therapy, tissue engineering, etc.). New drug reviews require the consideration of massive amounts of information, and the understanding of a host of highly complex disciplines.

ASEAN Member States, in addition to substantial domestic production, are significantly dependent on imported medicinal products, in particular innovative ones, to satisfy their health needs. Substantial progress, mainly driven by the ACCSQ-Pharmaceutical Product Working Group, has been made towards cooperation and harmonization within ASEAN in the pharmaceutical sector. The main achievements include ASEAN Common Technical Dossier (ACTD), ASEAN Common Technical Requirements (ACTR) the ASEAN Sectoral MRA for GMP Inspection of Manufacturers of Medicinal Products, the newly completed ASEAN MRA for Bioequivalence Study Reports of Generic Medicinal Products.

Implementation of the ACTD and ACTR remains voluntary and there are significant differences in, regulations, technical capabilities and institutional capacity between ASEAN Member States. Following consultations and workshops with the PPWG Members during Work Plan 3, drafts for the ASEAN Pharmaceutical Regulatory Policy and the Framework have been developed. The PPWG has, in early 2021, invited ASEAN Health Cluster 3 to jointly develop the Policy and the Policy and Framework. Further assistance is planned to support PPWG and AHC3 to reach a consensus and obtain Ministerial level endorsement of the Policy and Framework in preparation the development of legal instrument for an ASEAN Pharmaceutical Regulatory Policy and Framework that will guide, link and expand initiatives for the realisation of an integrated market and regulatory system in ASEAN for this sector. Assistance will continue leading to the development of a draft of the legal instrument for signing at Ministerial level.





Activity 2.4.1	Strengthening the Pharmaceutica	als Regulatory Framew	vork
Main Beneficiaries	Pharmaceutical Product Working Gro National Drug Regulators (NDRAs) AS	• •	nber State
Planned Tasks	Continue supporting APRF Task Force in the development of the ASEAN Pharmaceutical Framework Agreement through supporting workshops and advising on the technical content of the draft agreement, the development of the terms of reference of the proposed ASEAN Pharmaceutical Coordinating Committee.		
Deliverables			Time of delivery
	A series of Workshops for the APRF I deliberate on the APRF Agreement a APRF CC organised and supported.		Q2 – Q3
Expected Results	Final draft of the APRF Agreement and the Terms of Reference of the APRF Coordinating Committee developed.		nce of the APRF
Resources	Category		
(inputs)	Experts	Work Days	_
	SNKE JNKE	16	

Activity 2.4.2 ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products

The ASEAN MRA BE was then developed and subsequently signed in 2017. The current challenge of the ASEAN MRA BE is to build the capacity of each AMS to ensure the smooth implementation of the ASEAN MRA BE by 2022. The operating procedures for the implementation have been finalised by the Joint Sector Committee for the ASEAN MRA for Bioequivalence Study Reports of Generic Medicinal Products (JSC) and endorsed by PPWG. A list of experts from Member States who will serve in Panel of Experts in evaluating applicant Bio-Equivalence Centres has been established by the JSC.

A 2-year plan for training and briefing experts from the AMS for conducting BE centre inspection was developed by the JSC. The training plan has 3 modules and ARISE Plus supported the development and implementation of the first module in March 2021. Two additional Modules are planned for the next plan year. The training will aim to harmonise the knowledge and understanding of potential experts on BE centre inspections and provide hands-on exposure on BE centre and familiarise the ASEAN MRA BE procedure and operational manual.





Activity 2.4.2	ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products		
Main Beneficiaries	Pharmaceutical Product Working Group, ASEAN National Drug Regulatory Agencies (NDRAs).		Drug Regulatory
Planned Tasks	Training course for ASEAN Experts to undertake inspections on bioequivalence centres organised and delivered. The training will be focussed on on-site inspections and delivered in 2 sessions.		
Deliverables	Time of delivery		
	Training Course on BE Inspections de delivered to ASEAN experts.	eveloped and	Q2
Expected Result	Thirty (30) ASEAN Experts are trained	d to conduct inspection	s of BE Centres.
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE JNKE	70	







COMPONENT 3.0 CUSTOMS, TRANSPORT AND ACTS

ASEAN has continued to pursue its regional economic integration agenda with ever-greater success over the past years. The commitments made by the ASEAN leaders are expressed collectively in the AEC Blueprints 2015 and 2025, the Master Plan on ASEAN Connectivity 2025 and the ASEAN Trade in Goods Agreement (ATIGA). Commitments related to Customs and Transport are set out in the ASEAN Agreement on Customs (2012), the ASEAN Customs Vision 2015, the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the Protocol governing the implementation of the ASEAN Harmonised Tariff Nomenclature.

One of the key objectives of the AEC Blueprint 2025 is the progressive reduction or elimination of border and behind-the-border regulatory barriers that impede trade, to achieve the competitive, efficient, and seamless movement of goods within the region.

The planned interventions for Component 3 of the ARISE Plus Annual Work Plan 5 fall into 2 broad areas, Transport, and ACTS and as such are reflected in the activities proposed below. This reflects the focused approach taken under the Workplan with a strong emphasis on leaving behind tangible outcomes and sustainable results.

Under Transport, the activities will assist the removal of barriers to the free flow of goods by supporting implementation of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST). Support will also be provided in implementation of the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT).

Under ACTS the main focus of the activities will be to support the live operations of ACTS, which has been successfully installed along the north-south and east-west corridors of ASEAN, involving Malaysia, Singapore and Thailand (MST) and Cambodia, Lao PDR, and Vietnam (CLV) respectively. Resources will be committed for management and training required to the ASEAN ACTS Central Management Team to ensure for the longer-term sustainability of the ASEAN Customs Transit System (ACTS) in the region.

Outcome

Enhanced harmonisation of the customs, transport and logistics systems in ASEAN.





Planned Resources

Component 3.0	S-NKE	J-NKE
Sub Component 3.2	146	
Sub Component 3.3	604	
Total	750	

Sub-component 3.2 Transport Measures

Progress has been made over the years by ASEAN Member States (AMS) in signing and ratifying the ASEAN framework transport facilitation agreements and their related protocols. These agreements are the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST) and the ASEAN Framework Agreement on Multimodal Transport (AFAMT). Also included is the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (ASEAN-CBTP), which has been signed by all ASEAN Member States and in force in three (3) ASEAN Member States.

Support is now required to assist AMS in implementing these agreements, in particular the relevant protocols of the AFAFGIT. Consultations have been carried out with the Senior Officer, Transport Division in the ASEAN Secretariat, members of the Transport Facilitation Working Group (TFWG) and the Transit Transport Coordinating Board (TTCB).

Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

Rationale:

AFAFGIT is a major initiative covering, among other elements, the implementation of the ASEAN Customs Transit System (ACTS). The agreement is designed to enable trade and transport facilitation in ASEAN, and as such ARISE Plus has supported the operationalisation of the agreement since the start of the ARISE Plus programme. This activity covers ARISE Plus support for the implementation of both the AFAFGIT and the AFAFIST. The main focus for the work period will be on the operationalisation of AFAFIST. The operationalisation of AFAFGIT will be based on ARISE Plus ongoing support for management of the live operational phase of the ASEAN Customs Transit System (ACTS).

