



# Work Plan 4

(8<sup>th</sup> May 2021 – 7<sup>th</sup> May 2022)

## ASEAN Regional Integration Support by the European Union - (ARISE) Plus

Project No. ACA/2016/389774

Service Contract No. EUROPEAID/138416/DH/SER/MULTI



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## ARISE PLUS FACT SHEET

Project Title	ASEAN Regional Integration Supported by EU (ARISE Plus)
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## LIST OF ABBREVIATIONS

ABAC	ASEAN Business Advisory Council
ACCSQ	ASEAN Consultative Committee on Standards and Quality
ACCC	ASEAN Connectivity Coordinating Committee
ACCP	ASEAN Committee on Consumer Protection
AC-SPS	ASEAN Committee on Sanitary and Phytosanitary Measures
ACSS	ASEAN Community Statistical System
ACTD	ASEAN Common Technical Dossier
ACTR	ASEAN Common Technical Requirements
ACTS	ASEAN Customs Transit System
ACTS-IP	ACTS Information Portal management
AEC	ASEAN Economic Community
AECB	ASEAN Economic Community Blueprint
AEGFS	ASEAN Expert Group on Food Safety
AEO	Authorised Economic Operators
AFAFGIT	ASEAN Framework Agreement on the Facilitation of Goods in Transit
AFAFIST	ASEAN Framework Agreement on the Facilitation of Inter-State Transport
AFAMT	ASEAN Framework Agreement on Multimodal Transport
AFFA	ASEAN Federation of Forwarders Associations
AFS-CC	ASEAN Food Safety Coordinating Committee
AHEEERR	ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime
AIMD	ASEAN Integration Monitoring Division
AMS	ASEAN Member State
API	Active Pharmaceutical Ingredient(s)
APLAC	Asia Pacific Laboratory Accreditation Cooperation
ARAC	ASEAN Risk Assessment Centre for Food Safety
ARASFF	ASEAN Rapid Alert System for Food and Feed
ARISE	ASEAN Regional Integration Support by the EU
ASEAN	Association of South East Asian Nations
ASEAN-CBTP	ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle
ASEC	ASEAN Secretariat
ASI-CT	ASEAN Statistical Indicators-Consolidated Template
ASOA	ASEAN Standard for Organic Agriculture
ASPSC	ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance
ASSIST	ASEAN Solutions for Services, Investment and Trade
ATFJCC	ASEAN Trade Facilitation Joint Consultative Committee
ATFW	ASEAN Trade Facilitation Work Plans
ATT	Authorised Transit Trader
ASW-SC	ASEAN Single Window Steering Committee
ATIGA	ASEAN Trade in Goods Agreement
ATR	ASEAN Trade Repository
AWP	Annual Work Plan
BE	Bio-equivalence
BFSDAS	Broad Framework for the Sustainable Development of ASEAN Statistics
BOP	Balance of Payments Statistics
CCA	ASEAN Coordinating Committee on the Implementation of ATIGA
CCI	ASEAN Coordinating Committee on Investment



CCBWG	Customs Capacity Building Working Group
CCC	ASEAN Customs Coordinating Committee
CCS	ASEAN Coordinating Committee on Services
CECWG	Customs Enforcement and Compliance Working Group
CEN-CENELEC	European Committee on Standardization – European Committee for Electrotechnical Standardisation
CIFOCos	Chronic Individual Food Consumption Database – summary statistics
CLMV	Cambodia, Lao PDR, Myanmar, Viet Nam
COB	Council of ASEAN Insurance Bureaux
COST	Committee on Science and Technology
CPTFWG	Customs Procedures and Trade Facilitation Working Group
DISCOTH	Dissemination, Communication, and Others Related
DSG	Deputy Secretary General
DG-DEVCO	Directorate-General for International Cooperation and Development
EBOPS	Extended Balance of Payments Statistics
EC	European Commission
EDSM	Enhanced Dispute Settlement Mechanism
EEE	Electrical and Electronic Equipment
EU	European Union
EUABC	EU-ASEAN Business Council
FA	Financing Agreement
FAO	Food and Agriculture Organization
FDIS	Foreign Direct Investment Statistics
GIFT	Global Individual Food Consumption Data Tool
GMP	Good Manufacturing Practice
HLAF	High Level Advocacy Forum
ICH	International Council for Harmonization
IGDRP	International Generic Drug Regulators Program
IMTS	International Merchandise Trade Statistics
ITD	Information Technology Development
KE	Key Expert(s)
KLTSP	Kuala Lumpur Transport Strategic Plan
KPI	Key Performance Indicator
JNKE	Junior Non-Key Expert
LSAD	Legal Services and Agreements Directorate
MIS	Management Information System
MPAC	Master Plan on ASEAN Connectivity
MRA	Mutual Recognition Arrangement
MSME	Micro-, Small-, and Medium-Sized Enterprises
MST	Malaysia, Singapore, Thailand
NKE	Non-Key Expert
NAB	National Accreditation Body
NFAB	National Focal Points for Accreditation
NSO	National Statistical Office
NTM	Non-Tariff Measure(s)
NTR	National Trade Repository
NTTCC	National Transit Transport Coordinating Committees
OFS	Other Fields of Statistics
OWP	Overall Work Plan
PFPWG	Prepared Foodstuffs Product Working Group
PIC/S	Pharmaceutical Inspection Co-operation Scheme



PPWG	ACCSQ Pharmaceutical Product Working Group
PSC	Project Steering Committee
PWG	Product Working Group(s)
SC-AROO	Sub Committee on ATIGA Rules of Origin
SCPC	Sub-Committee on Planning and Coordination
SDGI	Sustainable Development Goals Indicators
SITS	Statistics on International Trade in Services
SNA	System of National Accounts
SNKE	Senior Non-Key Expert
SPCD	Strategic Plans for Customs Development
STOM	ASEAN Senior Transport Officials
SOM AMAF	Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry
SQL	Structured Query Language
TA	Technical Assistance
TAT	Technical Assistance Team
TBT	Technical Barriers to Trade
TFD	Trade Facilitation Division
TFWG	ASEAN Transport Facilitation Working Group
TRIM	Trade-related Investment Measure
TTCB	Transit Transport Coordinating Board
UNCTAD	United Nations Conference on Trade and Development
VCO	Visibility, Communication and Outreach
WGDSA	Working Group on Data Sharing, Analysis, Dissemination and Communication
WGIIS	Working Group on International Investment Statistics
WGIMTS	Working Group on International Merchandise Trade Statistics
WGSITS	Working Group on Statistics on International Trade in Services
WGSNA	Working Group on System of National Accounts
WHO	World Health Organization
WSDGI	Working Group on Sustainable Development Goal Indicator
WTO	World Trade Organization

## EXECUTIVE SUMMARY

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### Background

The EU has been one of the most significant dialogue partners of ASEAN to have supported ASEAN Economic Community (AEC) integration efforts. The flagship support instrument of the EU to support AEC integration efforts has been the ARISE Plus Programme. The initial contract for the programme had a duration of 3 years covering the period 8<sup>th</sup> November 2017 to 7<sup>th</sup> November 2020 and a total budget of €13.8. Following a successful Mid Term review of the programme, ARISE Plus was extended by two years with an additional €7.4 million in funding taking the project to 5 years and €21.5 million.

The entire phase of Annual Work Plan 3 (AWP3) saw significant impacts from Covid 19 in terms of movement control the ASEAN member states and changes to official ASEAN working practices. ARISE Plus adapted well to the situation and pivoted to support ASEAN in both existing areas and new demand driven areas. The ARISE Plus team quickly adopted remote working practices across all key experts, lead experts for sub-components and short-term experts. During the initial stages of the pandemic extensive team consultations took place to adopt effective remote working approaches, streamline team communications, establish strong consultation approaches with beneficiaries and adjust planning where required. These efforts have been harvested in the form of continuous and rich consultations that have taken place over the last 12 months with the ASEAN Secretariat, relevant sectoral working groups, committees and the EUD Jakarta. These have served to enrich EU-ASEAN collaboration in supporting AEC integration efforts and have led to deeper and more strategic cooperation efforts.

Several tangible and significant results have been delivered by ARISE Plus to-date and a summary of the major results is provided below.

#### ***Support to strategic decision making for the AEC Blueprint 2025, from 2021 to 2025***

Through the support for the Mid-Term Review of the AEC Blueprint 2025, ARISE Plus has supported strategies for ASEAN economic integration and broader Community-building efforts for the second phase of the AEC Blueprint from 2021 to 2025. The AEC Blueprint 2025 Mid-term Review (MTR) was launched on 28<sup>th</sup> April 2021 under the patronage of the ASEAN Sec-Gen, EU Ambassador, Deputy Sec-Gen, members of the High-Level Task Force on Economic Integration (HLTF-EI), ASEAN-BAC Chair and over 200 other participants. Key MTR recommendations include the importance of results-based management, focussing on quality and impact instead of quantity and outputs and the breaking down of silos to have cross-sectoral community working practices and integration.

#### ***Seamless movement of goods in ASEAN facilitated through the operationalisation the ASEAN Customs Transit system (ACTS)***

ASEAN achieved a major breakthrough in “free movement of goods”, with the support of ARISE Plus. In November 2020 the launch of the ACTS took place involving senior officials from DG DEVCO, DG TAXUD, the Ambassador of the EU to ASEAN, ASEAN Secretariat Secretary General, Senior officials from the AMS and senior executives from the Freight Forwarders Association including



DHL. Live operations have commenced involving Cambodia, Malaysia, Singapore, Thailand and along the north-south and east-west transit transport corridors.

### ***Seamless movement of goods in ASEAN expanded through piloting of multimodal transport***

ARISE Plus has been supporting the ASEAN Framework Agreement on the Facilitation of Multimodal Transport (AFAMT). The first multi-modal transaction from Thailand to Vietnam was successfully carried out between 30<sup>th</sup> October to 2<sup>nd</sup> November 2020 through a combination of road and sea.

### ***ASEAN regulatory environment enhanced for trade in goods through legal review of ATIGA***

Based on a demand driven request, ARISE Plus undertook a comprehensive legal review of the ASEAN Trade in Goods Agreement (ATIGA) which included a legal analysis and comparative benchmarking against the new generation of preferential trade area (PTAs) including RCEP. Demand driven consultations with all member states was supported such both virtually and in written form. The legal review and consultations will support decision making by ASEAN in the General Review of ATIGA and the identification of the chapters for update.

### ***Regulatory transparency for business improved through support for effective implementation of ATIGA***

ARISE Plus has continued populating the ASEAN Trade repository with non-tariff measures (NTMs) with increasing ownership from the AMS. As of end April 2021, a total of 3782 NTMs have been uploaded to the ATR with a further 2331 awaiting validation and upload

### ***Food safety and quality strengthened through harmonised regulatory regime and traceability systems***

ARISE Plus has contributed to the harmonised regulatory regime for Food Safety in ASEAN, through the development of the ASEAN Food Safety Regulatory Framework Agreement (AFSRFA). The work involved facilitation of cross-sectoral integration efforts involving the Prepared Food Product Working Group (PFPWG), Health Cluster 4 and the ASEAN Sectoral Working Group on crops, ensuring the principle that food safety has to be assured across the entire food chain. It is currently supporting the Joint Sector Committee to implement the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs through a training course that will increase capacity in implementing the operating procedures. ARISE Plus has also supported the development of the ASEAN Guideline on Traceability for Food and Feed.

### ***ASEAN progress of the SDG 2030 agenda monitored through statistical support***

ARISE Plus has supported the ASEAN Statistical Community in the inaugural publication of the ASEAN SDG Indicators (SDGIs) Baseline Report and an online SDG portal providing access to SDGI statistics. These provide strong evidence-based data to support the monitoring of SDG progress.

### ***Increased ASEAN statistical capacities***

ARISE Plus has provided a number of capacity building programmes to the ASEAN Statistical Community. This includes the use of big data and regional training on seasonal adjustments. These have improved the capacity of ASEAN staff to undertake more accurate analysis and development high quality statistical reports.

## ***Better coherence of EU support at regional and national level***

During the previous Work Plan period, National level ARISE Plus projects commenced in Cambodia, Indonesia, Lao PDR, Malaysia, Myanmar Philippines Vietnam and Thailand . Effective coordination has been established at all levels, namely technical experts, Key experts heading up components, Team leaders and EUD officials of the relevant Delegations. This is resulting in shared information (improved efficiency and effectiveness) and links between national and regional agendas.

## **Strategy and Approach**

The ARISE Plus regional programme has always stood guided by the AEC Blueprint 2025 and as such during AWP4, ARISE Plus will dynamically adapt its approach to its activities **to include some of the key findings from the AEC Blueprint 2025 MTR**. The ARISE Plus WP4 alignment is complementary to the **ASEAN Comprehensive Recovery Framework (ACRF) and Implementation Plan to COVID-19**.

Annual Work Plan 4 (AWP4) of ARISE Plus covers the period 8<sup>th</sup> May 2021 to 7<sup>th</sup> May 2022. The planned activities will build on our existing terms of reference (TOR) and successes of the programme. ARISE Plus WP4 will focus on smaller number of activities to ensure they are strongly results-oriented and as far as possible sustainable.

AWP4, has been designed to place significant emphasis on **strengthening results-based management** including planning, monitoring, reporting and implementation as per **MTR Key Message 1 and an enabling factor in the ACRF**. Dedicated training and capacity building will be provided to ASEC and Sectoral Bodies on results-based management and will be reflected in the relevant ToR's prepared by the team. **Technical training** provided across all components will focus on **clear learning outcomes** including tangible results-oriented actions expected from training recipients.



**Key Message 1**  
**Good PROGRESS made, more needs to be done**



**Key Message 2**  
**Better response to CROSS-CUTTING ISSUES**

**Cross-pillar and cross-sectoral collaboration** has been a key feature of our work and will be maintained and expanded during AWP4. These will build on existing ARISE Plus efforts including food safety which brings together actors from health, agriculture, and trade; and ACTS and AFAMT which brings together actors from transport, customs, banking and trade. Training will include peer-peer training amongst participants to further amplify cross-sectoral collaboration and problem solving.

AWP4 activities will adopt broader engagements with the **private sector, research organisations and civil society** where relevant including dialogue when formulating ASEAN instruments and focus group discussions to identify issues and solutions and support decision making.



**Key Message 3**  
**Embark on broader and more meaningful ENGAGEMENTS**

**Key Message 4**  
**Use past LESSONS to inform future directions**

In line with **MTR Key Message 4**, ARISE Plus will focus its efforts on **Strategic Measures that directly contribute to the broader goals of the AEC Blueprint 2025**. This will include support for the increased operationalisation of ACTS and

AFAMT; further inputs to the ATIGA General Review; more effective use of existing platforms such as the ATR and the ePlatform for Private Sector Consultations to create outreach and dialogue; accelerating the adoption and implementation of AFSRFA and various supporting instruments in food safety; and mainstreaming results based-management and statistics across sectors where ARISE Plus is active.

**Key Message 5**  
**EMBRACE and ADAPT to new developments and trends**

Many ARISE Plus activities in AWP4 integrate **new developments and trends** in line with **Key Message 5 from the MTR**. These include **digitalisation** through platforms such as the ATR, ePlatform for Private sector Consultation

and ACTS; integrating **sustainability** across key areas of technical assistance such as organic agriculture, food safety, traceability and the use of SDG indicators which also **align with the ACRF priorities**. **Gender** considerations will be strengthened through the use of an existing core group of women experts across most components. A balance of men and women facilitators and speakers will be selected for events, always ensuring at least one women speaker. Dialogue with stakeholders like the ASEAN Women's Entrepreneurship Network (AWEN) will be undertaken for components 1 and 4. ARISE Plus is supporting ASEAN systems and regulatory regimes that will lead to more **inclusive and resilient recovery** from COVID-19 in-line with the **ACRF**. Examples include ACTS, AFAMT, harmonised systems for food safety and increased use of big data and results oriented monitoring for decision making.

## Highlights of Annual Work Plan 4

The highlights of AWP4 are presented below in terms of the main components of ARISE Plus, their expected outcomes and the main interventions that will contribute to these outcomes.

### Component 1

Component 1 focusses on **enhancing trade-related regulatory transparency in ASEAN**. AWP4 contributions to this outcome will focus on the continued operationalisation of the ATR by supporting AMS to identify, classify and notify NTMs and to build their capacity to sustain these efforts. This will include gathering of information to advance the ATR (e.g. tariff nomenclatures, national trade and customs rules), on-demand training for AMS and outreach and awareness sessions for the private sector. Support to the operationalisation and socialisation of the ASEAN Solutions for Investments, Services and Trade (ASSIST) platform to improve awareness, uptake, effectiveness and sustainability will be provided. Private sector engagement for ASSIST will include MSMEs and women enterprises through engagement of AWEN.

Private consultations with ASEAN and sectoral bodies will be further facilitated through the e-Platform for Consultations with the Private Sector. Building on the contributions to the Legal Review, ARISE Plus will provide support to the General review of ATIGA including finalising the Draft Final Report and providing specific recommendations for ASEAN to consider when amending and improving ATIGA in the context of the evolution of ASEAN, and regional and global trends. The facilitation of deeper and more effective private sector engagement and engaging MSMEs and

women entrepreneurs will be a feature of this component in line with Key Messages 3 and 5 of the AEC Blueprint 2025 MTR.

## **Component 2**

The expected outcome of Component 2 is the **harmonisation of regulatory regimes in food safety and pharmaceuticals in ASEAN**. Renewed efforts to strengthen the food safety system for ASEAN will be undertaken during AWP4 in alignment with the ACRF. Efforts will include drafting of legal instruments for implementation of the ASEAN Food Safety Regulatory Framework Agreement including MRAs and certification systems; and enhancing the institutional effectiveness and sustainability of the ASEAN Risk Assessment Centre (ARAC) through training and mechanisms for sharing of information. Support to member states will be provided in enhancing their Rapid Alert Systems for Food and Feed (RASFF). Support to enhance capacity amongst AMS in managing food borne disease outbreaks, food hygiene inspections and risk-based systems inspections will also be provided through comprehensive learning outcome-oriented training programmes. Support for ASEAN cooperation in organic agriculture will continue to facilitate a consensus on the sector Mutual Recognition Agreement (MRA) for Organic Agriculture Certification and the ASEAN Organic Certification scheme.

The work on pharmaceuticals will focus on continued support for the drafting of the ASEAN Pharmaceutical Regulatory Policy and ASEAN Pharmaceutical Regulatory Framework submitted for endorsement. Support will also be provided to the newly formed ASEAN Pharmaceutical Testing Laboratory Committee (APTLC) including the drafting of its action plan incorporating results-based management principles.

A key feature of the work in this component will be continued and enhanced cross-sectoral and cross-pillar engagement in-line with AEC Blueprint MTR key finding 2. This sectoral bodies from agriculture, fisheries, livestock, health and trade for food safety and health and trade for pharmaceuticals.

## **Component 3**

The expected outcome of component 3 is the enhanced harmonisation of the customs, transport and logistics systems in ASEAN. During AWP4, contributions to this outcome will focus on strengthening the operationalisation, effectiveness and sustainability of the ACTS in Malaysia, Singapore, Thailand, Cambodia, Laos, Vietnam. This will be undertaken through learning outcomes-oriented training on technical, procedural, and reporting aspects of the ACTS and help-desk functions. Functional upgrades to the ACTS will be undertaken in response to AMS requirements with acceptance testing and deployment to be led by the AMS. The feasibility of upgrading the ACTS to handle rail transport, transport of dangerous goods and combining a multi-modal transport environment will also be explored. Continued support will be provided to the ASEAN Framework for Multimodal Transport (AFAMT) in operationalisation and sustainability in AMS that have ratified the agreement. This will be undertaken through support for piloting, development of procedural manuals for public and private sector and refinement of a Multi-modal Transport Operator (MTO) Liability Scheme.

Both ACTS and AFAMT initiatives will build on existing successes to enhance the cross-sectoral collaboration, involving transport, customs, banking and trade sectors; and private sector engagement including transport operators, banks and insurance companies in line with AEC Blueprint MTR Key Messages 2 and 3. The full implementation of the ACTS will be an important

strategy to support ACRF recovery process and to strengthen longer-term resilience of supply chains across all member states through digitalisation, in-line with MTR key message 5.

Work to support customs clearance procedures and enforcement processes will continue.

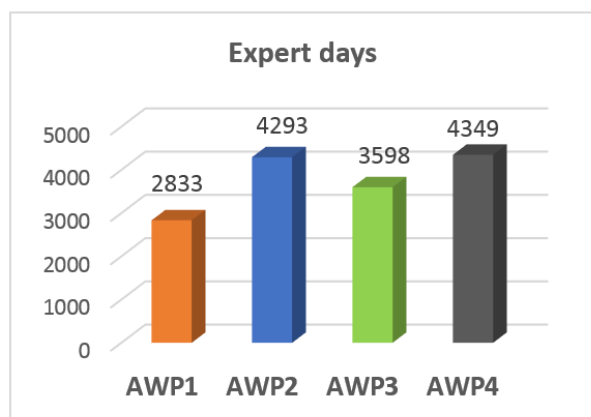
### **Component 4**

The expected outcome from Component 4 is strengthened results-based management and evidence-based decision making in the ASEAN integration process. During AWP4 ARISE Plus will seek to mainstream results-based management in all sectors that it is active in-line with Key Message 1 of the MTR. Results-based management will cover planning (including outcome oriented key performance indicators), results oriented monitoring, results oriented reporting and implementation. This will be done in AWP4 through awareness creation across the ARISE Plus expert team, development of training modules and provision of capacity building to staff from ASEC monitoring directorates, technical departments from AEC and ASCC and Sectoral Bodies. Where feasible, capacity building will involve cross-sectoral participation in line with Key Message 2 of the MTR. Training of trainers from ASEC will strengthen institutional effectiveness and sustainability. Specific, demand driven technical support will be provided to Sectoral Bodies in results-based management in priority areas. Analytical papers focussing on key issues and new trends will be developed in line with MTR Key Messages 4 and 5. These will support evidence-based decision making as AEC looks towards the next five years of the AEC Blueprint 2025 implementation and beyond. These studies will engage private sector and research institutes to strengthen their participation in AEC in line with Key Message 3 of the MTR and support the dissemination of results. A learning outcomes-based approach to all capacity building provided by ARISE Plus will be developed to enhance effectiveness and sustainability of training efforts. ARISE Plus will strengthen its monitoring mechanisms to assess the effectiveness and sustainability of its capacity building and adapt to improve these processes where required.

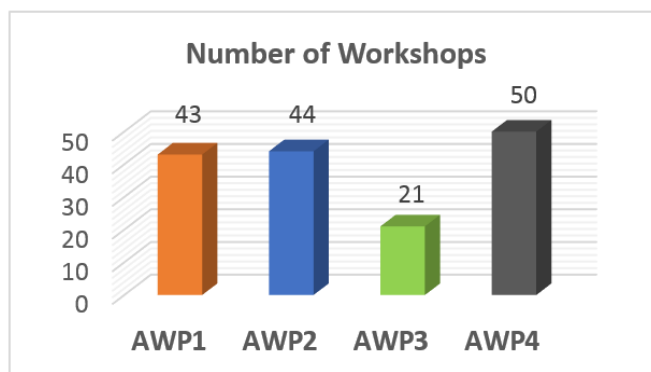
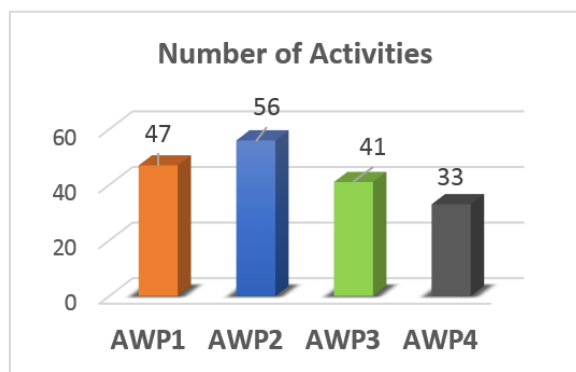
The work on statistics will be an important element of supporting evidence-based decision making. Continued support will be provided to improve the quality and dissemination of SDG indicators (SDGIs). Support to strengthen the quality and reporting on statistics will be provided in the areas of balance of payments (BOP), statistics on international trade in services (SITS), and foreign direct investment. Utilisation of big data will be enhanced through quality measures, training and dissemination including social media.

### **Delivery of Annual Work Plan 4**

The data below shows the trends of ARISE PLUS in terms resources, number of activities and workshops. It demonstrates the evolution of the project and the future direction including reduction in the number of activities and increased focus on results-oriented activities and sustainability with an emphasis on capacity building. The infographics below provide actual implementation figures for AWP1, AWP2 and AWP3 and projected figures for AWP4.



AWP4 is expected to deploy approximately 836 Key expert working days, 3358 Senior-Non-Key expert working days and 155 Junior Non-Key expert working days, an increase from what was used in AWP3. This reflects the substantive support to be provided to ASEAN to implement the sustainability of outputs and outcomes supported by ARISE Plus. Incidental expenses are expected to remain well below pre-COVID-19 levels due to the greater use and effectiveness of digital platforms to deliver training, capacity building and undertake consultations. A notional provision of 220,000€ has been made should the travel restrictions be lifted and movement in the region facilitated.



AWP4 will continue the trend to focus on a narrower set of activities with an emphasis on sustainability and results. AWP4 envisages 33 major activities and an additional 8 activities on demand. During AWP4, a significant increase in the number of workshops is envisaged including training, consultations with private sector and other stakeholders as well as cross-pillar and cross-sectoral dialogue in addition to the regular sectoral consultations.



## PLANNED PROJECT ACTIVITIES



### COMPONENT 0 PROGRAMME MANAGEMENT

#### Planned Resources

	S-NKE	J-NKE	Incidentals
Sub Component 0.1	0	0	€ 0
Sub Component 0.2	300	0	€ 220,000
Sub Component 0.3	0	0	€ 0
<b>Total</b>	<b>300</b>	<b>0</b>	<b>€ 220,000</b>

#### Sub-Component 0.1 Project Management and Coordination

##### **Activity 0.1.1 Project Management and Coordination**

The Team Leader and the Key Experts supported by the Project Assistants, will coordinate the implementation of WP4 activities under the respective components. With the experience gained over the last 12 months, the team is well organised and equipped to deal with working remotely and ensuring all key deliverables are fulfilled. The working methods are flexible, and as such resource use can be adjusted to meet the evolving ASEAN and EU calendar. The terms of reference for the short-term experts will be written to reflect this need of flexible working, while respecting the EU procedures. With 33 activities, and over 80 workshops to be delivered the project is looking forward to a busy year.

