



## **Fourth Six-Monthly Progress Report**

# **ASEAN Regional Integration Support by the European Union - (ARISE) Plus**

**8 May – 7 November 2019**

**Programme No. ACA/2016/389774**

**Service Contract No.  
EUROPEAID/138416/DH/SER/MULTI**



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## ARISE PLUS FACT SHEET

Programme Title	ASEAN Regional Integration Support by EU (ARISE Plus)
Programme Number	ACA/2016/389774
Service Contract	EUROPEAID/138416/DH/SER/MULTI
Service Contractor	AETS, in consortium with FratiniVergano, DT Global and ARTEMIS
Programme Location	ASEAN Secretariat Jakarta (with activities in ASEAN and EU)
Contracting Authority	EU Delegation to Indonesia & Brunei Darussalam
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Programme Dates	8 <sup>th</sup> November 2017 to 7 <sup>th</sup> November 2020
Programme Budget	€13,833,125.00
Working Days available	KE 2508, SNKE 6,765, JNKE 400
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## LIST OF ABBREVIATIONS

ABAC	ASEAN Business Advisory Council
ACCSQ	ASEAN Consultative Committee on Standards and Quality
ACCC	ASEAN Connectivity Coordinating Committee
ACCP	ASEAN Committee on Consumer Protection
ACD	ASEAN Cosmetic Directive
AC-SPS	ASEAN Committee on Sanitary and Phytosanitary Measures
ACSS	ASEAN Community Statistical System
ACTD	ASEAN Common Technical Dossier
ACTR	ASEAN Common Technical Requirements
ACTS	ASEAN Customs Transit System
ACTS-IP	ACTS Information Portal management
AEC	ASEAN Economic Community
AECB	ASEAN Economic Community Blueprint
AEGFS	ASEAN Expert Group on Food Safety
AEO	Authorised Economic Operators
AFAFGIT	ASEAN Framework Agreement on the Facilitation of Goods in Transit
AFAFIST	ASEAN Framework Agreement on the Facilitation of Inter-State Transport
AFAMT	ASEAN Framework Agreement on Multimodal Transport
AFFA	ASEAN Federation of Forwarders Associations
AFTA	ASEAN Free Trade Area
AHEEERR	ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime
AGVCBP	ASEAN Goods Vehicle Cross Border Permit
AMS	ASEAN Member State
API	Active Pharmaceutical Ingredient(s)
APLAC	Asia Pacific Laboratory Accreditation Cooperation
APSC	ASEAN Political-Security Community
ARAC	ASEAN Risk Assessment Centre for Food Safety
ARASFF	ASEAN Rapid Alert System for Food and Feed
ARISE	ASEAN Regional Integration Support by the EU
ASCC	ASEAN Socio-Cultural Community
ASEAN	Association of South East Asian Nations
ASEAN-CBTP	ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle
ASEC	ASEAN Secretariat
ASI-CT	ASEAN Statistical Indicators-Consolidated Template
ASOA	ASEAN Standard for Organic Agriculture
ASPSC	ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance
ASSIST	ASEAN Solutions for Services, Investment and Trade
ATFJCC	ASEAN Trade Facilitation Joint Consultative Committee
ATFW	ASEAN Trade Facilitation Work Plans
ATT	Authorised Transit Trader
ASW-SC	ASEAN Single Window Steering Committee
ATIGA	ASEAN Trade in Goods Agreement



