



## **Fifth Six-Monthly Progress Report**

# **ASEAN Regional Integration Support by the European Union - (ARISE) Plus**

**8 November 2019 – 7 May 2020**

**Programme No. ACA/2016/389774**

**Service Contract No.  
EUROPEAID/138416/DH/SER/MULTI**



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## ARISE PLUS FACT SHEET

Programme Title	ASEAN Regional Integration Support by EU (ARISE Plus)
Programme Number	ACA/2016/389774
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## LIST OF ABBREVIATIONS

ABAC	ASEAN Business Advisory Council
ACCSQ	ASEAN Consultative Committee on Standards and Quality
ACCC	ASEAN Connectivity Coordinating Committee
ACCP	ASEAN Committee on Consumer Protection
ACD	ASEAN Cosmetic Directive
AC-SPS	ASEAN Committee on Sanitary and Phytosanitary Measures
ACSS	ASEAN Community Statistical System
ACTD	ASEAN Common Technical Dossier
ACTR	ASEAN Common Technical Requirements
ACTS	ASEAN Customs Transit System
ACTS-IP	ACTS Information Portal management
AEC	ASEAN Economic Community
AECB	ASEAN Economic Community Blueprint
AEGFS	ASEAN Expert Group on Food Safety
AEO	Authorised Economic Operators
AFAFGIT	ASEAN Framework Agreement on the Facilitation of Goods in Transit
AFAFIST	ASEAN Framework Agreement on the Facilitation of Inter-State Transport
AFAMT	ASEAN Framework Agreement on Multimodal Transport
AFFA	ASEAN Federation of Forwarders Associations
AFTA	ASEAN Free Trade Area
AHEEERR	ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime
AGVCBP	ASEAN Goods Vehicle Cross Border Permit
AMS	ASEAN Member State
API	Active Pharmaceutical Ingredient(s)
APLAC	Asia Pacific Laboratory Accreditation Cooperation
APSC	ASEAN Political-Security Community
ARAC	ASEAN Risk Assessment Centre for Food Safety
ARASFF	ASEAN Rapid Alert System for Food and Feed
ARISE	ASEAN Regional Integration Support by the EU
ASCC	ASEAN Socio-Cultural Community
ASEAN	Association of South East Asian Nations
ASEAN-CBTP	ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle
ASEC	ASEAN Secretariat
ASI-CT	ASEAN Statistical Indicators-Consolidated Template
ASOA	ASEAN Standard for Organic Agriculture
ASPSC	ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance
ASSIST	ASEAN Solutions for Services, Investment and Trade
ATFJCC	ASEAN Trade Facilitation Joint Consultative Committee
ATFW	ASEAN Trade Facilitation Work Plans
ATT	Authorised Transit Trader
ASW-SC	ASEAN Single Window Steering Committee
ATIGA	ASEAN Trade in Goods Agreement



ATR	ASEAN Trade Repository
AWP	Annual Work Plan
BE	Bio-equivalence
BFSDAS	Broad Framework for the Sustainable Development of ASEAN Statistics
CCAD	Community and Corporate Affairs Directorate
CCA	ASEAN Coordinating Committee on the Implementation of ATIGA
CCI	ASEAN Coordinating Committee on Investment
CCBWG	Customs Capacity Building Working Group
CCC	ASEAN Customs Coordinating Committee
CCS	ASEAN Coordinating Committee on Services
CECWG	Customs Enforcement and Compliance Working Group
CEN-CENELEC	European Committee on Standardization – European Committee for Electrotechnical Standardisation
CIFOCoss	Chronic Individual Food Consumption Database – summary statistics
CLMV	Cambodia, Lao PDR, Myanmar, Viet Nam
COB	Council of ASEAN Insurance Bureaux
COST	Committee on Science and Technology
CPTFWG	Customs Procedures and Trade Facilitation Working Group
CRD	Community Relations Division
DSG	Deputy Secretary General
DG-DEVCO	Directorate-General for International Cooperation and Development
EC	European Commission
EDSM	Enhanced Dispute Settlement Mechanism
EEE	Electrical and Electronic Equipment
EU	European Union
EUABC	EU-ASEAN Business Council
FA	Financing Agreement
FAO	Food and Agriculture Organization
GIFT	Global Individual Food Consumption Data Tool
GMP	Good Manufacturing Practice
ICH	International Council for Harmonization
IGDRP	International Generic Drug Regulators Program
KE	Key Expert(s)
KLTSP	Kuala Lumpur Transport Strategic Plan
JNKE	Junior Non-Key Expert
LSAD	Legal Services and Agreements Directorate
MIS	Management Information System
MPAC	Master Plan on ASEAN Connectivity
MRA	Mutual Recognition Arrangement
MSME	Micro-, Small-, and Medium-Sized Enterprises
MST	Malaysia, Singapore, Thailand
NKE	Non-Key Expert
NAB	National Accreditation Body
NFAB	National Focal Points for Accreditation
NTM	Non-Tariff Measure(s)
NTR	National Trade Repository



NTTCC	National Transit Transport Coordinating Committees
PCPMD	Programme Coordination and Project Management Division
OWP	Overall Work Plan
PFPWG	Prepared Foodstuffs Product Working Group
PIC/S	Pharmaceutical Inspection Co-operation Scheme
PPWG	ACCSQ Pharmaceutical Product Working Group
PSC	Project Steering Committee
PWG	Product Working Group(s)
SC-AROO	Sub Committee on ATIGA Rules of Origin
SNKE	Senior Non-Key Expert
SPCD	Strategic Plans for Customs Development
S&T	Science and Technology Division
STOM	ASEAN Senior Transport Officials
SOM AMAF	Senior Officials Meeting ASEAN Ministers on Agriculture and Forestry
TA	Technical Assistance
TAT	Technical Assistance Team
TBT	Technical Barriers to Trade
TFD	Trade Facilitation Division
TFWG	ASEAN Transport Facilitation Working Group
TRIM	Trade-related Investment Measure
TTCB	Transit Transport Coordinating Board
UNCTAD	United Nations Conference on Trade and Development
VCO	Visibility, Communication and Outreach
WGDSA	Working Group on Data Sharing, Analysis, Dissemination and Communication
WHO	World Health Organization
WTO	World Trade Organization



## EXECUTIVE SUMMARY

The ARISE Plus fifth six monthly progress report covers the period 8<sup>th</sup> November 2019 to 7<sup>th</sup> May 2020. The activities carried out support the ASEAN economic integration effort and are guided by the ASEAN Economic Community Blueprint 2025.

Following a successful Mid-Term review of the programme, formal discussions on the extending the programme both in terms of duration and budget, started in mid-January and are still underway, with good progress having been made.

Due to the Covid 19 pandemic, work during the months of February, March and April 2020 were implemented in the midst of a time of great uncertainty in the ASEAN region and wider world. Within the region differing measures were taken, and control of the pandemic at different stages with a number of workshops and ASEAN official meetings postponed. There has been a corresponding reduction in the use of incidentals and days mobilised<sup>1</sup>.

The impact on experts, who have been working to advance the outputs of the activities has so far not been significant as many of the experts are based in the region and home-based working has been successfully introduced during March/April. The Key experts have changed their management methods to continue the engagement with the relevant experts and their counter parts in the ASEC and Sectoral Bodies using teleconferencing tools such as Skype, Zoom and Blue Jeans to ensure continuation of activities, such as: the ASEAN Trade Repository (ATR), ATIGA legal review, the Mutual Recognition Agreement for Organic Agriculture Certification, ACTS roll out and system upgrade, and the Mid Term review of the ASEAN Economic Blueprint 2025.

Despite the evident Impact of Covid 19 on regional travel, a total of 48 major activities, including 9 - sub-activities for statistics, were implemented during the reporting period resulting in a total of 268 major outputs. ARISE Plus conducted 16 workshops which covered a total of 105 workshop days<sup>2</sup>, with a total number of 616 participants benefitting from the workshops.

To gain a visual picture of the above, Figure 1 below provides a results<sup>3</sup> chain summarising the major indicators for the reporting period. The results chain also provides an overview of the intervention logic in which inputs have transformed into activities and then onto outputs and results. A cumulative results chain covering the programme from inception until the end of reporting period 5 is provided in Section 2 Internal Monitoring Assessment.

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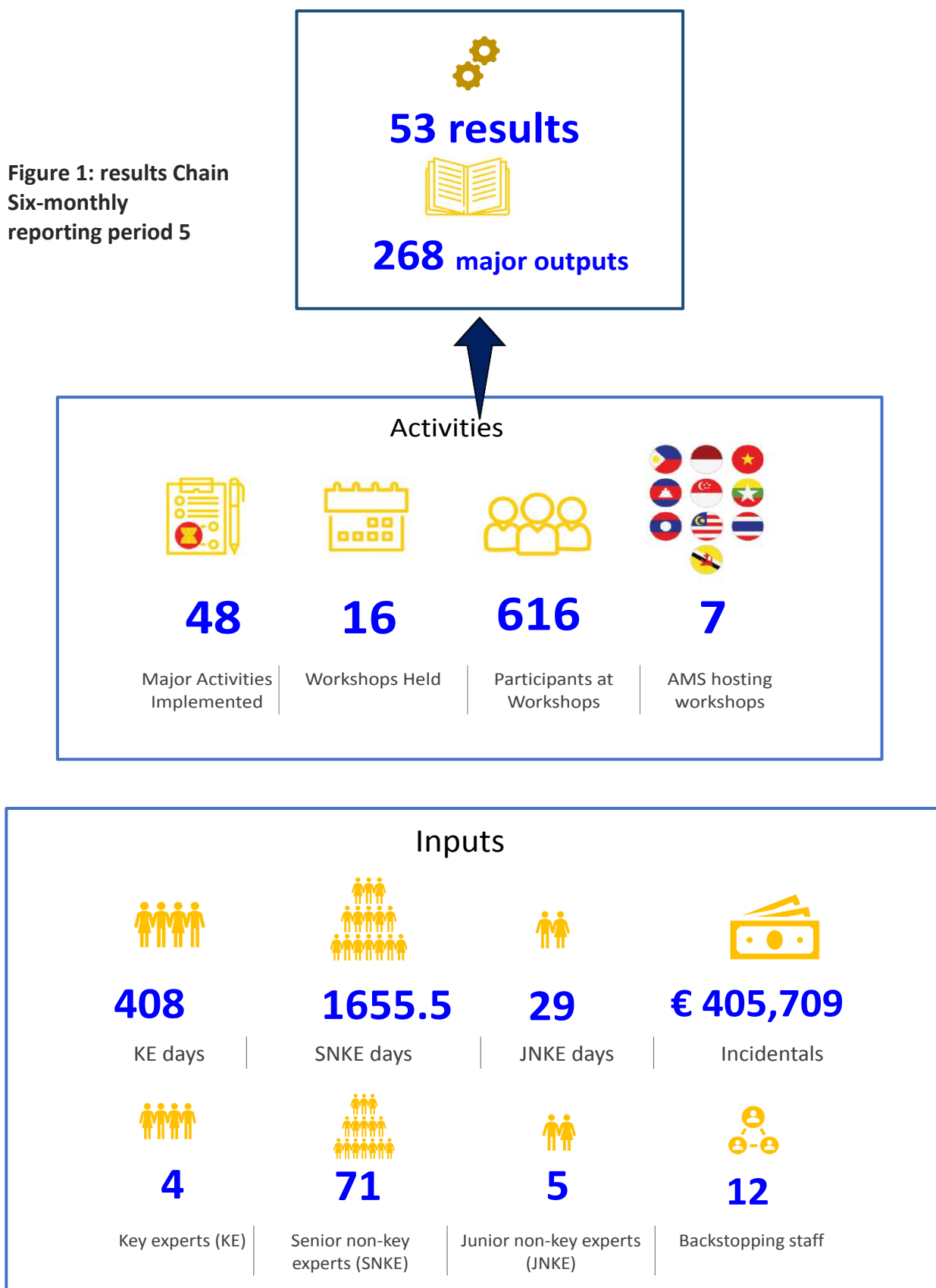
<sup>1</sup> Reference Pg 16 for details

<sup>2</sup> Workshop days: total number of days across workshops conducted

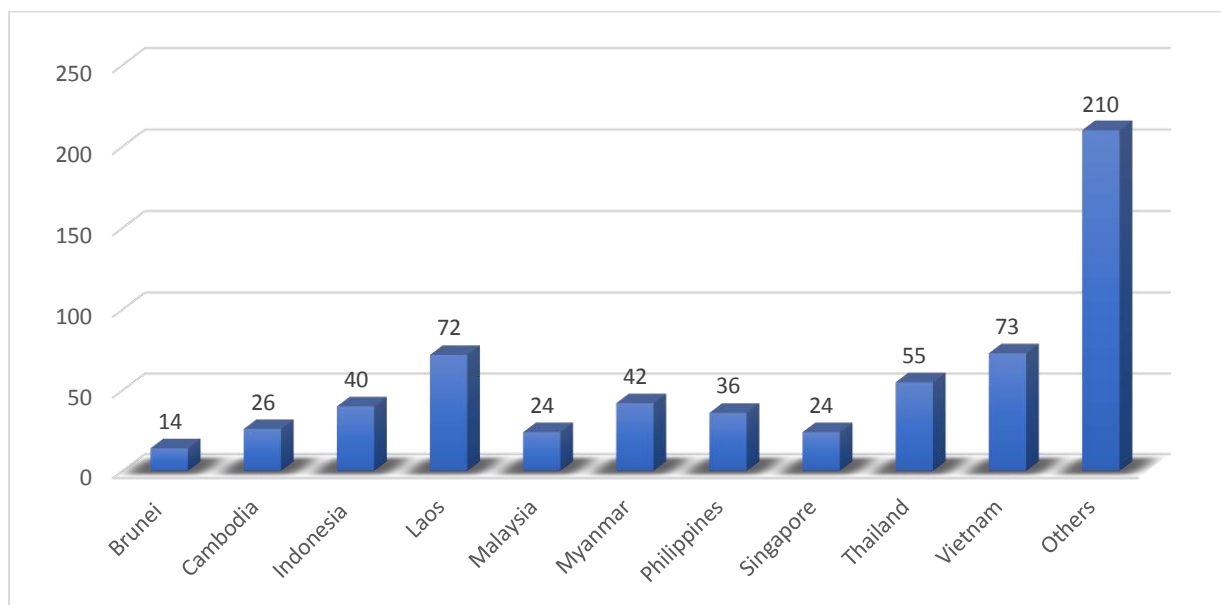
<sup>3</sup> The results can be found in the relevant activity reports in Section 1



Figure 1: results Chain  
Six-monthly  
reporting period 5

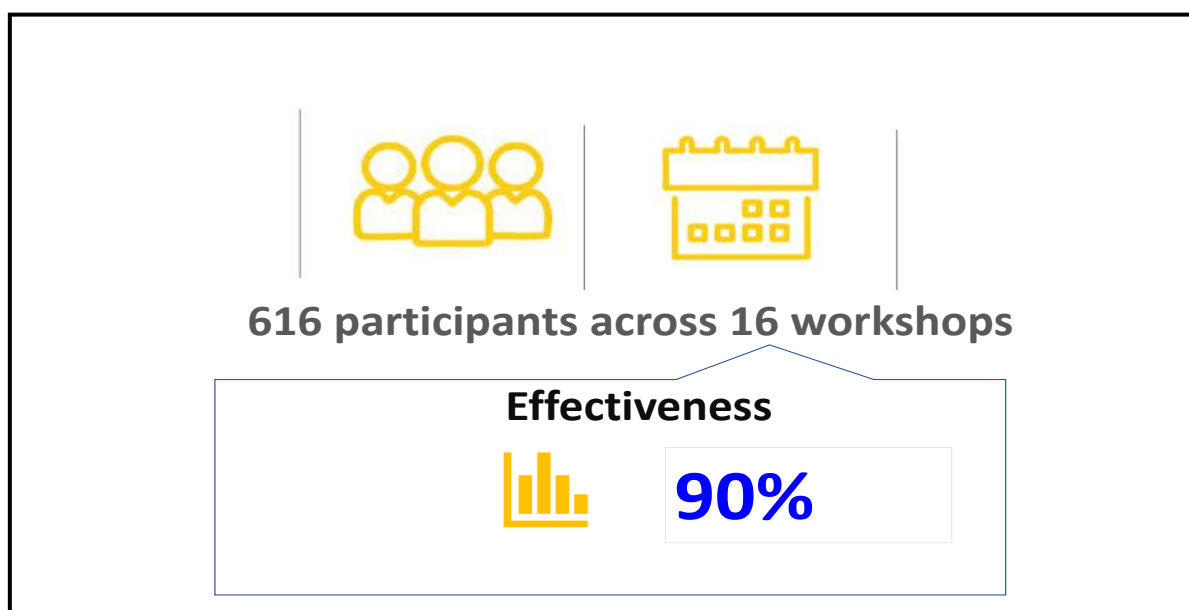


ARISE PLUS activities have been characterised by a strong inclusive approach. Out of 616 workshop participants during the reporting period, 275 were female participants and 341 were male participants. All 10 ASEAN Member States (AMS) were well represented across the participants including 213 participants from Cambodia, Laos, Myanmar and Vietnam (CLMV) countries. As shown below.

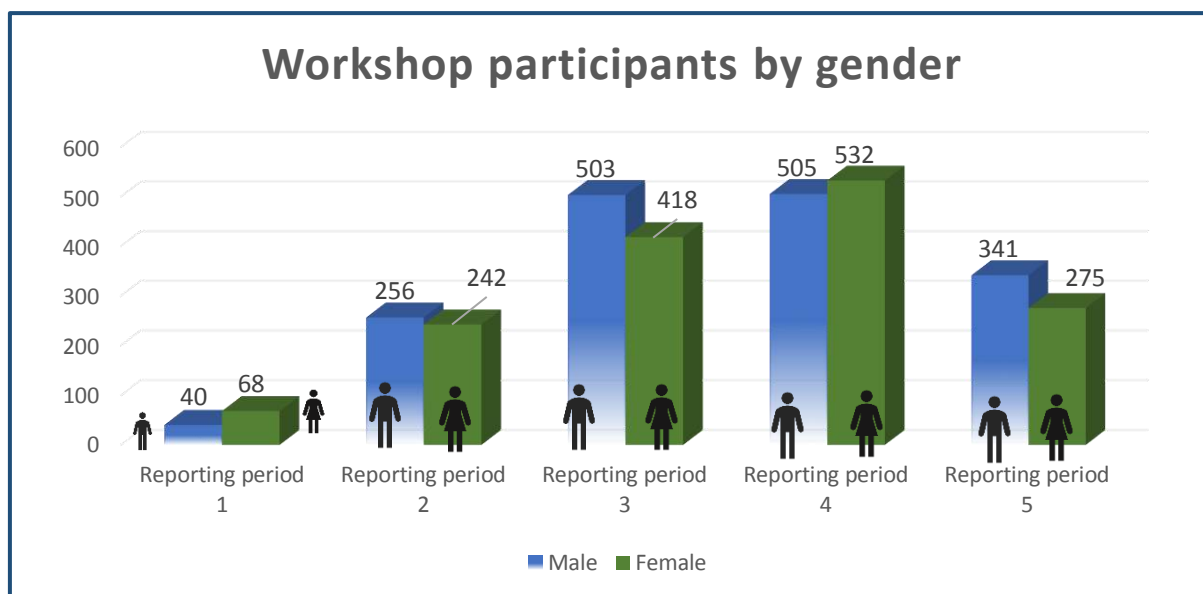


**Figure 2: workshop participants by nationality during the reporting period**

The workshops were very highly rated in terms of effectiveness, with an average score of 90% across all workshops, this is an increase from 78% in the previous period.

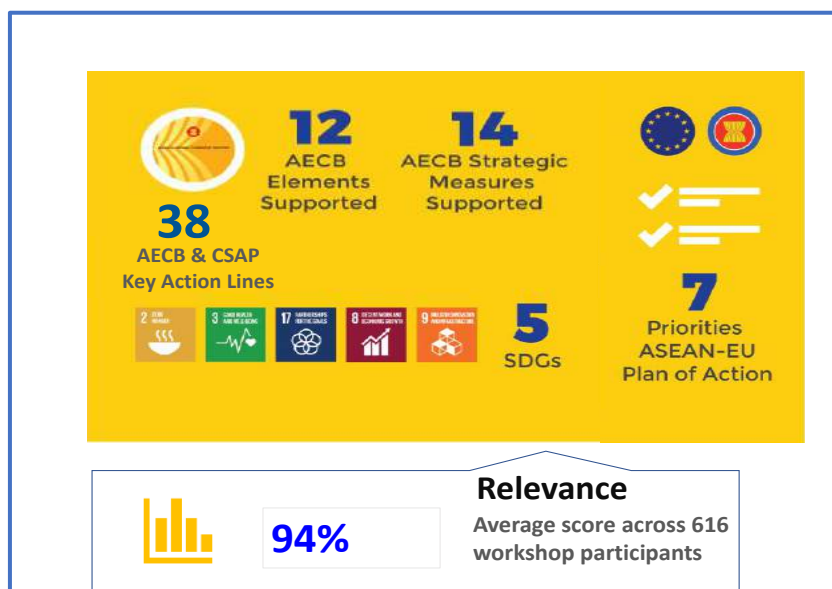


<sup>4</sup> Others include staff from ASEC, ARISE Plus experts, private sector participants, staff from embassies and EUD staff



**Figure 3.0: average effectiveness based on workshop participants during the reporting period**

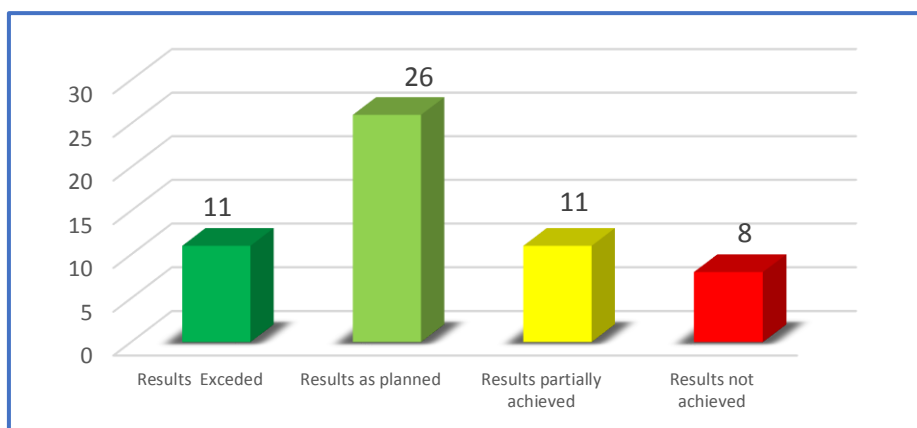
The relevance of ARISE Plus is manifested through the support it provides to 12 elements and 14 strategic measures of the ASEAN Economic Blueprint (AECB) and 38 key action lines of the ASEAN Consolidated Strategic Action Plan (CSAP). ARISE PLUS also supports 7 priority areas of the ASEAN-EU Plan of Action and 5 sustainable development goals.



**Figure 4: overview of relevance during the reporting period**

ARISE Plus workshops were also highly rated in terms of relevance with an average score of 94% across 3 questions covering relevance in the feedback questionnaires across the 16 workshops implemented during the reporting period. This compares very favourably to the 79% average for the previous period.

To give an improved and clearer view of the progress made at the activity level a colour coded system has been developed in conjunction with the EUD. The diagram below provides an overview of progress made at the activity and sub-activity level during the current reporting period. This represents the results planned compared with results achieved which given the circumstances represents good achievement against what was planned. In Section 2, the cumulative view of Work Plan 2 results is provided.



**Figure 5: progress of results at activity and sub-activity level during the reporting period**

## Overall Programme Results



### Component 0 Programme Management

Under this component the team of Key Experts led by the Team Leader manages the available resources in accordance with the Overall Work Plan, Annual Work Plan and the demand from ASEAN Secretariat, ASEAN Member States and Sectoral Bodies.

#### Summary of Results

1. Enhanced management and decision making through enhanced internal monitoring;
2. Broadened the scope of three activities at the request of sectoral bodies to bring greater programme coverage namely:
  - Implementing Mutual Recognition Arrangement (MRA) on Inspection and Certification Systems of Food Hygiene for Prepared Food Products through Country Gap analysis
  - Working towards establishing Rapid Alert Systems in Lao PDR and Myanmar
  - Accelerating the implementation of the ASEAN Framework Agreement for Multi Modal Transport (AFAMMT)
3. Enhanced awareness of ARISE Plus through outreach and regular news articles published



### Component 1.0 Trade Facilitation and Transparency

This component aims at supporting the implementation of the ASEAN Trade in Goods Agreement (ATIGA); increasing transparency and regulatory certainty and enhancing private sector engagement with ASEAN.

#### Summary of Results

- Operationalisation of **ASEAN Trade Repository (ATR)** <http://atr.aean.org> enhanced with 2,077 sanitary and phytosanitary (SPS) Non-Tariff Measure (NTMs) and Technical Barriers to Trade (TBT) NTMs validated and uploaded to ATR in comparison to baseline reports of 313 in May 2018. By the end of December 2020 the project expects to have 3500 measures uploaded and linked to the ATR, which would represent 60% of measures the project expects validated and linked by November 2022

• NTM validated and Uploaded on the ATR (to date)	NTM collected, but not yet Verified by ARISE Plus or not yet validated by AMSs	NTM available on the ATR by 31 December 2020 (target)
2077	1621	> 3,500

- Conceptualization of the legal part of the **General Review of the ATIGA**, as mandated by the ASEAN Free Trade Area (AFTA) Council;
- One new case filed in **ASEAN Solutions for Services, Investment and Trade (ASSIST)** website <http://assist.asean.org> and dealt with effectively by ASEC and the responding AMS;
- AMSs capacity to conduct outreach and awareness creation for private sector will be enhanced through the availability of **ASSIST toolkits**;
- Operationalisation of the pilot for the “**ePlatform for Consultations with the Private Sector**”, <https://e-platform.asean.org/login> has enabled enhanced consultations between ASEAN Business Advisory Council (ABAC), the Joint Business Councils and members of the ATF-JCC, despite the Covid-19 pandemic. Thus providing anew channel of communication between the private sector and ASEAN, in addition to the regular 3-4 meetings a year.



## Component 2.0 Standards & Conformance

This component focuses on improving: the harmonisation of standards, accreditation, and conformity assessment, as well supporting the further integration of the food and pharmaceuticals sectors.

### Summary of Results

- **Framework for cooperation between National Accreditation Bodies** furthered, addressing capacity development, harmonisation of procedures and sharing of resources.
- **Myanmar** has significantly advanced its preparedness for implementation of **new electrical and electronic safety regulations** in line with ASEAN Mutual Recognition Arrangement (MRA) and harmonised regulatory regime through development of new regulations, reference resources and capacity building.
- Cooperation established between Experts Group on Organic Agriculture and ASEAN Working Group 2 on accreditation.
- **Cross pillar cooperation on food safety enhanced** in ASEAN between the Prepared Foodstuffs Product Working Group (PFPWG) from the economic sector, working groups from the agriculture sector and Health Cluster 4.
- **ASEAN Food Safety Regulatory Framework (AFSRF) Agreement progressed to the stage of final legal vetting** with signing planned for 4<sup>th</sup> quarter 2020.

- Establishment of ASEAN Food Safety Coordinating Committee (AFSCC) furthered through terms of reference (TOR) adoption together with the preliminary list of protocols in the AFSRF Agreement.
- With **agreement reached on the harmonised maximum use levels for 108 food additives**, identification of further 174 food additives for harmonisation and maximum limits for arsenic in fats and oils and mercury in water, food safety will be systematically enhanced.
- **Adoption of the implementation procedures for the Mutual Recognition Arrangement on Food Hygiene** will accelerate the implementation process. The Gap Analysis on carried out for Lao PDR and Brunei Darussalam with Myanmar planned will support this further.
- Through Identified actions for enhancing governance and operations of ASEAN Risk Assessment Centre (ARAC) with ASEAN Health Cluster for Food Safety (AHC4) ARAC's position strengthened.
- **Traceability in ASEAN** has been advanced with the Draft *ASEAN Guide for Traceability for Food*.
- **Lao PDR** moves closer to joining the **ASEAN Rapid Alert Systems for Food and Feed (ARASFF)** through the design of a National Rapid Alert Systems for Food and Feed (RASFF).
- **Mutual recognition in ASEAN of Organic Agriculture Certification** advanced through the development of a draft Mutual Recognition Agreement and a proposal for the ASEAN Organic Mark.
- **First draft of the ASEAN Certification Scheme for Organic Agriculture** prepared and circulated, incorporating comments from Member States.
- **Food Safety Training in ASEAN** furthered through development of training courses for priority areas and discussions on the creation of a Food Safety Training Network.
- **ASEAN Guidelines for Nutritional Labelling** progressed through private sector engagement and the development of a proposal for ASEAN Member States consideration.
- Establishment of an ASEAN Pharmaceuticals Regulatory Framework advanced through consultations, and circulation of the first discussion paper.
- Establishment of an **ASEAN Pharmaceutical Laboratory Network (APLN)** furthered through consultations, agreement from the PPWG and development of a ToR.