Activity 3.2.1	Operationalising the AFAFGIT and the AFAFIST
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN
	Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).





Activity 3.2.1	Operationalising the AFAFGIT and the AFAFIST	
Planned Tasks	 Support the implementation of transport fac procedures and documentation required operationalisation of the agreements. 	ilitation–related for practical
	 Support the implementation of the Two-Country Transpilot with the participation of Cambodia and Vietnam. 	sit by means of a
	 Continue to lead the Outreach Programme for the Priv PMS through webinars and engagement workshops. 	ate Sector in the
	 Ensure increased live running of trucks across AS support of the implementation of inter-state and ACTS 	
	 Finalise the procedural manual for Operationalisation Public and Private Sector, with inputs from Customs. 	n of AFAFIST for
	 Continue the process of consultation with the Govern agencies, Customs Authorities and the private sector, i the strategy paper and roadmap of these agreements. 	n preparation of
	 Perform a feasibility study on the movement of dans support of the implementation of AFAFGIT Protocol 9. 	gerous goods in
	 Perform an assessment on railways, with a view to de- using ACTS, to support the movement of goods by rail. 	
Deliverables		Time of delivery
	 Targeted webinar and engagement workshops events, to support private sector in the implementation of these agreements. 	Q1 – Q4
	 Practical implementation of these agreements in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector. 	Q1 – Q4
	 Procedure Manuals for Operationalisation of AFAFIST for Public and Private Sector. 	Q2 - Q3
	 Roadmap in supporting AFAFIST, to provide recommendations and action plans in the implementation of the agreement. 	Q3 – Q4
	 Feasibility Study for the movement of Dangerous Goods, in line with AFAFGIT, Protocol 9. 	Q3 – Q4
	 Strategy Paper for the inclusion of Rail as mode of transport in ACTS. 	Q3 – Q4
Expected Result	The AFAFGIT and AFAFIST implemented practically in costakeholders in Government Transport agencies, Custon the private sector.	•





Activity 3.2.1	Operationalising the AFAFGIT and the AFAFIST		
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE	80	
	JNKE		

Activity 3.2.2 Supporting Implementation of the Action Plan for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

The objective of this activity is to continue to support the implementation of the AFAMT by all AMS, including the execution of a pilot project. This will act as a basis for the development and implementation of an ASEAN-wide approach to the legal, regulatory and procedural framework of this multi-modal transport agreement, with a view to implementing a harmonised multi-modal transport environment for the benefit of the business community. Under previous work plans, ARISE Plus supported the development of the AFAMT Implementation Framework, which has been endorsed by the ASEAN Transport Ministers. There is a need for further support to the agreed activities, including those under the Regional Action Plan of the AFAMT Implementation Framework. As a longer-term strategy, analysis needs to be carried out of the scope, implications and benefits of linking AFAMT to the ASEAN Customs Transit System (ACTS).

Activity 3.2.2	Supporting the Implementation of the Action Plan for AFAN	ИT	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).		
Planned Tasks	 Support the implementation of AFAMT by means of a pilot with the participation of Thailand and Vietnam, and the possible subsequent inclusion of Indonesia and Singapore. 		
	 Analyse the AFAMT Pilot implementation and recommend next actions for improvement in preparation of the updated Strategy paper and Roadmap, in support of AFAMT. 		
Deliverables		Time of delivery	
	 Post Pilot implementation review of AFAMT is carried out, including the wider implementation of AFAMT in ASEAN. 		
	 Strategy Paper for the wider implementation of AFAMT. 	Q4	
	 Roadmap in supporting AFAMT, to provide recommendations and action plans in the implementation of the agreement. 	Q4	





Activity 3.2.2	Supporting the Implementation of the Action Plan for AFAMT		
Expected Result	The AFAMT is implemented practically in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector, leading to enhanced logistics performance in ASEAN.		
Resources	Category		
(inputs)	Experts	Work Days	
	SNKE	66	
	JNKE		

Activity 3.2.3 Supporting Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle (ASEAN-CBTP)

On Demand Activity

Rationale:

The objective of this activity is to support the implementation of the ASEAN-CBTP agreement and its associated Implementing Guidelines to facilitate the cross-border transport of passengers by road vehicles between and among ASEAN contracting parties. The intention is also to simplify and harmonise transport rules that apply to passengers travelling within ASEAN by scheduled and non-scheduled passenger transport services. Previously ARISE Plus has developed implementing guidelines for this agreement, along with procedural manuals for public and private sector operative, and a plan for a pilot execution of the agreement involving Brunei, Indonesia and Malaysia in Borneo. Whist the ratification of the agreement by Pilot AMS is awaited and Covid-19's cross-border transport restrictions remain in place, ARISE Plus recommends to retain this activity in AWP5 on an on-demand basis.

Sub-component 3.3 ACTS Implementation

The ASEAN Customs Transit System (ACTS) is defined under Protocol 7 of the AFAFGIT and is one of the main drivers for facilitating trade in goods in ASEAN. Under previous work plans ARISE Plus has supported the piloting and subsequent live roll-out of ACTS along the north-south and east-west corridors of ASEAN, involving Cambodia, Lao PDR, Malaysia, Singapore, Thailand and Viet Nam, where the system is currently operational. ACTS has been in live operations since 2 November 2020.

Since January 2022, ACTS has seen uptake in number of live movements. To take advantage of the momentum, in-depth Private Sector Outreach Programme will continue at national and regional levels with objective to further increase the update of ACTS by private sector. In-depth capacity building will be conducted to the ACTS Central Management Team (CMT) based in the ASEAN Secretariat in Jakarta, Indonesia to ensure ACTS sustainability after the ARISE Plus programme is completed. Training programmes will continue to be delivered as required to all stakeholders. ACTS will be further upgraded in terms of functionality as per change requests made by participating AMS, including new subsystems Transport Monitoring System (TMS) and Online Trader Registration (OTR).

Consultations have been carried out with the Senior Officer, Customs Integration Unit in the ASEAN Secretariat, representing the ASEAN Customs sectoral groups, including the Customs Procedures and Trade Facilitation Working Group (CPTFWG) and its sub-working-group on ACTS (SWG-ACTS).





Activity 3.3.3 Rolling Out of ACTS

Rationale:

The objective is to support the operationalization and on-going management of ACTS in all participating AMS. Planned activities include; training on demand for stakeholders in the public and private sector, continuation of the Private Sector Outreach Programme, and on-going continuous support for live operations of ACTS.