ATR	ASEAN Trade Repository
AWP	Annual Work Plan
BE	Bio-equivalence
BFSDAS	Broad Framework for the Sustainable Development of ASEAN Statistics
CCAD	Community and Corporate Affairs Directorate
CCA	ASEAN Coordinating Committee on the Implementation of ATIGA
CCI	ASEAN Coordinating Committee on Investment
CCBWG	Customs Capacity Building Working Group
CCC	ASEAN Customs Coordinating Committee
CCS	ASEAN Coordinating Committee on Services
CECWG	Customs Enforcement and Compliance Working Group
CEN-CENELEC	European Committee on Standardization – European Committee for Electrotechnical Standardisation
CIFOCoss	Chronic Individual Food Consumption Database – summary statistics
CLMV	Cambodia, Lao PDR, Myanmar, Viet Nam
COB	Council of ASEAN Insurance Bureaux
COST	Committee on Science and Technology
CPTFWG	Customs Procedures and Trade Facilitation Working Group
CRD	Community Relations Division
DSG	Deputy Secretary General
DG-DEVCO	Directorate-General for International Cooperation and Development
EC	European Commission
EDSM	Enhanced Dispute Settlement Mechanism
EEE	Electrical and Electronic Equipment
EU	European Union
EUABC	EU-ASEAN Business Council
FA	Financing Agreement
FAO	Food and Agriculture Organization
GIFT	Global Individual Food Consumption Data Tool
GMP	Good Manufacturing Practice
ICH	International Council for Harmonization
IGDRP	International Generic Drug Regulators Program
KE	Key Expert(s)
KLTPSP	Kuala Lumpur Transport Strategic Plan
JNKE	Junior Non-Key Expert
LSAD	Legal Services and Agreements Directorate
MIS	Management Information System
MPAC	Master Plan on ASEAN Connectivity
MRA	Mutual Recognition Arrangement
MSME	Micro-, Small-, and Medium-Sized Enterprises
MST	Malaysia, Singapore, Thailand
NKE	Non-Key Expert
NAB	National Accreditation Body
NFAB	National Focal Points for Accreditation
NTM	Non-Tariff Measure(s)
NTR	National Trade Repository



NTTCC	National Transit Transport Coordinating Committees
PCPMD	Programme Coordination and Project Management Division
OWP	Overall Work Plan
PFPWG	Prepared Foodstuffs Product Working Group
PIC/S	Pharmaceutical Inspection Co-operation Scheme
PPWG	ACCSQ Pharmaceutical Product Working Group
PSC	Project Steering Committee
PWG	Product Working Group(s)
SC-AROO	Sub Committee on ATIGA Rules of Origin
SNKE	Senior Non-Key Expert
SPCD	Strategic Plans for Customs Development
S&T	Science and Technology Division
STOM	ASEAN Senior Transport Officials
SOM AMAF	Senior Officials Meeting ASEAN Ministers on Agriculture and Forestry
TA	Technical Assistance
TAT	Technical Assistance Team
TBT	Technical Barriers to Trade
TFD	Trade Facilitation Division
TFWG	ASEAN Transport Facilitation Working Group
TRIM	Trade-related Investment Measure
TTCB	Transit Transport Coordinating Board
UNCTAD	United Nations Conference on Trade and Development
VCO	Visibility, Communication and Outreach
WGDSA	Working Group on Data Sharing, Analysis, Dissemination and Communication
WHO	World Health Organization
WTO	World Trade Organization



## LIST OF ASEAN SECTORAL BODIES, WORKING GROUPS AND ENTITIES WITH WHICH ARISE PLUS WORKS

- ASEAN Business Advisory Council (ABAC)
- ASEAN Coordinating Committee on Connectivity
- ASEAN Committee on Consumer Protection (ACCP)
- ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS)
- ASEAN Consultative Committee on Standards and Quality (ACCSQ)
- ASEAN Coordinating Committee on Investment (CCI)
- ASEAN Coordinating Committee on the Implementation of ATIGA (CCA)
- ASEAN Customs Coordinating Committee (CCC)
- ASEAN Customs Directors-General
- ASEAN Expert Group on Food Safety
- ASEAN Federation of Forwarders Associations (AFFA)
- ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee
- ASEAN Risk Assessment Centre for Food Safety (ARAC)
- ASEAN Smart Cities Network (ASCN)
- ASEAN Sectoral Working Group on Crops
- ASEAN Sectoral Working Group on Fisheries
- ASEAN Sectoral Working Group on Livestock
- ASEAN Senior Transport Officials (STOM)
- ASEAN Single Window Steering Committee (ASW-SC)
- ASEAN Trade Facilitation Joint Consultative Committee (ATFJCC)
- ASEAN Transport Facilitation Working Group
- ASEAN Transport Facilitation Working Group (TWFG)
- Customs Capacity Building Working Group
- Customs Enforcement and Compliance Working Group
- EU-ASEAN Business Council (EABC)
- Experts Working Group on Organic Agriculture
- Senior Economic Officials Meeting (SEOM)