Valuable lessons have been learnt during this period including adapting to the restrictions from Covid-19 and the findings from the AEC Blueprint 2025 MTR. These recognise the importance of being results-oriented; encouraging cross-sectoral collaboration; widening dialogue and consultations to include private sector, research institutes and civil society; focussing on strategic measures that contribute to the goals of the AEC Blueprint 2025 including more effective use of existing platforms and systems and addressing new developments and trends such as digitalisation, sustainability, gender, inclusiveness and resilience.

In addition to coordinating the activities under Components 1-4, which are defined and detailed in the relevant chapters of this WP, the ARISE Plus Team Leader will promote the project to key stakeholders in the ASEAN and the EU maintain the strong focus on the Private Sector.

In addition, coordination between the ARISE Plus ‘Single Market’ project and the EU funded National ARISE Plus projects will be maintained and enhanced. Further use of the integrated monitoring framework, developed during the previous work plan, will be encouraged for the running projects and introduced to the new national projects as and when they commence.

The planned tasks of the Team Leader also include, but are not limited to;

- Managing the preparation and approval of progress reports and Work Plan 5;
- Consultation and coordination with all relevant stakeholders, including high level officials in the ASEC, ASEAN, EU Delegation and the leads of other dialogue funded projects;
- Provide high-level policy / technical / strategic guidance to the project;
- Identify, in cooperation with the ASEC, AMSs, and EUD areas where stronger focus is required to achieve sustainable project results;
- Manage the team of Key and non-Key Experts, including the monitoring of their use of working days and performance given the homebased work;
- Report regularly to the EU Delegation on progress achieved in implementing the project;
- Participate actively in M&E activities, with specific focus on developing and implementing strong results-oriented approach addressing the key messages from the AEC Blueprint 2025 MTR
- Ensure coordination across all ARISE Plus components, including those implemented at the national level.

### **Planning & Reporting**

The following reports will be prepared and submitted and during the period of ARISE Plus Work Plan 4 implementation: One Project Steering Committee will be organised during April 2022 with the support of PCPMD and the EUD Jakarta.

Period	Reports
8 <sup>th</sup> May 2021 – 7 <sup>th</sup> November 2021	Six-monthly Report 8
8 <sup>th</sup> November 2021 – 7 <sup>th</sup> May 2022	Six-monthly Report 9
8 <sup>th</sup> May 2022 – 7 <sup>th</sup> November 2022	Final Work Plan



## **Sub-Component 0.2. Demand Driven Facility**

### **Activity 0.2.1 Demand Driven Facility**

The budget allocation here represents an unallocated pool of resources to meet unforeseen demand. Discussions with SEOM, their associated bodies and the ASEC, have confirmed the need for this type of demand driven provision to be continued with the flexibility of the project seen to be key to the successes to date. Included in this Workplan, for the first time, is an indicative budget provision for incidentals to allow for the eventual prospect of travel and physical events being held over the course of the Work Plan.

From a project implementation perspective, it is unlikely the working day resources will be used for new activities unless the sustainability of the new intervention can clearly be demonstrated and is likely to be achieved the timeframe of the project. Given this focus, priority will be given to ongoing activities where the mobilisation of the additional resources will increase the likelihood of sustainable and outcome-oriented results. The criteria for the use of resources for activities projects will be developed as part of M/E work during Q1 of the work programme.

The modalities of utilisation will follow the process which reflects the standard procedures agreed by the PSC. It should be noted that the request for such activities will be initiated by the ASEC at the request of Coordinating Committees / sectoral bodies who are direct beneficiaries of the ARISE Plus.

## **Sub-Component 0.3. Visibility**

### **Activity 0.3.1 Visibility**

Significant progress was made during the last WP in improving the content of the project website, with the Team Leader in conjunction with the Key Experts and the backstopping team maintaining the visibility of project.

Discussions with the EUD task manager have highlighted the need to update the format of the website, to make the information more accessible, of interest to the wider general public, and with greater dissemination on the results achieved.

Therefore in line with this request, the project will initiate the updating of the website during Q1 of WP4 and focus during the period of the workplan on the publications on the website using the same system the project officers systematically uploading relevant reports of all key events and results achieved.

Furthermore communications will be extended through various media sources and systematic mailing of links to the website. The TL in conjunction with the project and the task manager will develop these systems under this WP. The M&E sub-component will generate various evidence, findings and knowledge products. The Team will also work closely with EUD's visibility contractor sharing photo's, flash reports and the calendar of events.



## COMPONENT 1.0: TRADE FACILITATION and TRANSPARENCY

Component 1 on Trade Facilitation and Transparency is organized on the basis of three Sub-Components of Activities, in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held from AWP1 to AWP3, and for purposes of AWP4, with the relevant sectoral bodies (*i.e.*, SEOM, ATF-JCC, CCA, Brunei's 2021 ASEAN Chair, ASEC, etc.), and leverage on the combined resources of each cluster of Activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

### Outcome

***Enhancing trade-related regulatory transparency in ASEAN***

### Planned Resources

Component 1.0	S-NKE	J-NKE	Incidentals
Sub Component 1.1	250	0	€ 0
Sub Component 1.2	76	0	€ 0
Sub Component 1.3	136	0	€ 0
<b>Total</b>	<b>462</b>	<b>0</b>	<b>€ 0</b>

### Sub-Component 1.1: ATIGA Implementation with Focus on Transparency and NTMs

This Sub-Component groups together the activities where implementation started during AWP1 and was then carried on during AWP2 and reorganized in AWP3. These were Activity 1.1.1 on “*Supporting the Effective Implementation of the ATIGA (ATR and NTRs)*”, Activity 1.1.2 on “*Identifying, Classifying and Notifying NTMs*”, and Activity 1.1.3 on “*Supporting the Coordinating Committee for the Implementation of the ATIGA*”. Activities 1.1.1 and 1.1.2 were merged in AWP2 into a single activity, renamed “*Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs*”. This activity needs to continue being implemented in order to fully achieve the intended results and guarantee long-term sustainability of the ATR and its trade-related regulatory transparency. Activity 1.1.3 on “*Supporting the Coordinating Committee for the Implementation of the ATIGA*” is merged with the other activities in this Sub-Component, given the CCA responsibility for these areas of intervention, in order to focus the available resources. However, systematic ARISE Plus reporting to CCA shall continue and specific support shall be provided, when necessary.

The key objective of Sub-Component 1.1 is the effective implementation of the ATIGA, particularly its commitments on trade-related regulatory transparency and the operationalization of the ASEAN Trade Repository (ATR) and the related network of AMSs' National Trade Repositories (NTRs). A critical element of this transparency effort continues to be the identification, classification and upload on the NTRs/ATR of the AMSs' non-tariff measures (NTMs), as well as the other categories of trade-related regulatory information, notably AMSs' national trade and customs laws and rules, their trade procedures and documentary requirements, and the administrative rulings of general application and necessary elements for informed compliance. This is mandated under Articles 11, 13 and 40 of the ATIGA, as well as by the AEC Blueprint (AECB) 2025 and the ASEAN '*NTMs Guidelines*', which collectively look poised to enhance trade facilitation within the region and allow for progressive NTMs' streamlining, where need be, and for the NTMs that amount to non-tariff barriers (NTBs) to be removed.

The continuation of this Sub-Component is fully in line with the programme of progressive ATR operationalization agreed by SEOM and CCA, particularly with respect to the NTMs category. Of critical importance is the aspect of increased visibility, awareness creation and outreach to the private sector on the availability and benefits of the ATR. Its use shall contribute to ensure the ATR's long-term sustainability, contribute to the implementation of the post-Covid ASEAN recovery framework, and support ASEAN's drive to streamline NTMs, address NTBs, and increase intra-ASEAN trade and regional economic integration.

#### **Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs**

##### **Rationale:**

Enhanced trade-related regulatory transparency is critical for the effective implementation of the ATIGA and is one of the key objectives of the AECB 2025 and the ASEAN '*NTMs Guidelines*'. There is no better catalyst for effective regional economic integration, intra-ASEAN trade facilitation, increased legal certainty and commercial predictability than transparency. The ATIGA requires that an ASEAN Trade Repository (ATR) be established and fed trade-related information from a network of National Trade Repositories (NTRs) located in the AMSs. Considerable work has been done to operationalize the ATR, but no AMS has yet completed and identification, classification, '*packaging*', validation and orderly upload of all its trade-related information on the ATR. Some AMSs are nearing completion, while others are trailing behind, facing inter-institutional complexities, capacity constraints, language barriers and a hard task in light of the amount of NTMs and other trade-related laws, regulations and procedures in their respective legal systems.

To get a sense of the scale of the results achieved and of the challenges still lying ahead, at the start of ARISE Plus the ATR contained no more than 163 NTMs, all improperly classified/packaged and of poor quality. At the end of AWP1, this number had risen to about 1,200, none of which had been validated by AMSs. By the end of AWP2, this number stood at roughly 3,000 NTMs, of which 1,877 had been validated by AMSs and are properly classified/packaged and of high quality. By the end of AWP3, the ATR counts over 6,000 NTMs, of which 3,782 have been properly classified/packaged, validated by AMSs, and uploaded on the ATR. It is expected that, when fully operationalized, the ATR will contain around 8,000 measures. The exact number and scale of the undertaking is difficult to predict as AMSs constantly legislate and regulate, with new measures being adopted, existing ones being modified, and others being repealed.

ARISE Plus continues to support the effective implementation of the ATIGA through improved transparency, by assisting AMSs to advance the development of the ATR and the NTRs in all AMSs, improving the quantity and quality of the information uploaded on those electronic platforms, in line with the ATIGA Article 13 requirements and the UNCTAD 2012 NTMs classification structure. This will encourage the use of more uniform content, and ensure regular maintenance and long-term sustainability. Additional support to CLMV will continue to be provided, as required. This additional CLMV effort by ARISE Plus is evidenced by the fact that CLMV are so far the best performing and more advanced AMSs in terms of NTMs uploads on the ATR. With respect to CLMV, ARISE Plus has also increased the amount of coordination with the ARISE Plus National Programmes, seeking synergies while avoiding overlaps and duplication. The same efforts are being made vis-à-vis other development partners and their support, especially in relation to NTMs.

The focus of the support on NTMs between AWP1 and AWP3 has been on the AMSs' categories of SPS (Sanitary and Phytosanitary) and TBT (Technical Barriers to Trade) trade-related regulation. For a number of advanced AMSs, the focus is now progressively shifting to the other NTMs sub-categories, as well as the categories of rules of origin, national trade and customs laws and rules, trade procedures and documentary requirements, and administrative rulings of general application (informed compliance). The programme of ARISE Plus support towards the full operationalization of the ATR remains ambitious and it may not be fully completed by all AMSs by the end of this work plan in May 2022, but the related processes will continue to unfold on a rolling basis. Implementation of the activity will need to factor in AMSs' needs, other development partners' initiatives, and the ability of ARISE Plus Regional to co-ordinate with other EU technical assistance projects in the ASEAN region.

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs
Main Beneficiaries	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN
Planned Tasks	<ul style="list-style-type: none"><li>• <b>Deploy</b> international trade experts (SNKEs) to engage with the authorities of each eligible AMS in charge of the respective NTRs and of the web-linkages to the ATR. The objective of the missions is to gather quality information, particularly in relation to the vast and all-important sub-categories of category 4 the ATR on NTMs, but also on the other scheduled areas of ATR advancement: 1) Tariff nomenclature; 2) MFN tariffs, preferential tariffs offered under the ATIGA and other Agreements of ASEAN with its Dialogue Partners; 3) Rules of origin; 5) National trade and customs laws and rules; 6) Procedures and documentary requirements; 7) Administrative rulings; 8) Best practices in trade facilitation; and 9) List of authorised traders (AEOs), as mandated under Article 13 of the ATIGA, properly 'package' the information, have it 'validated' by AMSs, upload it on the ATR, synchronize it on the respective NTRs (where available), and continue to provide 'on the job' training to AMSs' Government officials, so as to ensure the medium/long-term sustainability of the ATR;</li><li>• <b>Hold</b>, upon demand by each individual eligible AMS, an average of one NTM training or validation workshop (virtually or in hybrid format);</li><li>• <b>Hold</b>, in each AMS, a series of, outreach and awareness creation events (virtually or in hybrid format) to socialize the ATR with national private sector constituencies (chambers of commerce, business councils, trade associations and/or business federations) that are interested in intra-ASEAN regional trade;</li></ul>

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs	
	<ul style="list-style-type: none"> <li>• <b>Assist</b> selected AMSs and the ASEC, ‘on-demand’, vis-a-vis the process of systematically notifying NTMs to the ASEC and SEOM, in line with Articles 11, 13 and 40 of the ATIGA and the ‘NTMs Guidelines’, with the ASEC consolidating and maintaining/updating the required ‘NTMs Database’. This ‘on-demand’ task may be implemented by means of a regional workshop to train the AMSs’ Focal Points in notifying NTMs SEOM and the ASEC (advance notifications); and</li> <li>• <b>Pursue</b> co-operation and synergies with other EU ARISE Plus technical assistance projects in selected AMSs, as and where appropriate, and/or with other development partners active in the NTMs area (e.g., World Bank, USAid, UNCTAD/ERIA, etc.).</li> </ul>	
Deliverables		Time of delivery
	<ul style="list-style-type: none"> <li>• <b>Report</b> indicating, for each AMS, the number of NTMs and trade-related information entries (<i>i.e.</i>, weblinks) uploaded on the ATR at the end of AWP4. Each report shall also contain the individual mission and country reports of the individual NKEs that provided the technical assistance in each AMS during AWP4;</li> </ul>	Q4
	<ul style="list-style-type: none"> <li>• <b>Report(s)</b> on the specialised training and capacity building events conducted in the AMSs, if any and upon request of the individual AMSs, targeting small groups of beneficiaries from line agencies (<i>e.g.</i>, Customs, TBT, etc.), and/or outreach and awareness-creation initiatives (<i>e.g.</i>, seminars, conferences, tutorials) implemented to the benefit of the private sector;</li> </ul>	Q1-Q4
	<ul style="list-style-type: none"> <li>• <b>Report(s)</b> on the ‘on-demand’ support provided to AMSs, as required, to address needs in relation to the processes of information gathering, proper ‘packaging’, uploading on the respective NTRs, and web-linking to the ATR; and</li> </ul>	Q1-Q4
	<ul style="list-style-type: none"> <li>• <b>Report</b> on the regional workshop held to train selected AMSs’ Focal Points in notifying NTMs to SEOM and the ASEC.</li> </ul>	Q3 or Q4
Expected Results	<ul style="list-style-type: none"> <li>• The categories and sub-categories of the ATR that need to be populated for each AMS and the related number of weblinks are quantitatively increased and qualitatively improved, both on the ATR and on the respective AMSs’ NTRs (vis-à-vis the level of ATR development reached at the end of AWP3); and</li> <li>• Greater awareness and utilisation of the ATR by the private sector is achieved, as measured by the number of visits to the ATR and the outreach events held.</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	250
	JNKE	0

## **Sub-Component 1.2: Trade Facilitation and Private Sector Engagement**

This Sub-Component groups together Activity 1.2.1 on the “*Full Operationalisation and Management of ASSIST*” and Activity 1.2.3 on “*Strengthening Public-Private Cooperation*”). The Activities “*Assisting ASEC and AMSs in TF-Related Policies and Dialogue*” and “*Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation*” were discontinued in AWP2, in coordination with the ASEC, SEOM and ATF-JCC, in light of the lack of demand from the Beneficiary and the parallel scope and implementation of the e-READI project, while Activity “*Supporting the ASEAN Trade Facilitation Joint Consultative Committee*” is now being merged in order to focus the available resources. However, systematic ARISE Plus reporting to ATF-JCC shall continue and specific support shall still be provided.

The key objective of this cluster of activities remains the enhancement of trade facilitation within the region through the support and coordination of private sector engagement, primarily through ABAC, the Joint Business Councils (e.g., EUABC) and the ASEC, in line with the objectives in the ATIGA, the AEC Blueprint 2025 and the ASEAN Trade Facilitation Framework (ATFF). The broader context of this cluster of activities is ASEAN trade facilitation, which will be driven by ARISE Plus mainly through the full operationalization, socialization and proper management of the system of ASEAN Solutions for Investments, Services and Trade (ASSIST) for Trade in Goods and Trade in Services, ‘on-demand’ support of the ATF-JCC, and the setting and implementation of ASEAN trade facilitation policies. Considerable efforts will be made to further operationalize and build greater awareness among the ASEAN private sector on ASSIST, as the preeminent tool of public-private cooperation and trade facilitation. Additionally, at the request of the ATF-JCC, further support may be provided with respect to the *e-Platform for Consultations with the Private Sector*.

A stronger interface between ASEAN institutions and the private sector is foreseen within the context of the efforts being made by ASEAN to increase AMSs’ regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), and other key ASEAN trade facilitation instruments adopted for the primary benefit of the private sector (e.g., e-Platform, ACTS, ASW, Tariff Finder, MRAs, etc.). The increased use of these tools by ASEAN’s private sector appears critical to foster sustainable trade facilitation practices, contribute to the implementation of the post-Covid ASEAN recovery framework, and support ASEAN’s drive to streamline NTMs, address NTBs, and increase intra-ASEAN trade and regional economic integration, particularly to the benefit of micro- and small- and medium-sized enterprises (MSMEs) and women-owned businesses.

### **Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors**

#### **Rationale:**

ASSIST is one of the key trade facilitation tools adopted by ASEAN to implement the ATIGA and allow the private sector (i.e., ASEAN-based businesses, business councils, chambers of commerce, trade associations and business federations) to directly and autonomously engage with the AMSs with respect to the regional integration agenda and to address specific NTMs, NTBs and/or other operational issues affecting intra-ASEAN cross-border trade.

To date, few cases have been triggered through ASSIST, compared to its potential trade facilitative role. Greater efforts to build awareness on ASSIST within the ASEAN private sector are starting to bear fruits and need to be increased in order to guarantee effectiveness and long-term



sustainability to the tool. In particular, more needs to be done to increase the awareness of the private sector within the region, primarily by means of holding dedicated trainings/seminars/workshops to the benefit of the private sector, socializing the website, the operating manual, the tutorials and the *'train-the-trainers'* toolkits that have in the meantime been translated into all ASEAN languages. Particular emphasis should be placed at catering to the needs of MSMEs and women-owned enterprises or associations (*e.g.*, the *ASEAN Women Entrepreneurs Network*, AWEN).

During the AWP4, ARISE Plus aims at further supporting the full operationalization, socialization and management of ASSIST (for Trade in Goods and Services), particularly by holding a series of outreach events to the benefit of the private sector in each AMS and other selected ones, *'on demand'*. This should provide ASEAN with an improved and more effective tool for trade facilitation and private sector engagement, in line with the ATIGA, the AEC Blueprint 2025 and the *'NTMs Guidelines'*.

Activity 1.2.1	Full Operationalisation, Socialization and Management of ASSIST for Trade in Goods and Trade in Services	
Main Beneficiaries	SEOM, ATF-JCC, CCA, CCS, AMSs' ASSIST Focal Points, ASEC's TFD and SID, and the Private Sector	
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Hold</b> regular awareness creation events and initiatives, on average one per AMS (virtually or in hybrid format), among the respective AMSs' private sector organizations, on ASSIST for Trade in Goods and Trade in Services, in cooperation with the relevant AMSs' ASSIST Focal Points and their respective private sector stakeholders (<i>i.e.</i>, ABAC; the national or regional chambers of commerce, trade associations, business councils, and business federations; MSMEs and women-entrepreneurs' associations or networks; law societies; academia; media, etc.), in all AMSs and in regional contexts (<i>e.g.</i>, during the Roundtable Discussions on Trade Facilitation or back-to-back to other regional events held nationally); and</li> <li>• <b>Provide training</b> in all ASEAN languages covering the ASSIST website pages, the operating manual, the <i>'train-the-trainers'</i> toolkits, and the tutorials, assisting as necessary the ASEC's TFD and SID, as well as individual AMSs' ASSIST Focal Points, if so requested, to manage ASSIST cases.</li> </ul>	
Deliverables		Time of delivery
	• <b>Reports</b> on the awareness creation events and initiatives on ASSIST, held in cooperation with relevant private sector stakeholders in all AMSs and back-to-back to the regional events held nationally; and	Q1 – Q4
	• <b>Training materials</b> developed and to conduct training events in relation to the use of the ASSIST website, the ASSIST operating manual, the <i>'toolkits'</i> and tutorials.	Q1 – Q4
Expected Results	• ASSIST for Trade in Goods and ASSIST for Trade in Services are better socialized and used by the ASEAN private sector and properly managed by the ASEC and the AMSs; and	Q1-Q4
	• Private sector engagement (including among MSMEs and women entrepreneurs) and ASSIST awareness increase, both in terms of the quantity of cases lodged by ASEAN	Q1-Q4

Activity 1.2.1	Full Operationalisation, Socialization and Management of ASSIST for Trade in Goods and Trade in Services	
	enterprises of their representative entities and the quality of the solutions provided by AMSs, through the further operationalization of ASSIST.	
Resources (inputs)	Expert days	
	Experts	Work Days
	SNKE	40
	JNKE	0

### Activity 1.2.3 Strengthening Public-Private Cooperation

#### Rationale:

The role of the private sector in ASEAN integration is important as a key stakeholder in the process. The AEC Blueprint 2025 recognises that greater involvement of the private sector and more structured participation will be beneficial to the achievement of all ASEAN goals. Private sector inputs and partnerships are essential not only in designing regional strategies and initiatives, but also in identifying impediments towards deeper regional economic integration. Currently, the private sector only engages with some of the many ASEAN Sectoral Bodies, in diverse forms and with differing degrees of participation, sophistication and success. The AEC Blueprint 2025 calls for the redoubling of efforts to engage the business sector, particularly MSMEs, to provide easier access to official information on implementation, and obtain timely feedback on policies and trade-related measures. A stronger interface between ASEAN institutions and the private sector must be seen within the parallel contexts of the efforts being made by ASEAN to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), to allow ASEAN's private sector to engage and consult with the relevant Sectoral Bodies (*e-Platform for Consultations with the Private Sector*), and fully utilise other key trade facilitation instruments that ASEAN is implementing to the primary benefit of the private sector (*e.g.*, ACTS, ASW, Tariff Finder, MRAs, etc.).

The ABAC, as the primary private sector apex body channelling the positions and needs of nine ASEAN+1 business councils and of 66 business entities, is the institutionalised representative of the private sector before the ATF-JCC, SEOM and the other relevant ASEAN sectoral bodies. Its role and capacity need to be further supported and strengthened, so as to ensure that it can convey the needs, views, and contributions of the ASEAN private sector at large. Besides the institutionalized engagement of the private sector with the ATF-JCC, TFWG, ACCEC, ACCSQ, CCA, ASWSC, CCC and other relevant sectoral bodies through the newly established *e-Platform for Consultations with the Private Sector*, there is a need to continue supporting the activities of the ABAC and the other business councils and ASEAN-representative associations, particularly in the CLMV and vis-à-vis MSMEs and women entrepreneurs (*i.e.*, AWEN), in order to enable their effective participation to ASEAN initiatives and dynamics.

The objective of this activity is to enable the private sector to improve its ability to coordinate and cooperate with the ATF-JCC and all other relevant ASEAN sectoral bodies, particularly in view of the applicable Guidelines for Engagement and the ATF-WPs. ARISE Plus aims at being a catalyst for the regular engagement of the ASEAN institutions with ABAC and the other key ASEAN business councils. In particular, ARISE Plus shall continue to hold its flagship *Roundtable Discussions on Trade Facilitation* (RDonTF), either virtually or in hybrid format, and to provide co-ordination, support and



guidance to the EU-ASEAN Business Council (EUABC), which has been tasked by ABAC to take primary responsibility for trade facilitation issues. ARISE Plus shall also support this engagement by further assisting and enhancing the private sector's consultations with the ATF-JCC, the ASEC and the relevant Sectoral Bodies through the *e-Platform for Consultations with the Private Sector*.

The implementation of this activity has a horizontal nature across the three Components of ARISE Plus and shall also benefit the support and overall coordination by Key Expert 1 (Team Leader) through Activity 0.2.

Activity 1.2.3									
Strengthening Public-Private Cooperation									
Main Beneficiaries	ABAC, ASEAN JBCs, ATFJCC, ASEC								
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Support</b> of and coordination with ABAC and EUABC, as well as ASEC's TFD and ATF-JCC Chair, with preparatory meetings, technical presentations and <i>ad hoc</i> reports, as required, for purposes of their attendance at meetings of the ATF-JCC with the private sector and of the private sector with the other relevant ASEAN sectoral bodies within (<i>i.e.</i>, SEOM; ATF-JCC; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; etc.);</li> <li>• <b>Support</b> and possible enhancement, including by means of IT design and website upgrades, of the private sector's consultations with the ATF-JCC, the ASEC and the relevant Sectoral Bodies through the <i>e-Platform for Consultations with the Private Sector</i>, which is to become the primary <i>forum</i> of interaction between the ATF-JCC, the ASEC and the relevant Sectoral Bodies and the ABAC, plus its associated business councils; and</li> <li>• <b>Hold</b> three RDonTFs, virtually and/or through hybrid events, on key topics of trade facilitation and regional economic integration of particular interest to ABAC/EUABC and selected business councils, chambers of commerce, business federations and/or trade associations, including MSMEs and women entrepreneurs, in order to support their engagement with the ATF-JCC. <b><u>The current tentative topics are:</u></b> 1) The Process of General Review of the ATIGA: Objectives and Approaches; 2) The ATR and ASEAN's Private Sector: Opportunities and Sustainability; 3) Streamlining NTMs through Increased Transparency and Greater Use of ASEAN's Trade Facilitation Solutions.</li> </ul>								
Deliverables	<table border="1"> <thead> <tr> <th></th><th>Time of delivery</th></tr> </thead> <tbody> <tr> <td>• <b>Regular Progress Reports</b> given to ABAC/EUABC meetings, upon invitation, and reports provided on the meetings with ABAC and the associated business councils;</td><td>Q1 – Q4</td></tr> <tr> <td>• <b>Reports</b> on the support provided and the possible enhancement, including by means of IT design and website upgrades, of the private sector's consultations with the ATF-JCC, the ASEC and the relevant Sectoral Bodies through the <i>e-Platform for Consultations with the Private Sector</i>; and</td><td>Q1 – Q4</td></tr> <tr> <td>• <b>Reports</b> with the concepts, agendas and key takeaways of the RDonTF held on the three key trade facilitation and regional integration issues of particular interest to ASEAN's private sector.</td><td>Q1 – Q4</td></tr> </tbody> </table>		Time of delivery	• <b>Regular Progress Reports</b> given to ABAC/EUABC meetings, upon invitation, and reports provided on the meetings with ABAC and the associated business councils;	Q1 – Q4	• <b>Reports</b> on the support provided and the possible enhancement, including by means of IT design and website upgrades, of the private sector's consultations with the ATF-JCC, the ASEC and the relevant Sectoral Bodies through the <i>e-Platform for Consultations with the Private Sector</i> ; and	Q1 – Q4	• <b>Reports</b> with the concepts, agendas and key takeaways of the RDonTF held on the three key trade facilitation and regional integration issues of particular interest to ASEAN's private sector.	Q1 – Q4
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• <b>Reports</b> with the concepts, agendas and key takeaways of the RDonTF held on the three key trade facilitation and regional integration issues of particular interest to ASEAN's private sector.	Q1 – Q4								
Expected Results	<ul style="list-style-type: none"> <li>• ABAC, EUABC and other associated business councils, as relevant, including MSMEs and women entrepreneurs</li> </ul>								

Activity 1.2.3	Strengthening Public-Private Cooperation	
	associations, are able to more effectively articulate and convey their requests and comments to the ATF-JCC and other relevant ASEAN Sectoral Bodies, in line with the applicable ASEAN Guidelines for Engagement.	Q4
Resources (inputs)	Expert days	
	Experts	Work Days
	SNKE	36
	JNKE	0

### **Sub-Component 1.3: ‘On Demand’ Activities**

This Sub-Component groups together the activities that have been requested by the ASEC and/or the relevant ASEAN sectoral bodies and prioritised for implementation during AWP4. In particular, the ‘on demand’ activities for implementation during the AWP4, are the following: Activity 1.3.1 on “General Review of the ASEAN Trade in Goods Agreement (ATIGA)” and Activity 1.3.2 on “Supporting ASEAN in Streamlining NTMs and Removing NTBs”.