Activity 3.3.3	Rolling Out of ACTS		
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.		
Planned Tasks	 Support ACTS on-going live operations and participate in reg Meetings related to ACTS. 		
	 Briefing AMS on the activities required to ensure sustainable and adequate utilisation of ACTS by private sector. 	onity of ACTS	
	 Deliver trainings, on demand, to public and private sector stal the participating AMS, namely: 	keholders of	
	 Customs Authorities in ACTS procedures and use of ACTS IT system as more Customs offices are added to ACTS. Transport Authorities on ACTS procedures related to transport and Transport Monitoring System (TMS). Additional private sector entities wishing to use ACTS in their transit operations. Other private sector stakeholders: banks and insurance companies. IT support staff as scope of ACTS IT operations increases. Conduct Private Sector Outreach Programme aiming to increase uptake of ACTS by private sector. Support private sector engagement and awareness events on the national 		
Deliverables	level, including publicity and visibility efforts, on demand. Time of delivery		
	 Support provided in the on-going live operations of ACTS; On demand training delivered providing enhanced capacity to: 	– Q4 – Q4	
	 Customs Authorities; 		
	 Transport Authorities; 		
	 Additional private sector operatives; 		





Activity 3.3.3	Rolling Out of ACTS			
	 Other private sector statement insurance companies; and 			
	IT support staff;			
	 Private Sector Outreach Pr successfully; and 	Q1 – Q4		
	 On demand ACTS awareness, visibility and publicity activities supported nationally. 			
Expected Result	ACTS operating successfully in participating AMS and functioning to reduce transit transport times and associated costs.			
Resources	Category			
(inputs)	Experts	Work Days		
	SNKE	166		
	JNKE			

Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT) *Rationale:*

The ACTS Central Management Team (CMT) was established under the ARISE programme and operates within the Trade Facilitation Division (TFD) of the ASEAN Secretariat. The CMT is responsible for the management of on-going operations of ACTS. Several ACTS trainings were provided to CMT both under the ARISE and ARISE Plus programme. This team will require additional in-depth capacity building and know-how to be able to manage ACTS once the ARISE Plus Programme is completed. Long-term sustainability of the CMT is ensured, as the funding of the personnel of the CMT is maintained under the ASEAN Operational Budget (AOB). The costs of the services for the hosting of the applications of the ACTS Central Services will be handled by the ASEC AOB, which will also support the sustainability of ACTS in the long term. To introduce redundancy additional ASEC personal will be also trained in parallel with the CMT.

Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.
Planned Tasks	 Provide advice and support to CMT on demand, to enable them to discharge their responsibilities in relation to the management of on-going ACTS operations, system and support activities, including help desk





Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)			
	functions, maintenance of system documentation and management of the ACTS Information Portal (ACTS-IP).			
	 Provide in-depth ACTS Training to CMT and selected staff of Trade Facilitation Division (TFD) of ASEAN Secretariat to ensure they can take over full responsibilities of ACTS live operations after ARISE Plus programme is completed. 			
Deliverables	Time of			
	 On demand advice and support to 	CMT provided; and	Q1 – Q4	
	 CMT and ASEC Training Pr successfully. 	ogramme completed	Q1 – Q2	
Expected Result	ACTS Central Management Team is managing on-going operations of ACTS effectively.			
Resources	Category			
(inputs)	Experts	Work Days		
	SNKE	57		
	JNKE			

Activity 3.3.5 Carrying out a Functional Upgrade of the ACTS

Rationale:

The objective of this activity is to assess and implement changes identified by Customs, Transport, and private sector according to a controlled and orderly change management procedure. Under the previous annual work plans (AWP1, AWP2, AWP3, and AWP4), ARISE Plus has supported the establishment of the Change Management Board (CMB) to ensure the effective management of all agreed changes to the ACTS. In addition, ARISE Plus supported the analysis of major sub-systems proposed to enhance ACTS functionality and is currently developing them as set out in more detail below, including the Transport Monitoring System (TMS) and the Online Trade Registration (OTR) system. This activity will also cover the corrective maintenance and release management of the ACTS 3.5.0 software release to ensure business continuity of the ACTS after the ARISE Plus Programme is completed.

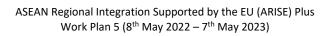
ACTS software enhancements delivery schedule and scope was adjusted following the finalization of the specifications documentation of the Transport Management System (TMS) and Online Trader Registration System (OTR) in WP4. The scope of the originally planned versions 3.4.0 and 3.5.0 are merged into a single version 3.5.0, in order to save time in user acceptance testing, deployments and roll out of new versions in the participating AMS.

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS		
Main	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM),		
Beneficiaries	the Transit Transport Coordinating Board (TTCB), Customs Coordinating		
	Committee (CCC) and its Working Groups, the ASEAN Federation of		
	Forwarders Associations (AFFA), National Transit Transport Coordinating		
	Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG)		





Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS			
	and ASEAN Secretariat.			
Planned Tasks	 Implement the approved by Change Management Board (CMB) CRs. 			
	 Implement Transport Monitoring System (TMS). 			
	Implement Online Trader Registration System (OTR).			
	 Deploy the enhanced ACTS applications with implemented CRs and two new ACTS sub-systems in ACTS sites in the participating AMS and Central Services. 			
	 Test the newly deployed ACTS software/systems in partic and Central Services. 	cipating AMS		
	 Produce/Update all supporting technical and user docum related to implemented functional enhancements and two systems. 			
	 Provide helpdesk and corrective maintenance services to AMS to ensure business continuity. 	Provide helpdesk and corrective maintenance services to participating AMS to ensure business continuity.		
	 Perform ACTS release management, covering release of final ACTS version (ACTS 3.5.0) that includes fixes to all bugs registered with the helpdesk, implementation of all pending CRs and two new ACTS sub-system. 			
	 Support the participating AMS with user acceptance testing and deployment of the final version of ACTS software in their ACTS ICT Environments. 			
Deliverables		Time of delivery		
	 Enhanced functionality of ACTS implemented as per AMS requirements; 	Q1 – Q4		
	 ACTS 3.5.0 delivered to the participating AMS with all relevant technical and user documentation, tested and deployed in all ACTS sites in participating AMS and Central Services and includes the following: 	Q1 – Q4		
	 Transport Monitoring System (TMS); Online Trader Registration (OTR) System; 17 Change Requests approved for implementation by SWG-ACTS and CMB; Upgrade to the newest compatible versions of the System Platform Software; and 			
	Bug fixes.			
Expected Result	 Enhanced functionality of ACTS is aligned to the requestream participating AMS. 	irements of the		







Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS		
Resources	Category		
(inputs)			
	SNKE	381	
	JNKE		







COMPONENT 4.0 ASEAN ECONOMIC INTEGRATION MONITORING AND STATISTICS

Planned Resources

Component 4.0	S-NKE	J-NKE
Sub Component 4.1	256	
Sub Component 4.2	324	
Total	580	

Sub-component 4.1 ASEAN Economic Integration Monitoring

Outcome

Strengthened results-based management and evidence-based decision making in the ASEAN integration process.

This subcomponent focuses on monitoring the substantial support provided by the EU through ARISE Plus towards AEC integration efforts, supporting ASEAN Secretariat and ASEAN Sectoral bodies in strategic decision making through provision of high-quality analysis, monitoring and evaluation, strategic publications and increasing wider awareness of AEC integration efforts and EU support for this process.