### Component 3.0 Customs, Transport and ACTS

This component supports Customs and Transport reforms with a strong focus on the ASEAN customs transit system (ACTS)

#### Summary of Results

- **Strategic Plan of Customs Development (SPCD) 06 on Private sector engagement** advanced, with a view to enhancing how ASEAN Customs Authorities manage partnerships with business and the trading community.

- Progress towards full implementation of the **ASEAN Framework Agreement on Multi Modal Transport (AFAMT)**. Significantly enhanced, with endorsement for running a pilot scheme secured.
- **ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)** furthered through development of the necessary operating environment.
- **ASEAN customs transit system (ACTS)**
  1. The **legal framework** of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) is in place for the ACTS live implementation.
  2. **ACTS upgraded** (version 3.1), capacity of the stakeholders increased with piloting conducted prior to full **roll-out in Malaysia, Singapore and Thailand (MST) and in Cambodia, Lao PDR and Vietnam (CLV)**.
  3. **ACTS Central Management Team (CMT)** based in the ASEAN Secretariat has increased capacity for the planned ACTS live run of the MST-CLMV Pilot.
  4. **ACTS functionality enhanced** in terms of user–friendliness and effectiveness as part of ACTS version 3.1.0.



#### Component 4.0 ASEAN Economic Integration Monitoring and Statistics

This component has four distinct elements: Internal programme monitoring, an integrated monitoring framework for the ARISE PLUS family of projects, strengthening the ASEAN economic integration monitoring system and the ASEAN statistical capacity.

### Summary of Results

#### Integration Monitoring

- Enhanced management and decision making enabled through a robust internal monitoring, reporting and learning system. The monitoring system links feedback from events and six-monthly reporting by the team of experts (on tasks, outputs, problems encountered, lessons learnt). Based on this data monitoring analysis covering relevance, efficiency, effectiveness and partnerships is conducted at regular 6-monthly intervals. The monitoring analysis feeds into internal learning and decision making by the team leader and key experts which in turn supports the design and planning of future activities and tasks in the subsequent AWP. Learning from the analysis of the last reporting period when there were increases in the costs-per workshop and cost per participant, the programme made a decision to optimise resources through the selection of more cost-effective workshop venues

**ARISE+ Integrated monitoring framework** established enabling coherence between ARISE Plus regional and national level projects and ensuring a programmatic view to stakeholders. Since the establishment of this framework in Nov 2019, close exchanges with ARISE+ Cambodia on the ATR/NTR, Customs and Statistics have been initiated and with Myanmar on food safety SPS and Trade Policy.



- A clear definition and plan for the implementation of the **AEC Blueprint Mid Term Review (MTR)** established through the Annotated Outline of the MTR, which was approved by the High-Level Task Force on ASEAN Economic Integration (HLTF-EI).
- AEC 2025 Monitoring and Evaluation (M&E) Framework strengthened through development of a **Monitoring and Evaluation (M&E) Mechanism** for the ASEAN Standards and Conformance Strategic Plan 2016-2025 of the **ASEAN Consultative Committee on Standards and Quality (ACCSQ)**
- ASEAN Integration Monitoring Directorate (AIMD) capacity and mechanisms strengthened to create awareness and outreach through an enhanced **AEC Monitoring website**.  
<https://aecmonitoring.asean.org/>

### ASEAN Statistics

- The adoption of recommendations from the Mid-term review of the ASEAN Statistical Committee (ACSS) Strategic plan have led to a **revised and updated ACSS Strategic Plan 2021-2025**. This when implemented will enhance the ASEAN Community Statistical System (ACSS) methods of works, ensure sustainability, promote ASEAN-help-ASEAN, and strengthen ASEANStats.
- **Operational Guidelines for the implementation of the ACSS** Roadmap on System of National Accounts furthered, which will enable harmonisation of National Accounts in ASEAN.
- **Capacity of Philippines and Cambodia improved to implement System of National Accounts.**
- **Improved awareness of Customs Procedure Codes (CPC)** in harmonisation of trade quantity is leading to greater involvement of and improved coordination with key stakeholders, such as Customs and strengthened knowledge on Foreign Trade Indices.
- **Intra CLMV and Thailand Cooperation enhanced through Mirror Analysis** and sharing of their International Merchandise Trade Statistics; as part of ASEAN-Help-ASEAN approach.
- **Knowledge of Cambodia-Laos-Myanmar (CLM) countries enhanced in the use of Eurotrace** for International Merchandise Trade Statistics leading to improved national IMTS databases for 2018 and 2019 data.
- Enhanced knowledge amongst CLMV countries for developing comparable measurement of digital economy, travel and manufacturing services and strategic areas for improvements and compilation of services.
- **Skills and knowledge among Viet Nam General Statistics Office (GSO) and the partner institutions enhanced** in survey methodology and compilation of travel imports.

## RESOURCES

During this reporting period, ARISE Plus has mobilised the resources shown in the table below.

	Budget WP2	SMR4	SMR5	Balance
KE Days	836	435	408	-7
SNKE Days	3887	1725	1,694	468 <sup>5</sup>
JNKE days	170	24	29	117 <sup>6</sup>
Incidentals	€ 2,430,355	€689,186.60	€ 406,930.01	€ 1,334,238.39 <sup>7</sup>

A more detailed breakdown is provided in Section 3, where the resources used are reported at activity level, aggregated to the component and programme level.

## SECTION 1 PROGRESS ON PROGRAMME ACTIVITIES



### Component 0: Management and Outreach

Component 0	KE	S-NKE	J-NKE	Incidentals
Total	107.5	0	0	€ 9,720.40

#### Sub Component 0.1 Programme Management and Coordination

##### Outcome

*Programme resources managed to ensure the efficient and effective realisation of outcomes at all levels.*

The Team Leader, supported by the Key experts and programme assistants in Jakarta, coordinated the implementation of the activities for the 6 months period covered by this report under WP2.

<sup>5</sup> Reference Para 3 of Executive Summary for explanation

<sup>6</sup> Reference Para 3 of Executive Summary for explanation

<sup>7</sup> Reference Para 3 of Executive Summary for explanation

The programme team has organised and held 16 events during the reporting period, providing 48 workshop days, for 616 participants. As part of the internal monitoring process, workshop evaluations show participants rated the effectiveness at 90% and relevance scoring strongly at 94%. Every event has met the prescribed standard and is well above average with some variation depending on topic and participants.

The high level of private sector engagement has been maintained, with excellent relations continued with ASEAN Business Advisory Council, EU-ASEAN Business Council and its associated Euro Chambers members, ASEAN Federation of Forwarders Association, Thailand International Forwarders Association and the ASEAN Food and Beverage Alliance.

Good relations have been maintained with Delegations in the region, with systematic visits from the Team Leader and KEs' when an event or meeting is taking place in the respective countries. A calendar of events for each country has been established, is regularly updated and circulated to the EUD in the region. It serves as a useful tool for forward planning and ensures that the EUD's are aware of ARISE Plus national level activities.

In addition to the above, the tasks carried out by the Team Leader included:

- Regular consultation all relevant stakeholders, including high level officials in ASEAN and EU Delegations;
- Provided strategic inputs to the AEC pillar of the ASEAN Secretariat;
- Managed the team of Key and non-Key Experts, including the reviewing of ToRs for NKE's,
- Monitoring of performance and outputs using internal monitoring tools;
- Reported regularly to the EU Delegation on progress achieved in implementing the programme;
- Participated actively in M&E activities, including corrective action and integration of learning into the design of AWP3
- Ensured coordination across all ARISE Plus' components, including those to be implemented at the national level in the future;

## **Sub Component 0.2 Demand Driven Contingency**

### **Outcome**

*Demand-driven activities facilitated to support the implementation of the AEC Blueprint 2025.*

During this reporting period ARISE Plus continued to support expanded scope of three activities which are shown below.

1. *Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Food Products;* the number of countries requesting support to carry out the Gap

analysis was initially larger than anticipated. However, due to COVID 19 the gap analysis was only carried out for Brunei and Lao PDR. The other requesting countries such as Myanmar will be serviced during WP3.

2. *Activity 2.3.5 Establishing Rapid Alert Systems in AMS*; The initial focus of the activity related to traceability and the establishment of relevant guidelines, however direct requests were received from Philippines and Laos for support to improve and or establish national rapid alert systems to which the project responded positively with the work being completed during this reporting period;
3. *Activity 3.2.2 Supporting the implementation of the Action plan for AFAMT* support has been provided the development of the necessary national legal framework of laws and regulations for multi-modal transport operations in Brunei Darussalam, Cambodia, Lao PDR and Malaysia. The work for Brunei Darussalam and Malaysia is complete and awaiting AMS comments, and technical support work is on-going for Cambodia and Lao PDR; In addition a draft Pilot Implementation Strategy for AFAMT involving Thailand and Vietnam was developed and endorsed by the Transport Facilitation Working Group. Additional resources were also committed to assessing the feasibility of introducing the concepts of transit and the functionality of the ASEAN Customs Transit System (ACTS) into multi-modal transport. Finally the web platform for AFAMT, <https://afamt.asean.org> was further enhanced

### **Sub Component 0.3 Visibility Communications and Outreach (VCO)**

#### **Outcome**

*Communication objectives of the AEC is achieved whilst providing visibility to ARISE PLUS as a flagship programme of the EU and the strategic nature of the EU's support.*

The Team Leader in conjunction with the Key Experts and the backstopping team improved visibility of project during this period. This was primarily done via the project website <http://ariseplus.asean.org>, EU-ASEAN newsletter, EU-ASEAN Bluebook and articles in other portals. The ARISE Plus Team is working closely with the contractor, charged with providing the EU Delegation visibility, in the development of the ACTS launch video and associated promotional brochures and banners.

#### **EU-ASEAN Cooperation Newsletter:**

1. 22<sup>nd</sup> Meeting of the Sub-Working Group on ASEAN Customs Transit System (SWG-ACTS) (<http://ariseplus.asean.org/22nd-swg-acts/>)
2. Validation Workshop on Myanmar's Technical Barriers to Trade (TBT) Measures (<http://ariseplus.asean.org/tbt-myanmar/>)
3. Workshop on the Harmonisation of Nutritional Labelling in ASEAN (<http://ariseplus.asean.org/news-nutritional-labelling/>)
4. ARISE Plus Engages with Private Sector and Government Agencies in the Philippines (<http://ariseplus.asean.org/philexport-2019/>)
5. ARISE Plus Engages with Government Agencies in Malaysia (<http://ariseplus.asean.org/malaysia-2019/>)



6. ASEAN Customs Transit System (ACTS) Training for Customs, Government Transport and Private Sector in Malaysia (<http://ariseplus.asean.org/acts-malaysia-2019/>)
7. ASEAN Customs Transit System (ACTS) Pilot Preparation Workshop in Laos (<http://ariseplus.asean.org/acts-pilot-preparation/>)
8. ASEAN Customs Transit System (ACTS) Pilot Preparation Workshop in Indonesia (<http://ariseplus.asean.org/acts-workshop-2020/>)
9. 4th ARISE Plus Roundtable Discussion on Trade Facilitation: Increased Private Sector Engagement and State-of-Play of ASEAN Trade Facilitation Initiatives (<http://ariseplus.asean.org/4th-roundtable-news/>)
10. Roundtable Discussion on Non-Tariff Barriers (NTBs) in ASEAN between the Private Sector and the ATF-JCC (<http://ariseplus.asean.org/roundtable-ntbs-news/>)
11. Validation Workshop on Technical Barriers to Trade (TBT) Non-Tariff Measures (NTMs) in Vietnam for the ASEAN Repository (<http://ariseplus.asean.org/tbt-hanoi/>)
12. ARISE Plus Border Visits in February 2020 (<http://ariseplus.asean.org/border-visit-ariseplus/>)
13. Workshop on ASEAN Guidelines for Traceability for Food and Feed (<http://ariseplus.asean.org/traceability-post/>)

#### **ARISE Plus website articles:**

1. ASEAN Customs Training System (ACTS) Training for Lao Customs – Session (<http://ariseplus.asean.org/event/acts-laos-2019/>)
2. Validation Workshop on Myanmar's Technical Barriers to Trade (TBT) Measures (<http://ariseplus.asean.org/event/event-tbt-myanmar/>)
3. Workshop on the Harmonisation of Nutritional Labelling in ASEAN (<http://ariseplus.asean.org/event/nutritional-labelling-2019/>)
4. Cambodia, Lao, Myanmar, Vietnam (CLMV) Training on Statistic of International Trade in Services (SITS) (<http://ariseplus.asean.org/event/clmv-sits-2019/>)
5. The Launching of the ASEAN Integration Report (AIR) 2019 (<http://ariseplus.asean.org/event/air-2019/>)
6. Regional Workshop on Statistic of International Trade in Services (SITS) (<http://ariseplus.asean.org/event/regional-workshop-stis/>)
7. 5th Event on Development of the ASEAN Food Safety Regulatory Framework (AFSRF) Agreement (<http://ariseplus.asean.org/event/5th-afsrf-2019/>)
8. ASEAN Customs Transit System (ACTS) Pilot Preparation Workshop in Laos (<http://ariseplus.asean.org/event/acts-workshop-lao/>)
9. ASEAN Customs Transit System (ACTS) Pilot Preparation Workshop in Indonesia (<http://ariseplus.asean.org/event/acts-pilot-workshop/>)
10. 4th ARISE Plus Roundtable Discussion on Trade Facilitation: Increased Private Sector Engagement and State-of-Play of ASEAN Trade Facilitation Initiatives (<http://ariseplus.asean.org/event/4th-roundtable/>)



11. Roundtable Discussion on Non-Tariff Barriers (NTBs) in ASEAN between the Private Sector and the ATF-JCC  
(<http://ariseplus.asean.org/event/roundtable-ntbs/>)
12. Validation Workshop on Technical Barriers to Trade (TBT) Non-Tariff Measures (NTMs) in Vietnam for the ASEAN Repository  
(<http://ariseplus.asean.org/event/tbt-hanoi-workshop/>)
13. Regional Training on Motion Graphics for Statistical Dissemination  
(<http://ariseplus.asean.org/event/regional-training-motion-graphics/>)
14. Regional Training Workshop on Economic Statistics SDMX  
(<http://ariseplus.asean.org/event/sdmx-workshop/>)
15. Workshop on ASEAN Guidelines for Traceability for Food and Feed  
(<http://ariseplus.asean.org/event/traceability-food-and-feed/>)



## Component 1.0: Trade Facilitation and Transparency

Component 1 on Trade Facilitation and Transparency is organized on the basis of three Sub-Components of activities in order to: 1) logically reflect the areas of ARISE Plus intervention mandated under the ToRs; 2) better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held within the relevant ASEAN Sectoral Bodies in AWP1 and AWP2 (i.e., SEOM, ATF-JCC, CCA, CCS, CCI, Singapore's 2018 Chair, Thailand's 2019 Chair, Viet Nam's 2020 Chair, ASEC, ABAC, etc.); and 3) leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Component 1	KE	S-NKE	J-NKE	Incidentals
Total	90.5	308	0	€ 81,031.77

### Sub-Component 1.1 ATIGA Implementation with Focus on Transparency and NTMs

#### Outcome

*Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).*

#### Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs
Main Beneficiaries Engaged	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN.
Tasks Status	<ul style="list-style-type: none"><li><b>Deployed</b> four STEs to engage with the AMSs' authorities in charge of the respective NTRs and of web-linkages to the ATR. The objective continued to be the gathering of all relevant NTMs in the ATR sub-categories of SPS and TBT and properly classify them, 'package' them for upload onto the ATR, verify them within ARISE Plus, submit them to the respective AMSs' authorities for validation, and upload them onto the ATR by web-linking them to the NTR and/or to the available national online databases. Work was conducted in Brunei Darussalam,</li></ul>



Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs
	<p>Cambodia, Indonesia, Lao PDR, Malaysia, Myanmar, the Philippines, Singapore), Thailand and Viet Nam.</p> <p><b>Status:</b> The process of identification, classification and ‘<i>packaging</i>’ of the respective AMSs’ SPS and TBT NTMs for upload on the ATR is:</p> <ul style="list-style-type: none"> <li>– <u>Completed</u> in Cambodia (SPS and TBT), Indonesia (SPS), Lao PDR (SPS and TBT), Malaysia (SPS), Myanmar (SPS and TBT), the Philippines (SPS), Singapore (SPS), and Viet Nam (SPS and TBT);</li> <li>– <u>Ongoing</u> in Brunei Darussalam (SPS), Indonesia (TBT), Malaysia (TBT), the Philippines (TBT), Singapore (TBT); and Thailand (SPS); and</li> <li>– <u>Delayed</u> in Brunei Darussalam (TBT) and Thailand (TBT).</li> </ul>
Outputs Delivered	<ul style="list-style-type: none"> <li>• <i>Interim</i> Reports and/or Final Reports (depending on the individual STE’s ToRs), containing the detailed account of all work being so far done by the STEs in relation to the SPS and TBT NTMs that were identified, collected, classified and ‘<i>packaged</i>’ in the respective AMSs, for verification by ARISE Plus, validation by AMSs and subsequent upload by AMSs on the ATR under the sub-categories on SPS (4.1) and TBT (4.2), have been produced in either Word files or Excel spreadsheets. The documents produced by the respective STEs are filed as <b>ANNEX 1 - 8</b>.</li> <li>• Validation Workshops were held in Cambodia (for TBT), Indonesia (for SPS), Lao PDR (for TBT), Malaysia (for SPS), Myanmar (for TBT), the Philippines (for SPS), Thailand (for part of SPS), and Viet Nam (for TBT).</li> </ul>
Problems Encountered	<ul style="list-style-type: none"> <li>• Despite an overall improvement of the conditions of engagement with AMSs, the major implementation problem has continued to be the difficulty for STEs to engage with the ATR Focal Points and especially with the line agencies having SPS and/or TBT competences. Good ‘<i>buy in</i>’ and ownership has so far been achieved with Cambodia, Lao PDR, Indonesia, Malaysia, Myanmar, and Viet Nam, at different speeds and degrees of engagement, and to a lesser degree with the Philippines and Thailand, with progress being made in both AMSs. In general, the work in most AMSs keeps suffering from a lack of inter-ministerial coordination and systematic delays for the unavailability of line agencies to meet and timely advance the identification and classification work.</li> <li>• So far, the impact of the Covid19 pandemic has not resulted in any implementation problems, with all STEs still engaging either directly or electronically with their counterparts in the respective AMSs’ Governments and with a number of <i>Zoom</i> Video Calls and virtual coordination meetings being organized.</li> </ul>



### Activity 1.1.1

### Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs

#### Lessons Learned

- Increased focus has been placed during AWP2 on bringing together the relevant AMSs' authorities sharing SPS and/or TBT competences, on more constant interaction and coordination by KE2, and on the holding of Validation Workshop, which have helped in polarizing AMSs' minds on delivery deadline, typically ahead of CCA meetings and reporting by ARISE Plus. This has increased inter-ministerial coordination, ownership and more timely provision of trade-related information, thereby facilitating the process of NTMs upload onto the ATR.

#### Overall Result to-date

- Significant increase in the degree of ASEAN trade-related legislative and regulatory transparency, in line with Article 13 of the ATIGA.
- With respect to the ATR sub-categories 4.1 on SPS and 4.2 on TBT, to date the following quantitative results have been achieved or are on target to be achieved by the end of April 2020 (vis-à-vis the baselines provided in the May 2018 'Mapping Reports'). Please note that the numbers in **green** are final (i.e., validated and uploaded on the ATR). **See table below**
- Major improvements were also achieved in terms of the quality and uniformity of the information uploaded on the ATR (accessible at <https://atr.asean.org/index.php/links/search/>) and in terms of the AMSs' Focal Points understanding of the methodology, approach and requirements to progressively operationalize the ATR.

AMS	SPS NTMs in 2018 'Mapping Report'	TBT NTMs in 2018 'Mapping Report'	Validated and Uploaded on ATR (to date)	Collected, but not yet Verified by ARISE Plus and/or Validated by AMSs  Validated and uploaded onto the ATR	Expected by 31 December 2020
BD	29	29	n.a.	SPS: 178 – TBT: 245	> 200
KH	0	0	246	SPS: 79 – TBT: 167	> 300
ID	0	0	326	SPS: 210 – TBT: 116/161	> 500
LA	0	0	179	SPS: 74 – TBT: 105	> 200
MY	1	1	93	SPS: 93 – TBT: 372	> 300

MM	5	11	134	SPS: 55 – TBT: 79	> 200
PH	84	42	145	SPS: 139 – TBT: 359	> 400
SG	12	38	136	SPS: 136 – TBT: 199	> 400
TH	31	18	215	SPS: 215/92 – TBT: n.a.	> 800
VN	1	1	603	SPS: 251 – TBT: 352	> 700
TOTAL	163	150	2,077	2,077/1,621	> 3,500

### Activity 1.1.2 Supporting the Coordinating Committee on the Implementation of the ATIGA

Activity 1.1.2 Supporting the Coordinating Committee on the Implementation of the ATIGA	
Main Beneficiaries Engaged	CCA and ASEC's TFD
Tasks Status	<ul style="list-style-type: none"> <li><b>Assisted</b>, on ASEC's TFD and CCA's demand, with the discussion and conceptualization and structuring of the process of General Review of the ATIGA, with a particular focus on the legal review of the ATIGA in light of ASEAN developments, WTO/WCO obligations and relevant international Preferential Trade Agreements. ARISE Plus was tasked by CCA to conduct the legal analysis for the General Review of the ATIGA. <b>Status:</b> Ongoing</li> <li><b>Gave</b> progress updates, at the CCA meetings held in Singapore, on 28 November 2019, and in Bandung, Indonesia, on 27 February 2020. <b>Status:</b> Completed</li> </ul>
Outputs Delivered	The Inception Report on the General Review of the ATIGA was presented to the CCA and endorsed by ASEAN in order to guide the legal analysis being conducted by ARISE Plus. <u>The Inception Report cannot be circulated for reasons of confidentiality, as mandated by the AMSs within the CCA.</u>
Problems Encountered	N.A.
Lessons Learnt	N.A.
Overall Result to-date	Dedicated ' <i>on demand</i> ' assistance was provided to the CCA in order to conceptualize the legal part of the General Review of the ATIGA, as mandated by the AFTA Council, with the Inception Report ( <i>i.e.</i> , the ToRs for

the expert support by ARISE Plus) endorsed by CCA on 28 February 2020 and the general review now ongoing.

## Sub-Component 1.2 Trade Facilitation and Private Sector Engagement

### Outcome

*Private sector engagement is enhanced through increased participation in the activities of ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.*

### Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	
Main Beneficiaries Engaged	SEOM, ATF-JCC, CCA, CCS, CCI, SID, AMSS' ASSIST Focal Points, ASEC's TFD and Private Sector
Tasks Status	<ul style="list-style-type: none"><li>• <b>Presented and reported</b> on the “<i>Concept of ASSIST for Investments: Issues for Consultation and Discussion</i>” at the meeting of the Coordinating Committee on Investment (CCI) in Yangon, Myanmar on 20 February 2020. <b>Status:</b> Completed</li><li>• <b>Held 2</b> dedicated outreach events (upon invitation) on ASSIST for Trade in Goods and Trade in Services, as well as on the new features of ASSIST Website (<i>i.e.</i>, anonymity features, tutorials, enhanced processes, etc.), for the benefit of selected ASEAN private sector organizations (<i>i.e.</i>, in Cebu, the Philippines on 19 November 2019, upon the invitation of the PhilExport, the export promotion organization of the Filipino Chamber of Commerce; and in Singapore on 7 February 2020, upon the invitation of the US-ASEAN Business Council and to the benefit of a number of Joint Business Councils). <b>Status:</b> Completed</li><li>• <b>Supervised and finalized</b>, upon the demand of SEOM and ATF-JCC, in preparation for future dedicated ‘<i>train-the-trainers</i>’ events, a number of ASSIST Toolkits with the Standard Operating Procedures for the private sector, the 5 Tutorials, and standardised ASSIST Outreach</li></ul>

Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors
	<p>Materials to create greater awareness among the public at large, especially SMEs and MSMEs in all AMSs.</p> <p><b>Status:</b> Completed</p> <ul style="list-style-type: none"> <li>• <b>Conceptualized</b> further the new procedural features of ASSIST for Investment, and discussed and coordinated them among all relevant actors (<i>i.e.</i>, ASEC's Services and Investment Division (SID), the Coordinating Committee on Investment (CCI) and AMSs).</li> </ul> <p><b>Status:</b> Suspended (refer to 'Problems Encountered' in the following section for further understanding)</p> <ul style="list-style-type: none"> <li>• <b>Presented and discussed</b> the advanced concept of ASSIST for Investment for discussion at the meeting of the Coordinating Committee on Investment (CCI) in Yangon, Myanmar on 25 February 2020.</li> </ul> <p><b>Status:</b> Completed</p> <ul style="list-style-type: none"> <li>• <b>Provided</b> regular ('<i>on demand</i>') support to the ASEAN Secretariat (<i>i.e.</i>, ASSIST's Central Administrator) to manage the ongoing ASSIST cases (1 new case filed in Q1 of 2020) and to address a multitude of technical issues (<i>i.e.</i>, procedural matters, IT glitches, dashboard management, support to AMSs, etc.).</li> </ul> <p><b>Status:</b> Ongoing</p>
Outputs Delivered	<ul style="list-style-type: none"> <li>• The ASSIST Toolkits for '<i>train-the-trainers</i>' for the use of ASSIST by the private sector, were completed. They are available in <b>ANNEX 9</b>.</li> </ul>
Problems Encountered	<ul style="list-style-type: none"> <li>• In light of the scarce utilisation of ASSIST for Trade in Goods and Trade in Services, and of the reluctance by a number of AMSs within CCI, as indicated to ARISE Plus during the consultations, to allow for the operation of ASSIST for Investment to follow the procedural rules and features adopted by SEOM for ASSIST Trade in Goods and Trade in Services, <b>the decision has been taken by ARISE Plus to suspend the roll-out and launch of ASSIST for Investment during AWP3</b>. The focus of the technical assistance by ARISE Plus shall remain on building greater awareness on ASSIST among ASEAN's private sector, so as to promote greater utilization of the existing systems and trade facilitation.</li> <li>• So far, the impact of the COVID 19 pandemic has not resulted in any implementation problems, with the exception of two proposed ASSIST Outreach Events in Indonesia being postponed to Q3 or Q4 2020.</li> </ul>

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	
	Support to the ASEC is still regularly being provided electronically via e-mails, <i>WhatsApp</i> and with a number of <i>Zoom</i> Video Calls and virtual coordination meetings being organized.
Lessons Learnt	<ul style="list-style-type: none"> <li>Greater awareness and continuing outreach initiatives are necessary in order for ASEAN's business sector to increase its utilization of ASSIST. The new anonymity features have played a positive role in increasing the rate of ASSIST utilization and should continue to be further socialized among ASEAN's private sector, representative organizations and lawyer/law firms. The Toolkits developed, especially once translated into all ASEAN languages other than English, and should allow for greater reach by AMSs' Government within their respective domestic business constituencies.</li> </ul>
Overall Result to-date	<ul style="list-style-type: none"> <li>1 new case has been filed during the period and timely dealt with by the ASEC and the responding AMS.</li> <li>ASSIST Toolkits have been developed for '<i>train-the-trainers</i>' events that are intended to enable AMSs to do increased outreach and awareness creation among their respective private sector constituencies.</li> </ul>

#### Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee

Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee	
Main Beneficiaries Engaged	ATF-JCC; ASEC's DSG for AEC; ASEC's TFD; SEOM; CCA; CCC; TWFG; ASW-SC; ACCSQ; AMSs and Private Sector
Tasks Status	<ul style="list-style-type: none"> <li><b>Held</b> the 4<sup>th</sup> Roundtable Discussion on Trade Facilitation on the general theme of "<i>Increased Private Sector Engagement and State-of-Play of ASEAN Trade Facilitation Initiatives</i>", with the public session (morning) on the "<i>Socialization of the e-Platform for Consultations with the Private Sector and Progressive Operationalization of the ASEAN Trade Repository (ATR) and the ASEAN Solutions for Investments, Services and Trade (ASSIST)</i>" and the institutional session (afternoon) on "<i>Towards More Effective Ways to Implement the NTMs Guidelines (Principles 1, 3 and 5)</i>" in Bangkok, Thailand on 21 January 2020, back-to-back with the Roundtable Discussion on Non-Tariff Barriers (NTBs) in ASEAN between the Private Sector and the ATF-JCC, held in Bangkok, Thailand on 22 January 2020. <b>Status:</b> Completed</li> <li><b>Prepared</b>, discussed with the Chair of ATF-JCC and ASEC's TFD, and circulated (on 13 February 2020) the report with the Key Messages</li> </ul>

Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee
	<p>from the 4<sup>th</sup> Roundtable Discussion on Trade Facilitation, for discussion and endorsement by ABAC and ATF-JCC. <b>Status:</b> Completed</p> <ul style="list-style-type: none"> <li> <b>Launched</b> the Pilot Phase of the operationalization of the “<i>ePlatform for Consultations with the Private Sector</i>”, which is now available at <a href="https://e-platform.asean.org/login">https://e-platform.asean.org/login</a> (available only to the Actors endorsed by the ATF-JCC and invited by the ASEC). The <i>e-Platform</i> has been piloted since 1 March 2020 and 5 separate consultations have already been launched by ABAC and the EUABC. <b>Status:</b> Ongoing </li> <li> <b>Presented</b> at the ATF-JCC meeting, held through video call on 26 April 2020, reporting to the ATF-JCC on the Pilot Phase of the “<i>ePlatform for Consultations with the Private Sector</i>”. <b>Status:</b> Completed </li> </ul>
Outputs Delivered	<ul style="list-style-type: none"> <li>The report with the Key Messages from the 4<sup>th</sup> Roundtable Discussion on Trade Facilitation, which is available as <b>ANNEX 10</b>.</li> <li>The pilot of the functioning website of the “<i>ePlatform for Consultations with the Private Sector</i>”, which is now available at <a href="https://e-platform.asean.org/login">https://e-platform.asean.org/login</a>. The IT report on the features of the e-Platform and the assistance provided to operationalise it available as <b>ANNEX 11</b>.</li> </ul>
Problems Encountered	<p>In light of the COVID-19 pandemic, the ATF-JCC meeting planned for 9-10 May 2020 in Jakarta, Indonesia, and the 5<sup>th</sup> Roundtable Discussion on Trade Facilitation planned back-to-back with the ATF-JCC’s meeting on 8 May 2020, where the ceremony to formally launch the “<i>ePlatform for Consultations with the Private Sector</i>” would have been held, were rescheduled (<i>i.e.</i>, the ATF-JCC meeting was held on 26 April 2020 and the launch of the <i>e-Platform</i> has been postponed to a later date).</p>
Lessons Learnt	N.A.
Overall Result to-date	<p>The various ASEAN trade facilitation initiatives being supported by ARISE Plus (<i>i.e.</i>, “ATR/NTRs progressive operationalization”, the holding of “<i>Roundtable Discussions on Trade Facilitation</i>” particularly on the implementation of the “<i>NTMs Guidelines</i>”, the socialization of “<i>ASSIST</i>”, and the roll-out of the “<i>e-Platform for Consultations with the Private Sector</i>”, which is now being piloted among ABAC and the Joint Business Councils) are progressing smoothly and the results achieved through the</p>

assistance provided by ARISE Plus have been endorsed and referenced by ASEAN at ATF-JCC and SEOM level.