The key objective of this cluster of activities is to provide specific ‘on demand’ support to ASEAN in areas that are intimately linked, conducive and relevant to the trade facilitation agenda of ASEAN. The specific tasks under these activities shall be defined and prioritized, on a rolling basis and on the basis of the requests by ASEAN, in coordination with the relevant ASEAN sectoral bodies.

#### **Activity 1.3.1 General Review of the ASEAN Trade in Goods Agreement (ATIGA)**

##### **Rationale:**

It has been over ten years since the ATIGA entered into force in 2010. There have been several developments in the areas covered by the ATIGA, *inter alia* the progress in ASEAN’s economic integration agenda, ASEAN’s and AMSs’ expanding networks of bilateral Preferential Trade Agreement (PTAs), as well as the conclusion of the Regional Comprehensive Economic Partnership (RCEP) and new mega-regional PTAs that include some AMSs as Parties (*e.g.*, the Comprehensive and Progressive Agreement for Trans-Pacific Partnership, or CPTPP).

ARISE Plus was requested by CCA to assist in carrying out the legal analysis part of the General Review of the ATIGA, in line with the drivers identified by the AFTA Council, the ToRs of the action endorsed by ASEAN at the 33<sup>rd</sup> AFTA Council Meeting on 6 September 2019, the Inception Report proposed by ARISE Plus and endorsed by CCA at its 32<sup>nd</sup> meeting on 28 February 2020, the comments and guidance of the ASEC and CCA, and the broader context of the AEC Blueprint 2025, the implementation of the ‘NTMs Guidelines’, and the intervention rationale of ARISE Plus, particularly under Components 1.1 and 1.2.

ARISE Plus completed the complex and comprehensive legal assessment for the General Review of the ATIGA and submitted its draft Final Report on 5 March 2021, together with an additional comparative assessment of the ATIGA vis-à-vis the RCEP and a summarized matrix of the 424-page full report. AMSs, the ASEC and the relevant ASEAN Sectoral Bodies are in the process of reviewing the draft Final Report, but additional support has already been requested to address a number of structural, substantive and formatting issues, as well as to further engage with AMSs to discuss

bilaterally and regionally the legal review, conclusions and recommendations provided by ARISE Plus.

<b>Activity 1.3.1</b>	<b>General Review of the ASEAN Trade in Goods Agreement (ATIGA)</b>	
<b>Main Beneficiaries</b>	SEOM, CCA, AMSS, ASEC	
<b>Planned Tasks</b>	<ul style="list-style-type: none"> <li>• <b>Finalize</b> the report on the General Review of the ATIGA on the basis of the ToRs agreed with ASEAN, the methodology and structure endorsed in the Inception Report proposed by ARISE Plus, the findings and recommendations contained in the draft Final Report, and the requests and instructions from CCA and/or ASEC, to the extent possible in light of the ARISE Plus mandate and the available resources; and</li> <li>• <b>Hold</b> a (closed doors) high-level regional workshop, virtually and/or in hybrid format, to assess and discuss the findings and recommendations contained in the Final Report the General Review of the ATIGA, socializing the advice provided and seeking feedback and commentary from AMSS' representatives, CCA and the chairs of the relevant ASEAN Sectoral Bodies.</li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<ul style="list-style-type: none"> <li>• <b>Final Report</b> on the General Review of the ATIGA completed on the basis of the agreed ToRs and the instructions/requests from CCA/ASEC, to the extent possible in light of the ARISE Plus mandate and available resources. <u>The Final Report is to be treated confidentially (i.e., not for submission to the EU or any other party outside of ASEAN);</u></li> <li>• <b>Technical Materials</b> used to present the findings to CCA, ASEC and/or SEOM and the Chairs of the relevant ASEAN sectoral bodies. <u>These technical materials are to be treated confidentially (i.e., not for submission to the EU or any other party outside of ASEAN).</u></li> </ul>	<p>Q1</p> <p>Q1-Q2</p>
<b>Expected Results</b>	<ul style="list-style-type: none"> <li>• Specific recommendations are made for ASEAN to consider amending and improving the ATIGA in light of the evolved ASEAN, mega-regional and global international trade contexts.</li> </ul>	Q2-Q3
<b>Resources (inputs)</b>	Category	
	<b>Experts</b>	<b>Work Days</b>
	SNKE	86
	JNKE	0

### Activity 1.3.2 Supporting the Implementation of the 'NTMs Guidelines'

#### Rationale:

In accordance with the ATIGA, the ASEAN 'NTMs Guidelines' and the relevant WTO rights and obligations, AMSS must ensure that NTMs conform to the following main principles: 1) Necessity and proportionality; 2) Consultations and engagement; 3) Transparency; and 4) Non-discriminatory and impartiality.

Streamlining is the first prong of ARISE Plus support vis-à-vis NTMs. ASEAN's post-Covid19 Comprehensive Recovery Framework aims, *inter alia*, at: 1) Strengthening supply chain connectivity and resilience; 2) Enabling trade facilitation in the new normal (and beyond); and Eliminating Non-Tariff Barriers (NTBs) and cutting down market-distorting policies. Along the same lines, Brunei Darussalam's Priorities as ASEAN 2021 Chair call for a Comprehensive Assessment to Streamline Non-Tariff Measures (NTMs). Streamlining NTMs has long been pursued by ARISE Plus as a 'by-product' of its work and capacity building conducted with AMSs in relation to the identification, classification and validation of NTMs for upload on the ATR. Transparency is the pre-requisite to any streamlining process and so ARISE Plus is uniquely positioned to support ASEAN with this undertaking, in line with the ASEAN Good Regulatory Practice Core Principles, notably: a) Clarity in policy rationale, objectives, and institutional frameworks; b) Produce benefits that justify costs and be least distortive to the markets; c) Be consistent, transparent, and practical; d) Support regional regulatory cooperation; e) Promote stakeholder engagement and participation; and f) Be subject to regular review for continued relevance, efficiency, and effectiveness.

The second prong of ARISE Plus support in relation to NTMs/NTBs is the 'on demand' assistance in developing possible modalities of support and technical assistance to review specific measures, as referred by the relevant ASEAN Sectoral Bodies and/or ASEC or individual AMSs, to assess whether they are disproportionate NTMs or even possible NTBs. Such approach and technical assistance could greatly assist AMSs and CCA/SEOM in addressing perceived NTBs or overly-restrictive NTMs, thereby clearing long-standing 'trade irritants', even those that may persist on the Matrix of Actual Cases. Should this 'on demand' support not be activated or be delayed, technical assistance could be provided instead in order to strengthen the inter-ministerial institutional framework that is a prerequisite for AMSs to be able to coordinate internally and swiftly identify newly proposed NTMs (or NTBs), or modifications to existing ones, for advance notifications to the ASEC and SEOM, as per Articles 11 and 40 of the ATIGA. ARISE Plus intends to support AMSs to share regionally best practices for gathering within the respective National Trade Facilitation Committees (NTFCs) all the relevant ministries and agencies holding competences vis-à-vis trade-related legislation/regulation and NTMs.

This line of technical assistance is also highly synergic with the other activities being implemented by ARISE Plus during AWP4, in that the increased NTMs streamlining, NTBs removal and/or AMSs' domestic inter-ministerial coordination look poised to have positive spill-over effects on the operationalization of the ATR, on the prompt and effective engagement with the private sector, and on the post-Covid19 recovery.

Activity 1.3.2	Supporting ASEAN in Streamlining NTMs and Removing NTBs
Main Beneficiaries	SEOM, ATF-JCC, CCA, ACCSQ, AMSs, ASEC
Planned Tasks	<ul style="list-style-type: none"><li>• <b>Hold 'on demand'</b> a regional workshop, virtually and/or in hybrid format, to review and discuss international best practices on NTMs streamlining and inter-ministerial coordination and information-exchange on NTMs and trade-related regulation;</li><li>• <b>Prepare</b> and disseminate at the regional workshop a concise and operational step-by-step guide for AMSs to engage in NTMs streamlining;</li></ul> <p><b>or</b></p> <ul style="list-style-type: none"><li>• <b>Conceptualize</b> and codify, and in close coordination with the ASEC, legal tools and institutional mechanisms that would assist ASEAN in reviewing, analysing and addressing overly-restrictive NTMs and/or perceived NTBs; and</li></ul>



Activity 1.3.2	Supporting ASEAN in Streamlining NTMs and Removing NTBs	
	<ul style="list-style-type: none"><li>• <b>Hold ‘on demand’</b> a regional workshop, virtually and/or in hybrid format, to review and discuss the proposed legal tools and institutional mechanisms.</li></ul>	
Deliverables		Time of delivery
	<ul style="list-style-type: none"><li>• <b>Report</b> on the regional workshop held to review and discuss international best practices on NTMs streamlining and inter-ministerial coordination and information-exchange on NTMs and trade-related regulation;</li></ul>	Q2-Q3
	<ul style="list-style-type: none"><li>• <b>Technical materials</b> prepared to train AMSs on NTMs streamlining and inter-ministerial coordination on NTMs;</li></ul>	Q2-Q3
	<u>or</u>	Q3-Q4
	<ul style="list-style-type: none"><li>• <b>Report</b> on the possible legal tools and institutional mechanisms to review, analyse and address overly-restrictive NTMs and/or perceived NTBs; and</li><li>• <b>Report</b> on the regional workshop held to review and discuss the proposed legal tools and institutional mechanisms.</li></ul>	Q3-Q4
Expected Results	<ul style="list-style-type: none"><li>• The legal processes and institutional frameworks to streamline NTMs and to address perceived NTBs shall be strengthened and made more effective</li></ul>	Q4
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	50
	JNKE	0



## COMPONENT 2.0 STANDARDS AND CONFORMITY ASSESSMENT IN PARTICULAR HEALTHCARE AND AGRO-BASED PRODUCT

This component focusses on supporting ASEAN to advance market integration by assisting the ASEAN Consultative Committee for Standards and Quality (ACCSQ) as the body responsible for overseeing work on standards and conformity assessment and simultaneously assisting its working groups and coordinating with other ASEAN bodies under the Health and Agriculture. The assistance provided to support the horizontal initiatives on standards and conformity assessment is supplemented by specific and comprehensive assistance on Agro-based goods and Pharmaceuticals sectors. The Component comprises of 4 Sub-Components, each with a set of activities that reflect Technical Assistance mandated under the terms of reference of ARISE Plus.

The counterparts for Component 2 are ASEAN bodies within the Market Integration, Human Development and Sectoral Development Directorates of the ASEAN Secretariat. The specific bodies that are engaged in the activities include the ASEAN Consultative Committee for Standards and Quality (ACCSQ), ACCSQ Working Groups 1 (Standards) & 2 (Conformity Assessment), the Pharmaceutical Product Working Group (PPWG), the Prepared Foodstuff Product Working Group (PFPWG); and Health Cluster 3 (Strengthening health system and access to care) and Health Cluster 4 (Ensuring food safety).

### Outcome

***Harmonisation of regulatory regimes in food safety and pharmaceuticals in ASEAN***

### Planned Resources

Component 2.0	S-NKE	J-NKE	Incidentals
Sub Component 2.1	10	0	€ 0
Sub Component 2.2	15	0	€ 0
Sub Component 2.3	320	0	€ 0
Sub Component 2.4	190	0	€ 0
<b>Total</b>	<b>535</b>	<b>0</b>	<b>€ 0</b>

## **Sub-component 2.1 Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance**

ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance (ASPSC), developed with the assistance of ARISE in 2016, continues to provide direction for ACCSQ and its working groups in setting its targets.

ARISE Plus has provided support to the ACCSQ for the development of the key policies and guidance documents on standards, conformity assessment, accreditation and technical regulations and to the dissemination and implementation of these policies and guidelines and supporting implementation at national level.

Further progress in regional economic integration is dependent on the implementation of the policies, guidance documents, and recognition arrangements by Member States. The activities of the Working Groups that focus on the implementation of mutual recognition arrangements, harmonisation of regulatory regimes and other initiatives relating to harmonisation of standards and on accreditation of conformity assessment effectively build upon the policy and guidance documents that have been previously developed. Future interventions are planned to be an on-demand basis and will include the updating of the policy guidelines developed for standards, conformity assessment, accreditation and technical regulations. Specific plans are in place for updating the guidance on the harmonisation of standards in the plan.

### **Activity 2.1.1 Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance**

#### **Rationale:**

ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance (ASPSC), developed with the assistance of ARISE in 2016, provided direction for ACCSQ and its working groups. ACCSQ has established key performance indicators (KPIs) for the 6 strategic thrusts identified in the Plan and these strategies have been referenced by the working groups to develop their respective action plans and expected outcomes.

ARISE Plus has facilitated ACCSQ to engage in a dialogue with representatives from CEN-CENELEC Management Centre (CCMC) to discuss potential cooperation and additionally to share experience with ACCSQ Members on the advanced internal regional standards systems. It is planned that a dialogue to develop cooperation would continue towards a long term sustainable Institutional arrangement.

Key stakeholders are ACCSQ Members, ACCSQ Working Groups (WGs) and Product Working Groups (PWGs), Desk Officers in ASEAN Secretariat responsible for ACCSQ, ACCSQ Working Groups and Product Working Groups.

<b>Activity 2.1.1</b>	<b>Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance</b>
<b>Main Beneficiaries</b>	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.



Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance	
Planned Tasks		
	<ul style="list-style-type: none"><li>Updating the ASEAN Policy and Guidance documents on standards, conformity assessment, accreditation, development of harmonised regulatory regimes and technical regulations (on an on-demand basis)</li><li>Dialogue for exchange of information and views to be facilitated through participation in CMCC training events and discussions (through electronic means).</li></ul>	
Deliverables		Time of delivery
	<ul style="list-style-type: none"><li>Exchange of information and views between ACCSQ Members and the CCMC.</li><li>Review of ASEAN Policy and Guidance documents on standards, conformity assessment, accreditation, development of harmonised regulatory regimes and technical regulations for continuing relevance.</li></ul>	Q1 – Q4
Expected Results	<ul style="list-style-type: none"><li>Selected ASEAN Policy guides on Policy and Guidance documents on standards, conformity assessment, accreditation, development of harmonised regulatory regimes and technical regulations reviewed for continuing relevance.</li></ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	10
	JNKE	0

## Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

The activity planned in this subcomponent is to assist ASEAN to ensure that there are sufficient relevant harmonised standards to support planned market integration initiatives. This will be achieved by reviewing the methods for the identification standards needed and the process of harmonisation that are adopted. Together with this, it is intended that the dissemination of information on harmonised standards to stakeholders will be improved and result in increased use of international standards in ASEAN. The harmonisation of Member States' Standards when undertaken on the basis of international standards which will in turn facilitate the strengthening of regional value chains.



**Activity 2.2.1 Supporting harmonisation of Standards****Rationale:**

An ASEAN Policy Guideline was developed for the harmonisation of standards during ARISE project and followed by a review of ASEAN's progress on the harmonisations standards undertaken during ARISE Plus. The progress on the harmonisation of standards has stagnated in recent years with small increase in the number of harmonised standards. ACCSQ revised the mandate of working Group 1 in its 2016 -2025 Strategic Plan to provide for more focus on the harmonisation of Standards. The sustainability of the programmes for harmonisation of standards will be improved by introducing changes to the roles of the parties involved as defined in their terms of reference and processes adopted. Following the review, recommendations were developed and discussed for instituting changes to the processes to reflect the changing needs, to enhance the cooperation between national standards bodies and to increase effectiveness and relevance of harmonisation efforts. Deliberations within WG 1 in the current work plan enabled a consensus on need to make changes to the process for the harmonisation of standards and for the ASEAN Policy Guide for the Harmonisation of Standards to be revised to accommodate the enhanced processes. This has been supported by the ACCSQ and plans are in place to conduct discussions to revise the Guideline to enable the implementation of the new processes.

Activity 2.2.1 Supporting harmonisation of Standards		
Main Beneficiaries	ACCSQ WG 1, National Standards Bodies of AMS, Regulatory authorities, manufacturers and traders	
Planned Tasks	<ul style="list-style-type: none"><li>Develop recommendations for amendments to the ASEAN Guideline for Harmonisation of Standards and circulate this for review by WG 1 and ACCSQ.</li><li>To plan and organise a workshop for WG 1 and other ASEAN Stakeholders to consider the revisions to the ASEAN Guideline for Harmonisation of Standards</li><li>To assist in drafting the final revised Guideline, based on inputs from WG 1 and workshop recommendations.</li></ul>	
Deliverables		Time of delivery
	<ul style="list-style-type: none"><li>Detailed proposal for amendments to the ASEAN Guideline for Harmonisation of Standards.</li><li>Workshops for WG 1 to deliberate on the revisions to the ASEAN Guideline for Harmonisation of Standards</li></ul>	Q1 Q 2
Expected Result	<ul style="list-style-type: none"><li>Updated version of the ASEAN Policy Guideline for the Harmonisation of Standards adopted by ACCSQ.</li></ul>	

Activity 2.2.1	Supporting harmonisation of Standards	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	15
	JNKE	0

### **Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector**

This sub-component includes activities for the ASEAN bodies under the Agriculture, Health and Trade Ministers. In these sectors, the seven activities provide support to the relevant ASEAN Working groups, Considerable progress has been made under a number of activities in the sectors during the ARISE Plus project, most notably the development of the ASEAN Food Safety Regulatory Framework (AFSRF) Agreement is completed with the final draft having been approved by the senior officials of the agriculture, economic and health, sectors. The Agreement is now with the Members states and the ASEAN Secretariat is awaiting formal notification for signing by Ministers.

For the PFPWG, work on the development of the implementing procedures for MRA on PF has been completed and the complete set of procedures have been adopted by the Joint Sector Committee. Training for ASEAN Experts who will be evaluating applications to be recognised under the MRA is ongoing with the first of the 2 modules having been completed. The ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS) has made good progress in the harmonisation of food additives, food contaminants, and food contact materials.

For the Agriculture sector support provided to the Experts Group on Organic Agriculture has resulted the third draft of the MRA for organic agriculture certification and the associated harmonised ASEAN Certification Scheme for Organic Agriculture, while work for enhancement of the operations of the ASEAN Risk Assessment Centre (ARAC) for Food Safety and support for the implementation of ARASFF is in progress.

Under this work plan ARISE Plus will build on the previous successes and

- Continue to provide support to the Task Force for AFSRF for the preparations for adoption and implementation of the AFSRF Agreement (Support to the Agriculture, Economic and Health sector) on an on-demand basis.
- Continue to provide support the implementation of the MRA on PF through training of evaluators (approved experts) to enable the implementation of the MRA on a pilot basis; (Support to PFPWG)
- Support Gap Analysis for the implementation of the MRA on PF in Member States (Support to Member States for implementing the MRA on PF)
- Support the ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS) (previously known as the in the Task Force the Harmonisation of Prepared Foodstuffs Standards) in harmonisation of standards in harmonising control measures for food contact materials and for nutrition reference values.

- Support the development and finalisation of the MRA on Organic Agriculture Certification; (Support to EWG-OA)
- Enhance the Institutional effectiveness of ARAC for long term sustainability, and build the international recognition through conduct of studies for production of technical reports and new risk assessments (Support to ASEAN Health Cluster4)
- Continue supporting the development of the rapid alert system for Food and Feed and associated instruments such as those on food safety (Support to the ARASFF NCP and national level RASFF)
- Support the publication and dissemination of the ASEAN Guideline on Traceability for Food and Feed
- Continue supporting the development of the ASEAN Network for Food safety Training and the associated training courses (Support to the ASEAN Health Cluster 4 and Agriculture.

### Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

#### **Rationale:**

The ASEAN Food Safety Regulatory Framework (AFSRF) Agreement legal text has been finalised. It is now awaiting formal confirmation by all Member States to enable the signing of the Agreement. The Agreement, upon adoption and implementation, will facilitate improved co-ordination among the different bodies involved in food safety in ASEAN and launch a process to the realisation of a harmonised and comprehensive regulatory regime for food safety in ASEAN. The AFSRF Agreement requires that elements of the food safety that are regulated will be defined in dedicated legal instruments (referred to as protocols in the AFSRF Agreement).

While awaiting the signing of the Agreement and its entry into force, The ASEAN Senior Officials have decided to extend the term of the Task Force that was established to develop the Agreement. ASEAN Secretariat is in the midst of consultation with the task force to determine its plans and support needed for the immediate future. ARISE Plus has thus planned to maintain support to the Task Force for the AFSRF on a on demand basis. The assistance that could be provided would include preliminary work on the development of protocols for the elements of food safety that are recommended by the Task Force. Support may additionally be provided for the functioning of the ASEAN Food Safety Coordinating Committee that will commence its formal operations upon the entry into force of the AFSRF Agreement.

Activity 2.3.1	Developing and Implementing the ASEAN Food Safety Regulatory Framework
Main Beneficiaries	Ministerial Bodies and Senior Officials Meetings in the Health, Economic and Agriculture, Task force on the Development of the AFSRF Agreement, PFPWG, Health Cluster 4, ASEAN Sectoral Working Groups on crops, fisheries and livestock.
Planned Tasks	<ul style="list-style-type: none"><li>• Activities will be implemented on an on-demand basis upon consultation with the AFSRF Task Force and ASEAN Secretariat. This may include the design and publication of the legal text of the AFSRF Agreement and may include preliminary work on the development of protocols for the elements that are selected by the Task Force to be implemented.</li></ul>

Activity 2.3.1	Developing and Implementing the ASEAN Food Safety Regulatory Framework	
Deliverables	Additionally, support for preparations for the commencement of the functioning of the ASEAN Food Safety Coordinating Committee may be provided on an on-request basis.	
		Time of delivery
	• N/A	Q1 – Q4
Expected Results	• N/A	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	25
	JNKE	0

### Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

#### Rationale:

The Prepared Foodstuffs Product Working Group (PFPWG) finalised a Mutual Recognition Arrangement of inspection and certification systems on food hygiene for prepared foodstuffs (MRA on PF) in 2016. Recent assistance has been provided to develop implementing procedures and has assisted in the development and adoption of a full set of operating procedures. The implementation requires the assessment of applicant Member State's Food Hygiene inspection and certification systems to be evaluated by a panel of experts. ARISE Plus current plans are to support in the training and development of the panel of experts so as to enable the assessments to proceed in a competent and consistent manner and the pilot implementation of the MRA.

Additionally, support will continue to be provided directly to Member States to prepare for the implementation of the MRA on PF. Such support includes assistance to conduct Gap Analysis on Member States food safety systems and will include recommendations on changes required.

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	
Main Beneficiaries	Prepared Foodstuffs Product Working Group (PFPWG), Joint Sectoral Committee on MRA on PF, and Members State's food safety regulators	
Planned Tasks	<ul style="list-style-type: none"> <li>Conduct the 2<sup>nd</sup> Module of training for ASEAN Member States' experts expected to be appointed as evaluation panel members responsible for evaluating applications for participation in the MRA on PF.</li> </ul>	

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs										
Deliverables	<ul style="list-style-type: none"><li>Conduct Gap Analysis of food hygiene systems against the requirements of the MRA on PF for up to 3 Members States.</li></ul>										
			Time of delivery								
	<ul style="list-style-type: none"><li>Training conducted for up to 25 ASEAN Food Safety Experts to conduct evaluations of applications for participation in the MRA on PF.</li><li>Gap Analysis for an additional 3 Member States Food Hygiene Systems conducted and recommendations made to enable participation in MRA on PF.</li></ul>		Q2/Q3  Q1 – Q4								
Expected Result	<ul style="list-style-type: none"><li>The Joint Sector Committee is prepared to receive and process applications form Member States to have their food hygiene systems recognised under the MRA on PF.</li><li>An additional 3 Members States have clear recommendations to enhance their internal food safety control systems and to comply with the provisions of MRA on PF.</li></ul>										
Resources (inputs)	<table><tr><td colspan="2">Category</td></tr><tr><td>Experts</td><td>Work Days</td></tr><tr><td>SNKE</td><td>50</td></tr><tr><td>JNKE</td><td>0</td></tr></table>			Category		Experts	Work Days	SNKE	50	JNKE	0
Category											
Experts	Work Days										
SNKE	50										
JNKE	0										

### Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

#### Rationale:

The ASEAN Risk Assessment Centre for Food Safety was established with ARISE support to the ASEAN Experts Group on Food Safety (AEGFS) under the authority of the AMSs' Health Ministers. Following the development of the concept and consensus of Food Safety Regulators in ASEAN, the AEGFS proposal to establish an ASEAN Risk Assessment Centre (ARAC) in Kuala Lumpur received endorsement from the ASEAN Health Ministers Meeting in August 2014. As ASEAN accelerates its harmonisation of food standards and food safety measures, there is a need for risk assessments to be undertaken to provide data and results to risk managers, to ASEAN Bodies undertaking harmonisation, and to Member States.