Activity 4.1.1 Results Oriented Monitoring, Publications and Dissemination for ARISE Plus <u>Rationale:</u>

Activity 4.1.1 has evolved from the monitoring of ARISE Plus and its bilateral and regional programmes to a more strategic activity focusing on results-oriented monitoring, publications, and communication. This reflects the progress made by ARISE Plus since November 2017 and the added-value of bringing together interrelated elements of analysis, monitoring, learning, reporting, communications and outreach to deliver strategic knowledge products that support decision making. As of end of AWP4, work includes analysis and content for annual work programmes, the PSC presentations and the six-monthly progress reports. New feedback tools have been developed and implemented to provide pre-training data, to support workshop delivery, and capture feedback and learning outcomes from ARISE Plus events including training workshops. The activity has also developed a substantial number of communication products to support ARISE Plus technical events. An integrated planning approach has been developed to support monitoring and communication for





events as part of the results oriented and sustainability strategy reflected of AWP4. This approach is enhancing major initiatives such as the ACTS Private Sector Partnership Building strategy and the ASSIST/ATR outreach events.

During AWP5, Activity 4.1.1 will focus on results-based monitoring, analysis and communication. Outputs will feed into six-monthly progress and final reports, thematic events and other publications. Efforts will highlight the contributions from the EU through ARISE Plus as the major support facility to the AEC. Examples of contribution to AEC integration efforts including success stories across the programme will be developed.

Activity 4.1.1	Results oriented monitoring, publications and dissemination	on for ARISE Plus
Main Beneficiaries	EU MISSION TO ASEAN, EUD to AMS ARISE Plus TAT of regional project, ARISE Plus TAT of other regional projects, ARISE Plus TAT of national projects, ASEAN Integration Monitoring Division (AIMD), Relevant ASEAN Bodies.	
Planned Tasks	ARISE Plus regional project internal monitoring:	
	 Develop monitoring analysis for annual PSC meeting. Undertake monitoring analysis for 10th Six-Monthly Report to support management, decision making, planning and measure progress against updated logframe. Provide other contributions to report as needed including Exec Summary. Develop event monitoring tools, and undertake event monitoring, analysis and assessment of learning outcomes at event, component and project levels. Develop communication products for ARISE Plus events. Undertake cross project analysis, beneficiary interviews as needed and develop success stories in key areas of project highlighting contribution to AEC integration efforts. Undertake monitoring analysis of cumulative project for Final Report. This will include assessment of relevance, efficiency, effectiveness, sustainability and potential impact of project and assessment against the updated logframe. Provide other contributions as required include overall concept, key messages, success stories. 	
	ARISE Plus regional and national projects monitoring and c	oordination
	 Support ARISE Plus regional programme (national and regional projects) including regional coordination efforts and analysis as required. 	
Deliverables		Time of delivery
	Monitoring analysis for PSC meeting	Q1
	 Monitoring analysis sections for 10th Six-Monthly Report. 	Q2
	33 Event monitoring reports.	Q1-Q4
	 5 overall event analysis reports by component (including learning outcomes). 	Q4





Activity 4.1.1	Results oriented monitoring, publications and dissemination for ARISE Plus		
	 60 communication and visibility perflyers, microsites, agendas, infopresentations. Success stories by sub-componer 	graphics,	Q1-Q4
	 Monitoring analysis and content including cumulative assessment 	Q3-Q4	
	efficiency, effectiveness, sustaina impact and assessment against lo • End of project multimedia	• •	Q4
	Videographics. • Presentation / material for		
	meeting.	Q4	
			Q3-Q4
Expected Results	Enhanced management, decision making and outreach in the ARISE Plus regional project through strategic monitoring, reporting, learning and messaging.		
_	ARISE Plus programme coordination activities continued and awareness on synergies and potential areas for collaboration amongst the national and regional projects.		
Resources	Category		
(inputs)	Experts	Working Days	
	SNKE	186	
	JNKE		

Activity 4.1.2 Supporting the Post-2025 AEC Visioning Process and Blueprint Formulation

Rationale

Activity 4.1.2 resulted in development of a high quality, evidence-based and publicly accessible of the Mid-Term Review (MTR) of the AEC Blueprint 2025 which was endorsed by AEC Council. The MTR report which was launched in late April 2021 has been acknowledged as the most significant document to drive strategic decision making for the second phase of implementation of the Blueprint from 2021 to 2025. Several additional knowledge products were developed based on demand to disseminate and create awareness on the main results of the MTR amongst AMS, private sector and academia, including social media content and printed book/poster.

Activity 4.1.2 will build on key lessons learnt from the conduct of the AEC Blueprint 2025 MTR and key findings to support preparations for the Post-2025 Visioning process of the AEC and Blueprint formulation. This will focus on developing a Concept Note which will outline the approach to obtain inputs from key stakeholder groups in ASEAN to the AEC Post-2025 Vision and Blueprint formulation. Key stakeholder groups will include relevant national policy makers, businesses, civil society and academia who can provide insights and inputs to the Visioning process (vision and core elements)





and to the Blueprint formulation. The Concept Note will include the objectives guiding principles, participant selection criteria, key topics/questions, data collection approach and methodology. Following approval of the Concept Note by the HLTF-EI, which is expected to meet in August, a Strategy Paper will be developed which will detail operational steps, tools and procedures to facilitate stakeholder input at regional and national level. The outcome will be one of the first contributions amongst ASEAN's dialogue partners to the AEC Post-2025 agenda. Sustainability will be wide ranging and strategic, across ASEC and ASEAN, as the Concept Note and Strategy paper will guide the collection of stakeholder input for the Post-2025 Visioning and Blueprint Formulation. If additional budget and timeline is available and subject to the design in the concept note, ARISE Plus can facilitate some of the stakeholder data collection activities specially at regional level and coordinate data collection at national level together with the other ARISE Plus projects. Structured analysis and synthesis will then lead to the development of evidence-based Stakeholder Input Papers that will support the AEC Post-2025 Visioning Process and Blueprint formulation respectively.

The final stages of the work will support the design of the End-term (Final) Review of the AEC Blueprint 2025. These tasks would support the most strategically significant decision-making processes of the AEC within the time horizon that ARISE Plus has for implementation. Tasks will be guided by the timeline of the HLTF-EI.

Activity 4.1.2

Supporting the Post-2025 AEC Visioning Process and Blueprint Formulation

Main Beneficiaries

- ASEAN Integration Monitoring Division (AIMD)
- ASEAN Economic Community Council (AECC)
- High-Level Task Force on ASEAN Economic Integration (HLTF-EI)
- ASEAN Sectoral Bodies under AEC
- ASEC AEC Department (desk officers)

Planned Tasks

- Develop a Concept Note to guide stakeholder input for the Post-2025 AEC Visioning Process and Blueprint formulation targeting the following four major stakeholder groups from ASEAN: national policy makers, businesses, civil society and academia/think-tanks. The Concept Note shall include objectives, criteria for participant selection (for visionary inputs), guiding principles, key questions/topics, methodology, data collection approach (e.g. online surveys, workshops, focus group discussions), and analysis/reporting approach.
- Develop Strategy Paper for stakeholder data collection for the Post-2025 AEC
 Visioning Process and Blueprint formulation. This will include schedule of data
 collection activities (e.g., workshops, surveys), coordination arrangements,
 tools for data collection from stakeholders, standard operating procedures
 (SOPs) and quality assurance guidelines.
- Develop a Concept Note for the design and conduct of the End-term (Final)
 Review of the AEC Blueprint 2025 including the overall approach,
 methodology, data collection sources and samples, timeline. This will include
 assessment of the monitoring of the MTR recommendations.