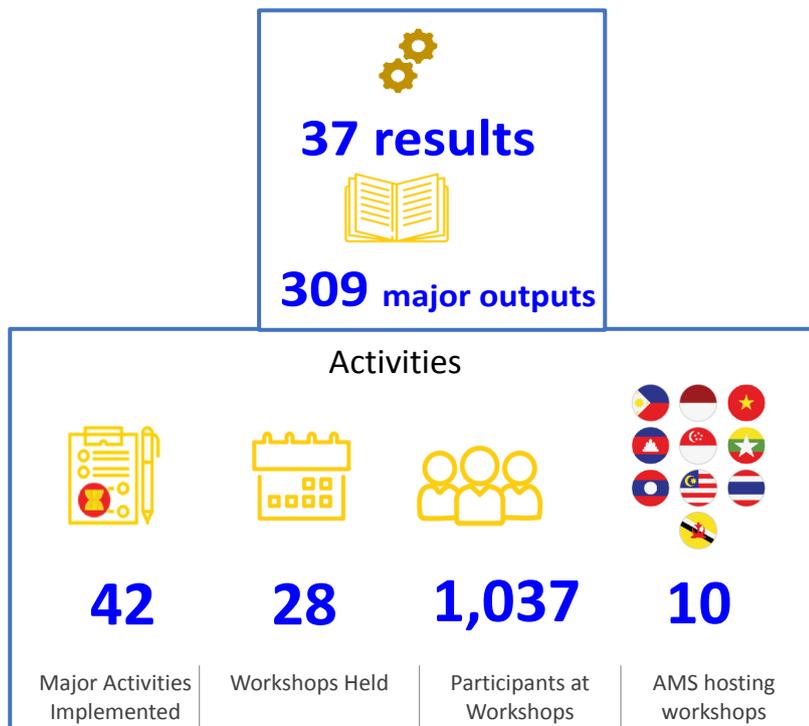


- Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM AMAF)
- Transit Transport Coordinating Board (TTCB)
- Sub-committee on ATIGA Rules of Origin (SCA-ROO)
- ASEAN Community Statistical System (ACSS) Committee
- Sub-Committee on Planning and Coordination (SCPC)
- Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)
- Working Group on SNA

## EXECUTIVE SUMMARY

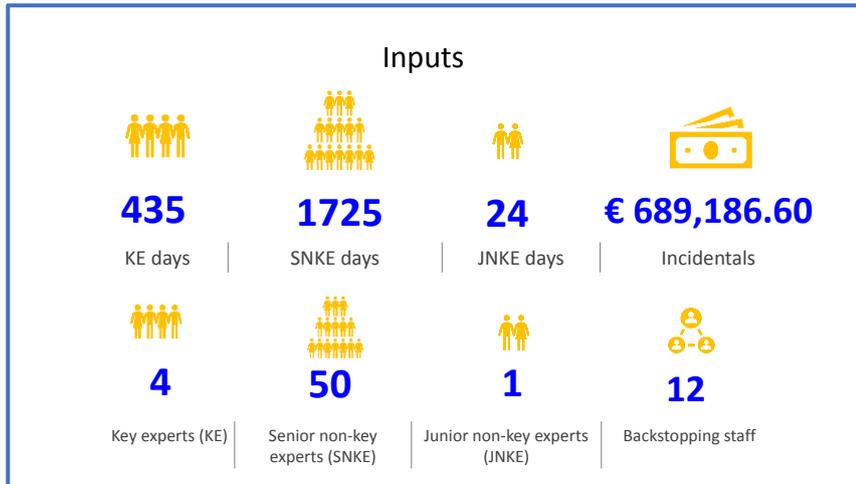
The ARISE Plus fourth six monthly progress report covers the period 8<sup>th</sup> May to 7<sup>th</sup> November 2019. The activities carried out support the ASEAN economic integration effort and are guided by the ASEAN Economic Community Blueprint 2025. Set out below are the key results for the period.

42 major activities were implemented during the reporting period. ARISE PLUS organised and conducted 28 workshops which covered a total of 105 workshop days<sup>1</sup>. The workshops benefitted a total number of 1037 participants. The activities resulted in a total of 309 outputs. Figure 1 below provides a results<sup>2</sup> chain summarising the major indicators for the reporting period. The results chain also provides an overview of the intervention logic in which inputs have transformed into activities and then onto outputs and results.