ARAC is a key element in the ASEAN Food safety Regulatory Framework. The analysis that it conducts will provide a basis for the development of harmonised standards and food safety measures undertaken to mitigate risks. The potential role of ARAC is vast as ASEAN seeks to harmonise its food safety standards and measures. ARAC has conducted a risk assessment on a pilot scale and review the current status conducted with the support of ARISE. The governing body of ARAC, the ASEAN

Health Cluster 4 (formerly the AEGFS) recognised that there is a need to review the governance for long term sustainability, the defined scope of work and the operating procedures. ARISE Plus has indicated that it will support AHC 4 in these efforts.

Activity 2.3.3		Supporting the ASEAN Risk Assessment Centre for Food Safety	
Main Beneficiaries		ARAC, Health Cluster 4, Food Safety Regulators in ASEAN Member States	
Planned Tasks		<ul style="list-style-type: none"> <li>Assist food safety officials and experts in ASEAN Member States to develop risk profiles of identified categories of food/agricultural products by providing training (through video conference facility), developing guidance documents and assisting in the pilot development of risk profiles.</li> <li>Assist ARAC and food safety authorities in Member States to enhance the sharing of technical information on on-going and planned risk assessment national level work through the development of a mechanism and procedures, leveraging on the existing ARAC website.</li> <li>Support ARAC's initiatives to enhance the governance of ARAC and assure its financial and organisation sustainability by supporting consultations with stakeholders and providing experts' advice and recommendations.</li> </ul>	
Deliverables			Time of delivery
		<ul style="list-style-type: none"> <li>Risk profile established for leafy vegetables in ASEAN Member States and recommendations for regulators and follow-up work.</li> </ul>	Q2
		<ul style="list-style-type: none"> <li>Up to 25 ASEAN food safety experts/officials trained on developing risk profiles for food and agricultural products.</li> </ul>	Q1 – Q4
		<ul style="list-style-type: none"> <li>Generic guidance developed for establishing risk profiles for food and agricultural products in ASEAN.</li> </ul>	Q 4
		<ul style="list-style-type: none"> <li>Web based mechanism and procedures, for exchanging information on risk assessment and a repository of information established.</li> </ul>	Q3
		<ul style="list-style-type: none"> <li>ASEAN Health Cluster 4 develops a plan to upgrade the governance and sustainability of ARAC.</li> </ul>	Q4
Expected Result		<ul style="list-style-type: none"> <li>Risk Profile for leafy vegetables in ASEAN published.</li> <li>ASEAN food safety officials and experts better equipped to develop risk profiles for agri-food products.</li> <li>On-going exchange of risk assessment information between ASEAN Experts facilitating cooperation and use of information in enhancing food safety.</li> </ul>	

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety	
Resources (inputs)	<ul style="list-style-type: none"> <li>ARAC on a stronger and more sustainable footing to expand its operations.</li> </ul>	
	Category	
	Experts	Work Days
	SNKE	75
	JNKE	0

### Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)

#### **Rationale:**

Growing intra ASEAN food trade is increasing the risk of having a major food safety crisis hit the region in the future, and food safety authorities have to face the challenge. In case of such food safety crisis, communications on related risks are key in implementing corrective measures and support the identification of the source and sites where contaminated products have been distributed to.

The ASEAN Rapid Alert System for Food and Feed (ARASFF) mechanism was endorsed by the 34th Special SOM - AMAF, held on August 2013. This decision resulted in the creation of a Steering Committee and appointment of National Contact Points by the national agencies in charge of food safety. It is implemented on a voluntary understanding between Member States.

ARASFF is a web-based application of regional scope enables Competent Authorities in Food Safety and Public Health of all ASEAN Member States to rapidly notify and exchange information on direct or indirect risks to human deriving from food or feed being traded in ASEAN, and measures taken to prevent them entering the food chain. The ARASFF application was developed with support from the EU and resides in a server provided by Thailand. Since its establishment, efforts have been placed on the development of regional tools and processes to operate the ARASFF. However, only a limited number of notifications have been submitted to ARASFF. This is primarily due to lack of food safety alert systems operating at national level. Efforts in the last plan year were focussed in assisting Member States in developing the national RASFF systems and deliberating the establishment of binding obligations for Member States for the operations of ARASFF under the ASEAN Food Safety Regulatory Framework. In addition, to support the follow-up actions on food safety alerts, support has been provided for the development of a harmonised ASEAN guideline on traceability for food and feed. . Further support is planned to be provided to Member States to develop their national RASFF systems and to enhance the participation of Member States' authorities to better participate in ARASFF.



<b>Activity 2.3.5</b>	<b>Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)</b>	
<b>Main Beneficiaries</b>	ARASFF Steering Committee, ARASFF National Contact Points (ARASFF NCP) ASEAN Ministers Meeting on Agriculture and Forestry (AMAF), Health Cluster 4 and food safety authorities and agriculture in Member States	
<b>Planned Tasks</b>	<ul style="list-style-type: none"> <li>Provide support to member States to develop their national RASFF systems and to enhance the participation of Member States' authorities to better participate in ARASFF on an on-demand basis.</li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<ul style="list-style-type: none"> <li>Up to 2 Member States assisted in upgrading national food safety alert systems.</li> </ul>	Q1 – Q4
<b>Expected Result</b>	<ul style="list-style-type: none"> <li>Up to 2 Member States authorities enhance their national food safety alert systems.</li> </ul>	
<b>Resources (inputs)</b>	Category	
	<b>Experts</b>	<b>Work Days</b>
	SNKE	25
	JNKE	0

### Activity 2.3.6 Strengthening ASEAN Co-operation on Organic Agriculture

#### **Rationale:**

The availability of standards and credible certification services facilitates and supports the adoption of organic practices by producers. Organic Certification enables producers to provide confidence to consumers on the organic status of their products. ASEAN Member States initiated regional co-operation for Organic Agriculture with the development of the ASEAN Standard for Organic Agriculture (ASOA). This standard endorsed by the ASEAN Ministers Meeting on Agriculture and Forestry (-AMAF) in 2014.

The ASEAN Standard is voluntary and the Expert Working Group on Organic Agriculture (EWG-OA) has recommended that Member States adopt this Standard or align their national standards with the ASOA. ASEAN Member States are in the process of aligning their National standards to ASOA. The EWG-OA has additionally developed the "*ASEAN Guide for Organic Certification*". This document was endorsed by the 40<sup>th</sup> SOM-AMAF meeting in 2018. The EWG-OA has made significant progress in developing a mutual recognition arrangement for certified organic agricultural products.

The interventions that are planned for the work plan will continue from the previous plan to complete the finalisation of The ASEAN Sectoral mutual recognition arrangement (MRA-OA), by supporting negotiations and discussions to resolve the remaining differences. ARISE Plus ASEAN additionally



plans to collaborate with the ARISE Plus Thailand project which has planned interventions in developing Organic Certification in Thailand to ensure a consistent approach to achieve compliance with provisions of the MRA under development.

<b>Activity 2.3.6</b>	<b>Strengthening ASEAN co-operation on organic agriculture</b>	
<b>Main Beneficiaries</b>	Expert Working Group on Organic Agriculture (EWG-OA), ASEAN regulatory agencies responsible for organic agriculture, the ASEAN Sectoral Working Group on Crops	
<b>Planned Tasks</b>	<ul style="list-style-type: none"> <li>Assist the EWG-OA to reach a consensus on the Sectoral MRA for Organic Agriculture Certification and the associated harmonised ASEAN Organic Certification Scheme by providing support by organising 2 workshops to deliberate on the draft documents.</li> <li>Assist the EWG-OA by providing assistance in the drafting and recommendations on the implementation of the MRA-OA.</li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<ul style="list-style-type: none"> <li>2 Workshop for EWG-OA Members to deliberate on outstanding matters on the MRA-OA and the ASEAN Organic Agriculture Certification Scheme</li> <li>The revised draft of the OA MRA and the ASEAN Organic Certification Scheme.</li> </ul>	Q 1  Q 4
<b>Expected Result</b>	<ul style="list-style-type: none"> <li>The revised draft MRA for Organic Agriculture for further consideration by ASEAN Member States.</li> </ul>	
<b>Resources (inputs)</b>	Category	
	<b>Experts</b>	<b>Work Days</b>
	SNKE	40
	JNKE	0

### Activity 2.3.7 Training in Food Safety

#### **Rationale:**

The capacity and capability of the food safety authorities to conduct their activities in a competent, effective and responsible manner is a critical factor for the success of food safety strategies. Insufficient capacity and inadequate capability leads to sub-standard enforcement of requirements and compromises public health and consumer protection. The training will simultaneously support the actual implementation of harmonised standards at Member States level and the development of a coherent and sustainable food safety system in ASEAN.

A long-term view that assures sustainability has been undertaken in implementing this activity. It is aimed at establishing a coordination mechanism that will enable ASEAN Member States to share

resources by linking training providers and potential beneficiaries. As the training is targeted at ASEAN Working Groups and Bodies, on food safety, ARISE Plus will coordinate the activities in consultation with the ASEAN Bodies. The specific training courses that have been identified based on inputs from and consultation with AMS and the relevant ASEAN Bodies will continue to be implemented on a pilot basis. Training modules developed for the training will be compiled and shared with ASEAN secretariat and other regional food safety training institutes to be utilised to conduct future training both at national and regional level.

Several ASEAN Member States have established or are establishing training academies in the food sector which could be used as centres of excellence to develop a network at the ASEAN level, in cooperation with similar initiatives in the EU, notably the Better Training for Safer Food (BTSF) programme of the European Commission – DG SANTE. These include - Malaysia: International Food Safety Training Centre Malaysia (under Ministry of Health-(MoH)), - Singapore: Singapore Food Agency (SFA), and Thailand: Food and Drug Administration (Thai FDA). Efforts would continue in promoting the establishment of a network of training organisations and establishment of a pool of resources that can be utilised across ASEAN.

Activity 2.3.7		Training in Food Safety	
Main Beneficiaries		Food Safety Regulatory Agencies in ASEAN Member States, ASEAN Food Safety Training Institutions, ASEAN Working Groups on food safety and ASEAN Consumers.	
Planned Tasks		<ul style="list-style-type: none"> <li>• Organise and conduct a training course on Risk Based Inspections for Food Safety</li> <li>• Development of ASEAN guidance documents to support implementation of based Risk Based Inspections</li> <li>• Organise and conduct a training course on Food Hygiene Inspections</li> <li>• Compile the training module Risk Based Inspections for Food Safety with full set of training materials and trainer's guidance notes.</li> <li>• Compile the training module on Food Hygiene Inspections with full set of training materials and trainer's guidance notes.</li> <li>• Conduct consultations on the development of an ASEAN Food Safety Training Network for potential adoption by ASEAN Health Cluster 4.</li> </ul>	
Deliverables			Time of delivery
		• Training Course on Risk Based Inspections for Food Safety delivered to ASEAN Food safety Officials.	Q 1
		• Training Course on Food Hygiene Inspections delivered to ASEAN Food safety Officials.	Q 2

Activity 2.3.7	Training in Food Safety	
	<ul style="list-style-type: none"> <li>Training module on Risk Based Inspections for Food Safety and Guidance documents to support implementation developed and made available to ASEAN counterparts.</li> </ul>	Q 2
	<ul style="list-style-type: none"> <li>Training module on Food Hygiene Inspections developed and made available to ASEAN counterparts.</li> </ul>	Q 2
	<ul style="list-style-type: none"> <li>Recommendations on the establishment, composition, scope of work and operations of an ASEAN Network on Food Safety Training developed and presented to ASEAN Health Cluster 4.</li> </ul>	Q 4
Expected Result	<ul style="list-style-type: none"> <li>Training provided to at least 25 ASEAN Officials on Risk Based Inspections for food safety. Training will be repeated for Member States on an on-demand basis</li> <li>Training provided to at least 25 ASEAN Officials on Food Hygiene Inspections.</li> <li>Guidance on Implementation of Risk Based Inspection on Food Safety for Food Safety Regulators</li> <li>Training Modules on Risk Based Inspections for food safety and Food Hygiene made available for ASEAN training Institutions.</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	50
	JNKE	0

### Activity 2.3.8 Harmonised Nutritional Labelling Requirements

#### Rationale:

ASEAN Member States have differing requirements for nutritional labelling. Food Industry Associations in ASEAN have made representations that the differing requirements impose additional technical and trade barriers for intra-ASEAN trade in packaged food. The differences result in differences in the parameters for the labelling and differences in the manner of displaying the information. This in turn requires different methods for evaluation of the prepared foodstuffs.

The ASEAN Prepared Foodstuffs Product Working Group (PFPWG) through its sub-group, the ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS) has been deliberating on the harmonisation of a number of food safety standards as initiatives that will support the free movement of prepared foodstuff products within ASEAN. At the 26<sup>th</sup> Meeting of the PFPWG which was held in April 2018, Members States initiated work on the harmonisation of nutritional labelling requirements with Malaysia as the lead country to compile gather information on legislation /

requirement on nutrition labelling from each ASEAN Member States (AMS). Subsequently assistance was provided by ARISE Plus to organise and workshop in 2019. This information was shared and a proposal to harmonise nutritional labelling in ASEAN was deliberated on. However, the Members States representatives decided that a longer time frame would be required and an incremental approach was proposed. PPWG and ACHPFS have, in the 2020 November meetings, proposed that the issue of harmonising nutrition reference values be deliberated on as it represented one of the key areas of differences and requested the assistance from ARISE Plus. ARISE Plus has undertaken this task as it is a significant and relevant component of the proposed ASEAN Food Safety Regulatory Framework and is expected to support the process of harmonization of nutrition labelling.

Activity 2.3.8		Harmonised Nutritional Labelling Requirements	
Main Beneficiaries		Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS),	
Planned Tasks		<ul style="list-style-type: none"> <li>To assist in compiling and sharing of Member States' experience in establishing national NRVs based on respective national Recommended Dietary Allowances (RDAs) or Recommended Nutrient Intakes (RNIs).</li> <li>Plan and organise a workshop on Nutrient Reference Values (NRVs) for nutritionists and regulators in ASEAN Member States to establish a common understanding the definition and application of NRVs. The workshop will additionally explore the potential for adoption of harmonised NRVs a by ASEAN Member States based on the Codex NRVs.</li> </ul>	
Deliverables			Time of delivery
		<ul style="list-style-type: none"> <li>Information on national level NRVs, its development and application compiled and shared between AMS.</li> </ul>	Q1
		<ul style="list-style-type: none"> <li>Workshop conducted for up to 40 ASEAN regulators and nutrition experts to exchange views and deliberate on harmonised approaches.</li> </ul>	Q1
Expected Result		<ul style="list-style-type: none"> <li>Common understanding of NRVs established between nutrition experts and regulators in ASEAN.</li> <li>Recommendations and roadmap and for harmonisation of NRVs established.</li> </ul>	
Resources (inputs)		Category	
		Experts	Work Days
		SNKE	15
		JNKE	0

### Activity 2.3.9 Harmonised Requirements for Food Contact Materials

#### **Rationale:**

Food Contact Materials (FCMs) have an important role in food supply chain by protecting food against contamination during storage and transportation. However, they have potential to transfer a number of harmful chemicals into food. Therefore, an effective control system for FCMs is critical to ensure the safety of food products throughout their shelf-life.

With the support of ARISE Plus, the ASEAN General Guidelines on FCMs were developed and subsequently agreed by the 26<sup>th</sup> Meeting of PFPWG and endorsed by the 49<sup>th</sup> Meeting of ACCSQ. These guidelines provide AMS with a harmonized approaches and requirements for the establishment of control systems for FCMs.

In 2019, ASEAN Guidelines for Good Manufacturing Practice (GMP) for FCM was agreed by the 29<sup>th</sup> Meeting (November 2019) of PFPWG and endorsed by the 52<sup>nd</sup> Meeting of ACCSQ as a supplementary guidance document. The PFPWG Meeting mooted the proposal for Member States to develop Specific Measures for harmonisation of the different categories of FCMs at the next meeting.

The PFPWG at its 19 November 2020 meeting endorsed the Work Plan for the Development of Harmonised Specific Measures for FCMs and sought support from ARISE Plus for this purpose. ARISE Plus has undertaken this task as it is a significant and relevant component of the proposed ASEAN Food Safety Regulatory Framework and has a high impact in facilitation intra ASEAN trade in prepared food products.

Activity 2.3.9	Harmonised Requirements for Food Contact Materials
Main Beneficiaries	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, ASEAN Committee on the Harmonisation of Prepared Foodstuffs Standards (ACHPFS), ASEAN Consumers.
Planned Tasks	<ul style="list-style-type: none"><li>• Collection and compilation of information from AMS on domestic standards and regulations on Specific Measures for Food Contact Materials (focus on ceramic s and plastics)</li><li>• Review of information on standards and regulations from AMS and development of recommendations for development of Harmonized Specific Measures for Food Contact Materials.</li><li>• Conduct the workshops to exchange information and views on the development of Harmonised Specific Measures of ceramics and plastics; review and discuss recommendations on the approaches to be adopted for development of the specific measures for ceramics and plastics.</li><li>• Development of Harmonized Specific Measures for Ceramics</li><li>• Development of an outline and recommended approach for the development of Harmonized Specific Measures for Plastics</li></ul>

Activity 2.3.9		
Harmonised Requirements for Food Contact Materials		
Deliverables		Time of delivery
	<ul style="list-style-type: none"> <li>Harmonized Specific Measures for Ceramics</li> <li>Recommended approach for the development of Harmonized Specific Measures for Plastics</li> </ul>	Q 2-Q 4
Expected Result	<ul style="list-style-type: none"> <li>Adoption and publication of ASEAN Harmonized Specific Measures for Ceramics</li> <li>Commencement of the development ASEAN Harmonized Specific Measures for Plastics</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	40
	JNKE	0

## Sub-Component 2.4 Support to the Pharmaceutical Sector

This subcomponent includes activities for both ASEAN Health Cluster 3 and the Pharmaceutical Product Working Group. The activities under this Sub-component are targeted at facilitating the development of a regulatory framework in line with the ACCSQ 2016-2025 strategic plan and, the implementation of the related components ASEAN Health Sector. A major target is the development of an overall framework for pharmaceuticals regulation that will incorporate and strengthen the several ongoing initiatives of the Pharmaceutical Product Working Group on mutual recognition and harmonisation of requirements.

Such a framework would enable the integration and consolidation of the initiatives developed by the Pharmaceuticals Product Working Group (PPWG) and initiatives of ASEAN Health Cluster 3.

ARISE Plus will support:

- The development of an ASEAN Pharmaceutical Regulatory Policy and ASEAN Pharmaceutical Regulatory Framework products that incorporates and expands on existing initiatives.
- The implementation of the MRA of bio-equivalence study reports of generic medicines through the training of evaluation panel members.
- The development of the ASEAN Pharmaceutical Testing Laboratory Committee work plans and launch initial activities.
- The development of strategies on countering falsified medicines.

### **Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework**

#### **Rationale:**

A robust regulatory framework is essential to ensure the availability of safe, effective and high quality medicinal products and is part of a modern healthcare system. This involves a range of regulatory

processes including pre-marketing authorization of products; controls on manufacturing, importation, distribution and retail; post-marketing surveillance; and promotion of the rational use of medicines.

Regulatory cooperation in the region and harmonization with international standards is a necessity for all regulatory authorities with pharmaceutical research, development and production getting increasingly global. On the other hand, medical treatments are getting more and more complex, as evidenced by the emergence of advanced therapies (gene therapy, cell therapy, tissue engineering, etc.). New drug reviews require the consideration of massive amounts of information, and the understanding of a host of highly complex disciplines.

ASEAN Member States, in addition to substantial domestic production, are significantly dependent on imported medicinal products, in particular innovative ones, to satisfy their health needs. Substantial progress, mainly driven by the ACCSQ-Pharmaceutical Product Working Group, has been made towards cooperation and harmonization within ASEAN in the pharmaceutical sector. The main achievements include ASEAN Common Technical Dossier (ACTD), ASEAN Common Technical Requirements (ACTR), the ASEAN Sectoral MRA for GMP Inspection of Manufacturers of Medicinal Products, and the newly completed ASEAN MRA for Bioequivalence Study Reports of Generic Medicinal Products.

Implementation of the ACTD and ACTR remains voluntary and there are significant differences in regulations, technical capabilities and institutional capacity among ASEAN Member States. Following consultations and workshops with the PPWG Members during Work Plan 3, drafts for the ASEAN Pharmaceutical Regulatory Policy and the Framework have been developed. The PPWG has, in early 2021, invited ASEAN Health Cluster 3 to jointly develop the Policy and the Policy and Framework. Further assistance is planned to support PPWG and AHC3 to reach a consensus and obtain Ministerial level endorsement of the Policy and Framework in preparation the development of legal instrument for an ASEAN Pharmaceutical Regulatory Policy and Framework that will guide, link and expand initiatives for the realisation of an integrated market and regulatory system in ASEAN for this sector. Assistance will continue leading to the development of a draft of the legal instrument for signing at Ministerial level.

Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework
Main Beneficiaries	Pharmaceutical Product Working Group (PPWG), ASEAN Member State National Drug Regulators (NDRAs) ASEAN Health Cluster 3.
Planned Tasks	<ul style="list-style-type: none"><li>Continued support towards the development of the ASEAN Pharmaceutical Regulatory Policy (APRP) and ASEAN Pharmaceutical Regulatory Framework (APRF) - Review recommendations of the PPWG and ACH 3 on the first drafts and develop a revised versions.</li><li>Organise a second workshop for PPWG and ACH 3 to continue deliberations to resolve outstanding issues.</li></ul>



## Activity 2.4.2 ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products

The ASEAN MRA BE was then developed and subsequently signed in 2017. The current challenge of the ASEAN MRA BE is to build the capacity of each AMS to ensure the smooth implementation of the ASEAN MRA BE by 2022. The operating procedures for the implementation have been finalised by the Joint Sector Committee for the ASEAN MRA for Bioequivalence Study Reports of Generic Medicinal Products (JSC) and endorsed by PPWG. A list of experts from Member States who will serve in Panel of Experts in evaluating applicant Bio-Equivalence Centres has been established by the JSC.

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<b>Activity 2.4.2</b>	<b>ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products</b>	
<b>Main Beneficiaries</b>	Pharmaceutical Product Working Group, ASEAN National Drug Regulatory Agencies (NDRAs)	
<b>Planned Tasks</b>	<ul style="list-style-type: none"> <li>To assist the Joint Sector Committee in the development and implementation of a training programme for preparing ASEAN Experts to serving in Panel Experts to conduct evaluations of Bio-equivalence Centres seeking approval under the MRA.</li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<ul style="list-style-type: none"> <li>Training on understanding and implementing the operating procedures for the evaluation of BE Centres (Video Conference)</li> <li>Practical – hands on training on inspections of BE Centres</li> </ul>	Q 2  Q 3/Q4
<b>Expected Result</b>	Up to 20 ASEAN Experts trained to conduct evaluations of Bio Equivalence Centres	
<b>Resources (inputs)</b>	Category	
	<b>Experts</b>	<b>Work Days</b>
	SNKE	70
	JNKE	0

#### Activity 2.4.3 Supporting an ASEAN Pharmaceuticals Testing Laboratory Committee

##### **Rationale:**

The PPWG expressed interest in the establishment of a laboratory network at its November 2018 meeting. ARISE Plus responded to the request and this resulted in the development of the ASEAN Pharmaceutical Laboratory Committee. The terms of reference for the network have endorsed by the PPWG and plans are being developed. The services of official pharmaceutical laboratories provide an essential support to regulatory authorities in the evaluation of products in market surveillance. It would be most logical that the committee becomes operational in tandem with PPWG's current and planned future initiatives on regulatory harmonisation. This activity will assist the PPWG to plan and launch activities and develop sustainable cooperation programmes. These will in turn support capacity development and mutual recognition of analytical results.

Activity 2.4.3 Supporting an ASEAN Pharmaceuticals Testing Laboratory Committee		
<b>Main Beneficiaries</b>	Pharmaceutical Product Working Group, Official Pharmaceutical Laboratories in ASEAN Member States, Regulatory Agencies for Pharmaceutical Products in ASEAN. ASEAN Pharmaceuticals Testing Laboratories Committee.	
<b>Planned Tasks</b>	<ul style="list-style-type: none"> <li>To assist in the development and launch of initial activities of the Pharmaceutical Testing Laboratory Committee by: <ul style="list-style-type: none"> <li>Organise a workshop on the establishment of plan of activities and targets for the APLTC, and</li> <li>Developing proposals for new activities on items such as information sharing, market surveillance, capacity building</li> </ul> </li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<ul style="list-style-type: none"> <li>Plan of Action for the APTLC is accepted by the representatives to the network and initial activities launched.</li> </ul>	Q2
<b>Expected Result</b>	<ul style="list-style-type: none"> <li>The official pharmaceutical laboratories in ASEAN Member States establish effective communications and commence implementation of cooperative activities.</li> </ul>	
<b>Resources (inputs)</b>	Category	
	<b>Experts</b>	<b>Work Days</b>
	SNKE	25
	JNKE	0

#### Activity 2.4.4 Combatting Falsified Medicines in ASEAN

##### **Rationale:**

WHO currently defines Substandard and falsified medicines as below:

**Substandard:** Also called “out of specification”, these are authorized medical products that fail to meet either their quality standards or specifications, or both.

**Unregistered/unlicensed:** Medical products that have not undergone evaluation and/or approval by the National or Regional Regulatory Authority (NRRRA) for the market in which they are marketed/distributed or used, subject to permitted conditions under national or regional regulation and legislation.

**Falsified:** Medical products that deliberately/fraudulently misrepresent their identity, composition or source.

The phenomenon of falsified medicines is on the increase. The World Health Organization (WHO) estimates that a significant part of the world supply of medicinal products is counterfeited and

falsified. Falsified medicines are an even bigger threat in Asia in general, and ASEAN in particular. In ASEAN, falsified medicines include medicines used to treat life-threatening conditions such as malaria, tuberculosis and HIV/AIDS. The recent COVID 19 pandemic and the urgent needs for vaccines and potential dangers from falsified or unlicensed products is a concern that this initiative would address.

The EU strengthened the protection of patients and consumers by adopting Directive 2011/62/EU on falsified medicines for human use. This Directive prevents falsified medicines from entering the legal supply chain and reaching patients. It introduces harmonised safety and strengthened control measures across Europe, including: safety features (barcodes will be printed on or attached to every single pack of medicines, which will be checked into a database by the manufacturer and when dispensed by a pharmacy) and control on internet sales of medicines (an obligatory logo that will be placed on the websites of legally operating online pharmacies).