Subject to available ARISE Plus resources, time and design requirements (from Concept Note):





Activity 4.1.2	Supporting the Post-2025 AEC Vision	ning Process and Bluepri	nt Formulation
	 Facilitate data collection from regional stakeholders for the Post-2025 AEC Visioning Process (vision and elements). Undertake analysis and synthesis of data collected from regional stakeholders and prepare Stakeholder Input Paper for Post-2025 AEC Visioning Process. Facilitate data collection from regional stakeholders for the Post-2025 AEC Blueprint formulation process (Blueprint and attendant papers). Oversee facilitation of data collection from national level stakeholders for the Post-2025 AEC Blueprint formulation process (Blueprint and attendant papers) in close coordination with other national and regional level ARISE Plus projects. Undertake analysis and synthesis of data collected from regional and national level stakeholders and prepare Stakeholder Input Paper for Post-2025 AEC Blueprint formulation. 		
Deliverables			Time of delivery ¹
	 AEC Visioning Process and Blueprint formulation. Strategy Paper for stakeholder data collection for the Post-2025 AEC Visioning Process and Blueprint formulation. Concept Note for the design and conduct of the Endterm Review (Final) of the AEC Blueprint 2025. Subject to available ARISE Plus resources, time and design requirements (from Concept Note): Data from regional level stakeholders for Post-2025 AEC Visioning Process (e.g., survey and workshop data). Stakeholder Input Paper to HLTF-EI for Post-2025 AEC Visioning Process. 		Q2 Q4 Q2-Q3 Q3 Q4 onwards
	 Data from national level stakeholders for Post-2025 AEC Blueprint formulation (e.g., survey and workshop data). Stakeholder Input Paper to HLTF-EI for Post-2025 AEC Blueprint Formulation. This will include synthesis of data from regional and national stakeholders. Q4 onwards 		
Expected Result	Enhanced preparation for Post-2025 AEC visioning process and Blueprint formulation and the End-term (Final) Review of the AEC Blueprint 2025.		•
Resources	Category		
(inputs)	Experts	Working Days	
	SNKE	70	

¹ Timing to be aligned with AIMD





Activity 4.1.2	Supporting the Post-2025 AEC Vision	ning Process and Blueprint	Formulation
	JNKE		

Sub-Component 4.2 ASEAN Statistics Capacity Building

Outcome

Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all AMS (and particularly but not limited to CLMV countries).

Rationale:

The ASEAN Community Statistical System (ACSS) has enjoyed longstanding, significant support from the EU since 2009. The following domains were covered: Sustainable Development Goals Indicators (SDGI); System of National Accounts (SNA); Balance of Payment Statistics (BOP) which includes Statistics on International Trade in Services (SITS) and Foreign Direct Investment Statistics (FDIS); International Merchandise Trade Statistics (IMTS); and Statistical Dissemination and Communication (DISCOTH). Institutional Strengthening, IT and staff skills enhancement, narrowing the development gaps, and other cross-domains support received the same emphasis.

Notable outcomes were reported, amongst others: the establishment of the ACSS in 2011 and its technical working groups, along with various enabling mechanisms such as the ACSS Strategic Plan, Multi-year Plan, and Annual Work Plan; Code of Practices (CoP) and its monitoring tools; Metadata; ASEAN-help-ASEAN Framework (AHAF); supporting documents for enhanced harmonisation of statistics; and enhancement of ASEANStats websites and dissemination.

Most recent significant developments include:

- Methodological documents and guides; to guide AMS in pursuing its development and benchmarking against the international standards (in SITS, FDIS, IMTS, SNA).
- Cross-pillars support, for sustainability and harmonisation of statistics, amongst others: metadata; CoP monitoring tools (for each ACSS working groups and ASEANStats); support for the implementation of the AHAF and Lead-Country practice.
- Enhanced quality assurance and production of harmonised statistics, including the successful migration of the data processing tools to Eurotrace in Lao PDR, Cambodia, and ASEANStats, and availability of more SITS, FDIS, IMTS, and SDG data.
- Strengthened staff skills in analysis, dissemination and communication, visibility (videographics, SDGI Baseline Report 2020, and social media), and strengthening statistical analysis skills (Seasonal Adjustment, data estimation and missing data imputations).

Support will continue under the ARISE Plus AWP5, though with very limited resources. Emphasis is given to urgent, top activities which could further reinforce sustainability and visibility, and which





provides sufficient exposure/experience for implementing, on its own capacity, the defined tools, methodological documents and guides. Activities which will be undertaken during the AWP5 period shall also cover those carried over from AWP4.

More specifically, the following activities will be supported:

- Activity 4.2.1 Supporting the ACSS in Improving Collection and Dissemination of Sustainable
 Development Goals Indicators (SDGI). It covers the ongoing activity on SDGI Snapshot
 Publication, which will be completed in Quarter 2 of 2022, and a new support under the AWP5
 for the layout design of the SDGI Snapshot publication.
- Activity 4.2.2 Supporting Gap Analysis and implementation of the Operational Guidelines
 for the ACSS Roadmap on SNA 2008. It constitutes a relatively big activity carried over from
 AWP4, which has just started in late April and will last until early 2023.
- Activity 4.2.3 Supporting the Implementation of the Balance of Payments (BOP) related statistics, particularly SITS and FDIS. It covers the SITS activity carried over from AWP4 and the AWP5 support on SITS follow-up methodological benchmarking and strengthening. For FDIS the support will cover finalisation of the AWP4 ongoing activity on Strengthening Methodology and Compilation of Priority Indicators of FDI Statistics. No support for FDIS is envisaged under AWP5 due to its relatively established progress and mechanism.
- Activity 4.2.4 Support for strengthened IMTS data processing and quality, in particular in CLM, and for compliance to IMTS standard, including conceptual compliance and implementation of seasonal adjustment. It covers the AWP5 support for further strengthening ASEANStats capacity to lead the AHA practice in data processing using the Eurotrace and for enhanced methodology; and for strengthening skills of the AMS and ASEANStats in Seasonal Adjustment.
- Activity 4.2.5 Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility. No specific activity is planned under the AWP5; however, it is open for relevant on demand support.

Activity 4.2.1 Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators.

Rationale:

Phase 2 of SDGI support, currently ongoing, aims at selecting a set of relevant SDGI indicators and at preparing the SDGI Snapshot publication. The SDGI data review, indicators selection, and preparation of the draft publication are expected to be completed in June 2022, and the online publication is expected by late July. A relatively small support is needed to prepare the layout design, to ensure that the SDGI publication can be released in time for the ASEAN Day, 8 August 2022.

ARISE Plus will continue providing the support for the finalisation of the preparation of SDGI Snapshot publication and will provide support for its layout design. One visibility expert is expected to be available in June to ensure sufficient coordination among the expert, ASEANStats and the SDGI expert.