### Activity 1.2.3 Strengthening Public-Private Cooperation

Activity 1.2.3 Strengthening Public-Private Cooperation	
Main Beneficiaries Engaged	ABAC, EABC, ATF-JCC, ASEC
Tasks Status	<ul style="list-style-type: none"> <li> <b>Coordinated</b> with ASEAN's private sector, particularly within the ASEAN Business Advisory Council (ABAC), the EU-ASEAN Business Advisory Council (EABC), the US-ASEAN Business Council, and the ASEC's Trade Facilitation Division and Enterprise &amp; Stakeholders Engagement Division in order to advance private sector engagement with ASEAN institutions, notably with respect to the <i>ARISE Plus Roundtables on Trade Facilitation</i>, the implementation of the <i>NTMs Guidelines</i>, the use of <i>ASSIST</i>, the creation of awareness on the <i>ATR</i>, and the launch of the "<i>e-Platform for Consultations with the Private Sector</i>".   <b>Status:</b> Ongoing </li> <li> <b>Delivered</b> a Presentation in Singapore on 7 February 2020, upon the invitation of the US-ASEAN Business Council, to the benefit of a number of ASEAN Joint Business Councils on the mechanics of <i>ASSIST</i> and of the "<i>ePlatform for Consultations with the Private Sector</i>".   <b>Status:</b> Completed </li> <li> <b>Delivered</b> a Presentation on 9 April 2020 via <i>Zoom</i> Video Call to 52 ABAC Representatives on the "<i>ePlatform for Consultations with the Private Sector</i>" and the progress being made in operationalising the <i>ATR</i> and <i>ASSIST</i>.   <b>Status:</b> Completed </li> </ul>
Outputs Delivered	N.A.
Problems Encountered	<p>So far, the impact of the COVID-19 pandemic has not resulted in any implementation problems, with regular interactions with ASEAN's private sector and the ASEC's TDF regularly occurring electronically via e-mails, <i>WhatsApp</i> and with a number of <i>Zoom</i> Video Calls. In fact, the "<i>ePlatform for Consultations with the Private Sector</i>" stands to play a major role to allow ASEAN's private sector to continue engaging with ASEAN Institutions electronically via its interface while physical meetings cannot be held due to the pandemic.</p>



Activity 1.2.3	Strengthening Public-Private Cooperation
Lessons Learnt	N.A.

Overall Result to-date	<ul style="list-style-type: none"><li>• ASEAN's private sector has been systematically engaged for purposes of creating greater awareness on and utilization of ASSIST, for purposes of increasing its consultations with the relevant ASEAN sectoral bodies, primarily within the ATF-JCC and through the informal Roundtable Discussions on Trade Facilitation (see Activity 1.2.2).</li><li>• The <i>ePlatform for Consultations with Private Sector</i> is being piloted and has seen very promising utilization by ABAC and the Joint Business Councils, with several consultations started and properly engaged by ASEAN Institutions.</li></ul>
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### Sub-Component 1.3 'On-Demand' Activities

#### Outcome

*Legal certainty, commercial predictability and trade facilitation are enhanced through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.*

#### Activity 1.3.1 General Review of the ASEAN Trade in Goods Agreement (ATIGA)

Activity 1.3.1	General Review of the ASEAN Trade in Goods Agreement (ATIGA)
Main Beneficiaries Engaged	CCA, AMSS, ASEC
Tasks Status	<ul style="list-style-type: none"><li>• <b>Conceptualized</b>, drafted and presented to the CCA the Inception Report for the legal analysis of ATIGA for purposes of the General Review of the ATIGA, in line with the ToRs given by the AFTA Council, the CCA and the ASEC. The Inception Report, which is to inform the work to be conducted by ARISE Plus, was endorsed by the CCA at its meeting in Bandung, Indonesia on 28 February 2020. <b>Status:</b> Completed</li><li>• <b>Commenced</b> the legal analysis for the General Review of the ATIGA, with particular focus on the legal review of the ATIGA in light of ASEAN developments, WTO/WCO obligations, and relevant international Preferential Trade Agreements.</li></ul>



Activity 1.3.1 General Review of the ASEAN Trade in Goods Agreement (ATIGA)	
	<b>Status:</b> Ongoing
Outputs Delivered	<ul style="list-style-type: none"> <li>The Inception Report on the General Review of the ATIGA was presented to the CCA and endorsed by ASEAN in order to guide the legal analysis being conducted by ARISE Plus. <u>The Inception Report cannot be circulated for reasons of confidentiality, as mandated by the AMSs within the CCA.</u></li> <li>The interim report of the STE (<b>ANNEX 12</b>)</li> </ul>
Problems Encountered	So far, the impact of the COVID-19 pandemic has not resulted in any implementation problems, with regular interactions with the STE and the ASEC's TFD having regularly occurred electronically via e-mails, <i>WhatsApp</i> and with a number of <i>Zoom</i> Video Calls. Consideration is being given to organizing a webinar or Zoom Video Call also with the CCA or selected representatives of the ASEC and CCA, in lieu of the regional ' <i>brainstorming</i> ' workshop that was initially planned for April 2020.
Lessons Learnt	N.A.

Overall Result to-date	The Inception Report by ARISE Plus ( <i>i.e.</i> , the ToRs for the support by ARISE Plus to the CCA, the ASEC and AMSs) on the legal analysis for the General Review of the ATIGA has been endorsed by the CCA at its meeting of 28 February 2020 and the expert work is now being conducted.
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### Activity 1.3.2 Supporting the Implementation of the NTMs Guidelines

Activity 1.3.2 Supporting the Implementation of the NTMs Guidelines	
Main Beneficiaries Engaged	ACCC, SEOM, ACCSQ, AMSs' Regulators
Tasks Status	During the reporting period no support was requested from ASEC or CCA on this activity, therefore no outputs were delivered.
Outputs Delivered	N.A.
Problems Encountered	N.A.
Lessons Learnt	N.A.

Overall Result to-date	N.A.
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## Component 2.0: Standards and Conformity Assessment In Particular Healthcare and Agro-based Product

Component 2.0	KE	S-NKE	J-NKE	Incidentals
Total	96	182	0	€ 77,710.08

### Sub-Component 2.1 Supporting the Development of Quality Infrastructure and Related Policies in ASEAN

#### Outcome

*Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.*

#### Activity 2.1.1 Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance

Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance
Main Beneficiaries engaged	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li><b>Continued</b> communications for a dialogue between CEN/CENELEC and ACCSQ, has led to the CEN/CENELEC proposing items for discussion. Plans are still in process for the development of cooperation programmes.</li> <li><b>Study Visit</b> to CEN/CENELEC management centre for young standards professionals from ASEAN Member States was planned and organised.</li> </ul> <p><b>Status:</b> Ongoing</p>
Outputs delivered	N.A
Problems encountered	Study Visit to CEN/CENELEC Management Centre (Brussels) organised for ASEAN participants was planned for April 2-3. 2020 and was

<b>Activity 2.1.1</b>	<b>Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance</b>
	postponed by CEN/CENELEC Management Centre due to the outbreak of COVID-19.
<b>Lessons learnt</b>	N.A
<b>Overall result to-date</b>	The planned participation by young ASEAN Standards Professionals in the CEN-CENELEC run Standards Days had high interest however implementation was deferred. On-going discussions to organise a meeting between ASEAN Officials and CEN-CENELEC representatives have made progress.

### Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations

<b>Activity 2.1.2</b>	<b>Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations</b>
<b>Main Beneficiaries engaged</b>	ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points.
<b>Tasks status (ongoing, completed)</b>	There has been no further request for assistance from ACCSQ or ASEAN Secretariat since the previous six-monthly reporting period. <b>Status:</b> Completed
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	ARISE Plus has completed supporting the review of the 1998 ASEAN Framework Agreement on MRAs. The revised draft will be undergoing legal vetting by LSAD, prior to the finalisation of the Agreement.
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### Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

<b>Activity 2.1.3</b>	<b>Strengthening Conformity Assessment and Accreditation</b>
<b>Main Beneficiaries engaged</b>	Working Group Organic Agriculture under SOM AMAF and support from ACCSQ WG 2
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Development of the preliminary draft ASEAN Certification Scheme for Organic Agriculture which was circulated for comment and the comments analysed.</li> </ul>

Activity 2.1.3 Strengthening Conformity Assessment and Accreditation	
	<p><b>Status:</b> Completed</p> <ul style="list-style-type: none"> <li>First Draft of the ASEAN Certification Scheme for Organic Agriculture and Concept of ASEAN Organic Certification Mark was developed, incorporating comments from Member States. This new version has been circulated for comment.</li> </ul> <p><b>Status:</b> Ongoing</p>
Outputs delivered	<ul style="list-style-type: none"> <li>First draft ASEAN Certification Scheme for Organic Agriculture with responses to comments.</li> <li>Revised Concept of ASEAN Organic Certification Mark with responses to comments.</li> <li>Reference <b>(ANNEX 13)</b></li> </ul>
Problems encountered	A planned workshop (March 24-25, 2020) to review the certification scheme and certification mark was postponed due to the COVID-19 outbreak.
Lessons learnt	A flexible approach to progress work through circulation of documents was tested with the revised draft prepared and circulated for review and comment as an alternative to hosting the workshop. This has proved successful way of mitigating the delays caused by COVID-19.
Overall result to-date	First draft ASEAN Certification Scheme for Organic Agriculture has been developed and consultations on the Concept of ASEAN Organic Certification Mark has provided a higher level of consensus on the reviewed proposal.

#### Activity 2.1.4 Transposing National Commitments into National legislation

Activity 2.1.4 Transposing National Commitments into National legislation	
Main Beneficiaries engaged	Myanmar Electrical and Electronic Equipment Safety Authorities, Joint Sector Committee, Electrical and Electronic Equipment MRA.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Support continued to be provided to Myanmar in the development of Electrical and Electronic Safety Regulations to support its participation in the ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime (AHEEERR), and Myanmar's implementation of the EEE MRA.</li> <li>Work undertaken: <ul style="list-style-type: none"> <li>i. Development of draft regulations</li> <li>ii. Preparation of information booklet</li> <li>iii. Preparation of Training Materials for Certification Bodies and Surveillance Bodies</li> </ul> </li> </ul>

Activity 2.1.4 Transposing National Commitments into National legislation	
	<p>iv. Support in establishing priority lists for control and register of suppliers</p> <p><b>Status:</b> Ongoing</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• Draft EEE Safety Regulations - submitted for Legal review and approval to the Myanmar authorities responsible for legal drafting.</li> <li>• Information Booklet on the Electrical and Electronic Equipment regulations completed.</li> <li>• Training Material developed for training of personnel of Certification Bodies which had been identified to certify electrical and electronic equipment for the safety regulations.</li> <li>• Myanmar authorities provided with guidance on establishing a register of approved suppliers.</li> <li>• Reference (<b>ANNEX 14</b>)</li> </ul>
Problems encountered	The training planned for certification bodies was postponed due to the restrictions by the government of Myanmar due to COVID 19.
Lessons learnt	Plans for support to Member States need to incorporate contingencies and have a high degree of flexibility due to unpredictability.
Overall result to-date	<ul style="list-style-type: none"> <li>• The Electrical and Electronic Equipment safety regulations are now in the final stages of adoption by the Government of Myanmar. Once adopted the proposed legislation will be compatible with AHEEERR and permit Myanmar to fully participate in the EEE MRA and AHEEERR.</li> <li>• Preparations are in place for implementation, including certification of products, market surveillance and registration of suppliers.</li> </ul>

#### Activity 2.1.5 Enhancing Co-ordination across ASEAN Sectoral Working Groups

Activity 2.1.5 Enhancing Co-ordination Across ASEAN Sectoral Working Groups	
Main Beneficiaries engaged	ACCSQ, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST) and its Experts' Group on Metrology, Health Cluster 4, ASEAN Sectoral Working Groups on Crops, on Fisheries and on Livestock, Prepared Foodstuffs Product Working Group for Food Safety, the Pharmaceutical Products Working Group, Health Cluster 3.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• <b>Consultation</b> between Experts Group on Organic Agriculture and Working Group 2 on the ASEAN certification requirements for organic agriculture has been established.</li> </ul> <p><b>Status:</b> Ongoing</p>



Activity 2.1.5	Enhancing Co-ordination Across ASEAN Sectoral Working Groups
	<ul style="list-style-type: none"> <li>• <b>Joint Workshop</b> for development of food and feed traceability guidelines was held for the health, agriculture and economic sectors on March 10-11, 2020 with on-going consultation on the revised draft guidelines supported.</li> </ul> <p>A workshop for the Task Force for the ASEAN Food Safety Regulatory Framework (AFSRF) held on 10<sup>th</sup> -12<sup>th</sup> December 2019 <b>Status:</b> Ongoing.</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• Ongoing cooperation between the Agriculture Sector (Experts Group on Organic Agriculture) with the ACCSQ ASEAN Working Group 2)</li> <li>• <b>Enhanced</b> on going cooperation on Food safety in ASEAN between the PFPWG from the economic sector, Working Groups from the agriculture sector and representation from the health sector (Health Cluster 4) on traceability for food and feed (<b>ANNEX 15</b>).</li> </ul>
Problems encountered	<p>There was limited participation by some Member States in the March 10-11, 2020 Workshop for traceability due to travel restrictions imposed by some Member States due to the concerns arising from COVID-19.</p>
Lessons learnt	<p>Food safety is a cross-cutting issue and the subject matter is often under the purview of differing ASEAN Bodies under the Economic, Health and Agriculture ministerial bodies. In order to ensure wider acceptance and to avoid duplication, consultation and working out of silo's is useful and has been welcomed by ASEAN counterparts.</p>
Overall result to-date	<p>With the support of ARISE Plus, collaboration between different ASEAN bodies has been enhanced leading to great effectiveness when working on cross cutting issues This supports the realisation of ACCSQ's Strategic 2016 – 2025 plan.</p>

## Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

### Outcome

*Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry.*



## Activity 2.2.1 Supporting Harmonisation of Standards

Activity 2.2.1	Supporting Harmonisation of Standards
Main Beneficiaries engaged	Working Group 1 of the ACCSQ, Product working groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Alternatives to the planned physical workshop to review the <i>Concept Paper: Recommendations for Increasing Effectiveness of Harmonisation of Standards</i> in ASEAN has been developed.</li> </ul> <b>Status:</b> Ongoing
Outputs delivered	N.A.
Problems encountered	The implementation of the workshop planned to deliberate on the proposed changes to increase the effectiveness and delivery of harmonised by adopting new processes and arrangements. However, the workshop, originally planned for November 2019, has been delayed, due to the clashes with other ASEAN projects where ARISE PLUS experts were already committed to. As such, the workshop has been postponed and is now planned to be held in August 2020.
Lessons learnt	N.A.
Overall result to-date	Unchanged from previous six-monthly period

## Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

### Outcome

*Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.*

### Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety
Main Beneficiaries engaged	PFPWG, Health Cluster 4, ASEAN Sectoral Working Group on crops, on fisheries and on livestock.



<b>Activity 2.3.1</b>	<b>Developing and Implementing a Regulatory Framework for Food Safety</b>
<b>Tasks status (ongoing, completed)</b>	Supported the Task Force on the ASEAN Food Safety Regulatory Framework in December 10-12, 2019 for finalisation of the AFSRF Agreement. <b>AFSRF Agreement</b> is currently under final legal review by AMS prior to finalisation and signing by Ministers. <b>Status:</b> Ongoing
<b>Outputs delivered</b>	Workshop for Draft of the AFSRF Agreement for final stage of legal review ( <b>ANNEX 16</b> ).
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	Continuing and flexible arrangements for support has enabled the progress of the development of the AFSRF Agreement to be on schedule.
<b>Overall result to-date</b>	The ASEAN Food safety Regulatory Framework (AFSRF) Agreement progressed to final stages of completion (legal review).

#### Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

<b>Activity 2.3.2</b>	<b>Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs</b>
<b>Main Beneficiaries engaged</b>	Prepared Foodstuffs Product Working Group (PFPWG), the Joint Sector Committee for the MRA on Food Hygiene and Members State's food safety regulators.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li><b>Reviewed and finalised</b> the full set of the procedures for the implementation of the MRA on Food Hygiene based on the decisions of the September 2019 workshop. Developed recommendations for implementation of the MRA.</li> </ul> <p><b>Status:</b> Completed</p> <p>In the context of the MRA implementation conducted a Gap Analysis on the Inspection and Certification Systems for Food Hygiene in Lao PDR and Brunei Darussalam with recommendations for future actions made.</p> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>Full set of implementation procedures for the MRA on Food Hygiene developed and adopted by Joint Sectoral Committee for implementation of the MRA (<b>ANNEX 17</b>).</li> <li>Gap Analysis on Implementing MRA on Inspection and Certification Systems for Food Hygiene for Prepared Foodstuffs conducted and</li> </ul>



Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	
	<p>recommendations made for Lao PDR and Brunei Darussalam (<b>ANNEX 18</b>).</p> <ul style="list-style-type: none"><li>Initial preparations have been made for the Gap Analysis for Myanmar.</li></ul>
Problems encountered	<p>The programme for Gap Analysis was delayed due to the difficulties in establishing dates for the studies that were convenient to participating Member States. Further implementation is delayed due to the travel restrictions in place.</p>
Lessons learnt	<p>Broad based mutual recognition arrangements, such as in this MRA for mutual recognition food hygiene systems, require Member States to align their domestic regulations with the ASEAN Common Food Control Requirement and the progress in implementation of the MRA is dependent on the how rapidly Member States can review and align their domestic regulations.</p>
Overall result to-date	<p>The implementation of the MRA for prepared foodstuffs for has progressed with the advanced development of the procedures for implementation.</p>

### Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety	
Main Beneficiaries engaged	<p>ARAC, ASEAN Health Cluster 4 (Ensuring Food Safety), ASEAN Food Safety Regulators.</p>
Tasks status (ongoing, completed)	<p>The review of operations of ARAC has been planned and a workshop programme developed to deliberate on the enhancement of ARAC's Governance and on ensuring sustainability. Implementation of the planned workshop for April is currently postponed until travel restrictions are removed by AMS governments.</p> <p><b>Status:</b> Ongoing</p>
Outputs delivered	<p>Plan and Programme for a workshop to review of operations of ARAC to enhancement of ARAC's Governance and on ensuring sustainability (<b>ANNEX 19</b>).</p>
Problems encountered	<p>Plan for a workshop in April 2020 has been delayed due to Member States Request and travel restrictions by Member States Governments.</p>



<b>Activity 2.3.3</b>	<b>Supporting the ASEAN Risk Assessment Centre for Food Safety</b>
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	<p>The main achievement from this activity, has been that a set of recommendations have been documented for follow-up in order to enhance the operations of ARAC by reviewing its governance and operating procedures, widening its scope of work and launching new activities. The recommendations from the discussion forum were endorsed by AHC 4 and work items were decided. Based on the excerpt of the 4<sup>th</sup> AHC 4 meeting in June 2019, the following were agreements on the new areas of work proposed by the Scientific Committee to be carried out by ARAC:</p> <ul style="list-style-type: none"> <li>• Risk assessment on arsenic and cadmium in rice based on the high consumption of rice among ASEAN population</li> <li>• Risk profile of pesticides used in leafy vegetable among ASEAN Member States.</li> </ul>

#### **Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN**

<b>Activity 2.3.4</b>	<b>Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN</b>
<b>Main Beneficiaries engaged</b>	ASEAN Risk Assessment Centre, Health Cluster 4, Prepared Foodstuffs Product Working Group
<b>Tasks status (ongoing, completed)</b>	No Further progress due to the lack of demand from the beneficiaries.
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	The activity is suspended and discontinued under the next AWP.

## Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)

<b>Activity 2.3.5</b>	<b>Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)</b>
<b>Main Beneficiaries engaged</b>	ARASFF Steering Committee, PFPWG, ASEAN Health Cluster 4 and the authorities responsible for food safety and agriculture in Member States.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Developed the ASEAN Guideline on Traceability for Food and Feed.</li> <li>Workshop held on March 10-11, 2020 to discuss comments on the preliminary draft document.</li> <li>Developed the revised draft Guideline on Traceability for Food and Feed, based on Workshop deliberations and circulated for further comments. <b>(ANNEX 20)</b>.</li> </ul> <p><b>Status:</b> Ongoing</p> <ul style="list-style-type: none"> <li>As part of the support for the development of the RASFF system in Lao PDR recommendations and advice on implementation modalities have been made and plans made to train officials.</li> </ul> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>Workshop held to deliberate the draft Guidelines and development of a revised draft.</li> <li>First draft ASEAN Guide for Traceability for Food developed and circulated for review.</li> <li>Review of national preparedness and recommendations to Lao PDR on the development of a national rapid alert systems for food and feed (RASFF) <b>(ANNEX 20)</b></li> </ul>
<b>Problems encountered</b>	The attendance of Member States at the workshop on March 10-11, 2020 was limited to representatives from 6 Member States due to the COVID-19 related travel limitations.
<b>Lessons learnt</b>	Involvement of all the sectors that have an interest in a specific issue is required to ensure wide acceptance of the resulting guidelines and to avoid duplication. In the instance the PPWG, ARASFF National Contact Points and the ASEAN Health Cluster 4 have participated in the development and welcomed the coordinated development.
<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>First draft ASEAN Guideline on traceability developed.</li> </ul>

- Review of national preparedness Lao PDR on the development of a national rapid alert systems for food and feed completed and recommendations to develop a national system made.

### Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture

Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture
Main Beneficiaries engaged	SOM AMAF, the Experts Working Group on Organic Agriculture (EWG-OA), the ASEAN Sectoral Working Groups on Crops, on Fisheries and on Livestock and Working Group 2 of the ACCSQ.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• <b>Developed</b> the First Draft of the ASEAN Organic Agriculture MRA and the ASEAN Organic Agriculture Certification Scheme based on the preliminary draft and Member States Comments. This has been circulated for 2<sup>nd</sup> round of review by Member States, <b>Status:</b> Ongoing</li> <li>• Planned a workshop for the EWG-OA to deliberate on the draft MRA on Organic Agriculture. <b>Status:</b> Ongoing</li> </ul>
Outputs delivered	<ul style="list-style-type: none"> <li>• Broad Consensus established on the MRA on Organic Agriculture by the EWG -OA and Working Group 2 representing ASEAN Accreditation and Conformity Assessment Community.</li> <li>• First Draft of the ASEAN Organic Agriculture Mutual Recognition Agreement and the ASEAN Organic Agriculture Certification Scheme prepared and circulated, incorporating comments from Member States on the preliminary drafts.</li> <li>• Reference <b>(ANNEX 21)</b>.</li> </ul>
Problems encountered	The workshop planned for March 24-25, 2020, had to be postponed due to the COVID-19 related travel restrictions.
Lessons learnt	N.A.
Overall result to-date	The first drafts of the ASEAN Organic Agriculture Mutual Recognition Agreement and the ASEAN Organic Agriculture Certification Scheme have been completed with substantial consensus achieved.

### Activity 2.3.7 Training in Food Safety

Activity 2.3.7	Training in Food Safety
Main Beneficiaries engaged	Food Safety Regulatory Agencies in ASEAN Member States, ASEAN Health Cluster 4, ASEAN Consumers and Universities.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Development of a proposal for the establishment of a Food Safety Training Network in ASEAN. <ul style="list-style-type: none"> <li>Assessment of currently available food safety training providers including those in universities</li> <li>Survey of Food Safety training needs as identified by ASEAN Bodies</li> <li>Commenced the development of Training Courses for identified priority areas; as - Food Hygiene, Risk Based Inspection and Food -Borne Disease Control systems.</li> </ul> </li> </ul> <p><b>Status:</b> Ongoing</p> <ul style="list-style-type: none"> <li>Developed Plans and programme for a workshop to deliberate on the modalities of an ASEAN Food Safety Training Network.</li> </ul>
Outputs delivered	<ul style="list-style-type: none"> <li>Assessment of availability of food safety education courses /training conducted in ASEAN universities.</li> <li>Development of a proposal for the establishment of a Food Safety Training Network in ASEAN.</li> <li>Preparation for a Workshop for stakeholders to discuss the establishment of a Food Safety Training Network in ASEAN.</li> <li>Reference (<b>ANNEX 22</b>)</li> </ul>
Problems encountered	The planned workshop on the ASEAN Food Safety Training Network scheduled for March 2020 was postponed due to the COVID-19 travel restrictions.
Lessons learnt	N.A.
Overall result to-date	The comprehensive proposal is in place for launching the next steps to obtain stakeholder endorsement for implementation of the ASEAN Food Safety Training Network.