This activity will seek to reinforce ASEAN Member States' controls over the medicinal products on their market, through a coordinated approach at ASEAN level notably in respect of the production and importation of Active Pharmaceutical Ingredients (APIs) and in respect of internet sales of finished pharmaceuticals, and increased international cooperation, notably with the EU. As an initial stage, ASEAN Health Cluster 3 is planning to focus the activities on awareness raising, information sharing examining the regulatory arrangements and involvement of stakeholders.

Activity 2.4.4			Combatting Falsified Medicines in ASEAN
Main Beneficiaries			Health Cluster 3, ASEAN National Drug Regulatory Agencies (NDRAs)
Planned Tasks			<ul style="list-style-type: none"> <li>Plan and convene stakeholder meeting/workshop to inform interested parties on: <ul style="list-style-type: none"> <li>the control measures introduced in the EU to combat falsified medicines;</li> <li>to exchange views on the current concerns and existing arrangements in ASEAN;</li> <li>to explore options on how ASEAN Member States could enhance cooperation to address the concerns;</li> <li>to explore potential cooperation between ASEAN, EU and other international bodies to address the concerns.</li> </ul> </li> </ul>
Deliverables			Time of delivery
<ul style="list-style-type: none"> <li>Representatives of ASEAN Health Cluster 3 and other stakeholders made aware of the systems introduced by the EU to address the problems of substandard and falsified medicines deliberate on potential cooperation between ASEAN Member States to deal with the occurrence substandard and falsified medicines.</li> </ul>			Q1



Activity 2.4.4	Combatting Falsified Medicines in ASEAN	
Expected Result	<ul style="list-style-type: none"><li>• ASEAN Health Cluster 3 is assisted in developing and implementing initiatives to deal with the occurrence substandard and falsified medicines.</li></ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	25
	JNKE	0



## COMPONENT 3.0 CUSTOMS, TRANSPORT AND ACTS

ASEAN has continued to pursue its regional economic integration agenda with ever-greater success over the past years. The commitments made by the ASEAN leaders are expressed collectively in the AEC Blueprints 2015 and 2025, the Master Plan on ASEAN Connectivity 2025 and the ASEAN Trade in Goods Agreement (ATIGA). Commitments related to Customs and Transport are set out in the ASEAN Agreement on Customs (2012), the ASEAN Customs Vision 2015, the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the Protocol governing the implementation of the ASEAN Harmonised Tariff Nomenclature.

One of the key objectives of the AEC Blueprint 2025 is the progressive reduction or elimination of border and behind-the-border regulatory barriers that impede trade, to achieve the competitive, efficient, and seamless movement of goods within the region.

The planned interventions for Component 3 of the ARISE Plus Terms of reference (ToR) fall into three broad areas, Transport, Customs and ACTS and as such are reflected in the activities proposed below.

Under Transport, the activities will assist the removal of barriers to the free flow of goods by supporting implementation of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST). Support will also be provided in implementation of the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT).

Under Customs, support will be provided on demand to assist the development of an integrated Customs environment for the trading community. Under ACTS the main focus of the activities will be to support the live operations of ACTS, which has been successfully installed along the north-south and east-west corridors of ASEAN, involving a pilot implementation in Malaysia, Singapore and Thailand (MST) and Cambodia, Lao PDR, and Vietnam (CLV) respectively. Resources will be committed for management and training required to ensure for the long-term sustainability of the ASEAN Customs Transit System (ACTS) in the region.

### Outcome

***Enhanced harmonisation of the customs, transport and logistics systems in ASEAN.***

## **Planned Resources**

<b>Component 3.0</b>	<b>S-NKE</b>	<b>J-NKE</b>	<b>Incidentals</b>
Sub Component 3.1	85	0	€ 0
Sub Component 3.2	85	0	€ 0
Sub Component 3.3	1040	0	€ 0
<b>Total</b>	<b>1210</b>	<b>0</b>	<b>€ 0</b>

### **Sub-component 3.1 Customs Measures**

ASEAN has requested the EU for ARISE Plus support in the implementation of key aspects of the existing Strategic Plans of Customs Development (SPCD) 2016-2020. ASEAN has developed a new set of Strategic Plans of Customs Development (SPCD) for the years 2021-2025. ARISE Plus provided advice on how SPCD04, Customs Clearance, could be updated to facilitate clearance of multimodal transport consignments. This is a cross-cutting issue, as ARISE Plus support was requested by ASEAN Member States in the transport sector, where implementation of the ASEAN Framework Agreement on Multimodal Transport (AFAMT) is being pursued with ARISE Plus support. Under the fourth Annual Work Plan (AWP4) ARISE Plus proposes to continue support for the enhancement of Customs clearance procedures, in line with SPCD 04, with a special focus on Customs clearance of multimodal transport consignments.

In addition, ARISE Plus has included two further Customs activities for potential support, depending on demand from ASEAN Member States. In view of their sensitive nature, these activities require face-to-face workshops to be performed, which has been temporarily suspended due to the advent of the COVID-19 virus pandemic.

Consultations have been carried out with the Senior Officers in the Trade Facilitation Division, Market Integration Directorate, ASEAN Economic Community Department in the ASEAN Secretariat, representing the ASEAN sectoral groups, including the Customs Procedures and Trade Facilitation Working Group (CPTFWG) and the Customs Capacity Building Working Group (CCBWG).

#### **Activity 3.1.1 Supporting Enhancement of Customs Clearance Procedures (SPCD 04)**

##### **Rationale:**

This activity is designed to support implementation of the SPCD, which aims to expedite Customs clearance and release, and to reduce the time and cost required for Customs transactions through streamlining of Customs clearance processes in ASEAN Member States on the basis of international best practices, including the ASEAN Cargo Processing Model. ASEAN Member States from the Transport sector have requested additional support from ARISE Plus in the further analysis of Customs clearance procedures relating to multimodal transport consignments, and recommendations on streamlining of these procedures to take account of the needs for the facilitation of movement of goods under multimodal transport.



Activity 3.1.1			Supporting enhancement of Customs Clearance Procedures (SPCD 04)
Main Beneficiaries	ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA). ASEAN Business Advisory Council (ABAC) Joint Business Councils, EU ASEAN Business Council ASEAN Secretariat;		
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Perform</b> an assessment of the current status SPCD 04, Customs Clearance; the focus will be on support for facilitation of Customs clearance under multimodal transport;</li> <li>• <b>Develop</b> an action plan for the implementation of this SPCD in co-operation with the Customs Procedures and Trade Facilitation Working Group (CPTFWG), to take account of the need to facilitate Customs clearance of goods under <b>multimodal transport</b>;</li> <li>• <b>Draft</b> guidelines on proposed procedures for the implementation of Customs clearance processes by ASEAN Customs Authorities, with particular reference to <b>multimodal Customs clearance procedures</b>;</li> <li>• <b>Conduct</b> training and capacity building of Customs officials in the development of streamlined Customs clearance processes on the basis of international best practices where required, including the ASEAN Cargo Processing Model; and</li> <li>• <b>Implement</b> a detailed programme of support for the application of the SPCD.</li> </ul>		
Deliverables			Time of delivery
	<ul style="list-style-type: none"> <li>• Analysis of the status of implementation of the Strategic Plan of Customs Development (SPCD) covering Customs clearance including multimodal transport consignments;</li> </ul>		Q1 – Q2
	<ul style="list-style-type: none"> <li>• Production of an action plan for the proposed enhancement of Customs clearance procedures with particular reference to multimodal consignments;</li> </ul>		Q2 – Q3
	<ul style="list-style-type: none"> <li>• Formulation of detailed programmes of support for the implementation of enhanced Customs clearance procedure's, including clearance <b>of multimodal consignments</b>;</li> </ul>		Q3
	<ul style="list-style-type: none"> <li>• Delivery of support for Customs Authorities by means of a workshop and resulting training material and report</li> </ul>		Q3 – Q4
	<ul style="list-style-type: none"> <li>• Enhanced Customs clearance procedures in place for import, export and <b>multimodal cargo</b>.</li> </ul>		Q3 – Q4
Expected Results	<ul style="list-style-type: none"> <li>• The SPCD on Customs clearance is implemented to allow the trading community to enjoy the benefits of a streamlined multi-modal Customs clearance environment;</li> </ul>		

Activity 3.1.1	Supporting enhancement of Customs Clearance Procedures (SPCD 04)	
	<ul style="list-style-type: none"> <li>Enhanced technical capacity to operate streamlined Customs clearance procedures within ASEAN Customs services.</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	40
	JNKE	0

#### Activity 3.1.4 Supporting Customs Enforcement and Mutual Assistance Processes (SPCD09)

##### \*On Demand Activity\*

##### Rationale:

This activity supports the implementation of the SPCD, which aims to actively promote Member States' participation in on-going enforcement programmes in ASEAN, and to enhance bilateral and multilateral level of cooperation and coordination between and amongst Customs administrations. ARISE Plus support of SPCD 09 was originally proposed under ARISE Plus Third Annual Work Plan (AWP3). ARISE Plus intended to plan a workshop under the support activity for this SPCD, depending on the demand from AMS Customs Authorities, but has not yet been able to run the proposed workshop. The workshop would need to be held in person, and not virtually, because of the sensitive nature of the material. So the delay in carrying out the workshop is due to the pandemic. In the circumstances ARISE Plus recommends to retain this activity in AWP4 on an on-demand basis subject to the lifting of the COVID-19 travel restrictions.

Activity 3.1.4	Supporting Customs Enforcement and Mutual Assistance processes (SPCD 09) – *On Demand Activity*
Main Beneficiaries	ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA). ASEAN Secretariat;
Planned Tasks	<ul style="list-style-type: none"> <li><b>Perform</b> an assessment of the current status of Customs enforcement and mutual assistance processes within ASEAN;</li> <li><b>Develop</b> an action plan for support for the implementation of Customs enforcement and mutual recognition processes in co-operation with the ASEAN Customs Enforcement and Compliance Working Group (CECWG);</li> <li><b>Prepare</b> detailed harmonised guidelines to promote Member States' participation in on-going Customs enforcement programmes in ASEAN;</li> <li><b>Draft</b> harmonised guidelines on procedures to enhance mutual assistance processes between ASEAN Customs Authorities, including bi-lateral and multi-lateral cooperation between and amongst ASEAN Customs services;</li> </ul>

Activity 3.1.4	Supporting Customs Enforcement and Mutual Assistance processes (SPCD 09) – <u>*On Demand Activity*</u>	
Deliverables	<ul style="list-style-type: none"> <li>• <b>Conduct</b> training and capacity building of Customs officials in enforcement and mutual assistance where required;</li> <li>• <b>Implement</b> detailed programmes of support for the promotion of participation by ASEAN Customs services in on-going enforcement programmes in ASEAN; and</li> <li>• <b>Implement</b> detailed programmes of support for the enhancement of bi-lateral and multi-lateral cooperation between and amongst ASEAN Customs services.</li> </ul>	
		Time of delivery
	<ul style="list-style-type: none"> <li>• Analysis of the status of implementation of the Strategic Plan of Customs Development (SPCD) covering Customs Enforcement and Mutual Assistance (SPCD 09);</li> </ul>	Q1 – Q2
	<ul style="list-style-type: none"> <li>• Production of an action plan for support for the promotion of participation by Customs services in on-going enforcement programmes in ASEAN in co-operation with the ASEAN Customs Enforcement and Compliance Working Group (CECWG);</li> </ul>	Q2 – Q3
	<ul style="list-style-type: none"> <li>• Production of an action plan for support for the enhancement of bi-lateral and multi-lateral cooperation between and amongst ASEAN Customs services in co-operation with the ASEAN Customs Enforcement and Compliance Working Group (CECWG);</li> </ul>	Q3
Expected Results	<ul style="list-style-type: none"> <li>• Formulation of detailed programmes of support for the application of enforcement and mutual assistance processes, including capacity building and training of Customs officials where required;</li> </ul>	Q3 – Q4
	<ul style="list-style-type: none"> <li>• Implementation of detailed programmes of support for the application of enforcement and mutual assistance processes.</li> </ul>	Q3 – Q4
	<ul style="list-style-type: none"> <li>• Improved participation by Customs services in on-going enforcement programmes in ASEAN;</li> <li>• More effective arrangements in place for Customs services to participate in enforcement and mutual assistance processes in ASEAN;</li> <li>• Enhanced capacity to cooperate bi-laterally and multi-laterally between and amongst ASEAN Customs services.</li> </ul>	

<b>Activity 3.1.4</b>	<b>Supporting Customs Enforcement and Mutual Assistance processes (SPCD 09) – *On Demand Activity*</b>	
<b>Resources (inputs)</b>	Category	
	<b>Experts</b>	<b>Work Days</b>
	SNKE	30
	JNKE	0

### Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

#### \*On Demand Activity\*

#### **Rationale:**

This activity was started under the first Annual Work Plan (AWP1) of ARISE Plus. A Gap Analysis was performed of the needs of the Customs Authorities of Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV). As part of this analysis a large number of continuing needs were identified for CLMV Customs services, of which the issue of integrity has been identified for priority support, as agreed with CLMV Customs Authorities and the Customs Capacity Building Working Group (CCBWG). ARISE Plus intended to plan a workshop under the support activity for this SPCD, depending on the demand from AMS Customs Authorities in CLMV, but has not yet been able to run the proposed workshop. The workshop would need to be held in person, and not virtually, because of the sensitive nature of the material. So the delay in carrying out the workshop is due to the pandemic. In the circumstances ARISE Plus recommends to retain this activity in AWP4 on an on-demand basis.

<b>Activity 3.1.5</b>	<b>Assistance to Narrowing the Development Gap in CLMV Customs – *On Demand Activity*</b>	
<b>Main Beneficiaries</b>	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups;	
<b>Planned Tasks</b>	<ul style="list-style-type: none"> <li>• <b>Recommend</b> follow-up technical support activities to Customs Authorities in CLMV, based on the gap analysis of the training and capacity-building needs of CLMV Customs Administrations carried out under prior ARISE Plus Annual Work Plans;</li> <li>• <b>Analyse</b> the status of the legislative frameworks relevant to CLMV Customs services, with particular regard to issues of integrity;</li> <li>• <b>Develop</b> an action plan for the continued implementation of this SPCD in co-operation with the ASEAN Customs Capacity Building Working Group (CCBWG), to include capacity building to enhance the technical and managerial capability of CLMV Customs officers and institutions in relation to integrity issues;</li> <li>• <b>Implement</b> detailed programmes of support for the application of the SPCD in relation to integrity issues; and</li> <li>• <b>Conduct</b> training and capacity building workshop of Customs officials on integrity.</li> </ul>	
		<b>Time of delivery</b>

Activity 3.1.5 Assistance to Narrowing the Development Gap in CLMV Customs – *On Demand Activity*		
Deliverables	<ul style="list-style-type: none"> <li>A further analysis of the status of the legislative frameworks relevant to CLMV Customs services, in particular in relation to integrity issues;</li> </ul>	Q3 – Q4
	<ul style="list-style-type: none"> <li>Detailed programmes of support implemented for the application of the SPCD in relation to issues of integrity; and;</li> </ul>	Q3 – Q4
	<ul style="list-style-type: none"> <li>Training and capacity building conducted of Customs officials in issues of integrity.</li> </ul>	Q3 – Q4
Expected Results from Activity 3.1.5	<ul style="list-style-type: none"> <li>Increased capacity within CLMV Customs services to conduct national programmes in a more sustainable manner.</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	15
	JNKE	0

## Sub-component 3.2 Transport Measures

Progress has been made over the years by ASEAN Member States (AMS) in signing and ratifying the ASEAN framework transport facilitation agreements and their related protocols. These agreements are the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST) and the ASEAN Framework Agreement on Multimodal Transport (AFAMT). Also included is the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (ASEAN-CBTP), which has been signed by all ASEAN Member States and in force in three (3) ASEAN Member States.

Support is now required to assist AMS in implementing these agreements, in particular the relevant protocols of the AFAFGIT. Consultations have been carried out with the Senior Officer, Transport Division in the ASEAN Secretariat, members of the Transport Facilitation Working Group (TFWG) and the Transit Transport Coordinating Board (TTCB).

### Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

#### Rationale:

AFAFGIT is a major initiative covering, among other elements, the implementation of the ASEAN Customs Transit System (ACTS). The agreement is designed to enable trade and transport facilitation in ASEAN, and as such ARISE Plus has supported the operationalisation of the agreement since the start of the ARISE Plus programme. This activity covers ARISE Plus support for the implementation of both the AFAFGIT and the AFAFIST. The main focus for the work period will be on the

operationalisation of AFAFIST. The operationalisation of AFAFGIT will be based on ARISE Plus on-going support for management of the live operational phase of the ASEAN Customs Transit System (ACTS).

Activity 3.2.1	Operationalising the AFAFGIT and the AFAFIST	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).	
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Support</b> the implementation of transport facilitation–related procedures and documentation required for practical operationalisation of the agreements (with updating of current texts as required);</li> <li>• <b>Perform</b> training and capacity-building events for public and private sector stakeholders that will participate in these agreements; and</li> <li>• <b>Ensure</b> live the running of trucks across ASEAN borders for inter-state and transit journey.</li> </ul>	
Deliverables	Time of delivery	
	<ul style="list-style-type: none"> <li>• Training and capacity-building events, as required, to support all stakeholders in the implementation of these agreements;</li> </ul>	Q1 – Q3
	<ul style="list-style-type: none"> <li>• Practical implementation of these agreements in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector; and</li> </ul>	Q1 - Q4
	<ul style="list-style-type: none"> <li>• Procedure Manuals for Operationalisation of AFAFIST for Public and Private Sector.</li> </ul>	Q2 – Q4
Expected Result	<ul style="list-style-type: none"> <li>• The AFAFGIT and AFAFIST implemented practically in cooperation with the stakeholders in Government Transport agencies, Customs Authorities, and the private sector.</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	40
	JNKE	0

### Activity 3.2.2 Supporting Implementation of the Action Plan for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

#### Rationale:

The objective of this activity is to continue to support the implementation of the AFAMT by all AMS, including the execution of a pilot project. This will act as a basis for the development and implementation of an ASEAN-wide approach to the legal, regulatory and procedural framework of this multi-modal transport agreement, with a view to implementing a harmonised multi-modal

transport environment for the benefit of the business community. Under previous work plans ARISE Plus supported the development of the AFAMT Implementation Framework, which has been endorsed by the ASEAN Transport Ministers. There is a need for further support to the agreed activities, including those under the Regional Action Plan of the AFAMT Implementation Framework. As a longer-term strategy, analysis needs to be carried out of the scope, implications and benefits of linking AFAMT to the ASEAN Customs Transit System (ACTS).

Activity 3.2.2	Supporting the Implementation of the Action Plan for AFAMT	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).	
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Develop</b> AFAMT procedural manuals for public and private sector;</li> <li>• <b>Support</b> the implementation of AFAMT by means of a pilot with the participation of Thailand and Vietnam, and the possible subsequent inclusion of Indonesia;</li> <li>• <b>Develop</b> a Cost-Benefit Analysis of implementing AFAMT by ASEAN Member States;</li> <li>• <b>Refine</b> the MTOs Liability Scheme, with inputs from AFFA Standard Trading Conditions; and</li> <li>• <b>Analyse</b> the AFAMT Pilot implementation and recommend next actions for improvement.</li> </ul>	
Deliverables	Time of delivery	
	• Pilot implementation review of AFAMT carried out;	Q3
	• Post pilot implementation review is analysed for wider implementation of AFAMT in ASEAN;	Q1
	• AFAMT procedural manuals delivered for public and private sector;	Q2
	• Cost-Benefit Analysis of implementing AFAMT by ASEAN Member States developed and delivered;	Q3
	• MTOs Liability Scheme is refined.	Q3
Expected Result	• The AFAMT is implemented practically in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector, leading to enhanced logistics performance in ASEAN.	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	30
	JNKE	0



### Activity 3.2.3 Supporting Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle (ASEAN-CBTP)

#### \*On Demand Activity\*

#### Rationale:

The objective of this activity is to support the implementation of the ASEAN-CBTP agreement and its associated Implementing Guidelines to facilitate the cross-border transport of passengers by road vehicles between and among ASEAN contracting parties. The intention is also to simplify and harmonise transport rules that apply to passengers travelling within ASEAN by scheduled and non-scheduled passenger transport services. Previously ARISE Plus has developed implementing guidelines for this agreement, along with procedural manuals for public and private sector operative, and a plan for a pilot execution of the agreement involving Brunei, Indonesia and Malaysia in Borneo. Whilst the ratification of the agreement by all AMS is awaited, ARISE Plus recommends to retain this activity in AWP4 on an on-demand basis.

Activity 3.2.3	Supporting the Implementation of the ASEAN-CBTP by Road Vehicles * On Demand Activity*	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group (TFWG), ASEAN COB and ASEAN Secretariat.	
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Support</b> the implementation of the ASEAN-CBTP agreement by Contracting Parties, initially by the implementation of a Pilot, on an on-demand basis;</li> <li>• <b>Further refine</b> as necessary the existing ARISE Plus procedural guides for public and private sector operatives, including developing procedures for (i) cross-border passenger vehicle third party liability insurance, and (ii) ASEAN Passenger Vehicles Cross-Border (APVB) Permits;</li> <li>• <b>Further develop</b> a platform to act as a host for ASEAN-CBTP technical documentation, and online training resources;</li> <li>• <b>Analyse</b> the feasibility of using the ACTS Transport Monitoring System (TMS) for registration of non-scheduled Cross Border Transport Permits;</li> <li>• <b>Develop and implement</b> an action plan for the practical operation of the provisions of the agreement ASEAN-wide, based initially on a pilot implementation; and</li> <li>• <b>Operationalise</b> the CBTP.</li> </ul>	
Deliverables		Time of delivery
	<ul style="list-style-type: none"> <li>• A permit system (ASEAN Passenger Vehicles Cross-Border Permit) implemented to control the number of non-scheduled and scheduled passenger transport vehicles permitted to operate under the ASEAN-CBTP agreement;</li> </ul>	Q3

Activity 3.2.3	Supporting the Implementation of the ASEAN-CBTP by Road Vehicles * On Demand Activity*	
	<ul style="list-style-type: none"> <li>• A platform populated to act as a host for ASEAN-CBTP technical documentation, and online training resources;</li> <li>• Analysis of feasibility of utilisation of proposed ACTS Transport Monitoring System (TMS) for registration of non-scheduled Cross-Border Transport Permits;</li> <li>• A Pilot carried out in Brunei, Indonesia and Malaysia;</li> <li>• Results of the CBTP Pilot analysed in preparation for full operationalisation of CBTP.</li> <li>• An action plan developed and delivered for the full operationalisation of the ASEAN-CBTP agreement ASEAN-wide; and</li> <li>• CBTP operationalised.</li> </ul>	<p>Q3 – Q4</p> <p>Q1 – Q2</p> <p>Q3 – Q4</p> <p>Q4</p> <p>Q4</p> <p>Q4</p>
Expected Result	<ul style="list-style-type: none"> <li>• Enhanced efficiency of the transport of passengers by road vehicles in ASEAN.</li> </ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	15
	JNKE	0

### Sub-component 3.3 ACTS Implementation

The ASEAN Customs Transit System (ACTS) is defined under Protocol 7 of the AFAFGIT and is one of the main drivers for facilitating trade in goods in ASEAN. Under previous work plans ARISE Plus has supported the piloting and subsequent live roll-out of ACTS along the north-south and east-west corridors of ASEAN, involving Cambodia, Lao PDR, Malaysia, Singapore, Thailand and Viet Nam, where the system is currently operational. Further capacity building will be supplied to the ACTS Central Management Team (CMT) based in the ASEAN Secretariat in Jakarta, Indonesia. Training programmes will continue to be delivered as required to all stakeholders and private sector outreach and awareness activities will be carried out at national and regional level, supported by procedural manuals for Government officials and private sector operatives. ACTS will be further upgraded in terms of functionality as per change requests made by participating AMS.

Consultations have been carried out with the Senior Officer, Customs Integration Unit in the ASEAN Secretariat, representing the ASEAN Customs sectoral groups, including the Customs Procedures and Trade Facilitation Working Group (CPTFWG) and its sub-working-group on ACTS (SWG-ACTS).

### Activity 3.3.3 Rolling Out of ACTS

#### **Rationale:**

The objective is to support the operational release and on-going management of ACTS in all participating AMS. Planned activities include ad-hoc training on demand of stakeholders in the public and private sector, other private sector outreach and awareness activities, and on-going continuous support for live operations of ACTS.

Activity 3.3.3	Rolling Out of ACTS	
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.	
Planned Tasks	<ul style="list-style-type: none"> <li>• <b>Implementation</b> of ACTS pilot projects and full live operation in Myanmar once on the ground conditions are conducive for the work to be carried out.</li> <li>• <b>Support</b> ACTS on-going live operations and participate in regional ASEAN Meetings related to ACTS.</li> <li>• <b>Briefing</b> AMS on the activities required to ensure success of ACTS implementation;</li> <li>• <b>Deliver</b> training on demand to public and private sector stakeholders of the participating AMS for: <ul style="list-style-type: none"> <li>○ Customs Authorities in ACTS procedures as more Customs offices are added to ACTS;</li> <li>○ Transport Authorities;</li> <li>○ Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS;</li> <li>○ Other private sector stakeholders: banks and insurance companies; and</li> <li>○ IT support staff as scope of ACTS IT operations increases;</li> </ul> </li> <li>• <b>Support</b> private sector engagement and awareness events, including publicity, visibility and awareness of ACTS; and</li> <li>• <b>Perform</b> an end-to-end time release study to assess the logistical efficiency of the ACTS and potential enhancements of ACTS based on the experience gained by AMS.</li> </ul>	
Deliverables	Time of delivery	
	<ul style="list-style-type: none"> <li>• Support provided in the on-going live operations of ACTS;</li> <li>• On demand training delivered providing enhanced capacity to:</li> </ul>	<p>Q1 – Q4</p> <p>Q1 - Q4</p>



Activity 3.3.3		Rolling Out of ACTS	
	<ul style="list-style-type: none"><li>○ Customs Authorities in ACTS procedures as more Customs offices are added to ACTS;</li><li>○ Transport Authorities;</li><li>○ Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS;</li><li>○ Other private sector stakeholders: banks and insurance companies; and</li><li>○ IT support staff as scope of ACTS IT operations increases.</li></ul>		
	<ul style="list-style-type: none"><li>• ACTS visibility, awareness and publicity activities supported; and</li></ul>	Q1 – Q4	
	<ul style="list-style-type: none"><li>• End-to-end time release study report produced on the logistical efficiency of the ACTS and a set of future enhancement recommendations.</li></ul>	Q3 - Q4	
	Expected Result	<ul style="list-style-type: none"><li>• ACTS operating successfully in participating AMS and functioning to reduce transit transport times and associated costs.</li></ul>	
Resources (inputs)	Category		
	Experts	Work Days	
	SNKE	160	
	JNKE	0	

### Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

#### Rationale:

The ACTS Central Management Team (CMT) was established under the ARISE programme and operates within the Trade Facilitation Division of the ASEAN Secretariat. Initial training to the team was provided under the ARISE programme. The CMT is responsible for the management of on-going operations of ACTS. This team will require additional capacity building and know-how to manage ACTS from the technical and procedural perspectives. Long-term sustainability of the CMT is ensured, as the funding of the personnel of the CMT is maintained under the ASEAN Operational Budget (AOB). The costs of the services for the hosting of the applications of the ACTS Central Services will be handled by the ASEC AOB, which will also support the sustainability of ACTS in the long term.