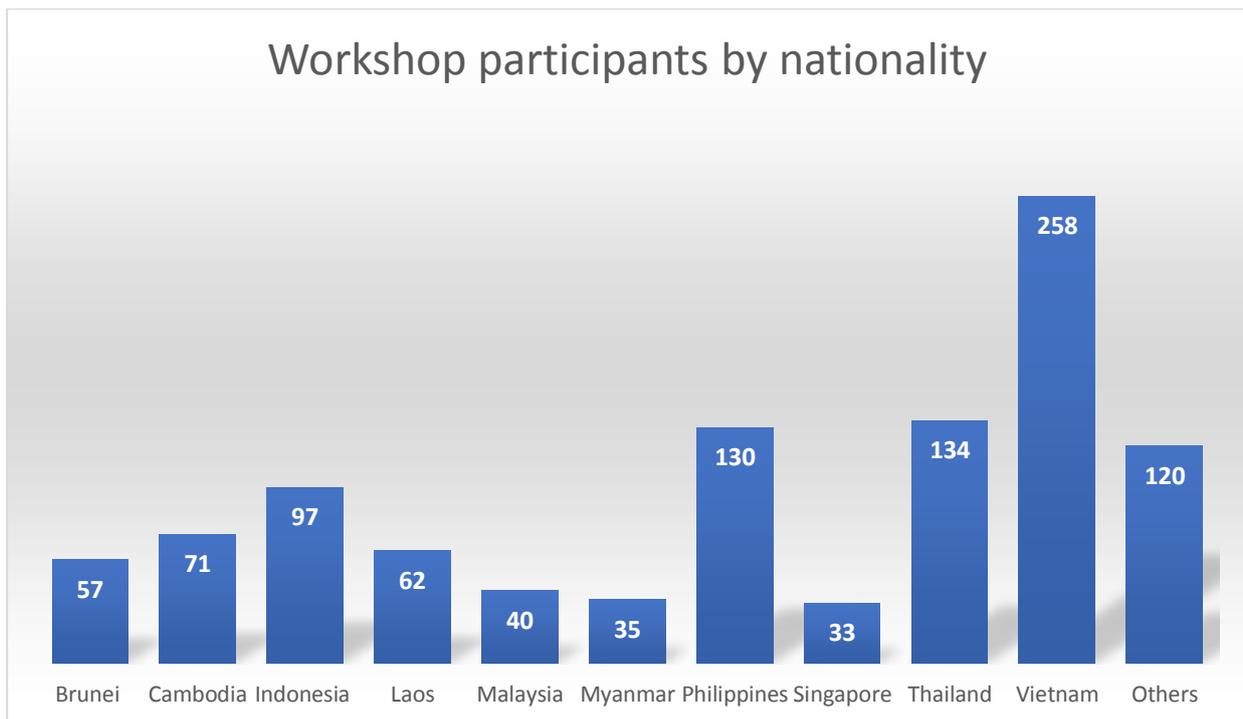


<sup>1</sup> Workshop days: total number of days across workshops conducted

<sup>2</sup> The results can be found in the relevant activity reports in Section 1



ARISE PLUS activities have been characterised by a strong inclusive approach. Out of 1037 workshop participants during the reporting period, 532 were female participants and 505 were male participants. All 10 ASEAN Member states were well represented across the participants including 426 participants from the CLMV countries. See graph below.



The workshops were highly rated in terms of effectiveness, with an average score of 78% across 5 questions covering effectiveness and quality as seen in the figure below.



The relevance of ARISE Plus is manifested through the support it provides to 12 elements and 14 strategic measures of the ASEAN Economic Blue Print (AECB). ARISE PLUS also supports 7 priority areas of the ASEAN-EU Plan of Action and 5 sustainable development goals. See figure below. ARISE PLUS workshops were also highly rated in terms of relevance with an average score of 79% across 3

questions covering relevance in the feedback questionnaires across the 28 workshops implemented during the reporting period.