### Activity 2.3.8 Harmonised Nutritional Labelling Requirements

Activity 2.3.8	Harmonised Nutritional Labelling Requirements
Main Beneficiaries engaged	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, ASEAN Consumers.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• <b>Review</b> of the current regulations on nutritional labelling in ASEAN Member States and identified issues for discussion and for potential harmonisation was conducted and completed.</li> <li>• A draft proposal for ASEAN Guidelines for Nutritional Labelling developed and circulated to Member States. <b>Status:</b> Completed</li> <li>• A workshop for PFPWG was held on Nov 15-16, 2019 to discuss the optional approaches to harmonise nutritional label and to deliberate on the draft proposal. <b>Status:</b> Completed</li> </ul>
Outputs delivered	<ul style="list-style-type: none"> <li>• Draft proposal for ASEAN Guidelines for Nutritional Labelling developed and circulated to Member States based on a review of existing AMS regulations and AMS Concerns. (<b>ANNEX 23</b>)</li> <li>• Workshop for PFPWG held on Nov 15-16, 2019 to discuss the optional approaches to harmonise nutritional labels. (<b>Reference ANNEX 23</b>)</li> <li>• A “Guide to the Use of a Food Composition Database for Calculating Energy and Nutrient levels for Nutrition Labelling purposes prepared for PFPWG consideration (<b>ANNEX 24</b>)."</li> </ul>
Problems encountered	There was a wide variation in existing nutritional regulations in Member States ranging from no regulation to different parameters defined in regulations. The PFPWG has decided to further deliberate on nutritional labelling issues of concern and to only start developing a harmonised guideline in 2022 after the concerns are addressed.
Lessons learnt	It is necessary to scale back plans when the ASEAN counterparts are not prepared to harmonise requirements. Alternate plans are needed to progress at the pace determined by the PFPWG proposed.
Overall result to-date	<ul style="list-style-type: none"> <li>• A proposal for <i>ASEAN Guidelines for Nutritional Labelling</i> has been developed and explained at a workshop PFPWG in November 2019 for consideration.</li> </ul>

- A Guide to the Use of a Food Composition Database for Calculating Energy and Nutrient levels for Nutrition Labelling Purposes prepared for PFPWG's consideration.
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## Sub-Component 2.4 Support to the Pharmaceutical Sector

### Outcome

*The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.*

### Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework
Main Beneficiaries engaged	Pharmaceutical Product Working Group (PPWG), ASEAN Health Cluster 3 under the ASEAN Health Ministers, Health Division and the Standards and Conformance Division of the ASEAN Secretariat.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• Investigation, consultation with counterparts and stock taking of PPWG initiatives of harmonisation of pharmaceutical regulations. <b>Status:</b> Completed</li> <li>• Development of a comprehensive discussion paper on the Framework for Pharmaceutical Regulation and circulation to PPWG for comment. <b>Status:</b> Completed</li> <li>• Workshop for the PPWG to deliberate on the ASEAN Framework for regulatory harmonisation of pharmaceuticals. (Scheduled for April 7-8, 2020 – subsequently postponed). <b>Status:</b> Ongoing</li> <li>• <b>Initiated Planning</b> for a training workshop for the evaluators for the Bio-Equivalence to support implementation of the MRA. <b>Status:</b> Ongoing</li> </ul>
Outputs delivered	<ul style="list-style-type: none"> <li>• Consultations on the development of an ASEAN Framework for regulatory harmonisation held with a number of Member State's regulatory agencies.</li> <li>• A discussion paper outlining proposed scope, objectives, policies, and modalities for implementation prepared and circulated for comment by Member States (<b>ANNEX 25</b>).</li> </ul>



Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework	
	<ul style="list-style-type: none"> <li>Developed plans and programme for a workshop to deliberate on the establishment of a Framework for Pharmaceutical Regulation (<b>Reference ANNEX 25</b>).</li> <li>Planning and programme for a training workshop for the evaluators for the Bio-Equivalence to support implementation of the MRA (<b>ANNEX 26</b>).</li> </ul>
Problems encountered	Workshop for the PPWG to deliberate on the Framework for Pharmaceutical Regulation scheduled for April 7-8, 2020 was postponed due to the COVID-19 travel restrictions.
Lessons learnt	It was possible to continue work on the development of the Framework for Pharmaceutical Regulation by reaching an understanding with the ASEAN Secretariat Counterparts and the PPWG to continue consultations by circulation of discussion papers and collating comments instead of the workshop.
Overall result to-date	<p>The main achievement from this activity has been the initiation of work to embark upon comprehensive market integration through the development of a Framework for Pharmaceutical Regulation. This framework will integrate the existing initiatives on harmonisation of technical requirements, market authorisation and mutual arrangements for recognition on GMP and bioequivalence</p> <p>Plans have been initiated for the implementation of a training workshop for Bioequivalence evaluators in the third quarter of 2020</p>

#### Activity 2.4.2 Establishing an ASEAN Network of Official Pharmaceutical Laboratories

Activity 2.4.2 Establishing an ASEAN Network of Official Pharmaceutical Laboratories	
Main Beneficiaries engaged	Pharmaceutical Product Working Group (PPWG), ASEAN Health Cluster 3 under the ASEAN Health Ministers.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li><b>Finalised</b> the Terms of Reference for the proposed ASEAN Pharmaceutical Laboratory Network. (<b>ANNEX 27</b>)</li> </ul> <p><b>Status:</b> Completed</p>
Outputs delivered	<ul style="list-style-type: none"> <li>PPWG agreed to the establishment of the APLN</li> <li>Terms of Reference defining the role and operations for the ASEAN Pharmaceutical Laboratory Network finalised.</li> </ul>
Problems encountered	N.A.

<b>Activity 2.4.2</b>	<b>Establishing an ASEAN Network of Official Pharmaceutical Laboratories</b>
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	The PPWG has embarked on the development of a network of pharmaceutical laboratories with an agreed terms of reference.
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#### Activity 2.4.3 Combatting Falsified Medicines in ASEAN

<b>Activity 2.4.3</b>	<b>Combatting falsified medicines in ASEAN</b>
<b>Main Beneficiaries engaged</b>	Pharmaceutical Product Working Group (PPWG), ASEAN Health Cluster 3, ASEAN Drug Regulators.
<b>Tasks status (ongoing, completed)</b>	This activity is on hold due to the lack of demand from the PPWG
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	This was planned as a demand driven activity. No work under this activity was undertaken as the PPWG did not place this as a priority during the period.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	N.A. This activity has been moved to the next WP.
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#### Activity 2.4.4 Enhancing pharmaceuticals standardization in ASEAN

<b>Activity 2.4.4</b>	<b>2.4.4 Enhancing pharmaceuticals standardization in ASEAN</b>
<b>Main Beneficiaries engaged</b>	Pharmaceutical Product Working Group, Health Cluster 3, ASEAN Drug Regulators.
<b>Tasks status (ongoing, completed)</b>	This activity is on hold.
<b>Outputs delivered</b>	N.A.



<b>Activity 2.4.4</b>	<b>2.4.4 Enhancing pharmaceuticals standardization in ASEAN</b>
<b>Problems encountered</b>	This was planned to be a demand driven activity. However, no new support was requested for this activity during this period.
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	N.A.



## Component 3.0: Customs, Transport and ACTS

Component 3.0	KE	S-NKE	J-NKE	Incidentals
Total	114	915	0	€ 168,307.43

### Sub-component 3.1 Customs Measures

#### Outcome

*Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.*

#### Activity 3.1.2 Supporting Partnership with Businesses and the Trading Community (Strategic Plan of Customs Development (SPCD) 06)

Activity 3.1.2	Supporting Partnership with Business and the Trading Community
Main Beneficiaries engaged	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA). ASEAN Business Advisory Council (ABAC) Joint Business Councils, EU ASEAN Business Council
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>ARISE Plus has developed a concept note and accompanying questionnaires for the main public and private sector groups on the proposed methodology for supporting this SPCD. The concept note has been updated to incorporate comments by members of the ASEAN Customs Procedures and Trade Facilitation Working Group (CPTFWG), as attached at <b>ANNEX 28</b> to this report.</li> <li>ARISE Plus has proposed to run a workshop back to back with an ASEAN Customs meeting, to analyse the results of the questionnaires and agree future AMS strategy in this activity.</li> </ul> <p><b>Status:</b> Ongoing, to be continued under WP3</p>
Outputs delivered	A concept note has been prepared, along with supporting questionnaires for the main regional and national bodies with a role in business partnerships. AMS comments have incorporated in the concept note, proposed methodology, content and timing for the support for the implementation of this SPCD.
Problems encountered	It has proved challenging to expedite the delivery of ARISE Plus support under this activity, given the time needed to take into account the views

<b>Activity 3.1.2</b>	<b>Supporting Partnership with Business and the Trading Community</b>
	of AMS and the existing mechanism (TOR) in the engagement of private sector at ASEAN Customs meetings. The date of the proposed workshop will be postponed due to the virus.
<b>Lessons learnt</b>	An in-depth assessment of prior and on-going AMS activities is beneficial in the provision of effective technical support.
<b>Overall result to-date</b>	ARISE Plus has captured AMS reactions to the proposed support methodology, and a clear way forward in support of this activity has been proposed, with a view to enhancing the way ASEAN Customs Authorities manage partnerships with business and the trading community.

### Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

<b>Activity 3.1.5</b>	<b>Assistance to Narrowing the Development Gap in Customs (SPCD 13)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Secretariat, ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>In the context of the gap analysis carried out by ARISE Plus under a previous work plan, it was recommended that additional support is to be provided under this SPCD for post-clearance audit functions (PCA), and integrity;</li> <li>Following responses from ASEAN Member States, the PCA activity has now been dropped as AMS have already received the necessary support intra-regionally on this topic, with ARISE Plus continuing its support on Customs integrity;</li> <li>A workshop on integrity has been proposed by ARISE Plus;</li> <li>A concept note on proposed ARISE Plus support for Customs integrity has been developed, and distributed for comments to AMS at the 26<sup>th</sup> CCBWG Meeting, which was held inter-sessionally from 23 March to 15 April 2020.</li> <li>With the uncertainty caused by the COVID-19 outbreak, AMS requested additional time to study the plans, as well as the date of the integrity workshop.</li> </ul> <p><b>Status:</b> Ongoing and will be continued under WP3</p>
<b>Outputs delivered</b>	A concept note has been prepared, and AMS comments received and incorporated, on proposed methodology, content and timing for the support for the implementation of this SPCD ( <b>ANNEX 29</b> )

<b>Activity 3.1.5</b>	<b>Assistance to Narrowing the Development Gap in Customs (SPCD 13)</b>
<b>Problems encountered</b>	The main challenge to conducting this technical support activity has been the time required to obtain AMS approval. The proposed workshop will be postponed due to the virus.
<b>Lessons learnt</b>	It is challenging for AMS Customs Authorities with limited manpower resources to allocate the necessary staff participate in multiple technical support activities at the same time, leading to delays in implementation.
<b>Overall result to-date</b>	The initial preparatory stages to the provision of ARISE Plus support for this activity have been arranged, which will improve the way Customs Authorities deal with integrity-related issues in providing trusted services to relevant stakeholders in Customs and Excise fields.

### Sub-component 3.2 Transport Measures

#### Outcome

*An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.*

#### **Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)**

<b>Activity 3.2.1</b>	<b>Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA), ASEAN Council of Bureau (COB).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"><li>• The main vehicle for operationalising AFAFGIT is the advent of the ACTS system in the region. ACTS has been deployed in six Participating Member States (PMS), that is Cambodia, Lao PDR, Malaysia, Singapore, Thailand and Vietnam. Phase A (simulated business scenarios) and a very limited phase B (parallel run) of the ACTS Pilot have been completed, and the system is under the live operational phase C of the pilot.</li><li>• The legal framework for ACTS is now fully in place.</li><li>• A concept note on the functioning of AFAFIST has been prepared, and is attached at <b>ANNEX 30</b> to this report.</li></ul>

<b>Activity 3.2.1</b>	<b>Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)</b>
<b>Outputs delivered</b>	<p><b>Status:</b> ongoing to be continued under WP3</p> <ul style="list-style-type: none"> <li>Phase A of the ACTS Pilot (simulated business scenarios) has been successfully completed.</li> <li>Phase C of the ACTS Pilot started on 23 March and all stakeholders in the PMS are busy with making final preparations to be able to operate live under ACTS.</li> <li>Proposals have been made to ASEAN Member States for consideration of the Sub Working Group of the Customs Procedures and Trade Facilitation Working Group (CPTFWG) on ACTS (SWG-ACTS) and the Transport Facilitation Working Group (TFWG) to upgrade ACTS functionality with the development of a Transport Monitoring System (TMS) which would facilitate the full operationalisation of both AFAFGIT and AFAFIST.</li> </ul>
<b>Problems encountered</b>	Delay by AMS in ratification of relevant protocol of AFAFGIT (i.e. Protocol 2) and AFAFIST.
<b>Lessons learnt</b>	Senior level commitment within ASEAN Customs and Transport administrations is vital for AFAFGIT to be fully in place within agreed timescales, involving implementation of all ACTS features by Customs Authorities and full take-up of ACTS by private sector Principal Traders.
<b>Overall result to-date</b>	With the operationalisation of ACTS, a major milestone has been reached in the improvement of ASEAN trade and transport environment.

### Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

<b>Activity 3.2.2</b>	<b>Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>The focus of ARISE Plus support in AWP2 has been to finalise the Implementation Framework of AFAMT and its related Action Plan, as a key deliverable under ASEAN's Kuala Lumpur Transport Strategic Plan (KLTSP). The framework has now been finalised and endorsed</li> </ul>



**Activity 3.2.2****Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)**

by ASEAN Transport Ministers. The framework is attached to this report at **ANNEX 31**.

- Draft Implementing Guidelines to AFAMT were developed, which are attached at **ANNEX 32** to this report.
- A draft Pilot Implementation Strategy for AFAMT involving Thailand and Vietnam was developed. This includes strategy to conduct a gap analysis highlighting actions that need to be taken to ensure successful implementation of AFAMT.
- ARISE Plus has further developed the web platform for AFAMT, at the address <https://afamt.asean.org>. This site includes explanations of aspects of AFAMT and provides online e-learning training material.
- ARISE Plus has developed a proposed liability insurance scheme for multi-modal transport operators (MTO), which is at **ANNEX 33** to this report, planned for circulation to ASEAN Member States;
- ARISE Plus has supported the development of the necessary national legal framework of laws and regulations for multi-modal transport operations in Brunei Darussalam, Cambodia, Lao PDR and Malaysia. The work for Brunei Darussalam and Malaysia is complete and awaiting AMS comments, and technical support work is on-going for Cambodia and Lao PDR;
- Analysis is being carried out of the national multimodal transport laws and regulations of ASEAN Member States, and to verify whether they are in conformity with AFAMT so that the AFAMT pilot can proceed smoothly;
- The feasibility of introducing the concepts of Customs transit and the functionality of the ASEAN Customs Transit System (ACTS) into multi-modal transport, is being analysed with a view to enhancing the clearance of goods being traded in a multi-modal environment in ASEAN and the effective implementation of AFAMT. The interim expert report is at **ANNEX 34**.

**Status:** ongoing to be continued under WP3

**Outputs delivered**

- The ARISE Plus expert report of missions carried out in March 2020 to the planned AFAMT pilot countries (Indonesia, Thailand and Vietnam) is at **ANNEX 35** to this report. These missions included the development of a pilot execution strategy for AFAMT.
- The final draft of 'Implementation Framework of AFAMT' based on the outcomes of the second AFAMT experts group meeting, and supplemented by a regional action plan and national-level action plans for implementation of AFAMT.

Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)	
	<ul style="list-style-type: none"> <li>The AFAMT web platform has been developed, and will be further refined in the light of comments from AMS; the expert's interim report on AFAMT platform development is at <b>ANNEX 36</b> to this report.</li> <li>Updated multi-modal transport e-learning module with voice-over, available via AFAMT platform at <a href="https://afamt.asean.org">https://afamt.asean.org</a>.</li> <li>Interim reports from ARISE Plus experts engaged to review and further develop national multimodal transport law and regulations in Brunei, Cambodia and Lao PDR; these reports are at <b>ANNEX 33, 37, 38 and 39</b> to this report.</li> <li>Proposed liability scheme for AFAMT.</li> </ul>
Problems encountered	Lack of functioning national-level multi-modal transport laws and/or regulations in Cambodia, Malaysia, Brunei Darussalam and Lao PDR, leading to the need for additional technical assistance by ARISE Plus experts.
Lessons learnt	Senior level commitment within ASEAN Transport administrations is vital for the multi-modal legal framework to be fully in place within agreed timescales.
Overall result to-date	ARISE Plus technical support has assisted the good progress which AMS are now making towards full implementation of the AFAMT.

### Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)

Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)	
Main Beneficiaries engaged	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; ASEAN Council of Bureau (COB).
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Development requirements were specified for the internet platform for ASEAN-CBTP, to share information for CBTP initiatives, pilot and cross-border permits;</li> <li>The following documents were developed and further AMS comments are awaited: <ul style="list-style-type: none"> <li>Revised Implementing Guidelines for the ASEAN-CBT</li> <li>Action plan for the CBTP Pilot and</li> </ul> </li> </ul>

Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)	
	<ul style="list-style-type: none"> <li>○ Two CBTP procedural manuals, for the public and private sectors.</li> </ul> <p><b>Status:</b> Ongoing. To be continued under WP3</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• The CBTP internet platform has been developed, and will be further refined in the light of comments from AMS;</li> <li>• The ARISE Plus short-term expert has produced the following documents, attached to the interim expert report at <b>ANNEX 40</b> to this report, which are currently under consideration by AMS: <ul style="list-style-type: none"> <li>○ Revised draft Implementing Guidelines for CBTP;</li> <li>○ Draft Action plan outline for a CBTP Pilot;</li> <li>○ Outline draft CBTP procedural guides for the public and private sector to support implementation.</li> </ul> </li> </ul>
Problems encountered	Internal procedures for ratification by some AMS of the CBTP agreement has taken a relatively long time.
Lessons learnt	There is a need for more support for transparency among AMS on the domestic regulations on passenger transport services.
Overall result to-date	Progress has been made, albeit slow progress, on developing the necessary environment for the CBTP to be implemented in ASEAN.

### Sub-component 3.3 ACTS Implementation

#### Outcome

*The ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the ASEAN north-south and east-west transit transport corridors.*

#### Activity 3.3.1 Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework

Activity 3.3.1 Supporting Implementation of the ASEAN Customs Transit System (ACTS)	
Main Beneficiaries engaged	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport

Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS)
Tasks status (ongoing, completed)	Facilitation Working Group and ASEAN Secretariat.
	<ul style="list-style-type: none"> <li>• ARISE Plus has supported the ratification of the legal framework required for ACTS to commence live operations; this legal framework is now in place, with the ratification of the AFAFGIT and the relevant protocols 1,2,3,4,5 &amp; 7.</li> <li>• ARISE Plus has carried out extensive training and technical implementation support activities for Participating Member States (PMS); these are described in more detail under activity reports 3.3.2 and 3.3.3 below.</li> <li>• 19 Change Requests were approved for detailed analysis and implementation in 22<sup>nd</sup> SWG-ACTS Meeting in Singapore. 17 were subsequently approved by CMB to be included in ACTS 3.2.0 software release. 2 were scheduled for ACTS 3.3.0 software release. 7 further System Change Requests (CR) have been approved for detailed analysis by the 23<sup>rd</sup> SWG-ACTS Meeting in Kuala Lumpur and after detailed analysis is completed and upon approval by ACTS Change Management Board (CMB) will be included in ACTS 3.3.0 software release; more details are set out under activity 3.3.5.</li> <li>• Multi-agency ACTS border visits have been carried out in Cambodia, Lao PDR, Myanmar and Vietnam, to assess the state of readiness of the countries involved to implement ACTS (Cambodia, Lao PDR, Thailand and Vietnam); the report of these visits is at <b>ANNEX 41</b> to this report.</li> <li>• ACTS Pilot phase A was successfully executed, consisting of 21 virtual business scenarios (i.e. transit consignments) being run through the national ACTS systems of Participating Member States under the guidance of the ACTS Central Management Team (CMT) and day to day support by ARISE Plus ACTS IT Implementation Team.</li> <li>• Phase B of the ACTS Pilot, the parallel run, experienced only limited success, with Thailand successfully running an ACTS transaction at national level; it appears that because of fears of the effect of the coronavirus national Customs Authorities were experiencing difficulties in registering Principal Traders for this phase, so an executive decision was taken to move directly to Phase C (Live Run) of the ACTS Pilot to make participation in ACTS Pilot more attractive to the private sector.</li> <li>• Phase C of the ACTS Pilot started on 23 March and all stakeholders are busy with making final preparations to be able to operate live under ACTS.</li> </ul>



Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS)
	<ul style="list-style-type: none"> <li>• ACTS Launch Event has been postponed to a later date due to coronavirus.</li> </ul> <p><b>Status:</b> Ongoing and to be continued under WP3</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• ACTS has been successfully deployed and linked between 6 Participating Member States (PMS), Cambodia, Lao PDR, Malaysia, Singapore, Thailand and Vietnam and the ACTS pilot has been executed, and is continuing under live pilot conditions.</li> <li>• Procedural training has been carried out for Customs, Government Transport agencies and the private sector in Lao PDR, Malaysia and Singapore, the relevant reports are at items 3.3.2 and 3.3.3 below.</li> <li>• ACTS application user training has been carried out for Customs officers and principals, the relevant reports are at items 3.3.2 and 3.3.3 below.</li> <li>• ACTS ICT department customs officers were trained in day to day ICT task required for the system to run smoothly, the relevant reports are at items 3.3.2 and 3.3.3 below.</li> <li>• Multi-agency border visits have been carried out by ARISE Plus to analyse the state of readiness in countries along the east-west corridor of ASEAN, and to propose further support activities;</li> <li>• ARISE Plus has provided other ad hoc technical training support and system implementation support in all Participating Member States.</li> <li>• ARISE Plus has finalised proposals for the planned ACTS launch event; the document is at <b>ANNEX 42</b> to this report; this event has been postponed to a later date due to the virus.</li> <li>• Updated ACTS publicity videos and brochures are being arranged by an external company with the technical support of ARISE Plus.</li> <li>• Expert final reports of the ACTS IT Team are shown at <b>ANNEX 43 - 50</b> of this report, along with the related outputs.</li> </ul>
Problems encountered	<p>PMS need to make a series of pre-arrangements for ACTS to function correctly. A number of these are beyond the direct control of national ACTS Project Management Teams based in Customs Authorities of PMS. For example, the national competent transport authority must issue ASEAN Cross Border Goods vehicle permits, and banks must issue guarantees to Principal traders for the duties and taxes at risk during transit operations. This led to slow participation rates in the ACTS Pilot Phase C, which is further hampered by the advent of the coronavirus. ARISE Plus continues to monitor the situation closely and providing ad</p>



<b>Activity 3.3.1</b>	<b>Supporting Implementation of the ASEAN Customs Transit System (ACTS)</b>
	hoc support wherever needed in order to facilitate speedy resolution of all pending matters.
<b>Lessons learnt</b>	Senior level commitment within ASEAN Customs and Transport administrations is vital for the national preparations to be fully in place for ACTS implementation within agreed timescales; a critical factor is the need for coordination between Customs Authorities and Transport Agencies, and between these Government agencies and the private sector transport and banking community, ideally utilising the National Transit Transport Coordinating Committee (NTTCC).
<b>Overall result to-date</b>	Given that the legal framework of AFAFGIT is in place, prospects are good for the success of ACTS Pilot and subsequent full Live operations.

### Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)

<b>Activity 3.3.2</b>	<b>Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• ACTS Implementation Plan was maintained and followed throughout the execution of various activities with delays caused mainly by coronavirus.</li> <li>• ARISE Plus has carried out ACTS technical and procedural trainings in Malaysia and Singapore for the following groups: <ul style="list-style-type: none"> <li>○ Customs personnel responsible for managing the ACTS procedural environment;</li> <li>○ Customs ICT department personnel responsible for managing the ACTS system at national level;</li> <li>○ Ministry of Transport staff; and</li> <li>○ Private sector freight forwarders, transporters and the banking community.</li> </ul> </li> <li>• Multi-agency border visits were carried out to Thai ACTS border posts assess the state of readiness of Thai Customs Authorities and</li> </ul>



<b>Activity 3.3.2</b>	<b>Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)</b>
	<p>other concerned agencies to implement the ACTS pilot, and to identify any further support needed from ARISE Plus.</p> <p><b>Status:</b> ongoing and to be continued under WP3</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• An agreed ACTS implementation Plan maintained for the roll-out of ACTS to MST;</li> <li>• Training delivered providing enhanced capacity to: <ul style="list-style-type: none"> <li>- Customs Authorities in ACTS procedures as more Customs offices are added to ACTS;</li> <li>- Transport Authorities;</li> <li>- Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS; and</li> <li>- IT support staff as scope of ACTS IT operations increases; and</li> </ul> </li> <li>• Updated ACTS reference data has been collected in the ACTS Customs Office List and the ASEAN Harmonised Tariff Nomenclature (AHTN) 2017.</li> <li>• ACTS rolled out in pilot mode successfully and currently preparations for Phase C Live Run is underway in the ASEAN north-south corridor;</li> <li>• End-to-end time release study was postponed until ACTS is run in full Live mode for at least 12 months.</li> </ul>
<b>Problems encountered</b>	<p>Delay by some AMS in ratification of relevant protocols of AFAFGIT. Some delay by National ACTS Project Teams in registering private sector Principals in ACTS. Delay in start of phase C of the ACTS Pilot due to some uncertainty in the legal environment in Malaysia for issuing ASEAN Goods Vehicle Cross Border (AGVCB) transport permits, and the effect of the virus on the possibilities to continue cross-border trade.</p>
<b>Lessons learnt</b>	<p>Full senior level commitment in Customs Departments and Government Transport Agencies, including full participation of National ACTS Project Teams, is vital if ACTS implementation is to be successfully sustained.</p>
<b>Overall result to-date</b>	<p>ACTS, an automated transit management system, offering significant opportunities for trade and transport facilitation, has been deployed and is being piloted in ASEAN.</p>





### Activity 3.3.3 Rolling Out of ACTS in Cambodia, Lao PDR, Myanmar and Vietnam (CLMV) countries

Activity 3.3.3	Rolling Out of ACTS in CLMV countries
Main Beneficiaries engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• ACTS Implementation Plan was maintained and followed throughout the execution of various activities with some inconsequential delays cause by late delivery of hardware in Lao PDR and late ratification of protocol 2 in Cambodia, and some other delays caused mainly by coronavirus and technical issues in Vietnam.</li> <li>• ARISE Plus has carried out ACTS technical and procedural trainings in Lao PDR for the following groups: <ul style="list-style-type: none"> <li>○ Customs personnel responsible for managing the ACTS procedural environment;</li> <li>○ Customs ICT department personnel responsible for managing the ACTS system at national level;</li> <li>○ Ministry of Transport staff; and</li> <li>○ Private sector freight forwarders, transporters and the banking community.</li> </ul> </li> <li>• ARISE Plus ran a successful multi-agency ACTS preparation workshop in Lao PDR; the report of this event is at <b>ANNEX 51</b> to this report;</li> <li>• ARISE Plus has resolved the technical connectivity problems encountered in ACTS electronic message exchanges Vietnam;</li> <li>• Multi-agency border visits were carried out to ACTS border posts in Cambodia, Lao PDR and Vietnam assess the state of readiness of the Customs Authorities and other concerned agencies to implement the ACTS pilot, and to identify any further support needed from ARISE Plus.</li> <li>• Phase C of the ACTS Pilot started on 23 March and all stakeholders are busy with making final preparations to be able to operate live under ACTS.</li> </ul> <p><b>Status:</b> ongoing to be continued under WP3</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• An agreed ACTS implementation Plan maintained for the roll-out of ACTS to CLV with some delays;</li> <li>• Training delivered providing enhanced capacity to:</li> </ul>

<b>Activity 3.3.3</b>	<b>Rolling Out of ACTS in CLMV countries</b>
	<ul style="list-style-type: none"> <li>- Customs Authorities in ACTS procedures as more Customs offices are added to ACTS;</li> <li>- Transport Authorities;</li> <li>- Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS; and</li> <li>- IT support staff as scope of ACTS IT operations increases; and</li> <li>• First ACTS installation was performed on the newly delivered hardware in Lao PDR</li> <li>• ARISE Plus delivered a multi-agency ACTS preparation workshop in Lao PDR;</li> <li>• Updated ACTS reference data has been collected in the ACTS Customs Office List and the ASEAN Harmonised Tariff Nomenclature (AHTN) 2017.</li> <li>• ACTS rolled out in pilot mode successfully and currently preparations for Live Run is underway in the ASEAN north-south corridor;</li> <li>• End-to-end time release study was postponed until ACTS is run in full Live mode for at least 12 months.</li> <li>• Expert final reports of the ACTS IT Team are shown at <b>ANNEX 43 - 50</b> of this report, along with the related outputs.</li> </ul>
<b>Problems encountered</b>	Delay in ratification of protocol 2 (frontier posts) of AFAFGIT. Delays in some participating countries in making the necessary coordination links between Customs, Government Transport agencies and the private sector. Some uncertainty in cross-border trade due to the virus.
<b>Lessons learnt</b>	Full commitment from senior level Customs and Transport officers, and full participation of national ACTS Project Teams, is vital if ACTS implementation is to be successfully sustained. Coordination by Customs Authorities, as the lead agency for ACTS, with other stakeholders in transport and private sector is vital for project success also.
<b>Overall result to-date</b>	ACTS has been deployed and capacity of the stakeholders increased sufficiently to enable use of ACTS operationally in Participating Member States.

**Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)**

<b>Activity 3.3.4</b>	<b>Developing the Capacity of the ACTS Central Management Team (CMT)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"><li>• The CMT has been responsible for managing the ACTS pilot, with day to day support from ARISE Plus;</li><li>• ARISE Plus has provided day to day additional technical expertise to the CMT, in order to improve their skills and knowledge.</li><li>• The ACTS applications are now under the management of the CMT with technical and managerial support as needed from ARISE Plus. This strategy will help to ensure future ACTS system resilience and sustainability by the transfer of knowledge, capacity and skills to ASEAN counterpart staff in the ACTS CMT.</li><li>• Additional ACTS environments are being setup and will be operational soon in Central Services to further facilitate CMT's day to day operations.</li></ul> <p><b>Status:</b> Ongoing and to be continued under WP3</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"><li>• The knowledge and skills of CMT members have been enhanced by technical support from ARISE Plus.</li><li>• The ACTS applications are now under the management of the CMT with as needed support from ARISE Plus.</li><li>• Setup of additional ACTS environments in Central Services is progressing well.</li></ul>
<b>Problems encountered</b>	Minor delays in setting up additional ACTS environments due to priorities in other activities.
<b>Lessons learnt</b>	Full participation of the CMT is vital if ACTS implementation is to be successfully sustained.
<b>Overall result to-date</b>	The expertise of the CMT on ACTS has been expanded to the extent that prospects are now good for the planned ACTS live run of the MST-CLMV Pilot planned to continue under AWP3.



### Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS

Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS	
Main Beneficiaries engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"><li>• Three additional ACTS IT subsystems with initial description of tentative scope were agreed upon by SWG-ACTS. There are transport (truck) movement monitoring (Transport Monitoring System, TMS), organisation and management of goods inspection activities and self-registration online of traders in ACTS. Detailed analysis meetings will be organised to deliberate detailed specifications of these system</li><li>• A set of other large enhancements were requested by AMS and agreed by SWG-ACTS for further detailed analysis, which will be performed during subsequent analysis meetings.</li><li>• 19 Change Requests were approved for detailed analysis and implementation in 22<sup>nd</sup> SWG-ACTS Meeting in Singapore. 17 were subsequently approved by CMB to be included in ACTS 3.2.0 software release. 2 were scheduled for ACTS 3.3.0 software release. 7 further System Change Requests (CR) have been approved for detailed analysis by the 23<sup>rd</sup> SWG-ACTS Meeting in Kuala Lumpur and after detailed analysis is completed and upon approval by ACTS Change Management Board (CMB) will be included in ACTS 3.3.0 software release; more details are set out under activity 3.3.5.</li><li>• ACTS 3.1.0 was installed, tested and deployed in live environment in October and December 2019 in all participating AMS and CS.</li><li>• ACTS 3.2.0 development and documentation updates and testing by ARISE Plus was completed and installation, testing and deployment in live environment in all participating AMS and CS is scheduled for May 2020.</li><li>• Work on ACTS 3.3.0 will start in May 2020.</li><li>• Helpdesk and corrective maintenance services to participating AMS were provided starting from 1 January 2020, to ensure business continuity of ACTS, and identified bugs were fixed and included in ACTS 3.2.0 software release.</li></ul> <p><b>Status:</b> Ongoing and to be continued under WP3</p>

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Outputs delivered	<ul style="list-style-type: none"><li>• ACTS change requests have been developed and included in ACTS 3.2.0 software release (deployment scheduled to start in May 2020) as agreed with AMS in the SWG-ACTS and CMB for the following enhancements:<ul style="list-style-type: none"><li>○ Registering Departure Control Results Discrepancies</li><li>○ Pre-Evaluation of Passage at the Customs Office of Transit</li><li>○ Automatic Home Page Refresh – Filter Functionality</li><li>○ Requesting the ATR</li><li>○ Viewing the Notification Crossing Frontier Messages</li><li>○ Viewing IE Messages in the NTA</li><li>○ Trader Repository Unique Identification Types</li><li>○ Saving a Declaration as a Draft in the NTA</li><li>○ Querying Authorisation Details</li><li>○ Copy ARN and GRN Feature</li><li>○ Automatic Printing of the TAD</li><li>○ Searching for a Transit Movement at the Customs Office of Destination Role</li><li>○ Querying AHTN Details</li><li>○ Registering a Trader that was terminated in the past</li><li>○ Printing Traders Current Situation</li><li>○ Add Respective Custom's Logo</li><li>○ Other miscellaneous enhancements</li></ul></li><li>• Additional following 9 Change Requests (CRs) have been approved for detailed analysis by SWG-ACTS and 2 of them by previous CMB meeting for implementation and upon approval of the other 7 for implementation in the next CMB Meeting, all 9 CRs will be implemented in ACTS 3.3.0 software release (deployment scheduled to start in October 2020):<ul style="list-style-type: none"><li>○ Highlighting Declaration Revision Differences</li><li>○ Enhancements in Discrepancy Process</li><li>○ Upload attachments for transit movement</li><li>○ Save and upload Drafts/Templates from a file</li><li>○ Improve the Usability of the Enquiry and Recovery Associated Screens</li><li>○ View Statistics in NTA</li><li>○ ACTS System Software Upgrade</li><li>○ Improve Home Page of NTA</li><li>○ ATT Information not displayed in NTA</li></ul></li></ul>

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
	<ul style="list-style-type: none"> <li>Additional ACTS IT subsystems have been agreed to by SWG-ACTS and will be analysed in detailed in a set of Analysis Meetings subsequently, as follows: <ul style="list-style-type: none"> <li>Impact the Personal Data Protection Acts (PDPA) issued by the AMS impacts the ACTS Application</li> <li>Inspection Management System (IMS)</li> <li>Transport Management System (TMS)</li> <li>Trader Self Registration for Trader Repository</li> <li>Crediting and Releasing the Guarantee amount in the event that a recovery claim has been made from the Guarantee</li> <li>Introducing a Dashboard(s) in the NTA</li> <li>Web Services and Data Upload mechanisms for Traders extracting data from existing systems that contain declaration data that can be imported to ACTS</li> </ul> </li> <li>All so far during pilot identified corrective issues (software bugs) were fixed and fixes included in ACTS 3.2.0 software release.</li> <li>Following proposals by the ASEAN transport sector, ARISE Plus has initiated consideration of an additional issue, namely the integration of the general transit philosophy and specific ACTS functionality into multi-modal transport in ASEAN, as described under activity 3.2.2 to this report.</li> </ul>
Problems encountered	Few problems were encountered in this activity, which progressed with good cooperation from ASEAN Member States and professional CR development by the ACTS IT Implementation Team.
Lessons learnt	Recruitment of suitable ICT staff from the region has been essential to ensure sustainability of ACTS operations and future system resilience.
Overall result to-date	ACTS functionality has now been enhanced with the previous CRs having been successfully applied to ACTS under version 3.1.0 and new ACTS 3.2.0 ready for installation with the agreement of AMS. With the additional changes recently agreed by AMS, ACTS will become even more user –friendly and effective.



## Component 4.0: ASEAN Economic Integration Monitoring and Statistics

Component 4.0	KE	S-NKE	J-NKE	Incidentals
Total	0	289	29	€ 69,136.78

### Sub-component 4.1 ASEAN Economic Integration Monitoring

#### Outcome

*Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.*

#### Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects
Main Beneficiaries Engaged	ARISE Plus TAT of regional project, EU Mission To ASEAN, ASEAN Integration Monitoring Division (AIMD)
Tasks status	<p><b>ARISE Plus regional project internal monitoring:</b></p> <ul style="list-style-type: none"> <li>Developed a results chain from project commencement in November 2017 to November 2019. This was used with the Team Leader to review progress and undertake planning for AWP3 <b>Status:</b> results chain at 2-year interval completed; will be updated on a six-monthly basis</li> <li>Undertook comprehensive internal 2-year Assessment report of ARISE+ from project commencement in November 2017 to November 2019 with detailed analysis of relevance, partnerships, efficiency and effectiveness including outputs and results <b>Status:</b> completed internal 2-year assessment; will be updated on a six-monthly basis</li> <li>Supported development of presentation for Project Steering Committee (PSC) meeting and Annual Work Plan 3 (AWP3) <b>Status:</b> inputs to presentation for Project Steering Committee (PSC) meeting and Annual Work Plan 3 (AWP3) provided; will be updated on an annual basis</li> </ul>





<b>Activity 4.1.1</b>	<b>Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects</b>
<b>Outputs delivered</b>	<p><b>ARISE Plus regional and national projects monitoring and coordination:</b></p> <ul style="list-style-type: none"> <li>Workshop with ARISE Plus regional and national projects alongside CPR/European Commission postponed due to Covid19</li> </ul> <p><b>ARISE Plus regional project internal monitoring:</b></p> <ul style="list-style-type: none"> <li>An Updated ARISE Plus regional project results chain for 2.5 years (refer to Section 2 Monitoring in this report)</li> <li>Lead M&amp;E SNKE interim report (<b>ANNEX 52</b>)</li> </ul>
<b>Problems encountered</b>	Limited progress was made in the monitoring and coordination of the ARISE Plus regional and national projects due to the postponement of the coordination meeting due to COVID 19
<b>Lessons learnt</b>	The robust internal monitoring, reporting and learning system has been applied at all levels of the project (events, activities and components) and provided relevant analysis including the internal mid-term and 2-year assessments which contributed to the external mid-term review of the project.
<b>Overall result to-date</b>	Enhanced management and decision making in the ARISE Plus regional project enabled through a robust internal monitoring, reporting and learning system

#### Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework

<b>Activity 4.1.2</b>	<b>Supporting Implementation of AEC 2025 M&amp;E Framework</b>
<b>Main Beneficiaries Engaged</b>	<ul style="list-style-type: none"> <li>ASEAN Economic Community Council</li> <li>High-Level Task Force on ASEAN Economic Integration (HLTF-EI)</li> <li>ASEAN Integration Monitoring Division (AIMD) ASEC</li> <li>Standards and Conformance Division (SCD) ASEC</li> </ul>
<b>Tasks status</b>	<p><b>Support for AIMD</b></p> <ul style="list-style-type: none"> <li>Developed Annotated Outline for the mid-term review (MTR) of the AEC Blueprint 2025, including the timeline, which been approved by the High-Level Task Force on ASEAN Economic Integration, the body overseeing the MTR process</li> </ul> <p><b>Status:</b> completed</p> <ul style="list-style-type: none"> <li>Developed first draft report of the regional and global strategic landscape and its impact on ASEAN regional economic integration, including major macroeconomic developments, policy and ideological shifts, and other critical developments and issues</li> </ul>

Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework
	<p>regionally and globally that could impact ASEAN's regional economic integration agenda. This will form Chapter 2 of the AEC Blueprint 2025 Mid Term Review Report</p> <p><b>Status:</b> ongoing</p> <ul style="list-style-type: none"> <li>Developed format of the worksheet template with AIMD to support the compliance monitoring assessment component of the MTR of the AEC Blueprint 2025</li> </ul> <p><b>Status:</b> completed</p> <ul style="list-style-type: none"> <li>Extracted contents from the compliance monitoring database, including annual priorities and priority economic deliverables of ASEAN Chairs and develop the worksheets for each element in the AEC Blueprint 2025 to support the compliance monitoring assessment component of the MTR of the AEC Blueprint 2025. To date, the worksheets for Trade in Goods, Trade Facilitation, Customs Cooperation, Standards, Financial Integration, Services and Movement of Natural and Business Persons, Competition Policy, Consumer Protection, Intellectual Property, and Taxation have been developed, and work on the worksheets for the remaining AEC Blueprint elements has commenced.</li> </ul> <p><b>Status:</b> ongoing</p> <p><b>Support for SCD</b></p> <ul style="list-style-type: none"> <li>The detailed M&amp;E system and templates developed are being used by the ACCSQ, relevant working groups and product working groups to monitor and report on the KPIs of the ASEAN Strategic Plan on Standards and Conformance (2016-2025)</li> </ul> <p><b>Status:</b> ongoing</p>
Outputs delivered	<p><b>Support for AIMD</b></p> <ul style="list-style-type: none"> <li>Annotated Outline for the mid-term review (MTR) of the AEC Blueprint 2025</li> <li>Partial first draft report of the regional and global strategic landscape and its impact on ASEAN regional economic integration. This will form Chapter 2 of the AEC Blueprint 2025 Mid Term Review Report</li> <li>Worksheet template to support the compliance monitoring assessment component of the MTR of the AEC Blueprint 2025</li> <li>Worksheets for Trade in Goods, Trade Facilitation, Customs Cooperation, Standards, Financial Integration, Services and Movement of Natural and Business Persons, Competition Policy, Consumer Protection, Intellectual Property, and Taxation to support the compliance monitoring assessment component of the MTR of the AEC Blueprint 2025</li> </ul>

Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework
	<ul style="list-style-type: none"> <li>• SNKE interim report (<b>ANNEX 53</b>)</li> <li>• JNKE1 interim report (<b>ANNEX 54</b>)</li> </ul>
Problems encountered	N.A.
Lessons learnt	The efforts in supporting the development of the Annotated Outline for the mid-term review (MTR) of the AEC Blueprint 2025 have clarified and set out the direction of the MTR of the AEC Blueprint 2025 including its detailed timeline.

Overall result to-date	<ul style="list-style-type: none"> <li>• A clear definition and plan for the implementation of the AEC Blueprint MTR established through the Annotated Outline of the MTR, which was approved by the High-Level Task Force on ASEAN Economic Integration at their 37<sup>th</sup> Meeting on 12-13 February 2020.</li> <li>• AEC 2025 M&amp;E framework operationalised for the ACCSQ ASEAN Strategic Plan on Standards and Conformance (2016-2025) through the development of an M&amp;E Mechanism which was supported by ARISE+</li> </ul>
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#### Activity 4.1.3 Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025

Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025
Main Beneficiaries Engaged	<ul style="list-style-type: none"> <li>• ASEAN Integration Monitoring Division (AIMD) ASEC</li> </ul>
Tasks status	<ul style="list-style-type: none"> <li>• Supported the development of an enhanced AEC Monitoring website. Additional refinements and articles will be developed during AWP3. <b>Status:</b> Ongoing</li> <li>• Supported the public launch of the ASEAN Integration Report (AIR) 2019 <b>Status:</b> Completed</li> <li>• Developed draft templates and style guidelines to support the enhancement of the ASEAN Policy Brief being spearheaded by AIMD (new demand driven activity in response to COVID19) <b>Status:</b> Ongoing</li> <li>• Developed outline of AIMD communications plan. Following meetings with AIMD, the scope of this has been extended to a</li> </ul>

Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025
	<p>comprehensive AIMD Communication and Publications Strategy for 2020 to 2025 covering all possible options.</p> <p><b>Status:</b> Ongoing</p> <ul style="list-style-type: none"> <li>Developed AEC e-newsletter concept, presented to AIMD and obtained preliminary feedback on next steps</li> </ul> <p><b>Status:</b> Ongoing</p> <ul style="list-style-type: none"> <li>Developed ASEAN Economic Integration Brief concept, presented to AIMD</li> </ul> <p><b>Status:</b> Ongoing</p>
Outputs delivered	<ul style="list-style-type: none"> <li>Enhanced AEC Monitoring website</li> <li>Public launch of the ASEAN Integration Report (AIR) 2019</li> <li>Draft templates and guidelines for ASEAN Policy Brief</li> <li>Outline of AIMD communications plan</li> <li>AEC e-newsletter concept</li> <li>SNKE interim report (<b>ANNEX 55</b>)</li> <li>JNKE1 interim report (<b>ANNEX 56</b>)</li> </ul>
Problems encountered	<p>The dissemination of the enhanced AEC Monitoring website may be held alongside a broader AEC dissemination event once the AEC Monitoring has been fully launched and the COVID-19 situation improves.</p>
Lessons learnt	<p>The close working relationship and working dynamics between ARISE plus and AIMD enabled ARISE Plus to rapidly react to additional demand driven needs of AIMD, such as the ASEAN Policy Brief, which was launched by AIMD in response to the COVID-19 pandemic.</p>
Overall result to-date	<p>AIMD capacity and mechanisms to create awareness and outreach strengthened through an enhanced AEC Monitoring website. AIMD will strengthen its publications, communication and outreach in a strategic manner with further ARISE+ support during AWP3.</p>

## Sub-Component 4.2 ASEAN Statistics Capacity Building

### Outcome

*Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all AMS (and particularly but not limited to CLMV countries).*

This section focuses on the activities implemented under the Work Plan 2 (WP 2) for the period of 1 November 2019 – 30 April 2020 under the component 4.2 – ASEAN Statistics Capacity

Building. Over the period, twelve Terms of References (TORs) have been drafted, eight assignments have been fully implemented, two are ongoing with a completion expected in May 2020, one was cancelled, and one was postponed.

### Statistical Coordinator

During the reporting period, the Statistics Coordinator focused on the implementation of activities planned under WP2, including the definition of ToRs, the technical briefing and coaching of the NKEs to ensure an efficient implementation of their assignment, as well as the facilitation of the implementation of the activities through regular contact with the ASEANstats, and National Statistical Offices (NSOs) of the ASEAN Member States (AMS) to assess their needs and adapt the NKE support to the situation. **ANNEX 57** shows the report of the SNKE on Coordination of Statistics Capacity Building Activities.

### Implementation of the WP2 by the SNKE

Details on the activities implemented, problems encountered, results achieved, and lessons learnt during WP2 are presented below.

#### Activity 4.2.1 Enhancing AEC Monitoring Databases

Activity 4.2.1	Enhancing AEC Monitoring Databases
Main Beneficiaries Engaged	ASEAN Integration Monitoring Directorate (AIMD), Statistics Division (ASEANStats), ASEC desk officers, and indirectly AEC sectoral bodies
Tasks status	<b>Demand driven activity</b> There was no demand from the beneficiaries during the reporting period. Activities have been designed for AWP3, focussing on reinvigorating, possible refining and addressing any technical glitches, and increasing capacity and utilisation of the databases amongst ASEC staff.
Outputs delivered	N.A.
Problems encountered	N.A.
Lessons learnt	N.A.
Overall result to-date	N.A.

## Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025

<b>Sub-Activity 4.2.2.1</b>	<b>Supporting the ACSS in Implementation of SNA 2008</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on System of National Accounts (WGSNA)
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Intervention under SNA includes the following five tasks: <ul style="list-style-type: none"> <li>Development of Operational Guidelines for the Implementation of ACSS Roadmap on SNA 2008;</li> <li>Regional workshop on SNA 2008;</li> <li>Regional training on basic SNA 2008;</li> <li>TA to Cambodia (reported under 4.2.3.1);</li> <li>TA to Philippines (reported under 4.2.3.1).</li> </ul> </li> </ul> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	<b>Final Report:</b> 'Development of ACSS Operational Guidelines for the Implementation of SNA 2008 - Phase 2; Regional Training on SNA and FDIS; and CLMV Sub-Regional Workshop on FDIS' ( <b>ANNEX 58</b> ).
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>The Quality Requirements Template should ideally be completed as a pilot in-country, interactively with NSO staff, for a couple of AMS. Current travel restrictions due to the Corona Virus make this impossible.</li> <li>The Quality Requirements Template completed for Philippines during national TA could not be shared due to confidentiality issues. The expert could not complete the template for Cambodia due to lack of information obtained during national TA.</li> <li>Although some areas for improvement are specified in the Operational Guidelines, specific priority actions could not be identified properly in the absence of completed Quality Requirements Template and gaps analysis.</li> </ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>AMS provided further inputs, and probably there always be new issues since SNA is a very broad area. Furthermore, taking into account the progress of SNA2008 implementation in each AMS, there is always a need to adjust the Operational Guideline. Therefore, the Operational Guidelines is considered to be a living document.</li> <li>Observations of the training sessions revealed the needs for capacity building for SNA data compiler in the form of more practical, intermediate and advance training on SNA 2008.</li> </ul>
<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>Operational Guidelines for the implementation of the ACSS Roadmap on SNA endorsed by the WGSNA.</li> </ul>

	<ul style="list-style-type: none"> <li>• Prototype of the Quality Requirements Template is available for immediate pilot implementation, to provide the basis for gaps analysis and focused interventions.</li> </ul>
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Sub-Activity 4.2.2.2	Supporting the ACSS in Furthering the Development of the IMTS
Main Beneficiaries engaged	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on International Merchandise Trade Statistics (WGIMTS)
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• Regional Workshop on IMTS Data Quality Assessment for members of the WGIMTS (18-20 June 2019).</li> <li>• Regional Training on Basic IMTS (27-30 August 2019).</li> <li>• CLMV Sub-Regional Workshop on Mirror Analysis (reported under 4.2.3.2).</li> <li>• Enhancing Production and Dissemination of IMTS Using Eurotrace in CLM (reported under 4.2.3.2)</li> </ul> <p><b>Status:</b> Completed</p>
Outputs delivered	<b>Final Report:</b> Strengthening IMTS Data Analysis and Quality Assessment, through Workshop on Mirror Analysis, Training, and TAs to Selected Member States, And Enhancing Production and Dissemination of IMTS Using Eurotrace in CLM countries ( <b>ANNEX 59</b> ).
Problems encountered	<ul style="list-style-type: none"> <li>• Insufficient information provided in Customs Procedure Codes (CPC) because of the NSO staff dependency on informal coordination with Customs at the technical working level.</li> <li>• Lack of country of consignment and re-export data in ASEANstats database prevents AMSs data comparison for mirror analysis and limits bilateral reconciliation studies among AMSs.</li> </ul>
Lessons learnt	<ul style="list-style-type: none"> <li>• Inviting Customs officials related to statistics at technical working level to IMTS Workshop improved the informal coordination</li> </ul>

Overall result to-date	<ul style="list-style-type: none"> <li>• Improved awareness of the importance of Non-Customs data sources and Customs Procedure Codes (CPC) in ensuring proper coverage, ensuring harmonisation of trade statistics, and avoiding double recording.</li> <li>• Strengthened knowledge about Foreign Trade Indices</li> </ul>
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<b>Sub-Activity 4.2.2.3</b>	<b>Supporting the ACSS in Furthering the Development of the SITS</b>
<b>Main Beneficiaries engaged</b>	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Statistics on International Trade in Services (WGSITS).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Regional Workshop on SITS, Ha Noi</li> <li>CLMV Training on SITS (reported under 4.2.3.3);</li> <li>TA to Viet Nam (reported under 4.2.3.3).</li> </ul> <b>Status:</b> Completed.
<b>Outputs delivered</b>	<b>Final Report:</b> Technical Assistance Activity: Technical Assistance to Viet Nam, CLMV training and Regional Workshop on Statistics of International Trade in Services, Hanoi, November 2019 ( <b>ANNEX 60</b> ).
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>Demand for detailed ITS breakdowns necessitates development of new sources and estimation method; Potentials of big data have been discussed, however it needs to be more explored.</li> <li>Digital economy is new, with the handbook (by IMF) was meant to be available only by end 2019. Once this eventually become available, it will be used as one of the references for AMS in developing relevant statistics.</li> <li>On manufacturing services, identification of good for processing flows depends much on informal cooperation with Customs at technical working level.</li> </ul>
<b>Lessons learnt</b>	National training and TA improved the level of understanding among data supplying institutions (Central Bank and NSO) hence the quality of reported data.
<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>Knowledge of the requirements and steps needed to better understand the digital economy/trade improved.</li> <li>Best practice in the compilation of travel services and manufacturing services acquired.</li> </ul>

<b>Sub-Activity 4.2.2.4</b>	<b>Supporting the ACSS in Furthering the Development of the FDIS</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on International Investment Statistics (WGIIS)
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Regional Workshop on FDIS</li> <li>CLMV Basic Training on FDIS (reported in 4.2.3.4)</li> </ul> <b>Status:</b> Completed

Sub-Activity 4.2.2.4	Supporting the ACSS in Furthering the Development of the FDIS
Outputs delivered	Integrated in the NKE Final Report on the 'Development of ACSS Operational Guidelines for the Implementation of SNA 2008 - Phase 2; Regional Training on SNA and FDIS; and CLMV Sub-Regional Workshop on FDIS' ( <b>ANNEX 58</b> ).
Problems encountered	Many AMSs still heavily rely on International Transactions Reporting Systems, which have many well-documented weaknesses.
Lessons learnt	<ul style="list-style-type: none"> <li>• FDIS compilers need an understanding of the broader macroeconomic statistics framework</li> </ul>

Overall result to-date	<ul style="list-style-type: none"> <li>• FDIS compilers gained an understanding of the context of their data as one important component of the Balance of Payments.</li> <li>• A draft of prototype Strategy for the Collection and Compilation of FDI Data in AMS pertaining to some identified issues.</li> </ul>
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Sub-Activity 4.2.2.5	Supporting the ACSS in Furthering the Development of SDGIs
Main Beneficiaries engaged	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Sustainable Development Goals Indicators (WGSDGIs)
Tasks status (ongoing, completed)	WP2 covers no Sub-Activity 4.2.2.5 for implementation during the SMR5 period; they are however, covered under Sub-Activity 4.2.3.5.
Outputs delivered	Covered under Sub-Activity 4.2.3.5.
Problems encountered	Covered under Sub-Activity 4.2.3.5.
Lessons learnt	Covered under Sub-Activity 4.2.3.5

Overall result to-date	Covered under Sub-Activity 4.2.3.5
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Sub-Activity 4.2.2.6	Supporting the ACSS in Furthering the Information and Technology Development (ITD)
Main Beneficiaries engaged	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and

Sub-Activity 4.2.2.6	Supporting the ACSS in Furthering the Information and Technology Development (ITD)
Tasks status (ongoing, completed)	<p>Communication (WGDSA).</p> <ul style="list-style-type: none"> <li>• Support Regional Training Workshop on ASEAN Data Visualization, under the Framework of ASEAN-Help-ASEAN. <b>For implementation under WP3.</b></li> <li>• Support Training on the use of Infographics and video-graphics in preparation of the conduct of a major statistical event. <b>Completed in April 2020.</b></li> <li>• Undertake the Development of ASEANstats Database Mobile Application and its Regular Maintenance. <b>No longer demanded.</b></li> <li>• Undertake the development of a Concept Note and Plan for ASEAN Statistics Communication, in line with the Celebration of the Tenth Anniversary of ACSS. <b>Completed in April 2020</b></li> <li>• Training for ASEANstats staff on Eurotrace latest version and SQL in support of the sustainability of Eurotrace-based IMTS production in CLM and at ASEANstats. <b>For implementation under WP3</b></li> <li>• Regional Training on Economic Statistics on Statistical Data and Metadata Exchange (SDMX). It is unplanned under WP2. Arise Plus however agreed to jointly support the event in collaboration with United Nations Statistical Division (UNSD) and the Asian Development Bank (ADB), by providing funding for the participation of 4 AMSs and ASEANstats. <b>Completed in February 2019.</b></li> <li>• Seasonal Adjustment Training ToR was approved for implementation under WP2 (scheduled for April 2020). Due to the travel restrictions due to the Corona Virus, the training is <b>rescheduled to Q4 2020.</b></li> </ul> <p><b>Status:</b> Completed.</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• <b>Final Report:</b> Technical Assistance Activity on Strengthening video-graphics Skills for Enhanced Data Visibility (<b>ANNEX 61</b>)</li> <li>• <b>Final Report:</b> Concept note and plan for ASEAN Statistics communication, in line with the celebration of the tenth anniversary of ACSS – Phase 1 (<b>ANNEX 62</b>)</li> <li>• <b>Final Report:</b> Regional Training Workshop on Economic Statistics SDMX, 24-28 February 2020, Manila, Philippine (<b>ANNEX 63</b>)</li> </ul>
Problems encountered	<ul style="list-style-type: none"> <li>• Due to travel restrictions, discussion on ACSS Communication Concept Notes and draft HLAf took place through email, hence were not optimal, despite the availability of video presentation. Finalisation of the Concept Notes and HLAf had to be delayed until WP3.</li> <li>• Seasonal Adjustment Training had to be postponed until Q4 2020 because of the travel restrictions in line with the Corona virus.</li> </ul>