Activity 4.2.1	Supporting the ACSS in Improving Collection and E Sustainable Development Goals Indicators	Dissemination of
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASCOMMITTEE, Sub-Committee on Planning and Coordination Group on Sustainable Development Goals Indicators (WGSE	(SCPC), Working
Planned Tasks	Strengthening SDGI Quality Monitoring, Compilation and Reporting – Preparation of SDGI Snapshot Publication (from WP4)	
	 Continue and finalise data availability and quality of ASEAN Member States (AMS) SDG indicators and indicators in areas of major interest to ASEAN for usonapshot publication. Organise the second Regional Workshops on SDGI Documentarial Continue and finalise data aggregation, preparation ASEAN SDGI dataset for the ASEAN SDGI por preparation of the ASEAN SDGI 2022 Snapshot publication. Organise the second Regional Workshops on the drapublication. 	selection of SDG see in ASEAN SDG ata Review. on of up to 2020 tal update, and cation.
	Supporting the layout design for the SDGI Snapshot public	ation.
	Undertake TA mission to ASEANStats to assist ASEANStats with the preparation of a proper layout design for the SDGI Snapshot publication, scheduled for online release on 8 August 2022.	
Deliverables	Time of delivery	
	Strengthening SDGI Quality Monitoring, Compilation and Reporting – Preparation of SDGI Snapshot Publication	
	Final Draft 2016 & 2020 ASEAN SDGI dataset.	Q1
	 Final Draft ASEAN 2022 SDGI Snapshot publication. Materials from the two regional workshops. 	Q1
	 Mission reports of the two experts. 	Q1 Q2
	Supporting for the layout design for the SDGI Snapshot publication.	
	Layout design for SDGI Snapshot Publication.	Q1
Expected Result	Timely dissemination of SDGI Snapshot publication with proper layout design.	
Resources	Category	
(inputs)	SNKE 59	
	JNKE	





Activity 4.2.2: Supporting Gaps Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008.

Rationale:

SNA Gap analysis and identification of priority actions for immediate implementation has been the focus of the ARISE Plus previous workplan for most of the AMSs. Previous workplan also covered the support for addressing a few countries' specific needs. To provide a broader picture of the gap against the international standard in the region, the AWP4 provides more gap analysis; currently ongoing.

Under AWP4, a new area of support is also currently ongoing, aiming at establishing a set of sufficient-detailed quality measures tools for monitoring the implementation of Code of Practices (CoP) in SNA, building on a generic version developed a decade ago. Other ACSS working groups (IMTS, FDIS, SITS, SDGI) have earlier established detailed quality measures tools. The SNA Quality Measures is to be reported to the 24th meeting of the ACSS Sub-Committee on Planning and Coordination (SCPC) in August 2022.

AWP4 also supports the conduct of a case study and regional workshop on SNA under the AHA and the preparation of the relevant methodological notes for addressing coherence amongst all macroeconomic accounts. Support is also provided to undertake a TA to one selected country to help the country with the establishment of a proper methodology for compiling the agreed priority action on SNA. Due to the scale of this ongoing SNA activity, and because it was implemented only starting in late April 2022, no additional support is provided under the AWP5.

Activity 4.2.2	Supporting Gaps Analysis and implementation of Guidelines for the ACSS Roadmap on SNA 2008.	the Operational
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (A Committee, Sub-Committee on Planning and Coordinatio Group on System of National Accounts (WGSNA).	• •
Planned Tasks	Enhancing the SNA Quality Measures, Advancing the compilation of Priority SNA Indicators and Enhancing the SNA Supporting Mechanism (from WP4)	
	 Support for the development of the SNA Quality Meas including the pilot test of the tools and preparation of report. Undertake TA to one selected country to help with the a proper methodology for compiling the agreed priorit: Support for the conduct of a case study and regional wounder the AHA and preparation of methodological note coherence amongst all macroeconomic accounts, and notes related to the case study. Review and conduct of gap analysis and development based on information obtained from the AHA, case Regional Workshop. 	a baseline quality e establishment of y action on SNA. vorkshop on SNA es for addressing methodological c of priority actions
Deliverables		Time of delivery





Activity 4.2.2	Supporting Gaps Analysis and Guidelines for the ACSS Roadmap of		the Operational
	 ACSS Code of Practise (COP) Que for SNA and Baseline Quality Reports of the CLMV countries. Gap Analysis and Issues-centring actions for immediate implement and revised SNA Operational Gue Methodological Notes on actissues and on the relevant case of Materials from the workshops. Mission report of the two experters 	oort. I implemented in one g Work Plans/priority ntation For ASEAN6, idelines. Idressing coherence study.	Q1 Q2 Q3 Q3 Q3 Q4
Expected Results	 Good score obtained in the Coltheir compliance to the COP. Enhanced methodology in more addressing the incoherence issue 	areas of SNA impleme	,
Resources (inputs)	Category		
	SNKE	58	
	JNKE		

Activity Area 4.2.3: Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS

Under the ongoing ARISE Plus AWP4, support was provided to assist Indonesia with survey methodology and strengthening their data sources for better compilation of travel services; and to assist all AMS in conducting the benchmarking exercise against the international standards along the line of the ACSS SITS Methodological Guide. Follow-up support for benchmarking and methodological strengthening exercises are needed, to provide AMS with sufficient skills and experience for future methodological benchmarking and strengthening and to address identified shortfalls. In addition, some AMSs have expressed their specific needs for assistance.

Support will, therefore, continue to be provided under AWP5 to assist 2 AMS to ensure that more countries will have the experience and skills to conduct at their own capacity, the benchmarking of their methodology against international standards and to address the identified shortfalls. Support will also be provided to one AMS to address its SITS specific needs.





Activity 4.2.3	Supporting the Implementation of the Balance of Payment statistics, particularly SITS and FDIS	s (BOP), related
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASE Committee, Sub-Committee on Planning and Coordinate WGSITS and WGIIS.	* *
Planned Tasks	, , , , , , , , , , , , , , , , , , , ,	
	Basic FDI Statistics concepts and measurements and specific for junior staff.	selected issues,
Deliverables		Time of delivery
	 The SITS benchmarking exercises in the two remaining countries completed. SITS sub-regional workshop completed. Revised SITS Methodological Guide and Benchmarking materials. Joint SITS-IMTS workshop on digital trade back-to-back with SITS workshop completed. Recommendation on the digital services formulated. Strengthened SITS methodology following the national follow-up benchmarking and methodological exercises in two AMSs. 	Q2 Q2 Q1 Q2 Q3





Activity 4.2.3	Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS		
	 Methodology for compiling spec established in one country. FDIS Training module for use in a junior staff established. 		Q3 Q1
	FDIS workshop/training for junio	r staff implemented.	Q1
Expected Result	 Strengthened SITS methodology through the conduct of proper methodological benchmarking following the availability of refined Methodological Guide and Benchmarking materials. Availability of more SITS indicators in the TA receiving country. Enhanced quality and timeliness of FDIS data submission to stats. 		
Resources (inputs)	Category SNKE JNKE	89	

Activity 4.2.4: Support for strengthened IMTS data processing and quality, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment.

Much has been achieved under ARISE Plus AWP4 Activity 4.2.4; most notably are the migration of data processing tools to Eurotrace in Lao PDR, Cambodia and ASEANStats, a detailed User Guide, CoP Quality measures and Quality Report, Methodology for ASEAN Harmonised Tariff Nomenclature (AHTN2022) conversion, and draft publication of IMTS by mode of transport.