Activity 3.3.4		Developing the Capacity of the ACTS Central Management Team (CMT)	
Main Beneficiaries		ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG)	

Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)	
	and ASEAN Secretariat.	
Planned Tasks	<ul style="list-style-type: none"><li>• <b>Assess</b> the capacity of Central Management Team and propose additional manpower with specific technical knowledge, if required</li><li>• <b>Provide</b> training, advice and support to CMT, to enable them to discharge their responsibilities in relation to the management of on-going ACTS operations, system and support activities, including help desk functions, maintenance of system documentation and management of the ACTS Information Portal (ACTS-IP).</li></ul>	
Deliverables		<b>Time of delivery</b>
	<ul style="list-style-type: none"><li>• Additional capacity building provided to the CMT on the technical, procedural and documentary aspects of the ACTS, providing them with the necessary skills, knowledge and confidence to manage system and support functions, including all regional management aspects of on-going ACTS operations; and</li><li>• Advice and support provided for on-going management of CMT operations, including help desk functions, maintenance of system documentation and management of the ACTS Information Portal (ACTS-IP).</li></ul>	<div>Q1-Q4</div> <div>Q1-Q4</div>
Expected Result	<ul style="list-style-type: none"><li>• ACTS Central Management Team is managing on-going operations of ACTS effectively.</li></ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	10
	JNKE	0

### Activity 3.3.5 Carrying out a Functional Upgrade of the ACTS

#### Rationale:

The objective of this activity is to assess and implement changes identified by Customs, Transport, and private sector according to a controlled and orderly change management procedure. Under the previous annual work plans (AWP1, AWP2 & AWP3), ARISE Plus has supported the establishment of the Change Management Board (CMB) to ensure the effective management of all agreed changes to the ACTS. In addition to the standards change requests, ARISE Plus will support the analysis of major sub-systems proposed to enhance ACTS functionality, as set out in more detail below, including the Transport Monitoring System (TMS) and the online trade registration system (OTR). This activity 3.3.5 will also cover corrective maintenance and release management of the ACTS 3.3.0 and 3.4.0 software releases to ensure business continuity of the ACTS.

In addition, ARISE Plus will analyse the feasibility of enhancing ACTS to handle rail transport under Protocol 6 of AFAFGIT, and dangerous goods under Protocol 9 of AFAFGIT. ARISE Plus will also analyse

the feasibility of adding Brunei, Indonesia and Philippines to ACTS, depending on the business needs. A proposal has also been received for combining ACTS with multi-modal transport initiatives. Previously ARISE Plus commissioned a Feasibility Study to that effect, and will support the implementation of the recommendations arising from the study.

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.
Planned Tasks	<ul style="list-style-type: none"><li>• <b>Perform</b> ACTS Analysis Meetings with selected participants from AMS Customs and, when applicable, Transport Authorities to analyse and specify detailed requirements for:<ul style="list-style-type: none"><li>○ Transport Management System (TMS);</li><li>○ Online Trader Registration (OTR);</li><li>○ ACTS Dashboards and Task Management, such as Inspections;</li><li>○ Other large functionality enhancements;</li></ul></li><li>• <b>Analyse</b> the priority, impact, initial implementation approach and plans of additional CRs under the purview of SWG-ACTS and the Change Management Board (CMB);</li><li>• <b>Perform</b> detailed analysis of implementation of CRs approved by SWG-ACTS;</li><li>• <b>Implement</b> the approved by CMB CRs based on detailed analysis and agreed timescales;</li><li>• <b>Deploy</b> the enhanced ACTS applications with implemented CRs in ACTS sites in AMS and Central Services;</li><li>• <b>Test</b> the newly deployed ACTS software/systems in participating AMS and Central Services;</li><li>• <b>Assess</b> the implementation of the CRs under the purview of CMB and reported to the SWG-ACTS;</li><li>• <b>Provide</b> helpdesk and corrective maintenance services to participating AMS to ensure business continuity;</li><li>• <b>Perform</b> ACTS release management, covering release of new ACTS versions (ACTS 3.3.0 and tentatively ACTS 3.4.0) that include corrective maintenance and implementation of new CRs and new subsystem(s);</li></ul>

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS	
	<ul style="list-style-type: none"><li>• <b>Support</b> the AMS in acceptance testing and deployment in production;</li><li>• <b>Analyse</b> the feasibility of enhancing ACTS to handle rail transport under Protocol 6 of AFAFGIT;</li><li>• <b>Analyse</b> the feasibility of enhancing ACTS to handle dangerous goods under Protocol 9 of AFAFGIT;</li><li>• <b>Analyse</b> the feasibility of adding Brunei, Indonesia and Philippines to ACTS;</li><li>• <b>Perform detailed analysis</b> of the implications of combining ACTS with multi-modal transport environment.</li></ul>	
Deliverables		<b>Time of delivery</b>
	<ul style="list-style-type: none"><li>• ACTS Analysis Meetings performed with selected participants from AMS Customs and Transport Authorities;</li></ul>	Q1 – Q4
	<ul style="list-style-type: none"><li>• Enhanced functionality of ACTS implemented as per AMS requirements;</li></ul>	Q1 – Q4
	<ul style="list-style-type: none"><li>• Performed corrective maintenance and management of the implementation of new releases of ACTS;</li></ul>	Q1 - Q4
	<ul style="list-style-type: none"><li>• Analysis completed of the feasibility of enhancing ACTS to handle rail transport under Protocol 6 of AFAFGIT;</li></ul>	Q3 – Q4
	<ul style="list-style-type: none"><li>• Analysis completed of the feasibility of enhancing ACTS to handle dangerous goods under Protocol 9 of AFAFGIT;</li></ul>	Q3 - Q4
	<ul style="list-style-type: none"><li>• Analysis of feasibility of adding Brunei, Indonesia and Philippines to ACTS;</li></ul>	Q1 - Q2
	<ul style="list-style-type: none"><li>• Detailed analysis of implications of combining ACTS with the multi-modal transport environment.</li></ul>	Q1 –Q4
Expected Result	<ul style="list-style-type: none"><li>• Enhanced functionality of ACTS in responding to AMS requirements.</li></ul>	
Resources (inputs)	Category	
	Experts	Work Days
	SNKE	870
	JNKE	0





## COMPONENT 4.0 ASEAN ECONOMIC INTEGRATION MONITORING AND STATISTICS

### Planned Resources

Component 4.0	S-NKE	J-NKE	Incidentals
Sub Component 4.1	375	155	€ 0
Sub Component 4.2	476	0	€ 0
<b>Total</b>	<b>851</b>	<b>155</b>	<b>€ 0</b>

### Sub-component 4.1 ASEAN Economic Integration Monitoring

#### Outcome

***Strengthened results-based management and evidence-based decision making in the ASEAN integration process.***

#### **Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects**

##### **Rationale:**

The EU has been one of the most significant dialogue partners of ASEAN to have supported ASEAN Economic Community (AEC) integration efforts. The flagship support instrument of the EU to support AEC integration efforts has been the ARISE Plus Programme. Since November 2017, ARISE Plus has supported AEC integration efforts across 12 AEC elements (or sectors of integration) and 14 strategic measures of the AEC Blueprint 2025. The wider ARISE Plus programme is also tasked with providing additional assistance to ASEAN and individual AMSs, through regional and national programmes, to support the establishment of the AEC.

This activity focusses on two core areas of monitoring support: firstly, an internal results-oriented monitoring system of the ARISE Plus regional programme; and secondly cooperation between ARISE Plus regional and national projects in the AMS to support EU collaboration and coordination within the wider ARISE Plus Programme.

The main objective of the internal monitoring system of the ARISE Plus regional project is to ensure the ARISE Plus regional project is monitored in terms of major results achieved to-date and sustainability prospects in the context of the complexities of AEC integration efforts, which will support strategic reporting and communications.

Also monitoring of relevance, efficiency, effectiveness and emerging impact to support learning, management, decision making and to contribute towards reporting will be maintained. Work during AWP4 will build on previous efforts in the analysis of relevance, efficiency and effectiveness across the programme. This activity will play a significant role in mainstreaming some of the key findings from the AEC Blueprint 2025 MTR. These will include technical assistance across all ARISE Plus activities on results-based management and adoption of a learning outcomes-based approach to capacity building. Additional efforts will focus on the development of evidence-based knowledge-products to provide an in-depth and qualitative account of the contributions from ARISE Plus to AEC integration efforts. This will be supplemented by outreach and dissemination efforts.

To ensure coherence and synergy within the ARISE Plus programme an Integrated Monitoring Framework was drafted and a first informal Programme Coordination meeting held with the EUDs from 6 AMS and national and regional ARISE Plus projects, in June 2020. The activity will support cooperation and coherence within the wider ARISE Plus programme through on-demand support for workshops, events and analysis.

Activity 4.1.1 Results oriented monitoring, publications and dissemination for ARISE Plus	
Main Beneficiaries	EU MISSION TO ASEAN, EUD to AMS ARISE Plus TAT of regional project, ARISE Plus TAT of other regional projects, ARISE Plus TAT of national projects, ASEAN Integration Monitoring Division (AIMD), Relevant ASEAN Bodies
Planned Tasks	<p><b>ARISE Plus regional project internal monitoring:</b></p> <ul style="list-style-type: none"><li>• Undertake monitoring analysis for 7<sup>th</sup> and 8<sup>th</sup> progress reports, develop monitoring sections and other inputs to support management, decision making and planning;</li><li>• Update ARISE Plus regional project logframe for extension period and include baseline and targets with Team Leader, EUD and EUD contractor;</li><li>• Develop monitoring analysis, planning strategies and other inputs for work programs and PSC meetings;</li><li>• Provide orientation on results-based management and other key findings of the AEC Blueprint 2025 MTR to the ARISE Plus expert team for mainstreaming into technical activities. This will include awareness sessions to the expert team and recommendations to integrate results based-management and other AEC MTR findings in expert TORs where relevant;</li><li>• Develop a guideline and template for results-oriented training to be mainstreamed across all ARISE Plus capacity building activities including learning outcomes and key messages from AEC Blueprint 2025 MTR;</li><li>• Review designs of ARISE Plus training programs and provide recommendations to strengthen learning outcomes and align with key messages from AEC Blueprint 2025 MTR;</li></ul>

Activity 4.1.1	Results oriented monitoring, publications and dissemination for ARISE Plus	
	<ul style="list-style-type: none"> <li>Strengthen monitoring mechanisms and undertake post-training surveys and interviews to assess learning outcomes;</li> <li>Assessment of output-outcome linkages, emerging outcomes and sustainability prospects for AEC integration efforts supported by ARISE Plus;</li> <li>Development of evidence-based, qualitative knowledge products including policy briefs, case studies and success stories (thematic and cross-cutting) through surveys, interviews, focus group discussions with stakeholders and qualitative assessment of ASEAN documents;</li> <li>Disseminate the results from monitoring efforts and knowledge products through channels of the EUD, ASEC, broader social media and press.</li> </ul> <p><b>ARISE Plus regional and national projects monitoring and coordination</b></p> <ul style="list-style-type: none"> <li>Support cooperation between TATs of ARISE Plus regional and national projects and relevant AMS EUDs in areas of mutual interest;</li> <li>Analyse and consolidate data available from wider ARISE Plus Programme cooperation efforts.</li> </ul>	
Deliverables		Time of delivery
	<p><b>ARISE Plus regional project internal monitoring:</b></p> <ul style="list-style-type: none"> <li>Monitoring sections, inputs to Executive Summary and write-ups on programmes for Subcomponent 4.1 for 7<sup>th</sup> and 8<sup>th</sup> progress reports;</li> <li>Updated ARISE Plus regional project logframe for extension period;</li> <li>Monitoring material, planning strategies for work programs and PSC meetings;</li> <li>Training module for expert team on key lessons from AEC Blueprint 2025 MTR;</li> <li>Guideline and template for results-oriented training to be adopted across all ARISE Plus capacity building activities;</li> <li>Assessment sheets of design of ARISE Plus training programs;</li> <li>Report assessing post-training learning outcomes;</li> <li>Assessment document of output-outcome linkages, emerging outcomes and sustainability for ASEAN activities supported by ARISE Plus;</li> <li>Policy briefs and case studies (in thematic and cross-cutting areas) which are qualitative, and evidence based describing the successes of ARISE Plus;</li> <li>Social media and website posts for dissemination.</li> </ul>	<p>Q1, Q3</p> <p>Q1</p> <p>Q1, Q4</p> <p>Q1-Q2</p> <p>Q1</p> <p>Q1-Q4</p> <p>Q2-Q4</p> <p>Q2-Q4</p> <p>Q1-Q4</p> <p>Q1-Q4</p> <p>On demand (Q2, Q4)</p>

Activity 4.1.1 Results oriented monitoring, publications and dissemination for ARISE Plus										
	<b>ARISE Plus regional and national programme monitoring and coordination:</b> <ul style="list-style-type: none"><li>Regional coordination meeting reports on demand;</li><li>ARISE Plus Programme analysis on demand.</li></ul>									
<b>Expected Results</b>	<ul style="list-style-type: none"><li>Results oriented management, decision making, reporting and dissemination in the ARISE Plus regional programme;</li><li>Coherence and coordination between the national and regional ARISE Plus projects through an integrated monitoring framework.</li></ul>									
<b>Resources (inputs)</b>	<table><tr><th colspan="2">Category</th></tr><tr><th>Experts</th><th>Working Days</th></tr><tr><td>SNKE</td><td>135</td></tr><tr><td>JNKE</td><td>95</td></tr></table>		Category		Experts	Working Days	SNKE	135	JNKE	95
Category										
Experts	Working Days									
SNKE	135									
JNKE	95									

#### Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework

##### **Rationale**

The AEC 2025 Monitoring and Evaluation (M&E) Framework was endorsed by the ASEAN Economic Ministers and AEC Council Ministers in 2016 and is the basis for monitoring and evaluation of ASEAN economic integration. The AEC 2025 M&E Framework consists of four components:

- Compliance monitoring (based on the Consolidated Strategic Action Plan (CSAP) and Annual Priorities drawn from sectoral work plans with regular reporting);
- Outcomes monitoring (based on sectoral key performance indicators as identified, with periodical reporting);
- Impact evaluation (based on socio-economic indicators, mid-term and end-term evaluations)
- Reporting, verification and review.

AEC monitoring is coordinated by the ASEAN Integration Monitoring Directorate (AIMD) of the ASEAN Secretariat, particularly the Monitoring, Surveillance, and Coordination Division (MSCD).

This activity will continue to support the operationalisation of the AEC 2025 M&E Framework, building on the strategic support provided by ARISE Plus in previous years including for strengthening the country visit process and major contributions for the AEC Blueprint 2025 Mid-term Review (MTR) including private sector engagement, analysis, write-up, launch and dissemination. Through its support for the Mid-Term Review of the AEC Blueprint 2025, ARISE Plus has contributed to strengthening the strategic direction of the overall AEC Blueprint for its second phase from 2021 to 2025 and ASEAN economic integration and broader Community-building efforts

During AWP4, support will focus on **targeted technical assistance to the AIMD, ASEC and Sectoral bodies to implement some of the key findings from the AEC Blueprint 2025 MTR**. It is easy to get fixated with reaching the perfect implementation rate, but of key important is to ensure that

initiatives implemented are high-impact and directly contribute to the goals of the AEC 2025. This activity will support the AIMD in creating some of this mind-set shift amongst sectoral bodies as well as within the ASEAN Secretariat, which will be a long-term process but which will great benefit future AEC integration efforts. Work will focus on enhancing AEC implementation through stronger and **result-oriented planning, monitoring, reporting, and follow-up** mechanisms and capacity building.

Activity 4.1.2 Supporting implementation of AEC 2025 M&E framework		
Main Beneficiaries	<ul style="list-style-type: none"> <li>• ASEAN Integration Monitoring Division (AIMD)</li> <li>• ASEAN Economic Community Council</li> <li>• High-Level Task Force on ASEAN Economic Integration (HLTF-EI)</li> <li>• ASEAN Sectoral Bodies under AEC</li> <li>• ASEC Divisions under AEC Department</li> <li>•</li> </ul>	
Planned Tasks	<p><b>Support AIMD to implement select key findings from the AEC Blueprint 2025 MTR:</b></p> <ul style="list-style-type: none"> <li>• Support intermediate and advanced M&amp;E <b>capacity building programmes for AIMD staff</b> through select training courses such as emerging technology and digital analytics and their use in M&amp;E; National Evaluation Systems in Public Service: Institutionalising Evidenced Informed Policy and Decision Making</li> <li>• Strengthen <b>results-based management covering strategic planning, M&amp;E, reporting and follow-up</b> amongst desk officers from the AEC Department. This would involve training of trainers (TOT) at AIMD, joint development of training modules and guidelines for AEC desk officers and training of AEC desk officers through a series of blended learning approaches</li> <li>• Provide capacity building and technical assistance for select AEC sectoral bodies on <b>results-based management covering strategic planning, M&amp;E tools, reporting and follow-up</b>. This would involve workshops on results-based management (using trained trainers from ASEC and ARISE+ experts) and technical assistance for sectoral bodies to assess their ASEAN outputs systematically and undertake decision making including follow-up and adaptive action.</li> </ul>	
Deliverables	Time of delivery <sup>1</sup>	
	• Training courses for AIMD staff	Q2-Q3
	• Trained trainers, training modules, guidelines and blended learning programmes to strengthen results-based management amongst AEC desk officers for future planning cycles	Q2-Q4
	• Training materials, M&E tools and workshop reports to strengthen results-based management amongst select AEC sectoral bodies	Q3-Q4

<sup>1</sup> Timing to be aligned with AIMD

Activity 4.1.2	Supporting implementation of AEC 2025 M&E framework	
Expected Result	Strengthen the implementation of the AEC Blueprint 2025 for its second phase from 2021 to 2025 through enhanced M&E, and strategic planning for ASEAN economic integration and broader Community-building beyond 2025.	
Resources (inputs)	Category	
	Experts	Working Days
	SNKE	120
	JNKE	0

### Activity 4.1.3 Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025

#### **Rationale:**

The ASEAN Integration Monitoring Directorate (AIMD) at the ASEAN Secretariat is responsible for: (i) spearheading the implementation of regional surveillance and economic integration monitoring in ASEAN; (ii) providing high level policy and technical advice and recommendations to support ASEAN economic integration initiatives; (iii) shaping the economic research and policy analysis programme of ASEAN Secretariat; (iv) ensuring effective information dissemination, communication and reporting on ASEAN economic integration; and (v) providing in-house capacity development for economic integration monitoring, analysis and research.

In addition to periodic internal and public research outputs, the AIMD also responds to the research needs of the AEC, at times with other ASEAN community pillars or in co-ordination with leading regional and international research institutions. Increased demand for analytical work, particularly on emerging and cross-cutting issues highlights the need for technical assistance to articulate and disseminate research results to key regional stakeholders to promote their awareness of and participation in ASEAN regional economic integration efforts.

AIMD has a strategic **need for technical assistance focussing on analytical work and articulation and dissemination of results** from its various M&E efforts to key regional stakeholders. During AWP2 and AWP3, efforts included enhancement to the AEC Monitoring website to make it appealing to a range of target end-users, and the strengthening of existing publications such as the ASEAN Economic Integration Brief (AEIB) and support for the development of additional products such as the ASEAN Policy Brief and draft AEC Newsletter. During AWP4 additional support is expected to help disseminate the results of the AEC MTR as well as for broader dissemination of AEC integration efforts across stakeholders through the dissemination channels of ASEC, including an updated AEC Monitoring Website and social media. Support will also include the conduct of studies, focus group discussions and analytical documents to support AEC decision making and strategic planning.

<b>Activity 4.1.3</b>	<b>Support for research, analysis and outreach to assist AEC Blueprint 2025 implementation and beyond</b>
<b>Main Beneficiaries</b>	<ul style="list-style-type: none"> <li>The ASEAN Secretariat, particularly the ASEAN Integration Monitoring Directorate (AIMD)</li> <li>ASEAN Sectoral Bodies</li> </ul>

Activity 4.1.3	Support for research, analysis and outreach to assist AEC Blueprint 2025 implementation and beyond		
Planned Tasks	<ul style="list-style-type: none"><li>• ASEAN Member States</li><li>• Support the development and dissemination of additional knowledge products derived from the AEC Blueprint 2025 MTR;</li><li>• Support the updating of the AEC Monitoring website, strengthening the use of other digital channels for the dissemination of information on AEC integration efforts;</li><li>• Support the conduct of studies and preparation of analytical documents to support decision making and strategic planning in the AEC towards 2025 and beyond building on the recommendations of the MTR. These may include studies on emerging and/or cross-sectoral issues and facilitation and analysis of focus group discussions to garner additional feedback and insights;</li><li>• On-demand driven support to enhance other AIMD publications or communications as required.</li></ul>		
	Deliverables		Time of delivery <sup>2</sup>
	<ul style="list-style-type: none"><li>• Knowledge products building on findings from the AEC Blueprint 2025 MTR report including policy briefs</li></ul>		Q1-Q4
	<ul style="list-style-type: none"><li>• Updated AEC monitoring website and other mechanisms for dissemination of AEC integration efforts at regular intervals</li></ul>		Q1-Q4
	<ul style="list-style-type: none"><li>• Analytical products, studies and focus group discussions to support evidence-based decision making and strategic planning in the AEC towards 2025 and beyond</li></ul>		Q3-Q4
	<ul style="list-style-type: none"><li>• Other demand driven AIMD publications or communications as required</li></ul>		Q1-Q4
Expected result	ASEAN research capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025, through dissemination of lessons learnt from MTR, and evidence-based AEC strategic planning towards 2025 and beyond.		
Resources (inputs)	Category		
	Experts	Work Days	
	SNKE	100	
	JNKE	40	

<sup>2</sup> Subject to changes based on AIMD priorities and work-plan



#### Activity 4.1.4 Enhancing AEC Monitoring Databases (Demand driven)

##### **Rationale:**

In 2017, AIMD started the development of two internal databases, namely the “AEC 2025 Compliance Monitoring Database” (CM Database), and the “AEC 2025 Integration Monitoring Database” (IM Database) to help operationalise compliance and outcomes monitoring, respectively, for the AEC. The main features of the databases are as follows:

- The CM Database tracks the implementation of AEC 2025 sectoral work plans at different tiers/levels of implementation; which should be updated by the AIMD and AEC desk officers based on the progress of activities, meeting reports, and other official documents of AEC sectoral bodies.
- The IM Database consolidates statistical data from ASEANstats and third-party sources; includes historical data for Key Performance Indicators (KPIs) adopted in AEC sectoral work plans, as well as other relevant statistical data.

The immediate priority is to fully operationalise and utilise these databases. This include to ensure the readiness of and enhance the familiarity of relevant ASEC desk officers to use the compliance monitoring database. In parallel to the use of the databases, there is a need to continuously address any technical glitch and enhance the technical features/functions of the databases to respond to the challenges or additional requirements that may arise from the first year/s of operationalisation of the databases.

Activity 4.1.4	Enhancing AEC Monitoring Databases: Demand driven		
Main Beneficiaries	ASEAN Integration Monitoring Directorate (AIMD), Statistics Division (ASEANStats), ASEC desk officers, and indirectly AEC sectoral bodies		
Planned Tasks	<ul style="list-style-type: none"> <li>• Further enhancement of Compliance Monitoring database in keeping with operationalisation;</li> <li>• Provide capacity building and socialisation to improve the operationalisation of the Compliance Monitoring database amongst ASEC desk officers building on the relevant lessons and findings from the AEC Blueprint 2025 MTR.</li> </ul>		
Deliverables			Time of delivery
	<ul style="list-style-type: none"> <li>• Enhanced Compliance Monitoring database;</li> <li>• Training material, technical assistance, workshops and guidelines.</li> </ul>		Q3-Q4 (on-demand)
Expected Result	<ul style="list-style-type: none"> <li>• Strengthened compliance monitoring system which is operationalised</li> </ul>		
Resources (inputs)	Category		
	Experts	Work Days	
	SNKE	20	
	JNKE	20	

## **Sub-Component 4.2 ASEAN Statistics Capacity Building**

### **Rationale:**

The ASEAN Community Statistical System (ACSS) was formally established in 2011. Despite its progress in institutional strengthening and support for ASEAN greater data needs, ACSS is still facing some important challenges. The ACSS Strategic Plan 2016-2025 indicates the need for the ACSS to further strengthen its role as the highest regional body in statistical policy-making needs. This can be done through effective implementation of ACSS Strategic Plan 2016-2025 and its Annual Work Plans (AWPs); established Monitoring and Evaluation (M&E) system based on Key Performance Indicators (KPIs); enhanced response to greater ASEAN data needs, and through strengthened staff skills and IT tools for improved process of data production, dissemination and communication. The ARISE Plus has supported the ACSS since late 2018.

To enhance project intervention effectiveness and ensure sustainability, starting from WP3, emphasis was put on the following domains: Sustainable Development Goals Indicators (SDGI), System of National Accounts (SNA), Statistics on International Trade in Services (SITS), Foreign Direct Investment Statistics (FDIS), International Merchandise Trade Statistics (IMTS), and Statistical Dissemination and Communication and Others Related (DISCOTH). FDIS and SITS, as they fall rightly under the Balance of Payment Statistics (BOP), they are grouped together under the BOP activity, leaving 5 domains: SDGI, SNA, BOP, IMTS and DISCOTH. Activities under the WP3, WP4, and onward are structured following these domains (at a three-digit level), as it will ensure consistency with other ARISE Plus components and simplify the planning and monitoring of statistical activities.

Those related to the former domains of Information and Technology Development (ITD) and Other Field of Statistics (OFS) has been phased out to their minimum, and shall be covered under a separate budget post of Demand Driven (demand driven for enhanced quality and effectiveness of statistical process), as need be. The Demand Driven post shall also cover any emerging urgent activities not foreseen during the development of the work plan. The activities related to ITD and OFS as well as urgent, newly defined needs, will be covered under the current domains, either under the BOP (SITS and FDIS), or IMTS, where they are most suitable, and shall be flexible to have them switched across the five domains.