## Overall Programme Results



### Component 0 Programme Management

- **Enhanced management** and decision making through an **updated internal monitoring** system;
- **Broadened scope** of the three activities bring **greater programme coverage**:
  - *Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Food Products*; the number of countries requesting support to carry out the Gap analysis is larger than anticipated with Thailand and Brunei Darussalam requesting assistance in addition to Cambodia, Lao PDR and Myanmar;
  - *Establishing Rapid Alert Systems in AMS*; The initial focus of the activity related to traceability and the establishment of relevant guidelines, however, direct requests were received from Philippines and Laos for support to improve and or establish national rapid alert systems to which the programme responded positively;
  - *Supporting the Implementation of the Action Plan for AFAMT* in the process of finalising the implementation guidelines and resulting from AMS consultation requests have been received from Brunei Darussalam, Cambodia, Lao PDR and Malaysia to support the review and or drafting of the multi modal laws and harmonised technical regulation. The work for Brunei Darussalam and Malaysia is complete and awaiting AMS comments and technical support work in on-going for Cambodia and Lao PDR
- **Greater awareness of the ARISE Plus** through **outreach** and regular programme news articles published;

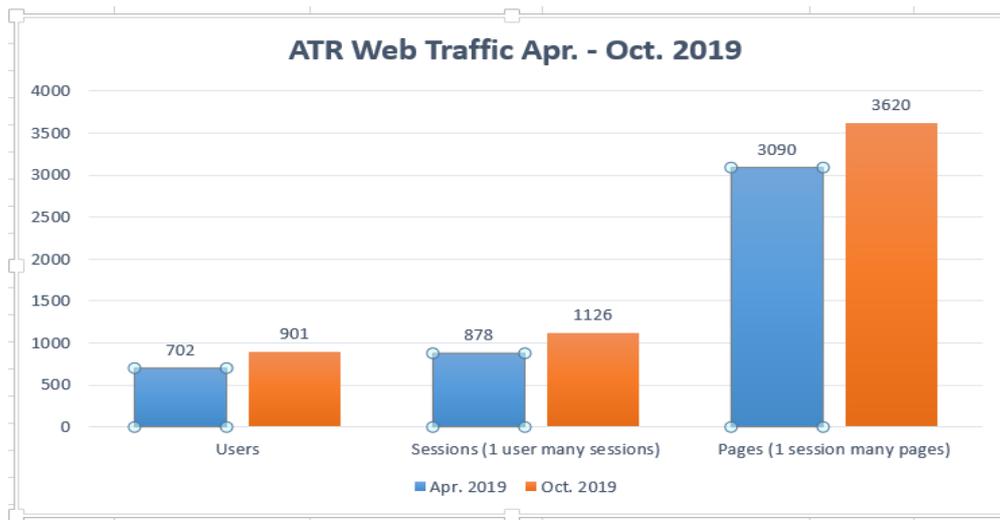


### Component 1.0 Trade Facilitation and Transparency

This component aims at supporting the implementation of the ASEAN Trade in Goods Agreement (ATIGA); increasing transparency and regulatory certainty and enhancing private sector engagement with ASEAN.

#### Summary of Results

- Major improvements were also achieved in terms of the **quality and uniformity of the information uploaded on the ATR** (<https://atr.asean.org/index.php/links/search/>) and in terms of the **AMSs' Focal Points understanding** of the methodology, approach and requirements to progressively upload trade related data for the private sector.
- **28% increase** in visits to **ATR** reflecting the increased quality and relevance of the uploaded.



- Work on the ATR sub Categories of **Sanitary and Phytosanitary (SPS) and Technical Barriers to Trade (TBT)** recorded good results. The following achievements are on target. Many Non Tariff Measures (NTM) are in the process of being uploaded on the ATR, to reach the December targets.

NTM validated and Uploaded on the ATR (to date)	NTM collected, but not yet Verified by ARISE Plus or not yet validated by AMSs	NTM available on the ATR by 31 December 2019 (target)
820	2,084	> 3,000

- Upon AMS request, dedicated assistance is being prepared to support their requirement to notify **new or amended NTMs**. This up-coming support will start in the next report period.
- ASSIST, the ASEAN Solution for Investment, Services, and Trade ([assist@asean.org](mailto:assist@asean.org)) has been improved in terms of the operating procedures, its scope (**extension to services and soon to investments**), and addition of important new **anonymity features**, as well as with the development of **5 online tutorials**. The User Manual has also been updated, and the **translation** of all materials and IT resources into all ASEAN languages other than English (*i.e.*, **Bahasa Indonesia, Burmese, Khmer, Laotian, Thai and Vietnamese**). This has led to 5 new cases being filed, 4 of which by representative entities providing anonymity to the actual complainants.
- ASEAN’s **private sector** has been systematically engaged through the informal **Roundtable Discussions** on Trade Facilitation primarily with a view to tackling more effectively the **NTMs and NTBs that hamper ASEAN economic integration**.