Following the completion of IMTS activities under the AWP4, two areas have been identified as needing further urgent support. The first one is the strengthening of ASEANStats capacity in handling issues related to the use of Eurotrace. Despite ASEANStats' involvement in migration of data processing tools to Eurotrace in Lao PDR and in Cambodia, ASEANStats has never conducted on its own capacity one of the most important steps, which is modification of the Eurotrace domain. Because this step is quite tricky, ASEANStats needs to experience doing this step on its own capacity to ensure it can lead the AHA in this area. Limited external support is needed to allow for minimum guide/coach for ASEANStats and to provide the necessary supporting documentation/further guide in addressing issues arising from the use of Eurotrace with new/latest data (new Eurotrace domain). Successful experience by ASEANStats in conducting the whole process of converting the IMTS domain in SQLserver, creating/working on credibility check table and validation rules, until review and corrections of outliers and production of the IMTS data on its own capacity will ensure sustainability and smooth conduct of AHA for optimal utilization of Eurotrace in ASEANStats and the receiving countries; hence enhanced data quality and timely submission.

The second area needing urgent support is the advanced training on seasonal adjustment. ARISE Plus has provided a basic training on Seasonal Adjustment in 2020. Basic seasonal adjustment skill is





sufficient for handling quarterly data. For monthly data and other high-frequency data (weekly, daily), a more advanced skill is needed. Because most of the procedure involves the use of R programming, staff with only basic skill will not be able to deal with monthly data. The advanced training will not only provide higher skill but will also expose the participants with more issues involved in seasonal adjustment, so that with such additional training and experience, they will be able to conduct seasonal adjustment properly and efficiently; hence help sustain the capacity building.

In addition, minimum support is needed to organise the second joint IMTS-SITS digital trade regional workshop. Due the fast-growing digital trade/services and their economic importance in ASEAN, an un-planned joint IMTS-SITS digital trade regional workshop was conducted in April 2022 using the remaining available resources. More discussions are needed including on matters of joint interest - Goods for Processing, CIF-FOB, etc, and on the concrete way forward to guide the WGIMTS in their future development related to the digital trade.

Under the AWP 5, ARISE Plus will provide support for enhancing skills and experience of the ASEANStats in handling issues arising from the use of Eurotrace for IMTS data processing within the framework of AHA. Due to the importance of the advanced training and more experiences to ensure sustainability and due to the importance of seasonal adjustment for improved analysis and dissemination, support will be provided to AMS and ASEANStats by providing advance training on Seasonal adjustment. In addition, resources will also be made available under the AWP5 to organise the second joint IMTS-SITS digital trade regional workshop and a two-day IMTS Regional Workshop.

Activity 4.2.4	Support for strengthened IMTS data processing and quality CLMV, and for compliance to IMTS standard included compliance and implementation of seasonal adjustment.	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASE Committee, Sub-Committee on Planning and Coordination Group on International Merchandise Trade Statistics (WGIM	(SCPC), Working
Planned Tasks	Support for further strengthening ASEANStats capacity to practice in data processing using the Eurotrace.	o lead the AHA
	 Undertake TA mission to ASEANStats to strengthen the skills and experience in data processing using Eurotrace and the ensure sufficient experience to better lead the AHA practice in this area. 	
	 Organise and support the second one-day joint IMTS-SITS digital trade regional workshop and two-day IMTS regional workshop. Support for enhanced methodology and skills of the AMS and ASEANStats in Seasonal Adjustment. 	
	 Undertake Regional Training on Seasonal Adjustment. The ensuring AMS and ASEANStats with sufficient exposure as the able to do seasonal adjustment on monthly series procedures and methodology. 	nd experience to
Deliverables		Time of delivery



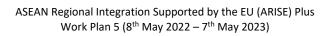


Activity 4.2.4	Support for strengthened IMTS data processing and quality, in particular in CLMV, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment.		
	 Methodological notes/docume ASEANStats-led AHA on Eurotrace Modified Eurotrace domain of selected country. Advanced Training on Simplemented. Joint SITS-IMTS workshop on dig with SITS workshop completed Recommendation on the digital 	the latest data of one seasonal Adjustment gital trade back-to-back	Q3 Q3 Q2 Q1 Q2
Expected Result	 Data processing, credibility check Eurotrace in the Eurotrace user of Timeliness of IMTS data submiss More monthly economic so internal/external use. Greater momentum and way for digital trade. 	countries can be well add ion and quality improved eries data seasonally	dressed. d. adjusted for
Resources (inputs)	Category		
	SNKE JNKE	95	

Activity 4.2.5: Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility

Support for ACSS including for strengthening ACSS's statistical dissemination, communication, and visibility has been provided under the previous ARISE Plus workplans. No specific activity, however, is currently foreseen under AWP5 due to the current limited resources. However, consideration can give for relevant small on demand support.

Activity 4.2.5	Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), and Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA).
Planned Tasks	On-Demand Activity: Requests have been received for 2 small activities, the implementation of which will be subject to resource availability, these are: (1) A regional workshop in support of the evaluation and further recommendation to AMS on the ASEAN-Help-ASEAN-led







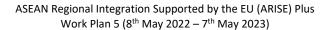
Activity 4.2.5	Supporting ACSS in Strengthening Visibility	ACSS' Statistical Communication and
	Statistics.	oject on Utilising Big Data for Official ne strengthening ASEANStats and AMS on
Resources (inputs)	Category SNKE JNKE	23





1.0 LIST OF ASEAN SECTORAL BODIES, WORKING GROUPS AND ENTITIES WITH WHICH ARISE PLUS WORKS

- ASEAN Business Advisory Council (ABAC)
- ASEAN Coordinating Committee on Connectivity
- ASEAN Committee on Consumer Protection (ACCP)
- ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS)
- ASEAN Consultative Committee on Standards and Quality (ACCSQ)
- ASEAN Coordinating Committee on Investment (CCI)
- ASEAN Coordinating Committee on the Implementation of ATIGA (CCA)
- ASEAN Customs Coordinating Committee (CCC)
- o ASEAN Customs Directors-General
- ASEAN Expert Group on Food Safety
- ASEAN Federation of Forwarders Associations (AFFA)
- o ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee
- ASEAN Risk Assessment Centre for Food Safety (ARAC)
- ASEAN Smart Cities Network (ASCN)
- ASEAN Sectoral Working Group on Crops
- ASEAN Sectoral Working Group on Fisheries
- ASEAN Sectoral Working Group on Livestock
- ASEAN Senior Transport Officials (STOM)
- ASEAN Single Window Steering Committee (ASW-SC)
- ASEAN Trade Facilitation Joint Consultative Committee (ATFJCC)
- ASEAN Transport Facilitation Working Group
- ASEAN Transport Facilitation Working Group (TWFG)
- Customs Capacity Building Working Group
- Customs Enforcement and Compliance Working Group
- EU-ASEAN Business Council (EUABC)
- o Experts Working Group on Organic Agriculture
- Senior Economic Officials Meeting (SEOM)
- Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM AMAF)