The WP4 adopts the following structure:

1. **Activity 4.2.1** Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGI)
2. **Activity 4.2.2** Supporting Gap Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008
3. **Activity 4.2.3** Supporting the Implementation of the Balance of Payments (BOP) related statistics, particularly SITS and FDIS
4. **Activity 4.2.4** Support for strengthened IMTS data processing and quality, in particular in CLM, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment
5. **Activity 4.2.5** Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility

With more than a decade of EU support, since the EU-ASEAN Statistical Capacity Building (EASCAB) programme, the right skills and capacities have been established in a few fields of statistics, allowing for the ARISE Plus to exercise a phasing out strategy. These include the fields related to tools for statistical analysis and visibility and tools for credibility check for quality processing of IMTS data, which have received more than one intervention. Fall under this category are Seasonal Adjustment Training; Video-graphics Training; IMTS Quality Synthesis Training/Workshop; and Code of Practice self-assessment.

Sustainability and visibility aspects are emphasized under the WP4. Therefore, activities promoting ASEAN-Help-ASEAN Framework (AHAF), Lead-Country approach, and Training of Trainers approach are encouraged. A few fields under the domains of BOP, and SNA, in addition to DISCOTH have started with AHAF and Lead Country approaches. However, due to the nature of the problems, the AHAF approach still needs to be further promoted and the Member States to be encouraged before the phasing out strategy can be applied to these domains. A few numbers of activities under WP4 involve Training of Trainers and On-the-Job Trainings, to enable the fields to sustain, with minor interventions from the ARISE Plus; this includes: SDGIs data review, indicator selection, and report writing; training on social media, training on data estimation and forecasting. Specific issues-oriented work plan, methodological Guides, and Handbooks are developed. These will allow for the effectiveness of the future programme interventions, and to facilitate the implementation of ASEAN-Help-ASEAN Framework, and hence sustainability.

#### **Activity 4.2.1 Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)**

##### **Rationale:**

The ACSS published the Baseline Report of ASEAN SDG Indicators 2020 in October 2020. This publication was developed with the EU support through the ARISE Plus project, under WP3 “*Strengthening Collection and Dissemination of ASEAN Sustainable Development Goals Indicators (SDGIs)-Phase 2*”, with the expert carrying out data review, indicators selection recommendation, aggregation, and preparation of the draft publication. The subsequent activity, “*Strengthening Collection and Dissemination of ASEAN Sustainable Development Goals Indicators (SDGIs)-Phase 2 Follow-up*”, also under WP3, focused on strengthening coordination amongst data providers at national level to help improve data quality and facilitate data submission, as well as on capacity building for ASEANStats to carry out data review and indicator selection through an on-the-job training. In addition, it also provided training on data estimation, imputation and forecasting for ASEAN Member States and ASEANStats, to address some of the missing values, for data completeness.

WP4 focuses on the support for ASEANStats to finalise the quality measures for the Code of Practices self-assessment, which has been initiated by the Working Group on SDGI (WGSDGI) with the ARISE Plus support under the WP3, to meet the Code of Practice Quality measures target in 2022. With the availability of a set of 63 selected indicators and probably a few more indicators to be available in 2021, for ASEAN SDGI monitoring, a set of quality measures developed in 2021 needs to be finalised to monitor the implementation of the self-assessment of the Code of Practices under the WGSDGIs. Through the WP4, ARISE Plus also supports the capacity building for ASEANStats, in the form of an on-the-job training, to carry out data aggregation, analysis and preparation of SDGIs dissemination, which is a follow-up to the capacity building provided under the WP3.

The on-the-job training to ASEANStats is expected to build the capacity at ASEANStats to enable the ASEANStats to carry out the SDGs data review, indicator selection, aggregation and prepare SDGs report with minor support. Supported with the improvement of AMS data submission, this is expected to increase the number of indicators eligible for ASEAN Online Database. The next round of SDGs publication will continue to be prepared with external support; however, ASEANStats will be providing important role in data review, and indicator selection and some role in the write-up. Increasing role of ASEANStats is expected, and less support would be needed.

The support under the WP4 also includes organisation of a regional workshop to discuss and finalise the draft quality measures of Code of Practices (CoP) self-assessment and discuss the SDGs selection, as well as issues related to data submission, quality, and coordination challenges. The workshop is planned for three days, and will involve up to 2 persons from each AMS and 2-3 from ASEAN Secretariat.

Activity 4.2.1 Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGs)		
Main Beneficiaries	ASEAN Member States (AMSS), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee, in particular Working Group on Sustainable Development Goals Indicators (WGSDGs).	
Planned Tasks	<b>Strengthening SDGI Quality Monitoring, Compilation and Reporting</b> <ul style="list-style-type: none"> <li>• <b>Undertake TA</b> on the finalisation of the quality measures of the Code of Practices (CoP) self-assessment on SDGs;</li> <li>• <b>Undertake TA/On-the-Job Training</b> for ASEANStats on the preparation of the ASEAN SDGs Report, for capacity building purposes;</li> <li>• <b>Organise regional workshop</b> to discuss and finalise the quality measures of the Code of Practices (CoP) self-assessment on SDGs, as well as the issues related to data submission, quality, and coordination challenges.</li> </ul>	
Deliverables		Time of delivery
	<ul style="list-style-type: none"> <li>• A set of quality measures, finalised and incorporated in the tools for SDGs Code of Practice self-assessment;</li> <li>• An increase in the number of SDGs indicators uploaded to the ASEAN SDGs data portal;</li> <li>• Workshop materials (quality measures for SDGI Code of Practices self-assessment; newly selected SDG indicator, presentation on issues related to data submission, quality, and coordination challenges; and presentation on data review and selection, amongst others).</li> </ul>	Q1 Q3 Q4
Expected Result	<ul style="list-style-type: none"> <li>• Regular reporting of SDG Code of Practice self-assessment;</li> <li>• Regular practice is established, where data review and indicator selection prepared by ASEANStats are discussed at the WGSDGs meeting/workshop.</li> </ul>	

<b>Activity 4.2.1</b>	<b>Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)</b>	
<b>Resources (inputs)</b>	Category	
	SNKE	46
	JNKE	0

#### **Activity 4.2.2: Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)**

##### **Rationale:**

##### **Gaps Analysis, Development and Implementation of Issues-Centred Work Plans, for Strengthened SNA Compilation.**

To advance the implementation of the Operational Guidelines of the System of National Accounts, a Quality Matrix (Template for assessing the state of development and quality of System of National Accounts of the ASEAN Member States) was developed and pilot tested during ARISE Plus WP3. Building on this, gap analysis was undertaken, and detailed plans focusing on specific issues faced by Member States (Issues-centred Work Plans) have also been developed. The Issues-Centred Work Plans have also been implemented to a limited extent in a couple of countries. Furthering the implementation of the Operational Guidelines would lead to the enhanced SNA data submission, hence contributing to the support for greater provision of ASEAN data needs.

To further support for greater provision of ASEAN data needs on SNA, the ARISE Plus WP4 shall focus on implementing the issues-centred work plans in some Member States. As applicable, this will be carried out with involvement of other ASEAN Member States, under the framework of the ASEAN-help-ASEAN. Where it involves ASEAN-help-ASEAN, the expert will help the Working Group on System of National Accounts (WGSNA) by providing the needed quality assurance. In most cases, however, the expert will implement a series of Technical Assistances (TA) to a number of selected Member States to implement the agreed Issues-Centred Work Plans. WP4 is expected to support up to four ASEAN Member States through the TA. A regional workshop is planned to be organised, at the later stage, to discuss the results of the TAs and to discuss other outstanding and newly emerging topics in SNA. The workshop is for three days, and will involve up to 2 persons from each AMSs and 3 from ASEC.

Implementation of the Issues-centred Work Plans, with the expert guiding the process through on-the-job training, will provide the experience and confidence in analysing the problems and finding solutions within the constraints of data availability, while observing international standards and best practices. Involvement of statistically more developed ASEAN countries, with the expert coaching the process, will also gradually lead to greater confidence of both the supporting country and the receiving country in implementing the work plan with less or no international expert, in the future.

<b>Activity 4.2.2</b>	<b>Supporting Gaps Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008.</b>	
<b>Main Beneficiaries</b>	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee, and in particular Working Group on	

<b>Activity 4.2.2</b>	<b>Supporting Gaps Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008.</b>	
	System of National Accounts (WGSNA).	
<b>Planned Tasks</b>	<p><b><i>Gaps Analysis, Development and Implementation of Issues-Centred Work Plans, for Strengthened SNA Compilation</i></b></p> <ul style="list-style-type: none"> <li>• <b>Undertake TA/</b> distance support for selected ASEAN Member States to implement their respective agreed detailed plan (Issues-centred Work Plans), some of which may be undertaken under the ASEAN-Help-ASEAN Framework, for improved compilation of Gross Domestic Products (GDP) and other national accounts aggregates;</li> <li>• <b>Organise and support Regional Workshop</b> on SNA to discuss the results of a series of implementation of the Issues-centred Work Plans, as well as to discuss identified outstanding and newly defined topics/issues on SNA. The workshop shall also discuss and revise the respective work plans, as well as the SNA Operational Guide as applicable; in consultation with ASEANStats and the Lead Country.</li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<p><b><i>Gaps Analysis, Development of Issues-Centring Work Plans, and Strengthening Data Compilation</i></b></p> <ul style="list-style-type: none"> <li>• Proposed strategies/measures to address identified issues in four Member States, implemented;</li> <li>• Workshop materials on the results of the TAs and on other outstanding and newly emerging topics in SNA;</li> <li>• The respective Issues-Oriented Work Plans revised, as necessary;</li> <li>• Mission report on the TA to the four Member States and the conduct of the regional workshop.</li> </ul>	<p>Q1 – Q2</p> <p>Q3</p> <p>Q4</p> <p>Q4</p>
<b>Expected Results</b>	<p><b><i>Gaps Analysis, Development of Issues-Centring Work Plans, and Strengthening Data Compilation</i></b></p> <ul style="list-style-type: none"> <li>• Strengthened skills in the respective Member States in compilation of targeted areas of the System of National Accounts;</li> <li>• Revised Issues-centred work plans of the respective AMS, as may be necessary;</li> <li>• Revised Operational Guide on SNA, as may be necessary;</li> <li>• Improved submission of AMS' GDP.</li> </ul>	
<b>Resources (inputs)</b>	Category	
	SNKE	46
	JNKE	0



**Activity Area 4.2.3: Supporting Gap Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008****Rationale:*****Strengthening Methodology and Compilation of Priority Categories of Balance of Payments Statistics in Statistics of International Trade in Services and Strengthening Methodology and Compilation of Priority Indicators of FDI Statistics***

Related to the Statistics on International Trade in Services (SITS), upon completion of the ARISE Plus WP3 activities, the Working Group on Statistics on International Trade in Services (WGSITS) has been equipped with a Methodological Guide and experience through a pilot test. These will help guide and expedite the ASEAN Member States in adapting the existing best practices in SITS to their own country's situation. The coverage of the WP3 intervention was limited to the compilation of key services categories of the Extended Balance of Payments Statistics (EBOPS) such as, Travel and Tourism, Insurance and Freights, and for data by partner countries breakdown, which are identified as the priority of the ACSS.

More pilot implementation under close guidance of the expert is still needed before the Member States gain sufficient experience and are able to implement the Methodological guide to its full extent. The coverage of the Methodological Guide also needs to be expanded to cover a few other areas of key priorities beyond the Travel and Tourism, Insurance and Freights. In addition, the vast development of digital economy has necessitated the compilation of the digital services, currently high in the agenda of many international organisations. ACSS, through the WGSITS has put the digital services as one of its workshop agenda items two years ago. Certainly, this topic needs to be taken more diligently in anticipation of the fast-growing role of the digital services in the economy. Balance, however, need to be exercised amid the need of some of the ASEAN Member States for more training/workshop on basic concepts and addressing data sources limitations and measurement problems.

Support under WP4 will focus on organising one more pilot implementation for improved compilation of priority categories of Extended Balance of Payments Statistic (EBOPS) as well as to refine the Guide. Issues common to the region as well as issues common to particular country groupings and newly emerging issues/ topics will also be covered. Subsequently, the Methodological Guide needs to be expanded to cover a few other key categories of the EBOPS beyond the Travel and Tourism, Insurance and Freights, in particular those in support of the compilation of the digital economy-related services. A couple of AMS, including Malaysia, have compiled these EBOPS categories and have gone a bit far in measuring the usage of ICT performance and digital economy. Support under the WP4 will involve these countries, under the ASEAN-help-ASEAN Framework (AHAF), and others, such as Thailand which, since the last two years, have contributed to the implementation of the working group activities, under the AHAF. The continued practice of involving member states with more experience in EBOPS and digital economy-related services and the presence of the Methodological Guide will gradually lessen the Member States' reliance on external support.

Support will include a distance support for one country to carry out the second pilot implementation and to refine the Methodological Guide, and for two selected AMSs to implement the Methodological Guide. The support will also include one regional workshop, and one sub-regional workshop/training.



In the case of FDIS, under the WP3, the ARISE Plus has supported the Working Group on International Investment Statistics (WGIIS) in developing specific-country grouping detailed plans (Issues-centred work plans), focusing on addressing issues related to the FDIS outward and FDIS inward stock compilation, which constitute the ACSS priority. Because of the diverse issues facing the Member States, there could be more than one country groupings, hence more than one issues-centred work plans.

Support under WP4 will focus on implementation of the detailed issues-centred work plans. Issues common to the region and newly emerging issues/ topics will also be covered. Issues related to the knowledge of FDI basic concepts, measurement problems, and limited data sources common to CLMV, will also be addressed. The support will include a series of TAs to three selected ASEAN Member States, one regional workshop, and one Sub-Regional Workshop.

With the expert guiding the process through on-the-job training during the implementation of the Issues-centred Work Plans, Member States will gain the experience and confidence in analysing the problems and finding solutions within the constraints of data availability, while observing international standards and best practices. The ASEAN-help-ASEAN approach, so far practiced to a limited extent, will be further encouraged under the WP4. Availability of the Issues-centred Work Plans, the continued practice of ASEAN-help-ASEAN in implementing the working group's work plan, and the experience from on-the-job training will help ease member states dependency on external support.

Under WP4 ARISE plus is also open to addressing on demand requests raised by ASEANStats and ASEAN Member States. Under the BOP domain, the near future priorities can go beyond the Travel and Tourism, Insurance and Freights, and beyond the FDI inward stocks and FDI outward; especially considering the increasing importance of the digital services for monitoring ASEAN integration, and considering the importance of expediting the compilation of other categories of services most needed by the CLMV, and other breakdown of FDI statistics most needed by the CLMV.

<b>Activity 4.2.3</b>	<b>Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS</b>
<b>Main Beneficiaries</b>	ASEAN Member States (AMSS), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee, and in particular the WGSITS and WGIIS
<b>Planned Tasks</b>	<b><i>Strengthening Methodology and Compilation of Priority Categories of Balance of Payments Statistics in Statistics of International Trade in Services</i></b> <ul style="list-style-type: none"><li>• <b>Undertake one pilot implementation</b> with one selected Member State, to identify areas of improvements in the Methodological Guide, and to provide inputs for further refinement of the Methodological Guide;</li><li>• <b>Undertake distance support</b> for two selected ASEAN member States to assist them in improving the compilation of EBOPS Key Services categories, and/or SITS data by partner countries. This activity will involve other Member States as resource persons to share their knowledge and experiences;</li><li>• <b>Organise and support Regional Workshop</b> on SITS on Compilation of EBOPS Key Services Categories, and/or SITS data by partner countries, and to discuss newly emerging issues/ topics such as the digital services compilation and discuss the next steps;</li></ul>

Activity 4.2.3	Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS	
	<ul style="list-style-type: none"> <li>• <b>Organise and support Sub-regional Workshop/Training</b> on SITS for a particular country grouping/CLMV to discuss issues specific to one particular country grouping and to provide training on issues of particular relevance identified by the country grouping, and discuss the next steps;</li> <li>• <b>Undertake</b> a demand driven task on expediting SITS compilation and improving SITS quality and dissemination.</li> </ul> <p><b><i>Strengthening Methodology and Compilation of Priority Indicators of FDI Statistics</i></b></p> <ul style="list-style-type: none"> <li>• <b>Undertake a distance support</b> for three selected ASEAN member States to assist them in implementing the Issues-Centred Work Plan, for improved compilation of FDIS outward flows and stock, FDIS inward stock, and FDIS by ultimate country, amongst others. This activity will involve other Countries as resource persons to share their knowledge and experiences;</li> <li>• <b>Organise and support Regional Workshop</b> to discuss FDIS towards improvement to AMS data submission of FDIS outward flows and stocks, FDIS inward stock, and FDIS by ultimate country breakdown, amongst others, as well as improvement in data dissemination, and discuss the next steps;</li> <li>• <b>Organise and support Sub-regional Workshop/Training</b> on FDIS to discuss issues specific to one particular country grouping and to provide training on issues of particular relevance identified by the country grouping, and discuss the next steps;</li> <li>• <b>Undertake</b> a demand driven task on expediting FDIS compilation and improving FDIS quality and dissemination.</li> </ul>	
Deliverables		Time of delivery
	<p><b><i>Strengthening Methodology and Compilation of Priority Categories of Balance of Payments Statistics in Statistics of International Trade in Services</i></b></p> <ul style="list-style-type: none"> <li>• Methodological Guide refined;</li> <li>• Methodology for compiling some EBOPS key categories in selected Member States defined, and implemented;</li> <li>• Next steps on the compilation of digital services/other emerging topics defined;</li> <li>• Next steps on the issues of particular relevance to the country grouping defined;</li> </ul> <p><b><i>Strengthening Methodology and Compilation of Priority Indicators of FDI Statistics</i></b></p>	<p>Q2 – Q3</p> <p>Q2 – Q3</p> <p>Q4</p> <p>Q4</p>

Activity 4.2.3	Supporting the Implementation of the Balance of Payments (BOP), related statistics, particularly SITS and FDIS		
Expected Result	<ul style="list-style-type: none"><li>Methodology for compiling FDIS outward flows and stock, FDIS inward stock, and FDIS by ultimate country, amongst others, defined, and implemented;</li><li>Next steps on the improvement to AMS data submission of FDIS outward flows and stock, FDIS inward stock and improvement in data dissemination, defined;</li><li>Next steps on addressing issues common to particular country groupings, defined.</li></ul>	Q1 – Q2	Q3
			Q4
Expected Result	<b><i>Strengthening Methodology and Compilation of Priority Categories of Balance of Payments Statistics in Statistics of International Trade in Services</i></b>		
	<ul style="list-style-type: none"><li>Enhanced SITS data submission, with Member States are able to submit more SITS data with improved coverage, and with proper breakdown by partner country.</li></ul>		
Expected Result	<b><i>Strengthening Methodology and Compilation of Priority Indicators of FDI Statistics</i></b>		
	<ul style="list-style-type: none"><li>Enhanced FDIS data submission and coverage, in particular related to FDIS inward stock and FDI outward flows and stock, as well as FDIS by ultimate country breakdown.</li></ul>		
Resources (inputs)	Category		
	SNKE	138	
	JNKE	0	

#### Activity 4.2.4: Supporting the Implementation of the Balance of Payments (BOP) related statistics, particularly SITS and FDIS

##### Rationale:

##### ***Strengthening Methodology and Data Processing for Improved Quality and Availability of IMTS Data; and Strengthening Analytical Skills for Improved IMTS Data Quality and Database***

Through the previous interventions under the ARISE Plus, three outstanding major issues were identified: 1) data processing skills and capacity (the practice of credibility check in CLM and data submission to ASEANStats and lack staff and capability in data processing); 2) Lack of availability of information in the Customs Declaration, and hence compliance with International Merchandise Trade Statistics (IMTS 2010 manual); and 3) Lack of analytical skills of officials dealing with IMTS data in AMS and ASEC; much needed for improved quality and dissemination. WP3 provided support through the IMTS activity Phase 1, to address the first major issue.

Phase 2, currently being carried out under the ARISE Plus WP3 provides the Methodological Handbook and the skills for compiling trade quantity data and data by mode of transport. It also supports the assessment of the availability of data in the Customs Procedure Codes (CPC), and feasibility of undertaking regular compilation of trade quantity and data by mode of transport; hence

addressing issues number 2). It also supports the development of a quality synthesis report and guidelines.

Related to issue number 3), is the need, expressed by ASEANStats and Member States, to improve IMTS data measurement/collection through the provision of a Methodological Handbook specifically developed to address issues identified through the ASEANStats' quality survey (Methodological Handbook 2021). Also requested by ASEANStats, for regional monitoring purposes, is the need to improve the skills among IMTS staff and the need to address coordination challenges among data providers, to enable regular compilation of trade quantity data and data by mode of transport.

The availability of the Methodological Handbook 2021 provided for through the WP3 along with the skills to compile trade quantity and data by mode of transport, would allow the AMS to start with implementation of the Methodological Handbook and compilation of the trade quantity and trade data by mode of transport. This activity shall be supported by the ARISE Plus under its WP4. This support would include a TA to three selected countries, to implement the Methodological Handbook, and a regional workshop to discuss implementation issues and new topics in IMTS. This WP4 will also support selected AMS in strengthening their data processing and quality check by familiarising their staff with Eurotrace; similar to the TA provided to Lao PDR during WP3.

The TA to the selected AMS will involve ASEANStats, who has undergone the on-the-job training in line with the migration of the data processing tools from the Access-based Eurotrace to the SQL-based Eurotrace. This follows the same practice implemented during the TA to Lao PDR under ARISE Plus WP3. Involvement of ASEANStats in the TA to some AMS would further enhance the capability of ASEANStats in addressing issues related to the use of Eurotrace, hence reducing the Member States dependency on international experts.

Seasonal Adjustment is an area which could lead to important changes in the data dissemination and analysis. Considerable amount of data is available in sufficiently long quarterly, or monthly time series. These data involve seasonal factors as well as trading day and holiday effects as well as irregularity, which need to be removed to improve analysis and dissemination of statistics. WP3 provided support to conduct a basic training on Seasonal Adjustment techniques, suitable for less complex data such as quarterly data, for the ASEAN Member States and ASEANStats, using the JDemetra+. It also involved on-the-job training for ASEANStats in carrying out seasonal adjustment for some of the ASEAN quarterly time series data, to ensure familiarity of ASEANStats staff with the JDemetra+. Despite such a progress, a more sophisticated method is needed to address more complex, monthly data, which involves a lots of calendar effects and moving holiday effects.

The WP4 is expected to provide training on Seasonal Adjustment for complex data, which is needed to address monthly (even, weekly or daily data), such as the stock prices data. This would involve not only a training, but also a follow-up, on-the-job training/workshop in two selected AMS. The training is planned, under the framework of Training for Trainers. The support will, therefore, include the development of a module for trainers participating in the training of trainers. Following the advanced training, the trained trainers would be able to provide training on Seasonal Adjustment to staff in other departments responsible for prices statistics, industrial production statistics, tourism statistics, and agricultural statistics, which are also published monthly/quarterly, with potential seasonality and calendar effects. The JDemetra+ software is available for free, and online support is also available from the JDemetra+ administrator in the Eurostat. This software is currently under further development by the United Nations Statistics Division (UNSD) to enhance its user-friendliness to ensure wider application, in the light of UNSD initiative for national statistical offices to observe

modern statistical practices by providing seasonally adjusted series in their data dissemination to complement the usual data dissemination.

With ASEANStats experience during the on-the-job training under WP3 and with the advanced training for all AMS as well as with the experiences gained from the on-the-job training under the WP4, Member States' capacity in Seasonal Adjustment will sustain. Moreover, the advanced training will also provide the skills to do proper forecasting which is conducted by taking into account seasonality and calendar effects. This would be very important for regional statistics institution such as ASEANStats which occasionally received late submission from some of the Member States; hence ASEANStats can improve data timeliness by providing preliminary data, based on the forecast.