<b>Sub-Activity 4.2.2.6</b>	<b>Supporting the ACSS in Furthering the Information and Technology Development (ITD)</b>
	<ul style="list-style-type: none"> <li>The sometimes less than optimal internet connection in ASEC premises slowed down a bit the performance of a couple of programme applications used in the Video-graphics training.</li> </ul>
<b>Lessons learnt</b>	Well prepared drafts proved to help fruitful discussion despite online.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>ACSS 10 Communication plan 2020 conceptualised</li> <li>Video graphics basic skills acquired by ASEANstats and all AMSs</li> <li>Draft detailed plan for the High Level Advocacy Forum for the ACSS 10th Anniversary Celebration developed</li> <li>Indicative Activity profile for inputs to the Detailed ACSS Communication Plan 2020-2025</li> <li>Basic knowledge for mapping IMTS data against the Statistical Data and Metadata Exchanges (SDMX) format acquired, and awareness raised about SDMX potentials for data harmonisation</li> </ul>
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<b>Sub-Activity 4.2.2.7</b>	<b>Supporting the ACSS in Furthering the Development of Other Fields of Statistics (OFS)</b>
<b>(Main Beneficiaries engaged)</b>	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), and all working groups under the ACSS
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>TA to ASEANstats on the Strategic Plan 2021-2025, Annual Work Plan 2021 and Indicative Annual Work Plan 2022.</li> <li>Work on the deliverables and progress report, in Manila</li> <li>Discussion with the SCPC to finalise the draft Strategic Plan 2021-2025, , Annual Work Plan 2021 and Indicative Annual Work Plan 2022.</li> </ul> <p><b>status:</b> Completed.</p>
<b>Outputs delivered</b>	<b>Final Report:</b> Coordination of Statistics Capacity Building Activities - Drafts of ACSS Strategic Plan 2021-2025 and Annual Work Plan 2021 and Indicative Annual Work Plan 2022. <b>(ANNEX 64)</b>
<b>Problems encountered</b>	Due to the travel restrictions in line with the Corona Virus, presentation to the SCPC was not possible; the drafts were discussed through emails, hence discussion and inputs were not optimal.
<b>Lessons learnt</b>	Acceptable MTR recommendations have helped expedite the drafting of ACSS Strategic Plan 2021-2025, Annual Work Plan 2021 and Indicative Annual Work Plan 2022.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• MTR recommendations adopted and used in the Final Draft ACSS Strategic Plan 2021-2025.</li> <li>• Final Draft ACSS Strategic Plan 2021-2025, along with the Draft Annual Work Plan 2021 and Indicative Annual Work Plan 2022 are available for SCPC endorsement.</li> </ul>
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#### Activity 4.2.3 Strengthening AMSS' Statistical Capacities (Particularly in but not Limited to CLMV)

<b>Sub-Activity 4.2.3.1</b>	<b>Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV), in Implementation of SNA 2008</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of Cambodia; Philippines Statistical Authority, and CLMV Countries in general
<b>Tasks status (ongoing, completed)</b>	<p>The followings are integrated in the SNA overall intervention, described under Activity 4.2.2.1:</p> <ul style="list-style-type: none"> <li>• TA to Cambodia on SNA and Supply and Use Tables (SUTs)</li> <li>• TA to Philippines on SNA re-basing and hands-on training on selected key elements of SNA.</li> </ul> <p><b>Status:</b> Completed in November 2019</p>
<b>Outputs delivered</b>	<b>ANNEX 58</b>
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• Philippines earlier requested for a TA on re-basing, but later asked for a hands-on training, in addition.</li> <li>• Cambodia is implementing a project on SNA under SIDA and UNESCAP; therefore the TA was refocused to providing training to help with application of SNA concepts in some areas.</li> </ul>
<b>Lessons learnt</b>	Sufficient time needs to be ensured to define topics for focused TA. Availability of the Quality Requirements Template would help define the priority topics.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• Broader knowledge gained in the Philippines beyond the re-basing.</li> <li>• Some practical knowledge gained in Cambodia which could complement their bigger intervention under other donors.</li> </ul>
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<b>Sub-Activity 4.2.3.2</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of IMTS</b>
<b>Main Beneficiaries engaged</b>	National Institute of Statistics (NIS) Cambodia; Lao Bureau of Statistics (LBS), and Central Statistical Office (CSO) Myanmar, as well as the General Statistics Office (GSO) of Viet Nam, and ASEANstats.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>CLMV and Thailand Sub-Regional Workshop on Mirror Analysis, for improving the quality of IMTS data (3-6 September 2019).</li> <li>Enhancing Production and Dissemination of IMTS Using Eurotrace; implemented by two experts: one on IMTS, and one on Eurotrace. It covers the following three sub-tasks: <ul style="list-style-type: none"> <li>TA to Myanmar on IMTS key concepts, 9-12 Sep 2019</li> <li>TA to Lao PDR on IMTS key concepts, 16-19 Sep 2019</li> <li>TA to Cambodia on IMTS key concepts, 23-26 Sep 2019</li> </ul> </li> </ul> <p><b>Status:</b> Completed in November 2019</p>
<b>Outputs delivered</b>	<b>ANNEX 59</b>
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>Lack of institutional memory on IMTS 2010 concepts</li> <li>Insufficient knowledge of the relevant IMTS concepts and measurement to address the expanded requirements, from the Line Ministry</li> <li>Lack of knowledge transfer from previous focal points, whom have since been moved, to their successors.</li> </ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>The relevance of Sub-regional approach as in the case of CLMV mirror analysis, with good coaching and working closely with the countries, which has helped countries to scrutinize the problems causing discrepancies and to improve coordination.</li> <li>The needs for Eurotrace step by step operational guidelines, the absence of which has contributed to the problems in CLM</li> </ul>
<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>Improved IMTS database and quality in CLM, in particular for 2018 and 2019 data.</li> <li>Strengthened knowledge about IMTS basic concepts and definitions.</li> <li>Strengthened knowledge about Foreign Trade Indices</li> <li>Suitable approach for raising greater confidence in operating the Eurotrace for IMTS data credibility check, production, and dissemination, in CLM.</li> <li>Suitable approach for greater involvement of and improved coordination with key stakeholders, such as Customs.</li> </ul>





<b>Sub-Activity 4.2.3.3</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of SITS</b>
<b>Main Beneficiaries engaged</b>	National Institute of Statistics (NIS) Cambodia; Lao Bureau of Statistics (LBS), and Central Statistical Office (CSO) Myanmar, as well as the General Statistics Office (GSO) of Viet Nam, and ASEANstats
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>CLMV Training on SITS (reported under 4.2.3.3);</li> <li>TA to Viet Nam (reported under 4.2.3.3).</li> </ul> <b>Status:</b> Completed.
<b>Outputs delivered</b>	<b>Final Report:</b> Technical Assistance Activity: Technical Assistance to Viet Nam, CLMV training and Regional Workshop on Statistics of International Trade in Services, Hanoi, November 2019 ( <b>ANNEX 60</b> )
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>Generally CLMV is under-resourced. Demand for detailed ITS breakdowns necessitates development of new sources and estimation method; while potentials of big data have yet to be explored.</li> <li>On manufacturing services, identification of good for processing flows depends much on informal cooperation with Customs, at technical working level.</li> </ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>For CLMV, training on ITS concepts, sources and methods should be seen as a priority.</li> <li>Data exist that may allow GSO to compile an “experimental” breakdown of exports and imports of services for each of the 12 product groupings.</li> </ul>

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>Improved knowledge among the CLMV of the concepts and methodology for better compilation of services by partner countries.</li> <li>Improved skills and knowledge among Viet Nam General Statistics Office (GSO) and the partner institutions in survey methodology and compilation of travel imports.</li> </ul>
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<b>Sub-Activity 4.2.3.4</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of FDIS</b>
<b>Main Beneficiaries engaged</b>	National Institute of Statistics (NIS) Cambodia; Lao Bureau of Statistics (LBS), and Central Statistical Office (CSO) Myanmar, as well as the General Statistics Office (GSO) of Viet Nam, and ASEANstats.
<b>Tasks status (ongoing, completed)</b>	CLMV Basic Training on FDIS <b>Status:</b> Completed.



<b>Sub-Activity 4.2.3.4</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of FDIS</b>
<b>Outputs delivered</b>	<b>Final Report</b> on the 'Development of ACSS Operational Guidelines for the Implementation of SNA 2008 - Phase 2; Regional Training on SNA and FDIS; and CLMV Sub-Regional Workshop on FDIS' ( <b>ANNEX 58</b> )
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>CLMV are still heavily dependent on International Transactions Reporting Systems, which have many well-documented weaknesses.</li> </ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>FDIS compilers need an understanding of the broader macroeconomic statistics framework</li> </ul>

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>CLMV new/junior staff on FDIS basic knowledge strengthened</li> </ul>
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<b>Activity 4.2.3.5</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of SDGIs</b>
<b>Main Beneficiaries engaged</b>	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on SDGIs
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li><b>Undertake TA</b> on the Assessment of the Quality of the SDGIs Indicators and Preparation of the Baseline Report of ASEAN SDG Indicators.</li> <li><b>Organise and support</b> a regional workshop on the evaluation and analysis of ASEAN SDGIs submitted by AMS.</li> </ul> <p><b>Status:</b> Ongoing.</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>The NKE Interim Report on "Strengthening Collection and Dissemination of ASEAN Sustainable Development Goals Indicators (SDGIs)-Phase 1." (<b>ANNEX 65</b>)</li> </ul>
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>Due to the travel restriction related to the Corona virus global impact, RWS1 is cancelled; discussion (planned to be online) and inputs will therefore be very limited.</li> </ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>Clear and specific objectives and good cooperation from AMSs helped in meeting the objectives despite the travel restriction.</li> </ul>

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>Selected SDG indicators of reasonable quality identified, for further discussion with the WGSDIs in May 2020.</li> <li>Draft methodology for data aggregation is available for online discussion with the WGSDGs in May 2020</li> </ul>
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- Draft outline of the Baseline Report of ASEAN SDG Indicators 2020 is available for online discussion in May 2020

<b>Sub-Activity 4.2.3.6</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Information and Technology Development (ITD)</b>
<b>Main Beneficiaries engaged</b>	National Institute of Statistics (NIS) Cambodia; Lao Bureau of Statistics (LBS), and Central Statistical Office (CSO) Myanmar, and ASEANstats
<b>Tasks status (ongoing, completed)</b>	<p>This task is part of the intervention on Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace. It was implemented by two different experts: one on IMTS, and one on Eurotrace. The following three sub-tasks, have been completed in September 2019:</p> <ul style="list-style-type: none"> <li>• TA to Cambodia on Eurotrace hands-on-training.</li> <li>• TA to Lao PDR on Eurotrace hands-on-training.</li> <li>• TA to Myanmar on Eurotrace hands-on training.</li> </ul> <p><b>Status:</b> Completed in November 2019</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• NKE report on Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace in Cambodia, Lao PDR, and Myanmar, as presented as <b>(ANNEX 66)</b></li> </ul>
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• The absence/minimum documentation on how IMTS issues were addressed in the past intervention</li> <li>• Knowledge on IMTS concepts which are key to improvement of the data, is also missing.</li> <li>• Documentation and step-by-step procedure is not available.</li> <li>• Cambodia IMTS staff, under supervision of the Eurotrace expert during the TA, had been able to produce good quality of data, submitted to ASEANstats. However, in the absence of the expert, Cambodia is having difficulty producing a new set of data for submission to ASEANstats.</li> <li>• The current version of Eurotrace (Access-based) is very limited in capacity and does not sufficiently support effective data quality check.</li> </ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>• The relevance of Sub-regional approach as in the case of CLMV mirror analysis, with good coaching and working closely with the countries, which has helped countries to scrutinize the problems causing discrepancies and to improve coordination.</li> <li>• The needs for Eurotrace step by step operational guidelines, the absence of which has contributed to the problems in CLM</li> </ul>

Overall result to-date	<ul style="list-style-type: none"> <li>Improved IMTS database and quality in CLM, in particular for 2018 and 2019 data.</li> <li>Strengthened knowledge about IMTS basic concepts,</li> <li>Suitable approach for raising greater confidence in operating the Eurotrace for IMTS data credibility check, production, and dissemination, in CLM.</li> <li>Suitable approach for greater involvement of and improved coordination with key stakeholders, such as Customs.</li> </ul>
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Activity 4.2.3.7	<b>Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of OFS</b>
Main Beneficiaries engaged	ASEAN Member States (AMSS), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li><b>Organise and support</b> National Workshop on ACSS User-Producer Engagement, for Appropriate Advocacy and Cascading Practices, in Viet Nam. <b>Not implemented because it can be and has been integrated in the ACSS Concept Note and HLAF, i.e. Sub-Activity 4.2.2.6.</b></li> <li><b>Define a strategy and criteria</b> to decide whether a national technical assistance mission is relevant considering the regional needs and priorities and the mission of ASEANstat that is to provide timely and reliable data; and whether the mission can be technically and financially supported in the context of the ARISE Plus project. <b>Not implemented due to its low priority.</b></li> </ul> <p><b>Status:</b> Not implemented.</p>
Outputs delivered	N.A.
Problems encountered	N.A.
Lessons learnt	N.A.

Overall result to-date	N.A.
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#### Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains

Activity 4.2.4	<b>Expanding ACSS Capacity in New Statistical Domains</b>
Main Beneficiaries engaged	National Statistical Office of AMSS, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)

Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains	
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Follow-up support for the Big Data concept and experiments.</li> <li>Development of the tools and baseline of the ACSS Open Data Assessment.</li> </ul> <p><b>Status:</b> Cancelled the plan/ToR</p>
Outputs delivered	N.A.
Problems encountered	<ul style="list-style-type: none"> <li>ToR 108 ‘Follow up on the Open Data self-assessment tool’ was cancelled in July 2019 due to change in approach and strategy, which no longer necessitate involvement of international expert in the further development and immediate implementation of the tool, since the SCPC and WGDSA have proceeded with implementation of these initiatives using the lead country approach.</li> <li>ToR 130 on ‘Development of a Concept Note on ACSS Big Data’ was cancelled in early March 2020, due to difficulties in finding expert conflicting schedules due to the Corona Virus.</li> </ul>
Lessons learnt	<ul style="list-style-type: none"> <li>Any changes in the strategy/priority should be confirmed well in advance of the ToR approval.</li> </ul>
Overall result to-date	N.A.

#### Activity 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics

Activity 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics	
Main Beneficiaries engaged	ASEAN Integration Monitoring Directorate (AIMD), ASEAN Community ASEAN Member States (AMSs), ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Identify in the ASEAN–European political forum or policy dialogue priority themes where M&amp;E and statistics have a role to play</li> <li>Define an approach to increase the visibility of the AIMD work in the field of monitoring and statistics. It may include organisation of a high-level seminar focusing on M&amp;E/Statistics and related to priority themes of the ASEAN-EU policy dialogue.</li> </ul> <p><b>Status:</b> not implemented</p>
Outputs delivered	N.A.



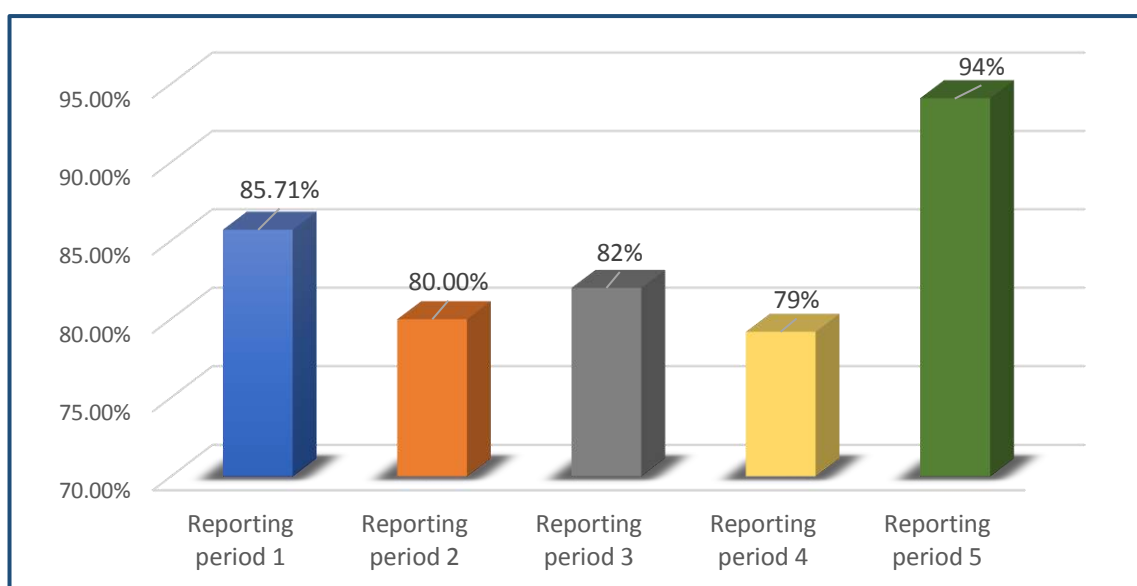
<b>Activity 4.2.5</b>	<b>Supporting ASEAN-EU Policy Dialogue on M&amp;E and Statistics</b>
<b>Problems encountered</b>	ACSS and ASEC give low priority
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	N.A.

## SECTION 2 INTERNAL MONITORING ASSESSMENT

This section provides an internal monitoring assessment of the ARISE Plus programme since the commencement of the programme. The internal assessment focusses on criteria of relevance, partnerships, efficiency and effectiveness in line with the Monitoring Strategy of the programme. The analysis covers the programme for a period of 2.5 years, from its inception in 8<sup>th</sup> November 2017 until 30<sup>th</sup> April 2020. The monitoring analysis provides aggregate data and in the case of selected areas it provides a breakdown by reporting period.

### Relevance

ARISE PLUS has had a strong relevance to the needs and priorities of its stakeholders, namely the ASEAN Secretariat, the ASEAN Member States (AMS) and the European Union (EU). These can be visualised from figure 1. ARISE PLUS has received an average score of 83.16% from 3,180 workshop participants on areas related to relevance and a substantial increase in relevance during the current reporting period.



**Figure 1: relevance of ARISE PLUS**

The detailed relevance measures provided by ARISE PLUS are described in the Monitoring report which is annexed.

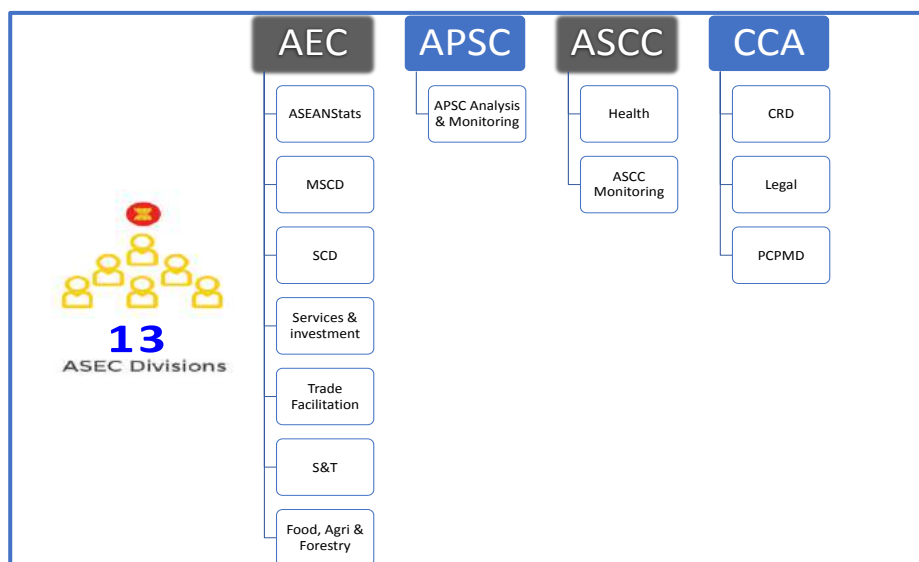
### Partnerships

The ARISE PLUS programme has engaged with 13 ASEC Divisions, 38 ASEAN Sectoral Bodies, working groups and ASEAN entities and 85 AMS entities as illustrated in figure 2.



**Figure 2: overview of ARISE PLUS partnerships**

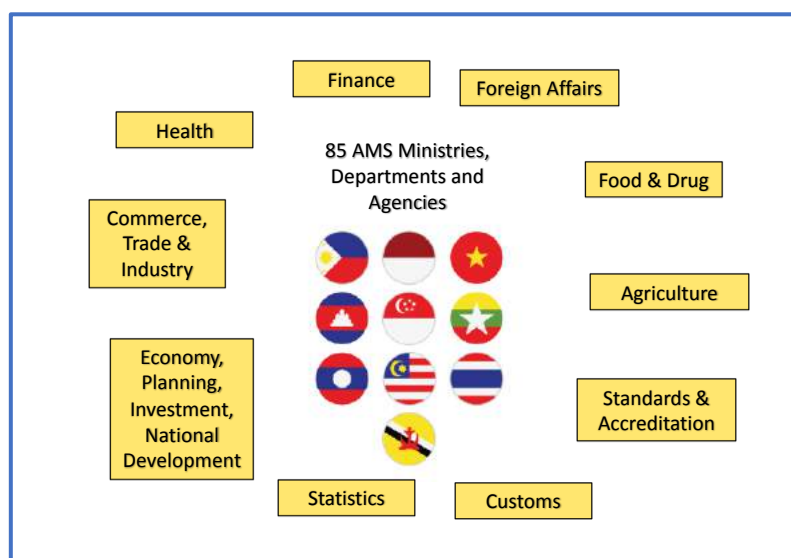
The partnerships within each of the major categories can be visualised in further detail through figures 4 and 5.



**Figure 3: ASEC Divisions engaged<sup>8</sup>**

<sup>8</sup> AEC=ASEAN Economic Community, MSCD = Monitoring Surveillance and Coordination Division, SCD = Standards and Conformance Division, S&T= Science & Technology Division, APSC = ASEAN Political Security Community, ASCC = ASEAN Socio Cultural Community, CCA = Community and Corporate Affairs, CRD = Community Relations Division, PCPMD = Programme Cooperation and Project Management Division





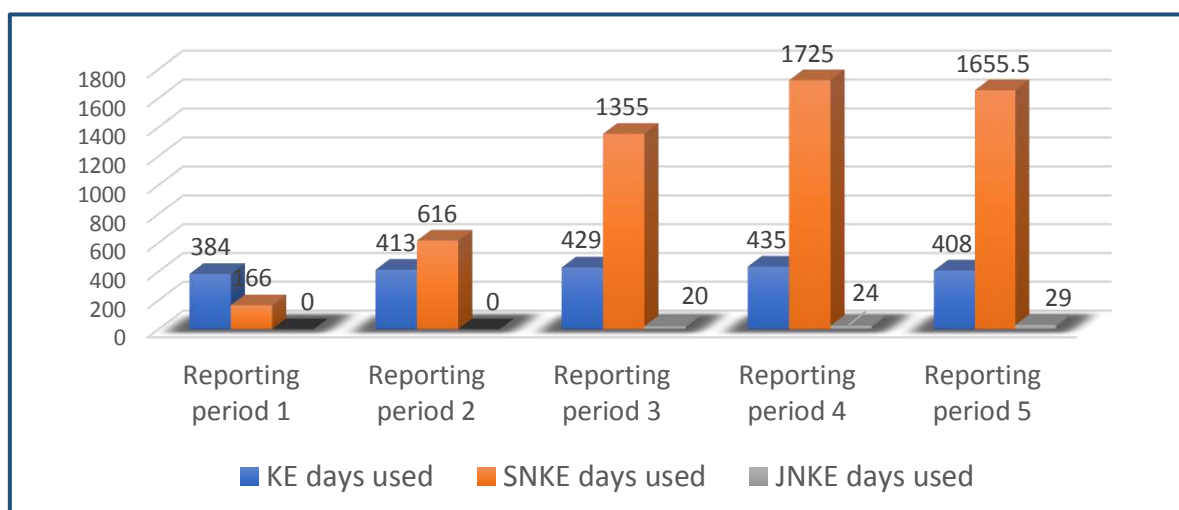
**Figure 4: overview of types of AMS Ministries and agencies engaged**

A detailed analysis of these partnerships is provided in the detailed Monitoring annex

## Efficiency

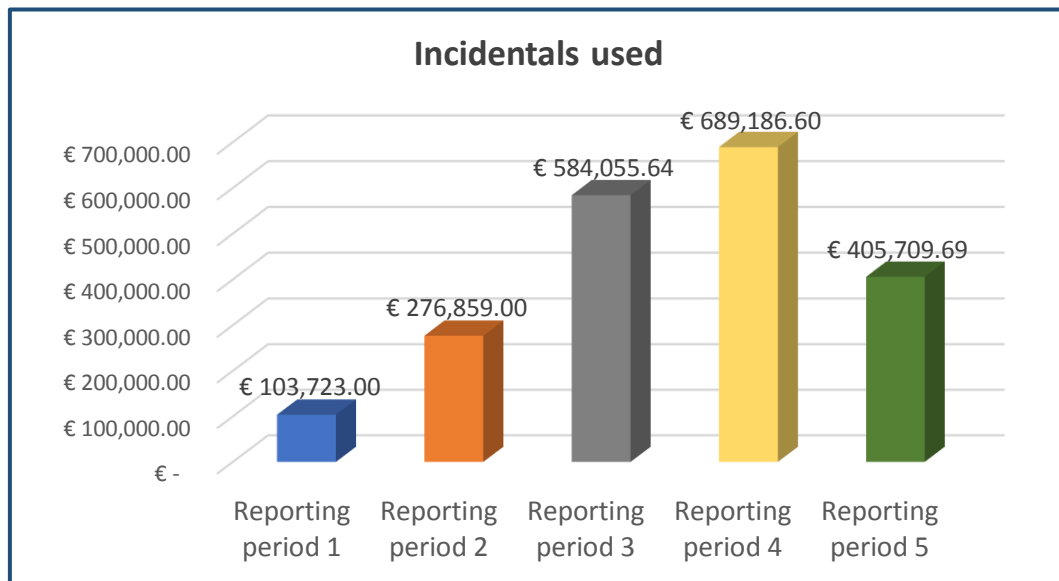
### Availability and utilisation of resources

A total of 2,069<sup>9</sup> key expert (KE) days, 5,517.5 senior non-key expert (SNKE) days and 73 junior non-key expert (JNKE) days have been used since programme commencement until end of April 2020. Over 114 non-key expert (NKE) assignments have been contracted and 2,059,533 Euros of incidentals utilised. This utilisation can be visualised from figures 5 and 6.



**Figure 5: expert days used (by reporting period)**

<sup>9</sup> According to figures as of May 2020 – these might be subject to change based on the approval process of the EUD

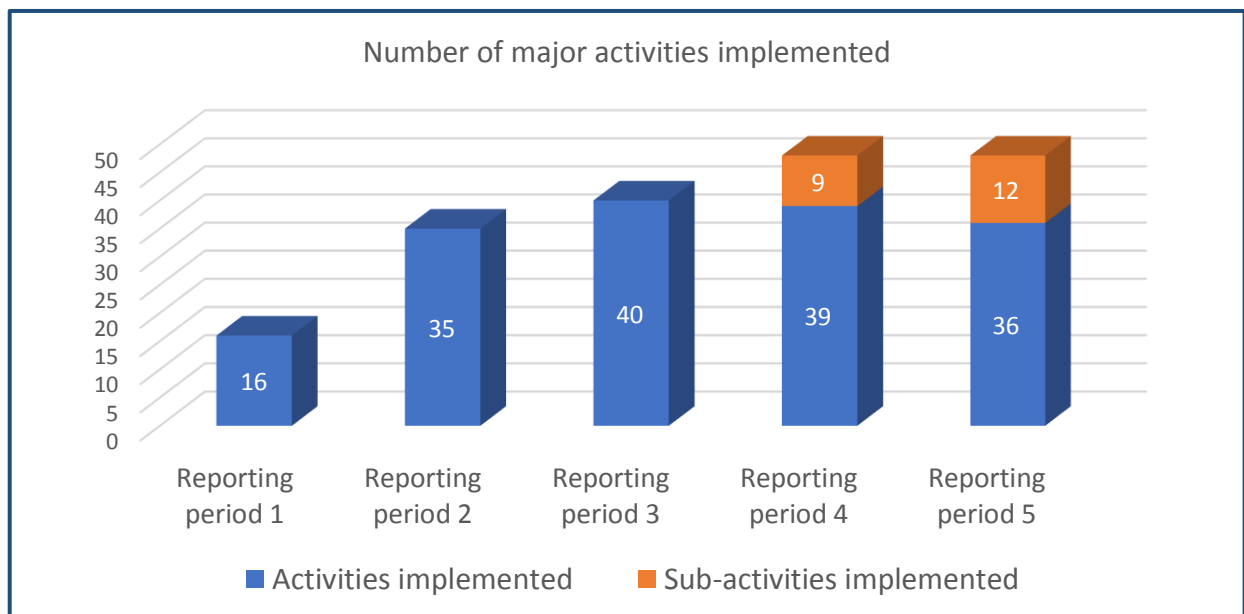


**Figure 6: incidentals used (by reporting period)**

The usage of expert days and incidentals has been increasing with each reporting period, indicating an increase in efficiency in the deployment and availability of resources. For reporting period 5, a number of workshops were cancelled in February, March and April due to the COVID19 pandemic. This meant that incidentals used were less than planned.