### Component 2.0 Standards & Conformance

This component focuses on improving: the harmonisation of standards, accreditation and conformity assessment, as well supporting the further integration of the pharmaceuticals and food sectors.



## Summary of Results

- Communication and Cooperation between the **Experts Group on Organic Agriculture** and the **Accreditation and Certification Community** in ASEAN has been enhanced.
- The finalisation of the **ASEAN Food Safety Regulatory Framework Agreement** is on schedule and it is anticipated that the Task Force will be able to endorse by the end of 2019 subject to legal scrubbing.
- Good progress has been achieved in **implementing the ASEAN Principles and Criteria for Contaminants and Toxins in Food and Feed** and the **ASEAN Principles and Guidelines for the Establishment of Maximum Use Level for Food Additives** through establishing harmonised levels for a significant number of **limits for food additives** and identifying the first two harmonised **maximum levels for food contaminants**;
- The preparation for the implementation of the **MRA for Inspection and Certification of Food Hygiene Systems for Prepared Foodstuffs** has progressed well with the advanced development of the procedures for implementation and the commencement of the Gap Analysis;
- For the **ASEAN Risk Assessment Centre (ARAC)** a set of recommendations have been documented for follow-up in order to upscale ARAC through **reviewing its governance and operating procedures**, and widening its **scope of work**.
- The work on the development of the **ASEAN Guidelines on Traceability** has progressed well and a preliminary draft has been developed and presented to the ASEAN Rapid Alert System for Food and Feed National Contact Point Meeting and circulated to the Prepared Food Product Working Group, and ASEAN Health Cluster 4 for consultation and comment.
- **Philippines** has enhanced capacity to implement its **upgraded Rapid Alert System** for Food and Feed and **Lao PDR** has started to develop its **Rapid Alert System for Food and Feed** with support from ARISE Plus.
- The **Experts Working Group for Organic Agriculture** has made clear recommendations on the elements of the proposed **ASEAN MRA on Organic Agriculture**. Furthermore understanding has been established between the o ACCSQ (ASEAN Standard Committee Working Group 2 on Accreditation and Conformity Assessment) and the Experts working group on the respective roles of the accreditation and certification bodies in ASEAN in the context of **mutual recognition of organic agriculture certification**.
- The comprehensive proposal on the **Food Safety Training Platform for ASEAN** is in place, and training modules have been identified in consultation with Member States.
- The **Pharmaceutical Product Working Group** is embarking upon two concrete market integration initiatives with the support of ARISE Plus, namely the development of a **Framework for Pharmaceutical Regulation in ASEAN**. This framework will integrate the existing initiatives on harmonisation of technical requirements, market authorisation and mutual arrangements for recognition on GMP and bioequivalence. Furthermore it has agreed to develop a **network of pharmaceutical laboratories**, and a term of reference and a roadmap for implementation.
- Progress was made on the development of **Electrical and Electronic Equipment Safety Regulations in Myanmar**, in line with the ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime. An advanced **draft of the regulations** has been developed, a roadmap for its

adoption agreed by counterparts, **certification bodies and infrastructure** required for implementation identified.