Activity 4.2.4	Support for strengthened IMTS data processing and quality, in particular in CLMV, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee, and in particular Working Group on International Merchandise Trade Statistics (WGIMTS)
Planned Tasks	<p><b><i>Strengthening Methodology and Data Processing for Improved Quality and Availability of IMTS Data</i></b></p> <ul style="list-style-type: none"><li>• <b>Undertake TA</b> mission/distance support to three selected AMSs, to implement the Handbook to address agreed priority issues, which could be different from one country to another;</li><li>• <b>Undertake TA</b> mission/distance support to two selected AMS to help these countries with data processing and with issues emerging from their migration of the data processing system from Microsoft Access-based to SQL-based Eurotrace, and other emerging needs. ASEANStats will be involved. The TA is planned for 3 days in each country;</li><li>• <b>Organise and support a Regional Workshop</b> on International Merchandise Trade Statistics to discuss implementation issue of the Methodological Handbook and issues found in the compilation of trade quantity data as well as trade data by mode of transport and some newly identified issues. It will also discuss the results of TAs to the selected AMS. The regional workshop is planned for 4 days, involving 2 participants from each of the ten AMS, and 3 participants from ASEANStats;</li><li>• <b>Organise and support one Sub-Regional Workshop</b> on International Merchandise Trade Statistics to discuss specific issues in the respective country grouping. Involvement of one or two statistically more advanced AMS and ASEANStats is encouraged. The Sub-regional workshop is planned for 3 days, involving 3 participants from each five participating countries and ASEANStats;</li><li>• <b>Undertake a demand driven tasks</b> for expediting IMTS compilation and improving quality, including mirror analysis.</li></ul>

<b>Activity 4.2.4</b>	<b>Support for strengthened IMTS data processing and quality, in particular in CLMV, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment</b>	
	<p><b><i>Strengthening Analytical Skills for Improved IMTS Data Quality and Database</i></b></p> <ul style="list-style-type: none"> <li>• <b>Undertake preparation of a training module on Seasonal Adjustment</b> for use in Training of Trainers. The module should also provide a session on delivering the Seasonal Adjustment Training using JDemetra+ to train the trainee on how to deliver a Seasonal Adjustment training;</li> <li>• <b>Undertake a Regional Advanced Training on Seasonal Adjustment</b> for complex data. The training is planned for all ten member states and ASEANStats;</li> <li>• <b>Undertake some follow-up national training/ workshop</b> in two selected AMS. This national workshop would help familiarise staff with Seasonal Adjustment tools by actually conducting Seasonal Adjustment to their selected complex time series data.</li> </ul>	
<b>Deliverables</b>		<b>Time of delivery</b>
	<p><b><i>Strengthening Methodology and Data Processing for Improved Quality and Availability of IMTS Data</i></b></p> <ul style="list-style-type: none"> <li>• Suitable methodology for compiling the agreed priority data defined, and implemented;</li> <li>• Issues emerging from the migration of the data processing system from Microsoft Access-based to SQL-based Eurotrace, and other emerging needs, resolved;</li> <li>• Next steps related to the implementation issues of the Handbook and identified issues in the selected AMS defined;</li> <li>• Next steps related to the specific country grouping's issues defined.</li> </ul> <p><b><i>Strengthening Analytical Skills for Improved IMTS Data Quality and Database</i></b></p> <ul style="list-style-type: none"> <li>• A training module for use in Training of Trainers defined;</li> <li>• Regional Advanced Training on Seasonal Adjustment for complex data implemented;</li> <li>• Selected monthly time series data in two selected countries are seasonally adjusted;</li> </ul>	<p>Q2</p> <p>Q2</p> <p>Q3</p> <p>Q3</p> <p>Q2</p> <p>Q3</p> <p>Q4</p>
<b>Expected Result</b>	<p><b><i>Strengthening Methodology and Data Processing for Improved Quality and Availability of IMTS Data</i></b></p> <ul style="list-style-type: none"> <li>• Improved submission of data by trade quantity and data by mode of transport;</li> <li>• Strengthened capacity in IMTS data analysis and quality assessment;</li> </ul>	



<b>Activity 4.2.4</b>	<b>Support for strengthened IMTS data processing and quality, in particular in CLMV, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment</b>						
	<ul style="list-style-type: none"> <li>Improved capability of ASEANStats in supporting some AMS with the use of the Eurotrace.</li> </ul> <p><b><i>Strengthening Analytical Skills for Improved IMTS Data Quality and Database</i></b></p> <ul style="list-style-type: none"> <li>Strengthened skills in carrying out Seasonal Adjustment, allowing the trained staff to implement the seasonal adjustment techniques to sophisticated monthly data;</li> <li>Strengthened skills, allowing the trained trainers to provide seasonal adjustment training to staff in other departments.</li> </ul>						
<b>Resources (inputs)</b>	<table border="1"> <tr> <td colspan="2">Category</td></tr> <tr> <td>SNKE</td><td>151</td></tr> <tr> <td>JNKE</td><td>0</td></tr> </table>	Category		SNKE	151	JNKE	0
Category							
SNKE	151						
JNKE	0						

**Activity 4.2.5: Support for strengthened IMTS data processing and quality, in particular in CLM, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment**

**Rationale:**

***Strengthening Dissemination and Communication in Statistics, User Engagement, and their Monitoring and Support for Early Development in Utilization of Big Data for Official Statistics***

The vast development of information and communication technology has changed the data dissemination platform, from mostly printed products to electronic products. Dissemination and communication in Statistics has gone far beyond the traditional means of physical meetings, workshops, and mail. Twitter, Facebook, YouTube and Instagram are increasingly utilised in dissemination and communication of statistics. This development necessitates the revisit of the specific quality measures used to monitor the implementation of Code of Practices in the area of dissemination and communication.

The ARISE Plus shall provide support through its WP4 to revisit and further develop a set of specific quality measures for use in the self-assessment to monitor the implementation of the Code of Practices in dissemination and communication in statistics. The draft quality measures will be discussed at the Working Group on Data Sharing, Dissemination and Communication in Statistics (WGDSA) in June/July 2021.

The WGDSA has earlier developed its quality measures. The rapid change in the use of electronic means and social media for dissemination and communication in statistics has brought about more challenges in and hindered further development of the quality measures, and hence needing support from international expert. Despite such challenges, the WGDSA's experience in developing the former quality measures, will ensure sustainability of the capacity they gain through this ARISE Plus intervention.



In the area of Statistical Communication and Visibility, the ASEAN Community Statistical System (ACSS) has made important progress including the development of a Concept Notes on ACSS communication plan. One of recommendations in the Concept Notes, which is the High-Level Forum, has been implemented. A more recent, increasingly practiced social media for improved user engagement and promotion of statistics, also proposed in the Concept Notes, has been requested by Member States. Social media has gained outstanding popularity in the communication process between public institutions and citizens and are widely used by people in their communication, with more than half of the world use social media (Global social media research summary 2021).

However, social media is relatively new to statistical institution and has not been exploited to its fuller extent for strengthened user engagement and communication in Statistics. The social media platform used also differs across institutions. Recently, the United Nations Statistics Division, for example, only uses twitter, while Eurostat and UNESCAP use twitter, Facebook, and YouTube. The ASEAN Secretariat uses Facebook, twitter, LinkedIn, and YouTube. The Department of Statistics Malaysia uses Facebook, Instagram, Twitter, YouTube and TikTok, the Philippines Statistical Authority uses Facebook and twitter, while Singapore uses YouTube and LinkedIn, and Brunei Darussalam uses Instagram. A couple more ASEAN Member States, if any, uses social media for communication in Statistics.

In embarking on the use of social media for communication in Statistics, a few questions may need to be clarified, because popularity and effectiveness differs across social media platforms. The kinds and size of the information set to be disseminated may also define the most effective platform. The target group to whom the information is to be communicated may also matter, despite many other factors. The popularity of social media platform may also differ according to the age group and profession of the netizens. Despite the increasing use of twitter in the communication between institution and 'netizens', researcher has yet to confirm which social platform is used most widely; either Facebook or twitter YouTube, or other platform.

The vast advancement of the information technology and use of internet provides great momentum for the Statistical office to explore the use of social media for improved user engagement and communication in Statistics. The ARISE Plus WP4 will provide support for developing a model of combination of social media platforms for improved user engagement and communication in Statistics. The support is meant to undertake an assessment of the best practices among leading statistical offices in the world; identify their strength and suitability for communication in statistics, depending on the target groups, and depending on products to be communicated; and to define a model of the suitable combination amongst a few most common platforms used by statistical offices.

In social media, information is disseminated fast and widely. Any small mistakes committed during the communication may be blown up very quickly. The support, therefore, shall also cover a training on how to mitigate the risk of social media communication crisis, upholding response etiquette, as well as protection of the social media account and mitigation of other risks of using social media. The training should also discuss the chosen combination of platform and their strength and suitability. In addition, the support will also cover the development of a tools for monitoring the volume and types of users' responses.

The WP4 support would include a TA to ASEANStats and some Member States to develop a suitable combination of social media along with the mechanism for monitoring the social media responses, and to develop a module for training on social media, management of social media responses and risks, and handling social media crisis and other relevant topics on communication in statistics, such as how to tap on useful features of the social media platforms to communicate better and how to make the best use of social media analytics metrics to measure performance. The support will include the delivery of the training. The model of the social media platform shall be also discussed and adopted by the Working Group on Data Sharing, Dissemination and Communication in Statistics (WGDSA). The training will involve all ten ASEAN Member States, with 2 participants per country, for 4 days. This activity will not involve an ASEAN-Help-ASEAN approach because this is a new field being introduced to the Member States. However, the familiarity of Member States with social media may help ensure sustainability of the skills gained through the training.

Related to ACSS initiatives on Big Data, the WP1 has provided support for the organisation of a workshop to provide the member states with awareness of the potential uses and sources of Big Data for official statistics. AMS has, as a follow up, developed a Concept Note. A couple of activities has also been devised to improve AMS capacities on data sciences and data engineering methodology to produce statistical data using information from the Big Data. This initiative is being undertaken by the Member States Led by BPS Statistics Indonesia and Department of Statistics Malaysia, without external resources, and will be followed by a pilot implementation on a particular topic/statistical area.

In anticipation of the positive development in this area, Member States has expressed their need for ARISE Plus support under its WP4 to provide an expert to support the conduct of the pilot, including, where possible, review and assessment of the pilot carried out by the Member States, and to facilitate one workshop meant to discuss and recommend strategic direction pertaining this initiative. The RWS is planned for 3 days, involving all ASEAN Member States with 2 participants per country.

This is a new field in statistical development; with very little number of countries in the world and in ASEAN having sufficient experience implementing a study on using Big Data for official statistics. Indonesia and a few ASEAN Member States have conducted limited numbers of study. While the notable impact of this initiative on official statistics remains far ahead, ARISE Plus support will help such an initiative to keep progressing.

<b>Activity 4.2.5</b>	<b>Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility</b>
<b>Main Beneficiaries</b>	ASEAN Member States (AMSs), Statistics Division (ASEANStats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all ACSS working groups, and in particular Working Groups on Data Sharing, Analysis, Dissemination and Communication on Statistics (WGDSA).
<b>Planned Tasks</b>	<b><i>Strengthening Communication in Statistics, User Engagement, and their Monitoring</i></b> <ul style="list-style-type: none"><li>• <b>Undertake a TA</b> to revisit and further develop the quality measures for use by the Working Group on Data Sharing, Analysis, Dissemination, and Communication in Statistics (WGDSA) in their self-assessment monitoring</li></ul>

Activity 4.2.5	Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility	
	<p>of the implementation of the Code of Practices in the field of dissemination and communication in statistics;</p> <ul style="list-style-type: none"> <li>• <b>Undertake a TA</b> to ASEANStats and some Member States to develop a model of social media for improved users' engagement and communication in statistics and mechanism for monitoring the social media responses;</li> <li>• <b>Undertake a TA</b> to develop a module for training on social media, also covering a topic on management of social media responses, handling social media crisis and other relevant topics on communication in statistics;</li> <li>• <b>Deliver the regional Training Workshop</b> on Social Media to strengthen the use of social media for improved user engagement and promote statistics.</li> </ul> <p><b>Support for Early Development in Utilization of Big Data for Official Statistics</b></p> <ul style="list-style-type: none"> <li>• <b>Support the conduct of pilot</b> implementation of data sciences and data engineering methodologies for Big Data utilisation undertaken by ASEAN Member States;</li> <li>• <b>Organise and support a Regional Workshop</b> on Big Data to discuss the review of the pilot implementation. The workshop is expected to recommend necessary actions for improvement of Big Data utilisation and to shape the future direction of ASEAN regional cooperation in the use of Big data for official statistics by proposing a strategic direction for dealing with the use of Big Data for official statistics.</li> </ul>	
Deliverables		Time of delivery
	<p><b>Strengthening Communication in Statistics, User Engagement, and their Monitoring</b></p> <ul style="list-style-type: none"> <li>• Refined quality measures for use by the Working Group on Data Sharing, Analysis, Dissemination, and Communication in Statistics (WGDSA) in their self-assessment monitoring of the implementation of the Code of Practices in the field of dissemination and communication in statistics;</li> <li>• A suitable model of social media for improved users' engagement and communication in statistics and the social media responses monitoring mechanism;</li> <li>• A training module on social media;</li> <li>• The regional Training Workshop on Social Media implemented.</li> </ul> <p><b>Support for Early Development in Utilization of Big Data for Official Statistics</b></p>	<p>Q2</p> <p>Q1</p> <p>Q2</p> <p>Q3</p> <p>Q3</p> <p>Q4</p>



Activity 4.2.5	Supporting ACSS in Strengthening ACSS' Statistical Communication and Visibility	
Expected Result	<ul style="list-style-type: none"> <li>Recommendations for improved implementation of data sciences and data engineering methodologies for Big Data utilisation;</li> <li>Strategic Direction for dealing with the use of Big Data for official statistics.</li> </ul>	Q4
	<p><b><i>Strengthening Communication in Statistics, User Engagement, and their Monitoring</i></b></p> <ul style="list-style-type: none"> <li>Regular implementation of the CoP self-assessment under the WGDSA using the refined quality measures;</li> <li>Strengthened skills amongst the WGDSA staff in using social media such as twitter, Facebook and others to improve users' engagement and promote statistics.</li> </ul> <p><b><i>Support for Early Development in Utilization of Big Data for Official Statistics</i></b></p> <ul style="list-style-type: none"> <li>More experimental works carried out, within the agreed framework, to explore the use of Big Data.</li> </ul>	
NKE required	<p><b><i>Strengthening Communication in Statistics, User Engagement, and their Monitoring</i></b></p> <ul style="list-style-type: none"> <li>Senior Expert on communication in particular with experience in using social media for user engagement and communication; and with experience in statistical institutional building and communication.</li> </ul> <p><b><i>Support for Early Development in Utilization of Big Data for Official Statistics</i></b></p> <ul style="list-style-type: none"> <li>A senior expert in Big Data, with knowledge about the application of data sciences and data engineering methodologies in Big Data utilisation for official statistics.</li> </ul>	
Resources (inputs)	Category	
	SNKE	95
	JNKE	0

## 1.0 LIST OF ASEAN SECTORAL BODIES, WORKING GROUPS AND ENTITIES WITH WHICH ARISE PLUS WORKS

- ASEAN Business Advisory Council (ABAC)
- ASEAN Coordinating Committee on Connectivity
- ASEAN Committee on Consumer Protection (ACCP)
- ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS)
- ASEAN Consultative Committee on Standards and Quality (ACCSQ)
- ASEAN Coordinating Committee on Investment (CCI)
- ASEAN Coordinating Committee on the Implementation of ATIGA (CCA)
- ASEAN Customs Coordinating Committee (CCC)
- ASEAN Customs Directors-General
- ASEAN Expert Group on Food Safety
- ASEAN Federation of Forwarders Associations (AFFA)
- ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee
- ASEAN Risk Assessment Centre for Food Safety (ARAC)
- ASEAN Smart Cities Network (ASCN)
- ASEAN Sectoral Working Group on Crops
- ASEAN Sectoral Working Group on Fisheries
- ASEAN Sectoral Working Group on Livestock
- ASEAN Senior Transport Officials (STOM)
- ASEAN Single Window Steering Committee (ASW-SC)
- ASEAN Trade Facilitation Joint Consultative Committee (ATFJCC)
- ASEAN Transport Facilitation Working Group
- ASEAN Transport Facilitation Working Group (TWFG)
- Customs Capacity Building Working Group
- Customs Enforcement and Compliance Working Group
- EU-ASEAN Business Council (EUABC)
- Experts Working Group on Organic Agriculture
- Senior Economic Officials Meeting (SEOM)
- Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM AMAF)



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- Transit Transport Coordinating Board (TTCB)
  - Sub-committee on ATIGA Rules of Origin (SCA-ROO)
  - ASEAN Community Statistical System (ACSS) Committee
  - Sub-Committee on Planning and Coordination (SCPC)
  - Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)
  - Working Group on SNA

## 2.0 ARISE PLUS DIRECT BENEFICIARIES

Sub Component	Bodies Consulted/Beneficiaries
<b>1.1</b> ATIGA Implementation with Focus on Transparency and NTMs	ASEAN Secretariat's Trade Facilitation Division, Senior Economic Officials Meeting (SEOM), ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC), ASEAN Coordinating Committee on the Implementation of ATIGA (CCA), ASEAN Trade Repository/National Trade Repository (ATR/NTR) Focal Points in AMSs and Private Sector in ASEAN.
<b>1.2</b> Trade Facilitation and Private Sector Engagement	SEOM, ATF-JCC, CCA, ASEAN Coordinating Committee on Services (CCS), ASEAN Coordinating Committee on Investment (CCI), AMSs' ASSIST Focal Points, ASEAN DSG for AEC, ASEAN Secretariat Trade Facilitation Division, ASEAN Transport Facilitation Working Group (TFWG), ASEAN Single Window-Steering Committee (ASW-SC), ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS), ASEAN Member States and Private Sector in ASEAN.
<b>1.3</b> 'On-Demand' Activities	CCA, Sub Committee on ATIGA Rules of Origin (SC-AROO), ASEAN Connectivity Coordinating Committee (ACCC), SEOM, ACCSQ, AMSs' Regulators, ASEAN Secretariat's Legal Service and Agreement Directorate, ASEAN Secretariat's Trade Facilitation Division, SEOM, ASEAN Member States and Private Sector in ASEAN.
<b>2.1</b> Supporting the Development of the Quality Infrastructure and Related Policies in ASEAN	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN, ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, ACCSQ Working Group 2, ACCSQ Working Group 3, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST) and its Experts' Group on Metrology, Agriculture Working Groups for Crops, Fisheries and Livestock, Prepared Foodstuffs Product Working Group for Food Safety, the Pharmaceutical Products Working Group, Health Cluster 3, Health Cluster 4.
<b>2.2</b> Supporting the Harmonisation of Standards and Compliance to International Standards	Working Group 1 of the ACCSQ, Product Working Groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States.





<b>2.3</b> Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	Health Cluster 4, Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators, ASEAN Risk Assessment Centre for Food Safety (ARAC), ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee, Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM-AMAF), the Task Force ASEAN Standards on Organic Agriculture, the Agriculture Working Groups for Crops, Fisheries and Livestock, ACCSQ Working Group 2, ASEAN Consumers.
<b>2.4</b> Support to the Pharmaceutical Sector	ACCSQ Pharmaceutical Product Working Group (PPWG), Health Cluster 2 and 3 under the ASEAN Health Ministers.
<b>3.1</b> Customs Measures	ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB), the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group, and ASEAN Secretariat.
<b>3.2</b> Transport Measures	TTCB, NTTCC, STOM, ASEAN Transport Facilitation Working AFFA, ASEAN Secretariat.
<b>3.3</b> Implementation of ACTS	ASEAN Customs Directors-General, STOM, TTCB, CCC and its Working Groups, AFFA, NTTCC, ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
<b>4.1</b> ASEAN Economic Integration Monitoring	ARISE Plus TAT regional and bilateral projects, EU Mission to ASEAN, EUD to AMS, ASEAN Integration Monitoring Directorate (AIMD), Relevant ASEAN Bodies, ASEAN Member States.
<b>4.2</b> ASEAN Statistics Capacity Building	ASEAN Integration Monitoring Directorate (AIMD) and Statistic Division (ASEANstats), ASEAN Community Statistical System (ACSS), Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA), Working Groups on relevant domains.



### 3.0 PLANNED USED OF RESOURCES

	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
<b>COMPONENT 0.0</b>	<b>Management and Outreach</b>	<b>220</b>						
<b>Sub-component 0.1</b>	<b>Project Management and Coordination</b>							
Activity 0.1.1	Project Management and Coordination							
<b>Sub Component 0.2</b>	<b>Demand Driven Contingency</b>					<b>300</b>		<b>220,000</b>
Activity 0.2.1	Demand Driven Contingency					300		
<b>Sub-Component 0.3</b>	<b>Communication and Outreach</b>							
Activity 0.3.1	Visibility, Communication and Outreach							
<b>COMPONENT 1.0</b>	<b>Trade Facilitation and Transparency</b>		<b>176</b>			<b>462</b>		
<b>Sub Component 1.1</b>	<b>ATIGA Implementation with Focus on Transparency and NTMs</b>					<b>250</b>		
Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs					250		
<b>Sub Component 1.2</b>	<b>Trade Facilitation and Private Sector Engagement</b>					<b>76</b>		



	<b>Title</b>	<b>KE1</b>	<b>KE2</b>	<b>KE3</b>	<b>KE4</b>	<b>SNKE</b>	<b>JNKE</b>	<b>Incidentals (€)</b>
Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors					40		
Activity 1.2.3	Strengthening Public-Private Cooperation					36		
<b>Sub-component 1.3</b>	<b>‘On-demand’ Activities</b>					<b>136</b>		
Activity 1.3.1	General Review of the ASEAN Trade in Goods Agreement (ATIGA)					86		
Activity 1.3.2	Supporting the Implementation of the ‘NTMs Guidelines’					50		
<b>COMPONENT 2.0</b>	<b>Standards and Conformity Assessment in Particular Healthcare and Agro-based products</b>			<b>220</b>		<b>535</b>		
<b>Sub component 2.1</b>	<b>Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance</b>					<b>10</b>		
Activity 2.1.1	Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance					10		
<b>Sub-component 2.2</b>	<b>Supporting the Harmonisation of Standards and Compliance to International Standards</b>					<b>15</b>		
Activity 2.2.1	Supporting harmonisation of Standards					15		



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
<b>Sub-component 2.3</b>	<b>Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector</b>					<b>320</b>		
Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety					25		
Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs					50		
Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety					75		
Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)					25		
Activity 2.3.6	Strengthening ASEAN Co-operation on Organic Agriculture					40		
Activity 2.3.7	Training in food safety					50		
Activity 2.3.8	Harmonised Nutritional Labelling Requirements					15		
Activity 2.3.9	Harmonised Requirements for Food Contact Materials					40		
<b>Sub-component 2.4</b>	<b>Support to the Pharmaceutical Sector</b>					<b>190</b>		
Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework					70		



	<b>Title</b>	<b>KE1</b>	<b>KE2</b>	<b>KE3</b>	<b>KE4</b>	<b>SNKE</b>	<b>JNKE</b>	<b>Incidentals (€)</b>
Activity 2.4.2	ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products					70		
Activity 2.4.3	Establishing an ASEAN Pharmaceuticals Laboratories Network					25		
Activity 2.4.4	Combatting Falsified Medicines in ASEAN					25		
<b>COMPONENT 3.0</b>	<b>Customs, Transport and ACTS</b>				<b>220</b>	<b>1,210</b>		
<b>Sub component 3.1</b>	<b>Customs Measures</b>					<b>85</b>		
Activity 3.1.1	Supporting Enhancement of Customs Clearance Procedures (SPCD 04)					40		
Activity 3.1.4	Supporting Customs Enforcement and Mutual Assistance Processes (SPCD09)					30		
Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)					15		
<b>Sub-component 3.2</b>	<b>Transport Measures</b>					<b>85</b>		
Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)					40		
Activity 3.2.2	Supporting Implementation of the Action Plan for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)					30		
Activity 3.2.3	Supporting Implementation of the ASEAN Framework Agreement on the Facilitation of the					15		



	<b>Title</b>	<b>KE1</b>	<b>KE2</b>	<b>KE3</b>	<b>KE4</b>	<b>SNKE</b>	<b>JNKE</b>	<b>Incidentals (€)</b>
	Cross-Border Transport of Passengers by Road Vehicle (ASEAN-CBTP)							
<b>Sub component 3.3</b>	<b>ACTS Implementation</b>					<b>1,040</b>		
Activity 3.3.3	Rolling Out of ACTS					160		
Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)					10		
Activity 3.3.5	Carrying out a Functional Upgrade of the ACTS					870		
<b>Component 4.0</b>	<b>ASEAN Economic Integration Monitoring and Statistics</b>					<b>851</b>	<b>155</b>	
<b>Sub-component 4.1</b>	<b>ASEAN Economic Integration Monitoring</b>					<b>375</b>	<b>155</b>	
Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects					135	95	
Activity 4.1.2	Supporting implementation of AEC 2025 M&E framework					120		
Activity 4.1.3	Demand-Driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025					100	40	
Activity 4.1.4	Enhancing AEC Monitoring Databases (Demand driven)					20	20	
<b>Sub component 4.2</b>	<b>ASEAN Statistics Capacity Building</b>					<b>476</b>		



	<b>Title</b>	<b>KE1</b>	<b>KE2</b>	<b>KE3</b>	<b>KE4</b>	<b>SNKE</b>	<b>JNKE</b>	<b>Incidentals (€)</b>
Activity 4.2.1	Enhancing AEC Monitoring Databases					46		
Activity 4.2.2	Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)					46		
Activity 4.2.3	Supporting Gap Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008					138		
Activity 4.2.4	Supporting the Implementation of the Balance of Payments (BOP) related statistics, particularly SITS and FDIS					151		
Activity 4.2.5	Support for strengthened IMTS data processing and quality, in particular in CLM, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment					95		
<b>TOTAL Work Plan 4</b>						<b>3,358</b>	<b>155</b>	<b>220,000</b>





## 4.0 TIMING OF ACTIVITIES

Activity		Q1	Q2	Q3	Q4
0.1.1	Project Management and Coordination	X	X	X	X
0.2.1	Demand Driven Contingency	X	X	X	X
0.3.1	Visibility, Communication and Outreach (VCO)	X	X	X	X
1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs	X	X	X	X
1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	X	X	X	X
1.2.3	Strengthening Public-Private Cooperation	X	X	X	X
1.3.1	General Review of the ASEAN Trade in Goods Agreement (ATIGA)	X	X	X	
1.3.2	Supporting the Implementation of the 'NTMs Guidelines'		X	X	X
2.1.1	Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance	X	X	X	X
2.2.1	Supporting harmonisation of Standards	X	X		X
2.3.1	Developing and Implementing a Regulatory Framework for Food Safety	X	X	X	X
2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	X	X	X	X
2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety	X	X	X	X
2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)	X	X	X	X
2.3.6	Strengthening ASEAN Co-operation on Organic Agriculture	X			X
2.3.7	Training in food safety	X	X		X
2.3.8	Harmonised Nutritional Labelling Requirements	X			
2.3.9	Harmonised Requirements for Food Contact Materials		X	X	X



Activity		Q1	Q2	Q3	Q4
2.4.1	Strengthening the Pharmaceuticals Regulatory Framework	X		X	
2.4.2	ASEAN Mutual Recognition Arrangement (MRA) for Bioequivalence (BE) Study Reports of Generic Medicinal Products		X	X	X
2.4.3	Establishing an ASEAN Pharmaceuticals Laboratories Network		X		
2.4.4	Combatting Falsified Medicines in ASEAN	X			
3.1.1	Supporting Enhancement of Customs Clearance Procedures (SPCD 04)	X	X	X	X
3.1.4	Supporting Customs Enforcement and Mutual Assistance Processes (SPCD09)	X	X	X	X
3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)			X	X
3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)	X	X	X	X
3.2.2	Supporting Implementation of the Action Plan for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)	X	X	X	
3.2.3	Supporting Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle (ASEAN-CBTP)	X	X	X	X
3.3.3	Rolling Out of ACTS	X	X	X	X
3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)	X	X	X	X
3.3.5	Carrying out a Functional Upgrade of the ACTS	X	X	X	X
4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects	X	X	X	X
4.1.2	Supporting implementation of AEC 2025 M&E framework		X	X	X
4.1.3	Demand-Driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025	X	X	X	X
4.1.4	Enhancing AEC Monitoring Databases (Demand driven)			X	X
4.2.1	Enhancing AEC Monitoring Databases	X	X		X
4.2.2	Supporting the ACSS in Improving Collection and Dissemination of Sustainable Development Goals Indicators (SDGIs)	X	X	X	X
4.2.3	Supporting Gap Analysis and implementation of the Operational Guidelines for the ACSS Roadmap on SNA 2008	X	X	X	X



Activity		Q1	Q2	Q3	Q4
4.2.4	Supporting the Implementation of the Balance of Payments (BOP) related statistics, particularly SITS and FDIS		X	X	X
4.2.5	Support for strengthened IMTS data processing and quality, in particular in CLM, and for compliance to IMTS standard including conceptual compliance and implementation of seasonal adjustment	X	X	X	X