- Transit Transport Coordinating Board (TTCB)
- Sub-committee on ATIGA Rules of Origin (SCA-ROO)
- ASEAN Community Statistical System (ACSS) Committee
- Sub-Committee on Planning and Coordination (SCPC)
- Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)
- o Working Group on SNA





2.0 ARISE PLUS DIRECT BENEFICIARIES

Sub Component	Bodies Consulted/Beneficiaries
1.1 ATIGA Implementation with Focus on Transparency and NTMs	ASEAN Secretariat's Trade Facilitation Division, Senior Economic Officials Meeting (SEOM), ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC), ASEAN Coordinating Committee on the Implementation of ATIGA (CCA), ASEAN Trade Repository/National Trade Repository (ATR/NTR) Focal Points in AMSs and Private Sector in ASEAN.
1.2 Trade Facilitation and Private Sector Engagement	SEOM, ATF-JCC, CCA, ASEAN Coordinating Committee on Services (CCS), ASEAN Coordinating Committee on Investment (CCI), AMSs' ASSIST Focal Points, ASEAN DSG for AEC, ASEAN Secretariat Trade Facilitation Division, ASEAN Transport Facilitation Working Group (TFWG), ASEAN Single Window-Steering Committee (ASW-SC), ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS), ASEAN Member States and Private Sector in ASEAN.
1.3 'On-Demand' Activities	CCA, Sub Committee on ATIGA Rules of Origin (SC-AROO), ASEAN Connectivity Coordinating Committee (ACCC), SEOM, ACCSQ, AMSs' Regulators, ASEAN Secretariat's Legal Service and Agreement Directorate, ASEAN Secretariat's Trade Facilitation Division, SEOM, ASEAN Member States and Private Sector in ASEAN.
2.1 Supporting the Development of the Quality Infrastructure and Related Policies in ASEAN	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN, ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, ACCSQ Working Group 2, ACCSQ Working Group 3, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST) and its Experts' Group on Metrology, Agriculture Working Groups for Crops, Fisheries and Livestock, Prepared Foodstuffs Product Working Group for Food Safety, the Pharmaceutical Products Working Group, Health Cluster 3, Health Cluster 4.
2.2 Supporting the Harmonisation of Standards and Compliance to International Standards	Working Group 1 of the ACCSQ, Product Working Groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States.





2.3 Supporting the Market Integration and Enhancing Food Safety in Agrobased Sector	Health Cluster 4, Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators, ASEAN Risk Assessment Centre for Food Safety (ARAC), ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee, Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM-AMAF), the Task Force ASEAN Standards on Organic Agriculture, the Agriculture Working Groups for Crops, Fisheries and Livestock, ACCSQ Working Group 2, ASEAN Consumers.
2.4 Support to the Pharmaceutical Sector	ACCSQ Pharmaceutical Product Working Group (PPWG), Health Cluster 2 and 3 under the ASEAN Health Ministers.
3.1 Customs Measures	ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB), the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group, and ASEAN Secretariat.
3.2 Transport Measures	TTCB, NTTCC, STOM, ASEAN Transport Facilitation Working AFFA, ASEAN Secretariat.
3.3 Implementation of ACTS	ASEAN Customs Directors-General, STOM, TTCB, CCC and its Working Groups, AFFA, NTTCC, ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
4.1 ASEAN Economic Integration Monitoring	ARISE Plus TAT regional and bilateral projects, EU Mission to ASEAN, EUD to AMS, ASEAN Integration Monitoring Directorate (AIMD), Relevant ASEAN Bodies, ASEAN Member States.
4.2 ASEAN Statistics Capacity Building	ASEAN Integration Monitoring Directorate (AIMD) and Statistic Division (ASEANstats), ASEAN Community Statistical System (ACSS), Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA), Working Groups on relevant domains.





3.0 PLANNED USED OF RESOURCES

	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
COMPONENT 0.0	Management and Outreach	215				55	83.5	115,442
Sub-component 0.1	Project Management and Coordination							
Sub Component 0.2	Demand Driven Facility					55	83.5	
Sub-Component 0.3	Visibility							
COMPONENT 1.0	Trade Facilitation and Regulatory Transparency		127.5			323	120	
Sub Component 1.1	ATIGA Implementation with Focus on Transparency and NTMs					165	120	
Sub Component 1.2	Trade Facilitation and Private Sector Engagement					158		
COMPONENT 2.0	Standards and Conformity Assessment in Particular Healthcare and Agrobased products			159		338		
Sub component 2.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance					66		
Sub-component 2.3	Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector					186		





	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub-component 2.4	Support to the Pharmaceutical Sector					86		
COMPONENT 3.0	Customs, Transport and ACTS				155	750		
Sub-component 3.2	Transport Measures					146		
Sub component 3.3	ACTS Implementation					604		
Component 4.0	ASEAN Economic Integration Monitoring and Statistics					580		
Sub-component 4.1	ASEAN Economic Integration Monitoring					256		
Sub component 4.2	ASEAN Statistics Capacity Building					324		
TOTAL Work Plan 5						2046		€115,442





4.0 TIMING OF ACTIVITIES

Activity		Q1	Q2	Q3	Q4
0.1.1	Project Management and Coordination	X	X	X	X
0.2.1	Demand Driven Facility	X	X	X	Х
0.3.1	Visibility	X	X	X	Х
1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs	X	x	X	X
1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	X	Х	X	Х
1.2.3	Strengthening Public-Private Cooperation	X	Х	X	Х
2.1.1	Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance	X			
2.1.3	Strengthening Conformity Assessment and Accreditation			X	
2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	X	X	X	
2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety	X	Х		
2.3.6	Strengthening ASEAN Co-operation on Organic Agriculture			X	
2.3.7	Training in food safety			X	Х
2.3.9	Harmonised Requirements for Food Contact Materials			X	
2.4.1	Strengthening the Pharmaceuticals Regulatory Framework		X	X	
2.4.2	ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products		x		
3.2.1	Operationalising the AFAFGIT and the AFAFIST	X	X	X	Х
3.2.2	Supporting Implementation of the Action Plan for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)		х	Х	Х
3.2.3	Supporting Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle (ASEAN-CBTP)	X	X	X	X
3.3.3	Rolling Out of ACTS	X	Х	X	X
3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)	Х	X	Χ	X



ASEAN Regional Integration Supported by the EU (ARISE) Plus Work Plan 5 (8 $^{\rm th}$ May 2022 – $7^{\rm th}$ May 2023)



Activity		Q1	Q2	Q3	Q4
3.3.5	Carrying out a Functional Upgrade of the ACTS	х	х	X	X
4.1.1	Result Oriented Monitoring, Publications and Dissemination for ARISE Plus	X	X	X	X
4.1.2	Supporting the Post-2025 AEC Visioning Process and Blueprint Formulation		Х	X	Χ
4.2.1	Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators	Х			
4.2.2	Supporting Gaps Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008	X	X	X	Χ
4.2.3	Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS	X	Х	X	
4.2.4	Support for strengthened IMTS data processing and quality, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment	X	X	X	
4.2.5	Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility	X	X	X	X