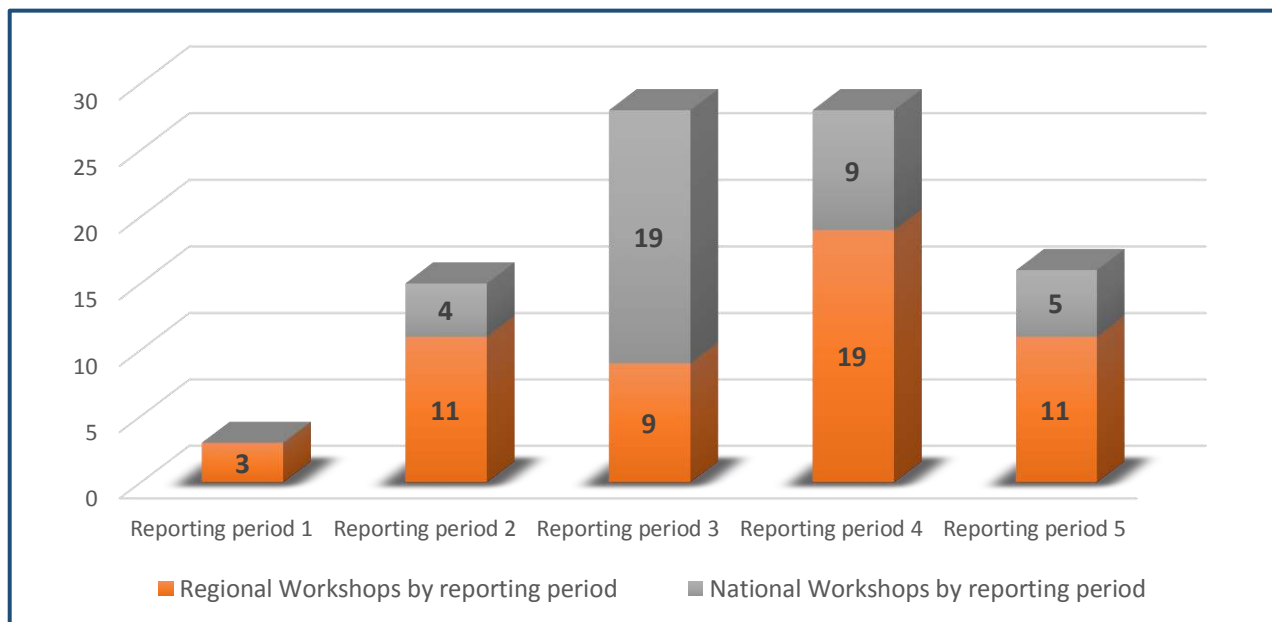
#### Activities Implemented

Resources used have resulted in the implementation of a total of 48 major activities during the reporting period (including 12 sub-activities for sub-component 4.2). The increasing number of activities implemented during each successive reporting period demonstrates the increasing efficiency of ARISE PLUS as visualised in figure 7.



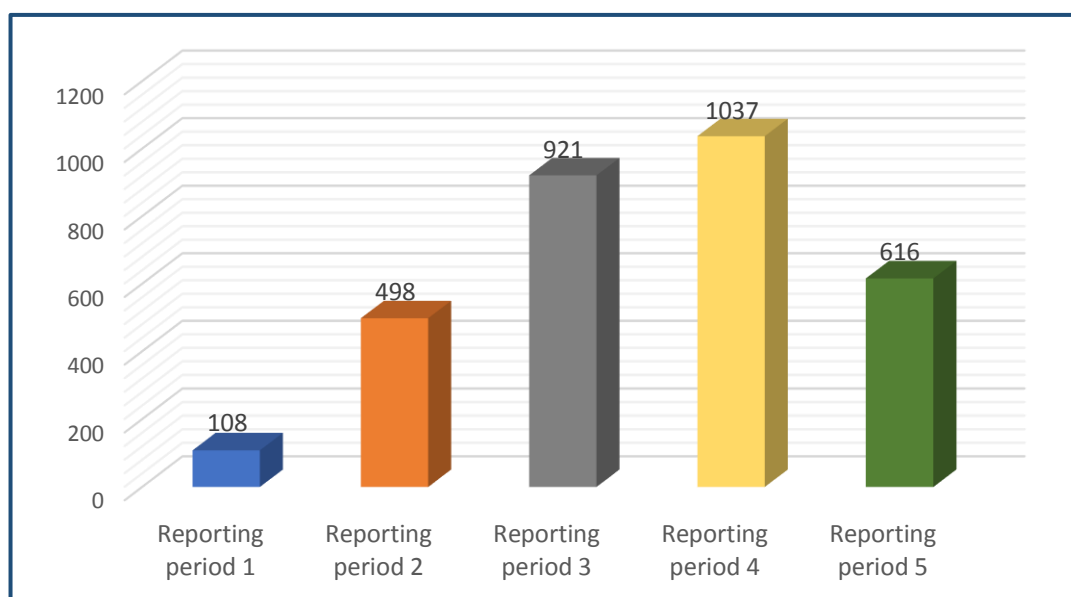
**Figure 7: activities implemented by reporting period**

3 workshops were implemented during reporting period 1, 15 during reporting period 2, 28 each during reporting periods 3 and 4. 16 workshops were implemented during reporting period 5, with a number of workshops cancelled in February, March and April due to the COVID19 pandemic. A total of 90 workshops have been implemented since commencement of the programme. These figures together with a breakdown of both national and regional workshops are provided in figure 8.



**Figure 8: number of regional and national workshops by reporting period**

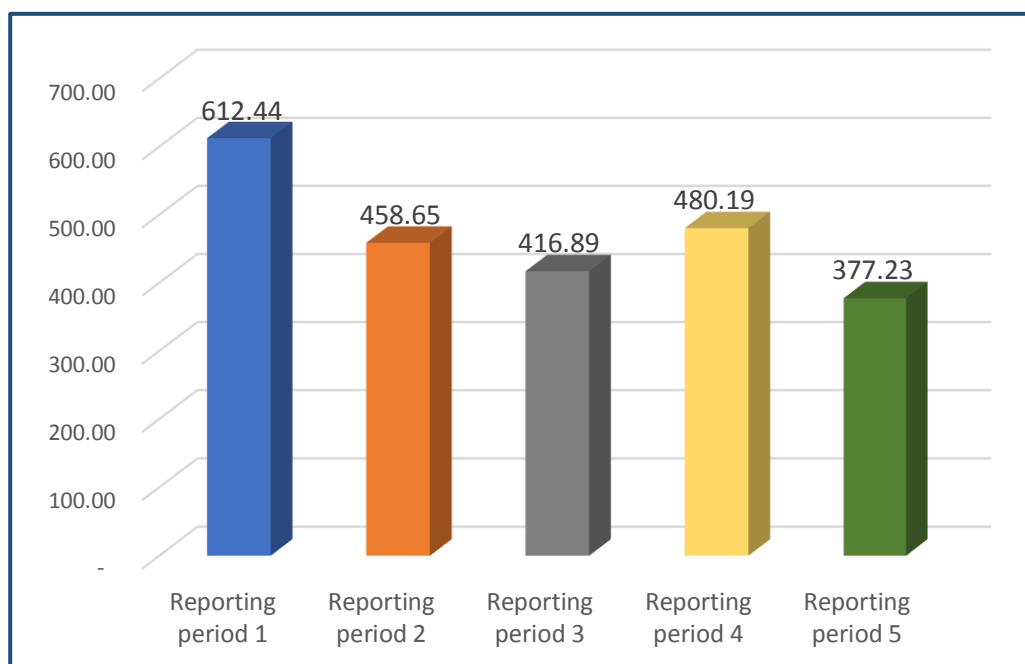
A total of 108 participants attended workshops during reporting period 1, 516 during reporting period 2, 921 during reporting period 3, 1037 participants during reporting period 4 and 616 participants during reporting period 5 as visualised in figure 9.



**Figure 9: workshop participants by reporting period**

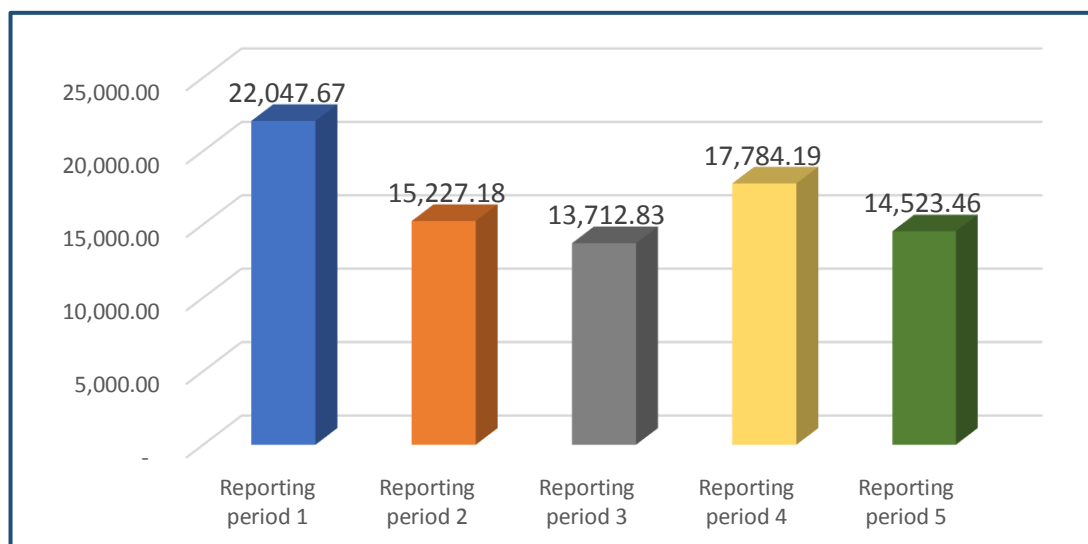
### Efficiency of workshops

Figure 10 provides the average cost (incidental budget including meeting package costs, per diem and flights) per workshop participant.



**Figure 10: average cost per workshop participant (in €)**

Figure 11 shows the average cost per workshop held (incidental budget including meeting package costs, per diem and flights). The increases seen in reporting period 4 have been addressed-by optimising resources through the selection of more cost-effective workshop venues.

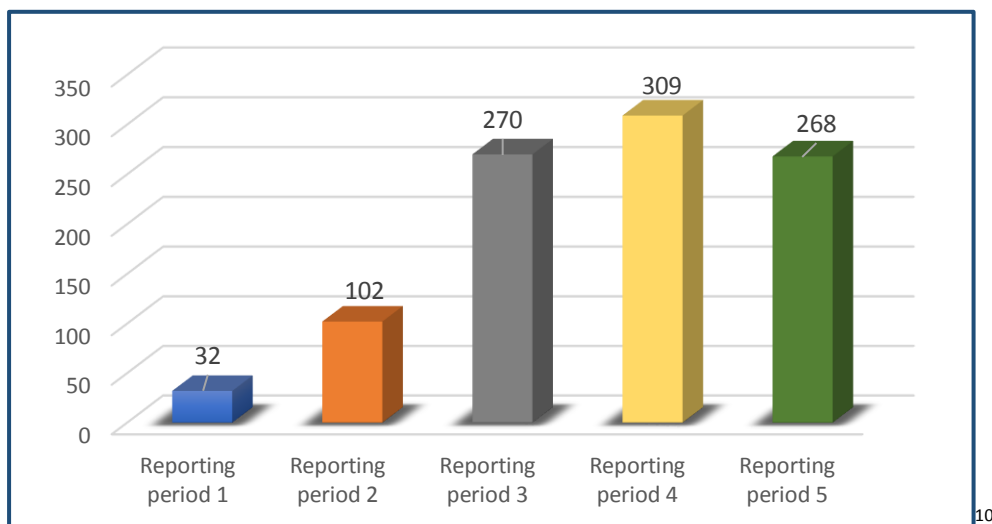


**Figure 11: average cost per workshop (in €)**

## Effectiveness

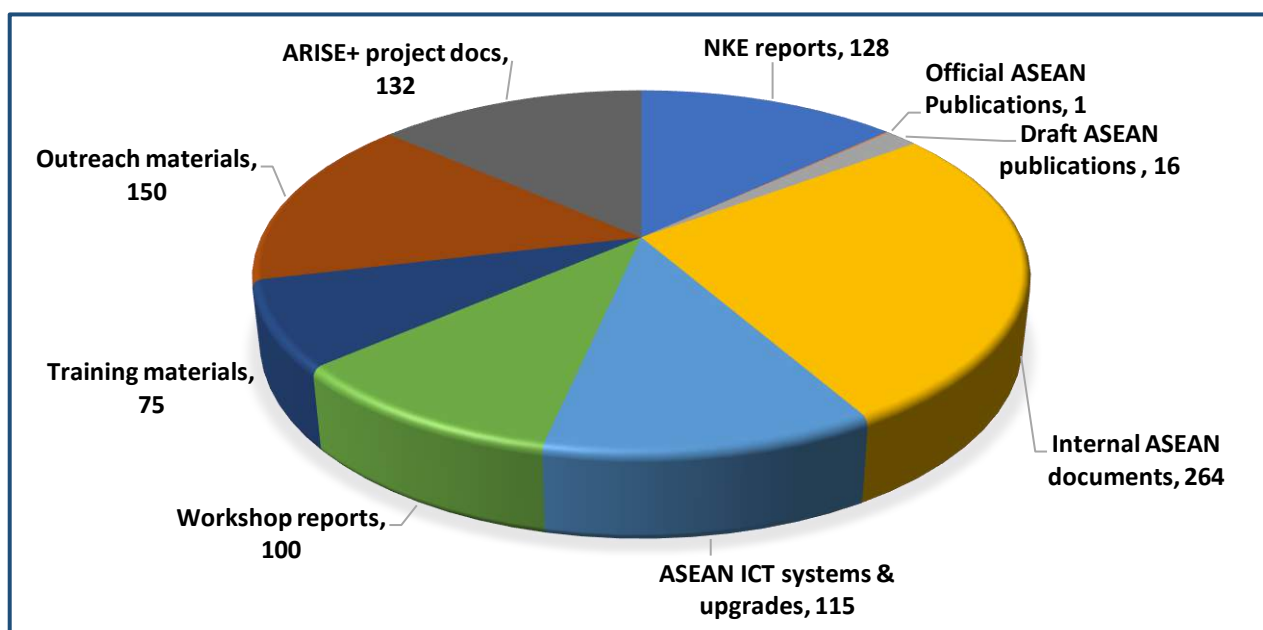
### Outputs

Figure 12 provides an overview of major outputs generated by reporting period. The M&E annex provides a detailed breakdown of outputs by component according to classification.



**Figure 12: major outputs by reporting period**

ARISE+ has overcome significant challenges to generate 981 major outputs since programme commencement. Figure 13 provides breakdown of these major outputs which include over 264 internal ASEAN documents, 115 ASEAN ICT systems and upgrades, 100 workshop reports and 75 training materials, according to the output classification system of the programme.

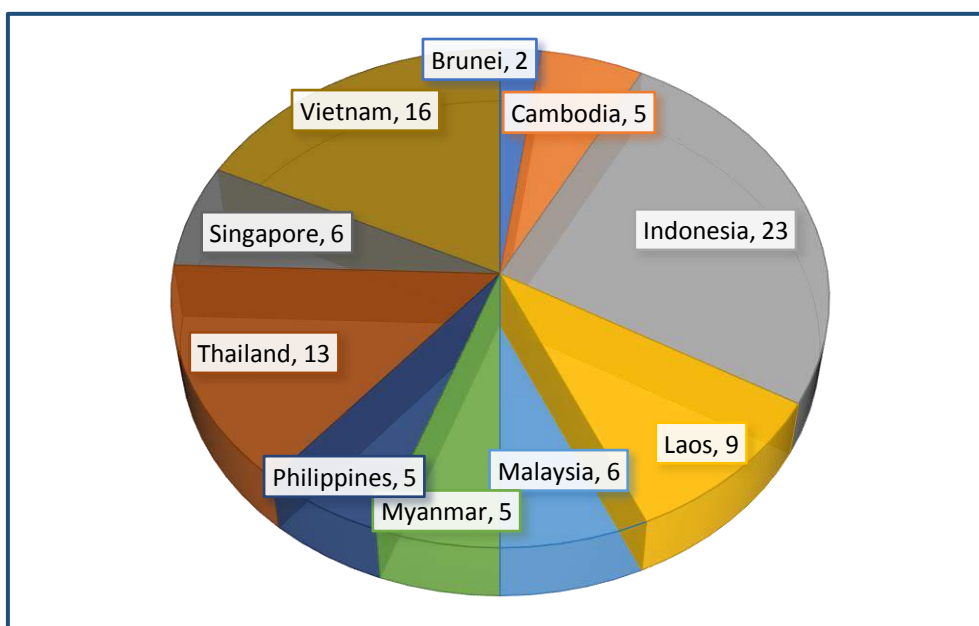


**Figure 13: breakdown of major outputs by type**

<sup>10</sup> The number of major outputs for reporting period 5 is currently estimated at 268 and will be updated by the end of May 2020 with a full review and count.

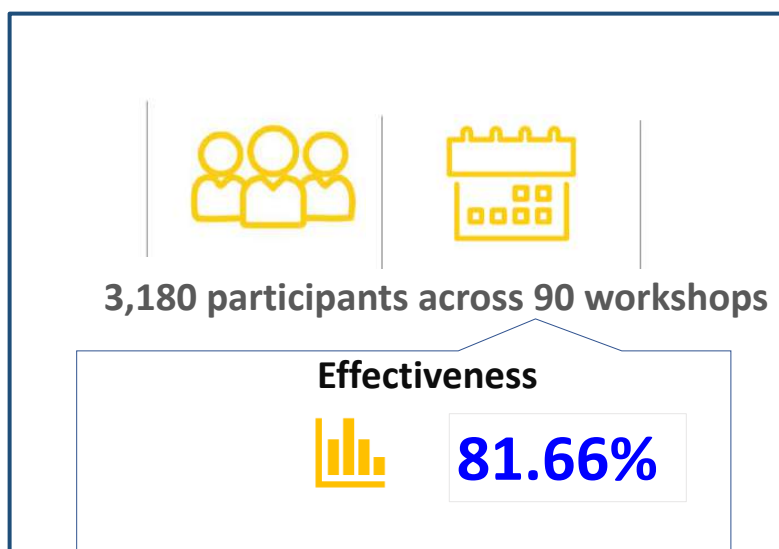
### Effectiveness of workshops

As illustrated in figure 14, ARISE PLUS workshops were hosted by all AMS demonstrating the strong ownership of the programme amongst AMS.

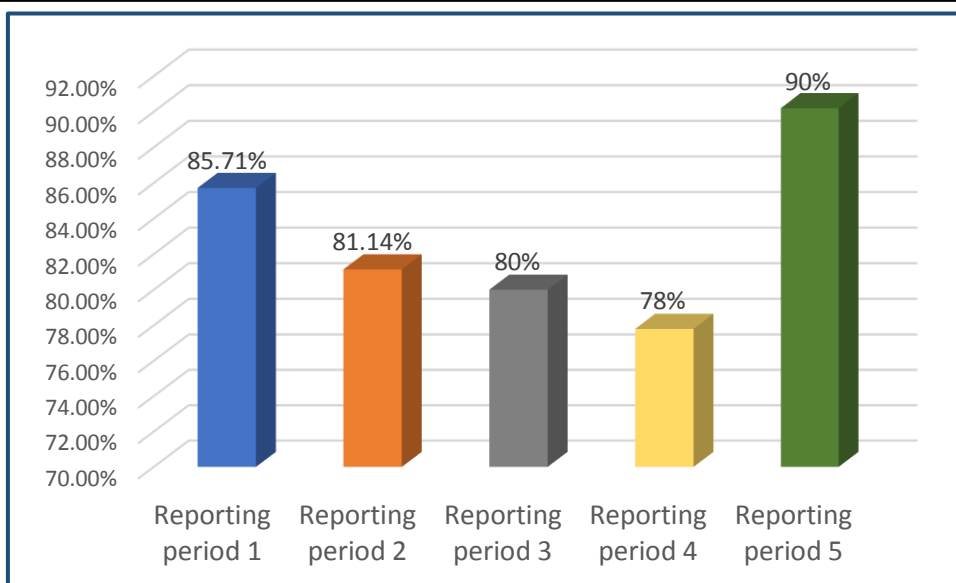


**Figure 14: number of ARISE PLUS workshops hosted by AMS (from inception)**

ARISE Plus workshops were highly rated in terms of effectiveness, with an average score of 81.66% since programme inception across 3,180 workshop participants.



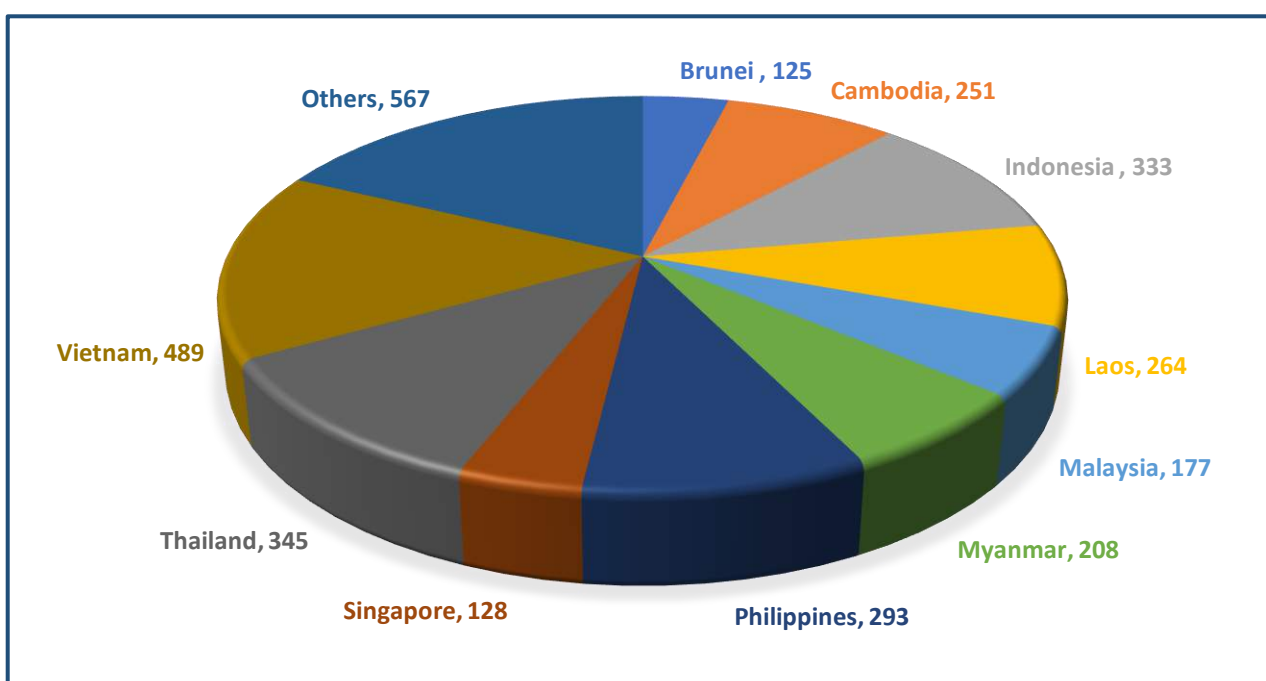
**Figure 15: average effectiveness of workshops rated by participants, cumulative**



**Figure 16: average effectiveness of workshops rated by participants according to reporting period**

Learning from previous workshop experiences and participant feedback, ARISE Plus made a concerted effort to increase the effectiveness of workshops during the current reporting period and this has resulted in average rating of 90% by participants in the area of effectiveness.

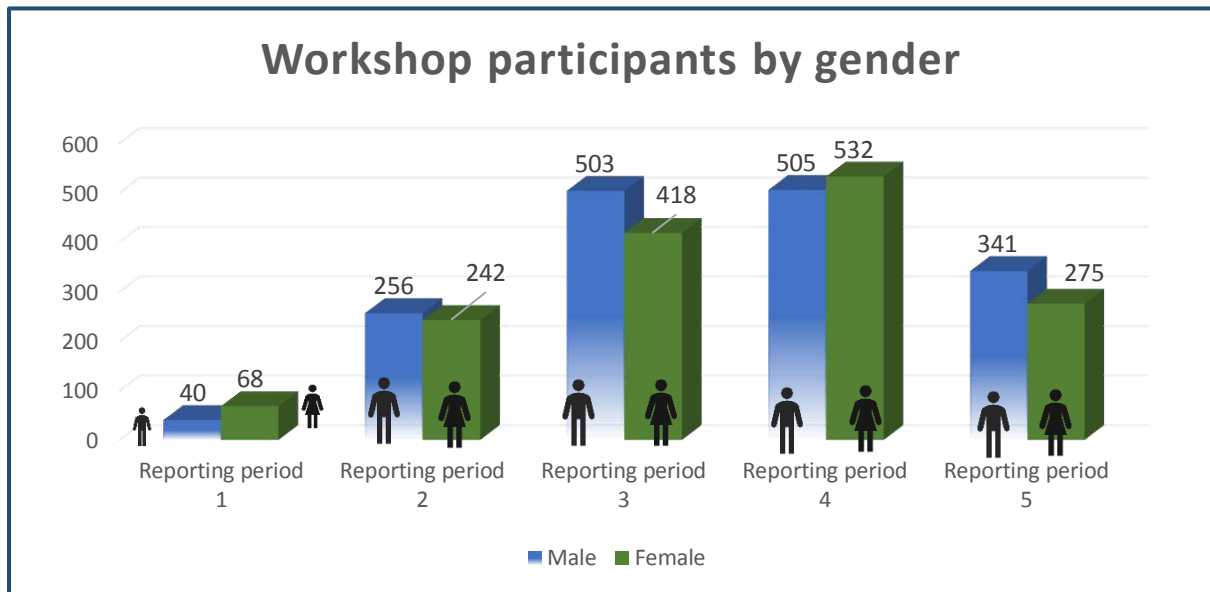
ARISE PLUS workshops have been characterised by an effective inclusive approach. All 10 ASEAN Member states are well represented across the participants. A total of 1,212 participants from the CLMV countries have attended the workshops. See figure 17 below for a percentage breakdown of workshop participants by nationality.



**Figure 17: breakdown of workshop participants by nationality**



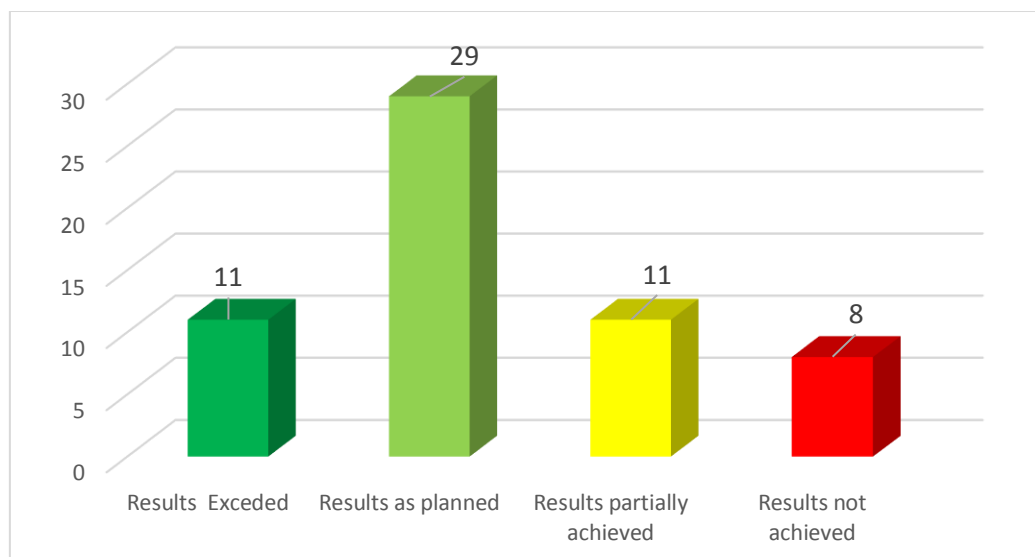
In terms of gender, ARISE PLUS workshops have had a strong gender balance during each of the reporting periods as visualised from figure 18. A total of 1645 male participants and 1535 female participants have attended ARISE PLUS workshops.