### Component 3.0 Customs, Transport and ACTS

This component supports Customs and Transport reforms with a strong focus on the ASEAN customs transit system (ACTS)

#### Summary of Results

- **Customs officers from Lao PDR** now have additional expertise in the **intelligence and investigation functions**, in line with international standards and the principles of the **World Customs Organisation (WCO)**;
- ASEAN Member States are now making substantial progress towards the **full implementation** of the **ASEAN Framework Agreement for Multimodal Transport, (AFAMT)** which is expected in **2020**;
- Progress has been good, albeit slow, on developing the environment for the **Cross Border Transport of Passengers** to be implemented in ASEAN with a pilot planned for 2020;
- **ASEAN customs transit system (ACTS):**
  - The **legal framework** of the **ASEAN Framework Agreement for Goods in Transit (AFAFGIT)** is in place, prospects are good for the **ACTS live run of the Pilot planned for April 2020**;
  - **A series of three weeks long trainings** have been completed for **Cambodia, Thailand and Vietnam** with **Laos** ongoing, on **ACTS procedures** for Customs, Transport and private sector officials and representatives. Trainings on the **IT infrastructure and software** have been concurrently carried out;
  - **A systems upgrade** was successfully installed in **Malaysia-Singapore-Thailand (MST), and Cambodia-Laos-Vietnam (CLV)** leading to an **ACTS functionality improvement** which will benefit the **public and private sector** operatives in ACTS goes live in April 2020
  - The expertise of the **Central Management Team of ACTS, (based in Jakarta)**, has been enhanced to ensure **full participation** in the **ACTS Pilot and live run for MST-CLV** planned for January-April 2020.
  - An **ACTS Change Management Board (CMB)** has been set up with the support of ARISE Plus. It is composed of ARISE Plus, the CMT and the Chair of the ACTS Sub-Working Group representing AMSAMS (provide the level of engagement). It is fully operational and has played a key role in the approval of system enhancements.



## Component 4.0 ASEAN Economic Integration Monitoring and Statistics

This component has four distinct elements: Internal programme monitoring, an integrated monitoring framework for the ARISE PLUS family of projects, strengthening the ASEAN economic integration monitoring system and the ASEAN statistical capacity.

### Summary of Results

#### Monitoring

Regular coordination has been established between the ARISE Plus Regional and the ARISE Plus country-projects which have already started, notably Cambodia, Indonesia, Laos and Myanmar. Such a coordination is done at programme management level and technical level, with respective experts already liaising.

- The **M&E system** for the **ASEAN Strategic Plan on Standards and Conformance** was **finalised** and endorsed by ASEAN Coordinating Committee for Standards and Quality (ACCSQ).
- **ASEAN research, capacity and awareness** to facilitate the implementation of the ASEAN Economic Community (AEC) Blueprint 2025 was strengthened through development of an **enhanced AEC Monitoring website**; This website has been developed as a **portal and knowledge hub** for the ASEAN Integration Monitoring Directorate (AIMD) to communicate and disseminate information on the progress of AEC integration. This website is **fully sustainable** with AIMD having taken full ownership.

#### Statistics

- The **IT system** for the **AEC monitoring database** is ready for **migration to production**.
- **New Operational Guidelines** for the implementation of the ACSS Roadmap on **System of National Accounts** provides the structure for the **harmonisation of National Accounts in ASEAN**.
- Intra **CLMV and Thailand** Cooperation enhanced through Mirror Analysis and sharing of their **International Merchandise Trade Statistics**; as part of ASEAN-Help-ASEAN approach.
- CLMV have enhanced their knowledge of the concepts and strategic areas of **International statistical standards** on **Foreign Direct Investment**, with particular emphasis on the **Balance of Payments**.
- The quality of data submission for **Sustainable Development Goals (SDG) Tier 1 Indicators** has been reviewed and will be **further improved through** preliminary guidance provided to AMS. The review has also led to recommendations on the development of an **ASEAN Sustainable Development Goals Indicators online publication**.

- Findings and recommendations from the **Mid Term Review** of the **ACSS strategic plan** (supported by ARISE Plus) will enhance the ASEAN Statistical Committee (ACSS) methods of works, **ensure sustainability**, promote ASEAN-help-ASEAN, and **strengthen ASEANStats**, all leading to **improved governance**.
- **Cambodia-Laos-Myanma (CLM)** countries have improved knowledge in the use of Eurotrace for **International Merchandise Trade Statistics**, leading to improved regularity in data submission to ASEANStats.

## RESOURCES

During this reporting period, ARISE Plus has mobilised the resources shown in the table below.

	Budget WP2	4th Six Monthly Period	Balance WP2
KE Days	836	435	401
SNKE Days	3887	1725	2162
JNKE days	170	24	146
Incidentals	€ 2,430,355	€689,186.60	€1,741,168.4

A more detailed breakdown is provided in Section 3, where the resources used are reported at activity level, aggregated to the component and project level.