**Figure 18: breakdown of workshop participants by gender**

## Results

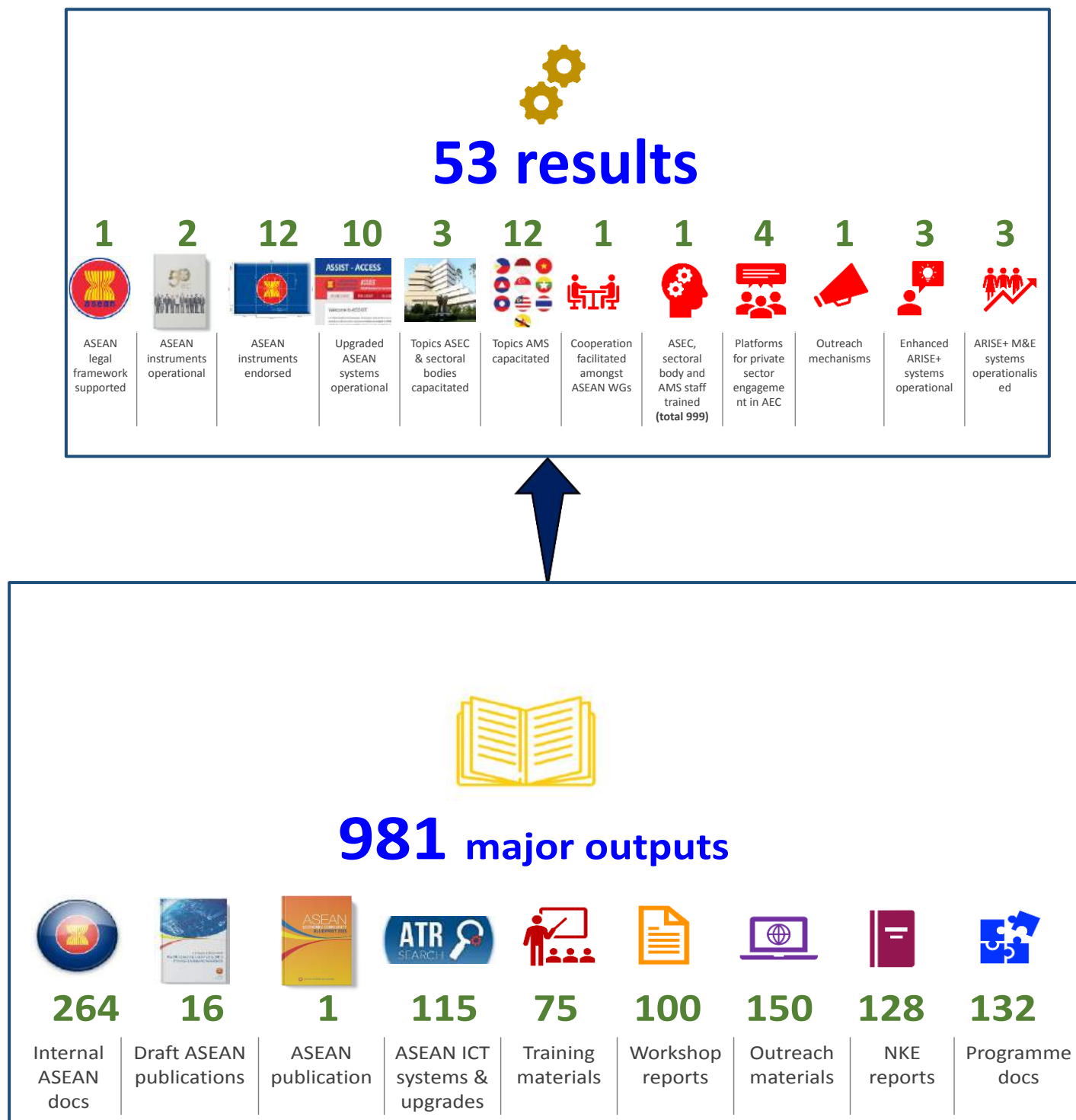
Figure 19 provides an overview of cumulative progress made at the activity and sub-activity level for the Work Plan 2 implementation period. This represents the results planned compared with results achieved.

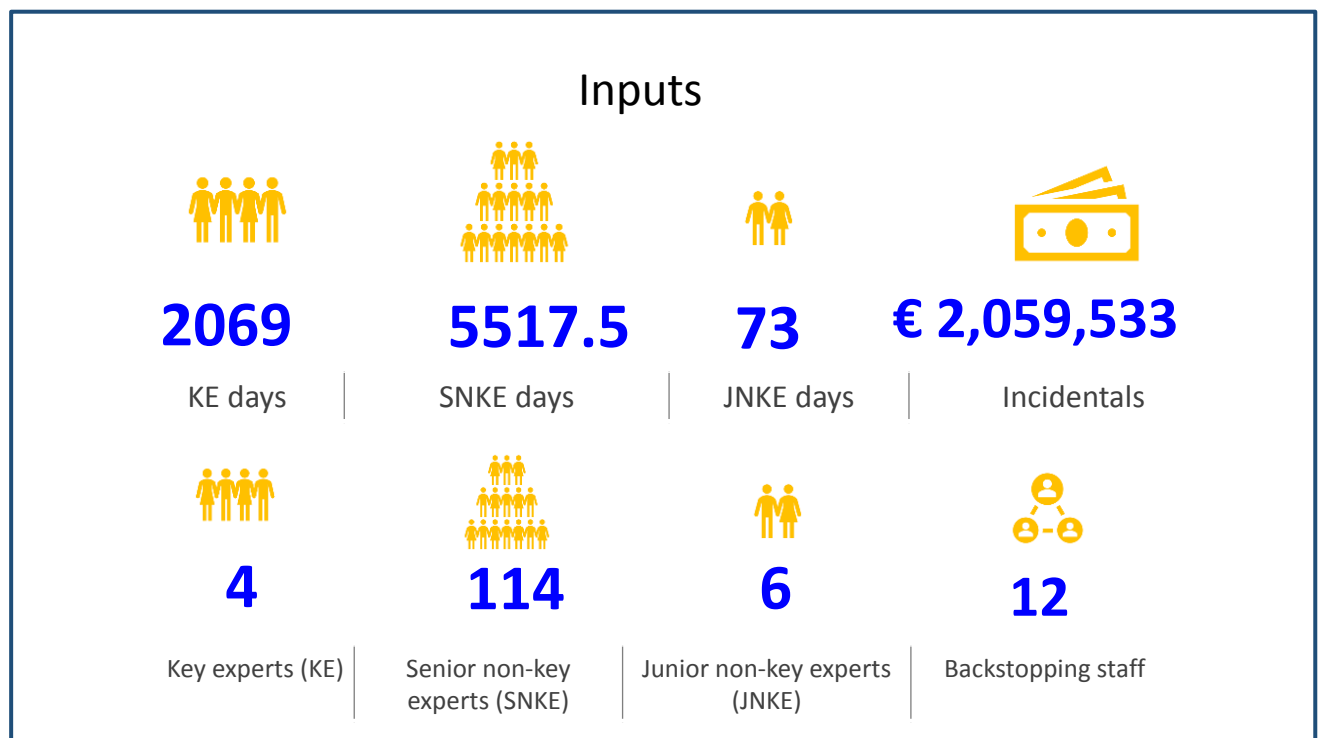


**Figure 19: progress of results at activity and sub-activity level for Work Plan 2 implementation**

Figure 20 provides a snapshot of the programme after 2.5 years of implementation in the form of a results chain using aggregate data.

**Figure 20: cumulative results chain for the programme**







## SECTION 3 OVERVIEW OF USE OF RESOURCES

Cumulative Resources							
Reporting Period	KE1	KE2	KE3	KE4	Senior Non-Key	Junior Non-Key	Incidentals
1 <sup>st</sup> 6 Monthly Report covering Inception period and 1 <sup>st</sup> Month of AWP1 (8 <sup>th</sup> November 2017 - 7 <sup>th</sup> May 2018)	113	74	101	96	166	0	€ 103,723.63
2 <sup>nd</sup> Six Monthly Report (8 <sup>th</sup> May 2018 – 7 <sup>th</sup> November 2018)	98	97	103	115	616	5	€ 276,859.19
3 <sup>rd</sup> Six Monthly Report (8 <sup>th</sup> November 2018 – 7 <sup>th</sup> May 2019)	109	106	100	114	1,355	15	€ 584,055.64
4 <sup>th</sup> Six Monthly Report (8 <sup>th</sup> May 2019 – 7 <sup>th</sup> November 2019)	118	94	106	117	1,725	24	€ 689,186.60
5 <sup>th</sup> Six Monthly Report (8 <sup>th</sup> November 2019 – 7 <sup>th</sup> May 2020)	<b>107.5</b>	<b>91</b>	<b>96</b>	<b>114</b>	<b>1,694</b>	<b>29</b>	<b>€ 406,930.01</b>
<b>Actual to Date</b>	<b>545.5</b>	<b>462</b>	<b>506</b>	<b>556</b>	<b>5,556</b>	<b>73</b>	<b>€ 2,059,534.75</b>
<b>Programme Budget</b>	<b>660</b>	<b>528</b>	<b>660</b>	<b>660</b>	<b>6,765</b>	<b>400</b>	<b>€ 3,500,000</b>
<b>Balance Remaining</b>	<b>114.5</b>	<b>66</b>	<b>154</b>	<b>104</b>	<b>1,209</b>	<b>327</b>	<b>€ 1,440,465.25</b>



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
<b>COMPONENT 0.0</b>	<b>Management and Outreach</b>	<b>107.5</b>						<b>9,720.40</b>
<b>Sub Component 0.1</b>	<b>Programme Management and Coordination</b>	<b>107.5</b>						<b>9,093.14</b>
Activity 0.1.1	Programme Management and Coordination	107.5						
<b>Sub Component 0.2</b>	<b>Demand Driven Contingency</b>							
Activity 0.2.1	Demand Driven Contingency							
<b>Sub Component 0.3</b>	<b>Visibility, Communication and Outreach</b>							<b>627.26</b>
Activity 0.3.1	Visibility, Communication and Outreach							627.26
<b>COMPONENT 1.0</b>	<b>Trade Facilitation and Transparency</b>		<b>90.5</b>			<b>308</b>		<b>81,031.77</b>
<b>Sub Component 1.1</b>	<b>ATIGA Implementation with Focus on Transparency and NTMs</b>					<b>244</b>		<b>15,794.62</b>
Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)					244		14,633.28
Activity 1.1.2	Identifying, Classifying and Notifying NTMs							1,161.34



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
<b>Sub - Component 1.2</b>	<b>Trade Facilitation and Private Sector Engagement</b>					<b>49</b>		<b>65,237.15</b>
Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors					34		17,101.80
Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee					15		47,154.06
Activity 1.2.3	Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation							981.29
<b>Sub - Component 1.3</b>	<b>On-demand Activities</b>					<b>15</b>		
Activity 1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme					15		
Activity 1.3.2	Supporting the ASEAN Connectivity Coordinating Committee							
<b>COMPONENT 2.0</b>	<b>Standards and Conformity Assessment in Particular Healthcare and Agro-based products</b>			<b>96</b>		<b>182</b>		<b>77,710.08</b>
<b>Sub Component 2.1</b>	<b>Supporting the Development of Quality Infrastructure and Related Policies in ASEAN</b>					<b>49</b>		<b>4,107.65</b>
Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance							831.25



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations							
Activity 2.1.3	Strengthening Conformity Assessment and Accreditation					18		
Activity 2.1.4	Transposing Regional Commitments into National Legislation					31		3,276.40
<b>Sub Component 2.2</b>	<b>Supporting the Harmonisation of Standards and Compliance to International Standards</b>					<b>1</b>		
Activity 2.2.1	Supporting Harmonisation of Standard					1		
<b>Sub Component 2.3</b>	<b>Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector</b>					<b>118</b>		<b>73,363.91</b>
Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety							36,283.15
Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs					17		2,448.99
Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety							
Activity 2.3.4	Supporting the Collection, Management and Harmonisation of Food Consumption							





Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
	Data to Strengthen Risk Assessment in ASEAN							
Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)					22		11,709.77
Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture					14		
Activity 2.3.7	Training in Food Safety					41		2,887.87
Activity 2.3.8	Harmonised Nutritional Labelling					24		20,034.13
<b>Sub Component 2.4</b>	<b>Support to the Pharmaceutical Sector</b>					<b>14</b>		<b>238.52</b>
Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory framework					14		238.52
Activity 2.4.2	Combatting Falsified Medicines in ASEAN							
Activity 2.4.3	Enhancing Pharmaceuticals Standardization in ASEAN							
Activity 2.4.4	Enhancing Pharmaceutical Standardisations in ASEAN							
<b>COMPONENT 3.0</b>	<b>Customs, Transport and ACTS</b>				<b>114</b>	<b>915</b>		<b>168,307.43</b>
<b>Sub Component 3.1</b>	<b>Customs Measures</b>					<b>10</b>		



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 3.1.2	Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)					9		
Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)					1		
<b>Sub Component 3.2</b>	<b>Transport Measures</b>					<b>50</b>		<b>1,780.74</b>
Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)							
Activity 3.2.2	Supporting Implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multi Modal Transport (AFAMT)					50		1,780.74
Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)							
<b>Sub Component 3.3</b>	<b>ACTS Implementation</b>					<b>855</b>		<b>166,526.69</b>



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS) legal framework					13		24,412.21
Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)					122.5		39,213.84
Activity 3.3.3	Rolling Out of ACTS in CLMV Countries					160		93,617
Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)					24.5		
Activity 3.3.5	Carrying out a Functional Upgrade of the ACTS					535		9,283.14
<b>COMPONENT 4.0</b>	<b>ASEAN Economic Integration Monitoring and Statistics</b>					<b>264</b>	<b>29</b>	<b>69,136.78</b>
<b>Sub - Component 4.1</b>	<b>ASEAN Economic Integration Monitoring</b>					<b>107</b>	<b>29</b>	<b>3,715.07</b>
Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects					29.5		3,715.07
Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework					56.5	24	
Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025					21	5	



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
<b>Sub - Component 4.2</b>	<b>ASEAN Statistics Capacity Building</b>					<b>157</b>		<b>65,421.71</b>
Activity 4.2.1	Enhancing AEC Monitoring Databases							
Activity 4.2.2	Supporting the ACSS in the Context of the AECB 2025					109.5		42,384.50
Activity 4.2.3	Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV)					32.5		1,721.36
Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains					7		
Activity 4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics					8		
<b>Bank Charges</b>								<b>1,023.56</b>
<b>TOTAL</b>		<b>107.5</b>	<b>91</b>	<b>96</b>	<b>114</b>	<b>1,694</b>	<b>29</b>	<b>406,930.01</b>



## SECTION 4 LIST OF OFFICIAL MEETINGS PARTICIPATED IN BY ARISE PLUS

No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
1.	12-14 November 2019	Singapore	22 <sup>nd</sup> Meeting of the Customs Procedures & Trade Facilitation Working Group Sub Working-Group on ASEAN Customs Transit System (SWG-ACTS)
2.	21 November 2019	Singapore	29 <sup>th</sup> Meeting of Prepared Foodstuff Product Working Group (PFPWG)
3.	28 November 2019	Yangon, Myanmar	52 <sup>nd</sup> Meeting of the ASEAN Consultative Committee for Standards and Quality (ACCSQ)
4.	28 – 29 November 2019	Singapore	CCA Meeting and related discussions and ASSIST Training at EUABC
5.	11-13 February 2020	Kuala Lumpur, Malaysia	23 <sup>rd</sup> Meeting of the Customs Procedures & Trade Facilitation Working Group Sub Working-Group on ASEAN Customs Transit System (SWG-ACTS)
6.	24 – 25 February 2020	Yangon, Myanmar	76 <sup>th</sup> ASEAN Coordinating Committee on Investment (CCI) Meeting
7.	26 – 27 February 2020	Bandung, Indonesia	32 <sup>nd</sup> Coordinating Committee on the Implementation of the ATIGA (CCA) Meeting
8.	26 April 2020	N/A	17 <sup>th</sup> ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC) Meeting



## SECTION 5 EVENTS ORGANISED BY ARISE PLUS

No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
1	4-22 November 2019	Vientiane, Lao PDR	ACTS Training for Lao Customs - Session 2
2	16-17 November 2019	Singapore	Workshop on the Harmonisation of Nutritional Labelling in ASEAN
3	25-26 November 2019	Hanoi, Vietnam	Cambodia, Lao, Myanmar, Vietnam (CLMV) Training on Statistic of International Trade in Services (SITS)
4	27-29 November 2019	Hanoi, Vietnam	Regional Workshop on Statistic of International Trade in Services (SITS)
5	15 November 2019	Nay Pyi Taw, Myanmar	TBT NTMs Validation Workshop with Ministry of Commerce and Related Authorities
6	26 November 2019	Jakarta, Indonesia	The Launching of the ASEAN Integration Report (AIR) 2019
7	10-12 December 2019	Jakarta, Indonesia	5th Event on Development of the ASEAN Food Safety Regulatory Framework (AFSRF) Agreement
8	8-10 January 2020	Jakarta, Indonesia	ACTS Pilot Preparation Workshop
9	21 January 2020	Bangkok, Thailand	4th ARISE Plus Roundtable Discussion on Trade Facilitation on Increased Private Sector Engagement and State-of-Play of ASEAN Trade Facilitation Initiatives
10	22 January 2020	Bangkok, Thailand	Roundtable Discussion on Non-Tariff Barriers (NTBs) in ASEAN between the private Sector and the ATF-JCC
11	10 February 2020	Hanoi, Vietnam	Validation Workshop on Non-Tariff Measures (NTM) - Technical Barrier to Trade (TBT) in Viet Nam
12	11-14 February 2020	Jakarta, Indonesia	Regional Training on Motion Graphics for Statistical Dissemination
13	19-20 February 2020	Vientiane, Lao PDR	ACTS Preparation Workshop
14	21 February 2020	Manila, Philippines	SPS NTMs Validation Workshop for the Philippines
15	24-28 February 2020	Manila, Philippines	Regional Training Workshop on Economic Statistics SDMX
16	10-11 March 2020	Jakarta, Indonesia	Workshop on Asean Guidelines for Traceability for Food and Feed



## SECTION 6 ANNEXES

Annex	Activity	Experts outputs
Annex 1	1.1.1	Final report on supporting the effective implementation of the ATIGA (ATR and NTRs), including identifying, classifying and notifying NTMs': TBT Measures for Brunei Darussalam, Malaysia and the Philippines
Annex 2	1.1.1	Final report on supporting the effective implementation of the ATIGA (ATR and NTRs), including identifying, classifying and notifying NTMs': SPS Measures for Brunei Darussalam, Malaysia and the Philippines
Annex 3	1.1.1	Interim report on supporting the effective implementation of the ATIGA (ATR and NTRs), including identifying, classifying and notifying NTMs: SPS and TBT for Malaysia and Philippines
Annex 4	1.1.1	Final report on supporting the effective implementation of the ATIGA (ATR and NTRs), including identifying, classifying and notifying NTMs for Indonesia, Myanmar and Singapore
Annex 5	1.1.1	Interim report on mapping non-tariff measures under SPS and TBT in Indonesia, Myanmar and Singapore
Annex 6	1.1.1	Interim report on mapping TBT non-tariff measures in Cambodia, Lao PDR and Vietnam
Annex 7	1.1.1	Final report on supporting the effective implementation of the ATIGA (ATR and NTRs), including identifying, classifying and notifying NTMs for Thailand
Annex 8	1.1.1	Final report on supporting the effective implementation of the ATIGA (ATR and NTRs), including identifying, classifying and notifying NTMs for Thailand (continuation)
Annex 9	1.2.1	Interim report on full operationalisation and management of ASSIST, including in the services and investment sectors & ASSIST Toolkits for <i>'train-the-trainers'</i>
Annex 10	1.2.2	Final report on ATF-JCC support
Annex 11	1.2.2	Interim report on IT update and management of ASSIST, ATR and e-platform websites
Annex 12	1.3.1	Interim report on General Review of the ASEAN Trade in Goods Agreement (ATIGA)
Annex 13	2.1.3	Interim Report on Development of ASEAN Sectoral MRA on Organic Agricultural Products and Related Supporting Actions
Annex 14	2.1.4	Final Report on Myanmar Electrical and Electronic Equipment Safety Regulations
Annex 15	2.1.5	Programme: Workshop for Development of the ASEAN Guidelines on Traceability Systems for Food and Feed





Annex 16	2.3.1	Programme: 5 <sup>th</sup> Workshop of the Task Force for Development of the ASEAN Food Safety Regulatory Framework (AFSRF) Agreement
Annex 17	2.3.2	Draft Procedural Manual of the Joint Sectoral Committee for the Implementation of the ASEAN Sectoral Mutual Recognition Arrangement for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products
Annex 18	2.3.2	Interim Report Gap Analysis on Implementing MRA on Inspection and Certification Systems for Food Hygiene for Prepared Foodstuffs
Annex 19	2.3.3	Concept Note: Consultative Meeting on Review of the Governance, Scope of Work and Operations of the ASEAN Risk Assessment Centre for Food Safety (ARAC)
Annex 20	2.3.5	Final Report on Supporting the Development of National Rapid Alert Systems for Food and Feed (RASFF) and the Integration into ARASFF and the Drafting of ASEAN Food and Feed Traceability Regulatory Framework
Annex 21	2.3.6	Interim Report on Preparatory Work for the Development of an ASEAN MRA on Organic Culture
Annex 22	2.3.7	Interim Report on Food Safety Training
Annex 23	2.3.8	Final Report on Assisting in the Harmonisation of Nutrition Labelling Rules in ASEAN
Annex 24	2.3.8	Final Report on Food Nutritional Labelling
Annex 25	2.4.1	Interim Report on Drafting the First Proposal of ASEAN Pharmaceutical Framework Document
Annex 26	2.4.1	Proposed Agenda: ASEAN Workshop on Bioequivalence Inspection 2020
Annex 27	2.4.2	Draft Term of Reference for the ASEAN Pharmaceutical Laboratory Network (APLN)
Annex 28	3.1.2	Interim Report on Supporting Implementation of Effective Customs Partnership with Businesses and the Trading Community
Annex 29	3.1.5	Interim Report on Support for Activities in Narrowing the Development Gap in Customs
Annex 30	3.2.1	Concept Note on the Functioning of AFAFIST
Annex 31	3.2.2	Implementation Framework of AFAMT and Its Related Action Plan
Annex 32	3.2.2	Draft: Implementing Guidelines to AFAMT
Annex 33	3.2.2	Interim Report on Supporting Implementation of the Action Plan for the ASEAN Framework AFAMT 2005
Annex 34	3.2.2	Interim Report on Feasibility Study into the Implications of Adopting Customs Transit and ACTS Measures to Process Multi-Modal Transport Operations within ASEAN
Annex 35	3.2.2	Interim Report on Supporting Implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multimodal Transport (AFAMT)



Annex 36	3.2.2	Interim Report on Online Platform Development for AFAMT
Annex 37	3.2.2	Interim Report on Review of Multi-Modal Transport Law and Regulations in Brunei and Propose Revised Law and Regulations
Annex 38	3.2.2	Interim Report on Review of Multi-Modal Transport Law and Regulations in Lao PDR and Propose Revised Law and Regulations
Annex 39	3.2.2	Final Report on Review of Multi-Modal Transport Law and Regulations in Malaysia and Propose Revised Law and Regulations
Annex 40	3.2.3	Interim Report on Online Platform Development for CBTP
Annex 41	3.3.1	Interim Report on Supporting the Preparatory Activities for ACTS Pilot in CLV Countries
Annex 42	3.3.1	Proposals for ACTS Launch Event
Annex 43	3.3.1	Final report of Senior Lead ACTS IT Implementation Expert
Annex 44	3.3.1	Final report of Senior ACTS IT Application Expert
Annex 45	3.3.1	Final report of Senior Software Analyst
Annex 46	3.3.1	Final report of Senior Software Tester
Annex 47	3.3.1	Final report of Senior Software Developer 1
Annex 48	3.3.1	Final report of Senior Software Developer 2
Annex 49	3.3.1	Final report of Senior Software Developer 3
Annex 50	3.3.1	Final report of Senior Software Developer 4
Annex 51	3.3.3	Report on Multi Agency ACTS Preparation Workshop
Annex 52	4.1.1	Interim Report of Lead M&E Expert
Annex 53	4.1.2	Interim Report of Senior Expert on the Mid Term Review of the AEC Blueprint 2025 (1 <sup>st</sup> Phase)
Annex 54	4.1.2	Interim Report of Junior Expert on the Mid Term Review of the AEC Blueprint 2025 (1 <sup>st</sup> Phase)
Annex 55	4.1.3	Interim Report of Senior Expert on Qualitative M&E, Publications and Outreach
Annex 56	4.1.3	Interim Report of Junior Expert on Design, Desktop Publishing and Layout
Annex 57	4.2.1	Interim Report of Expert on Coordination of Statistics Capacity Building Activities
Annex 58	4.2.2	Final Report: 'Development of ACSS Operational Guidelines for the Implementation of SNA 2008 - Phase 2; Regional Training on SNA and FDIS; and CLMV Sub-Regional Workshop on FDIS'



Annex 59	4.2.2	Final Report: Strengthening IMTS Data Analysis and Quality Assessment, through Workshop on Mirror Analysis, Training, and TAs to Selected Member States, And Enhancing Production and Dissemination of IMTS Using Eurotrace in CLM countries
Annex 60	4.2.2	Final Report: Technical Assistance Activity: Technical Assistance to Viet Nam, CLMV training and Regional Workshop on Statistics of International Trade in Services, Hanoi, November 2019
Annex 61	4.2.2	Final Report: Technical Assistance Activity on Strengthening video-graphics Skills for Enhanced Data Visibility
Annex 62	4.2.2	Final Report: Concept note and plan for ASEAN Statistics communication, in line with the celebration of the tenth anniversary of ACSS – Phase 1
Annex 63	4.2.2	Final Report: Regional Training Workshop on Economic Statistics SDMX, 24-28 February 2020, Manila, Philippine
Annex 64	4.2.2	Final Report: Coordination of Statistics Capacity Building Activities - Drafts of ACSS Strategic Plan 2021-2025 and Annual Work Plan 2021 and Indicative Annual Work Plan 2022
Annex 65	4.2.3	The NKE Interim Report on “Strengthening Collection and Dissemination of ASEAN Sustainable Development Goals Indicators (SDGs)-Phase 1.”
Annex 66	4.2.3	NKE report on Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace in Cambodia, Lao PDR, and Myanmar



## SECTION 7 LIST OF ASEAN SECTORAL BODIES, WORKING GROUPS AND ENTITIES WITH WHICH ARISE PLUS WORKS

- ASEAN Business Advisory Council (ABAC)
- ASEAN Coordinating Committee on Connectivity
- ASEAN Committee on Consumer Protection (ACCP)
- ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS)
- ASEAN Consultative Committee on Standards and Quality (ACCSQ)
- ASEAN Coordinating Committee on Investment (CCI)
- ASEAN Coordinating Committee on the Implementation of ATIGA (CCA)
- ASEAN Customs Coordinating Committee (CCC)
- ASEAN Customs Directors-General
- ASEAN Expert Group on Food Safety
- ASEAN Federation of Forwarders Associations (AFFA)
- ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee
- ASEAN Risk Assessment Centre for Food Safety (ARAC)
- ASEAN Smart Cities Network (ASCN)
- ASEAN Sectoral Working Group on Crops
- ASEAN Sectoral Working Group on Fisheries
- ASEAN Sectoral Working Group on Livestock
- ASEAN Senior Transport Officials (STOM)
- ASEAN Single Window Steering Committee (ASW-SC)
- ASEAN Trade Facilitation Joint Consultative Committee (ATFJCC)
- ASEAN Transport Facilitation Working Group
- ASEAN Transport Facilitation Working Group (TWFG)
- Customs Capacity Building Working Group
- Customs Enforcement and Compliance Working Group
- EU-ASEAN Business Council (EUABC)
- Experts Working Group on Organic Agriculture
- Senior Economic Officials Meeting (SEOM)



- Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM AMAF)
- Transit Transport Coordinating Board (TTCB)
- Sub-committee on ATIGA Rules of Origin (SCA-ROO)
- ASEAN Community Statistical System (ACSS) Committee
- Sub-Committee on Planning and Coordination (SCPC)
- Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)
- Working Group on SNA