## SECTION 1 PROGRAMME ACTIVITIES



### Component 0: Management and Outreach

Component 0	KE	S-NKE	J-NKE	Incidentals
Total	118	0	0	€ 12,470.38



## Sub Component 0.1 Programme Management and Coordination

### Outcome

*Programme resources managed to ensure the efficient and effective realisation of outcomes at all levels.*

The Team Leader, supported by the Key experts and project assistants in Jakarta, coordinated the implementation of the activities for the 6 months period covered by this report under WP2.

In brief, of the 44 planned activities and 14 sub activities (statistics), 42 activities and 8 sub-activities have been initiated and are in progress with 37 being continued from the previous Work plan, all activities initiated are meeting the high standards set by the ARISE Plus Programme Team.

The programme team has organised and held 28 events during the reporting period, providing 105 workshop days, for 1,037 participants. As part of the internal monitoring process, workshop evaluations show participants rated the effectiveness at 78% and efficiency scoring strongly at 80%. Every event has met the prescribed standard and is well above average with some variation depending on topic and participants.

Private sector engagement has significantly increased, with excellent relations established with ASEAN Business Advisory Council, EU-ASEAN Business Council and its associated Euro Chambers members, ASEAN Federation of Forwarders Association, Thailand International Forwarders Association and the ASEAN Food and Beverage Alliance. In anticipation of the forthcoming chairing of ASEAN by Vietnam strong relations have been established with Vietnam Chambers of Commerce and Industry (VCCI) with three joint outreach events being held on the ATR/NTR, ASSIST and ACTS.

Good relations have been maintained with Delegations in the region, with systematic visits from the Team Leader and KEs' when an event or meeting is taking place in the respective countries. A calendar of events for each country has been established, is regularly updated and circulated to the EUD in the region. It serves as a useful tool for forward planning and ensures that the EUD's are aware of ARISE Plus national level activities.

In addition to the above, the tasks carried out by the Team Leader included:

- Regular consultation all relevant stakeholders, including high level officials in ASEAN and EU Delegations;
- Provided technical / strategic inputs to the AEC pillar of the ASEAN Secretariat;
- Managed the team of Key and non-Key Experts, including the reviewing of ToRs for NKE's,
- Monitoring of performance and outputs using internal monitoring tools;
- Reported regularly to the EU Delegation on progress achieved in implementing the programme;
- Participated actively in M&E activities, with specific focus on developing and implementing an integrated monitoring framework across ARISE Plus regional and national components;



- Insured coordination across all ARISE Plus' components, including those to be implemented at the national level in the future;

## Monitoring

This section provides an internal monitoring assessment of the ARISE Plus programme after two years of implementation. The internal assessment focusses on criteria of relevance, partnerships, efficiency and effectiveness in line with the M&E strategy of the programme. The analysis covers the programme from its inception in 8<sup>th</sup> November 2017 until 7<sup>th</sup> November 2019. The monitoring analysis provides aggregate data and in the case of selected areas it provides a breakdown by reporting period.

## Relevance

ARISE PLUS has had a strong relevance to the needs and priorities of its stakeholders, namely the ASEAN Secretariat, the ASEAN Member States and the European Union (EU). ARISE PLUS has provided specific support measures to the following major ASEAN, EU and international agendas:

- The AEC Blueprint (AECB) 2015-2025 including 12 elements (across all 5 AECB Characteristics) and 14 strategic measures
- 41 AECB and Consolidated Strategic Action Plan (CSAP) Key Action Lines
- 7 priorities from the ASEAN-EU Plan of Action
- Five Sustainable Development Goals (SDGs) including SDGs 2, 3, 8, 9 and 17 including 9 specific SDG targets.

These can be visualised from figure 2. ARISE PLUS has also received an average score of 80.55% from 2,564 workshop participants on areas related to relevance.



Figure 1: Relevance of ARISE PLUS

The detailed support measures provided by ARISE PLUS are described in the M&E annex.

## Partnerships

The ARISE PLUS programme has engaged with 13 ASEC Divisions, 38 ASEAN Sectoral Bodies, working groups and ASEAN entities and 85 AMS entities as illustrated in figure 2.

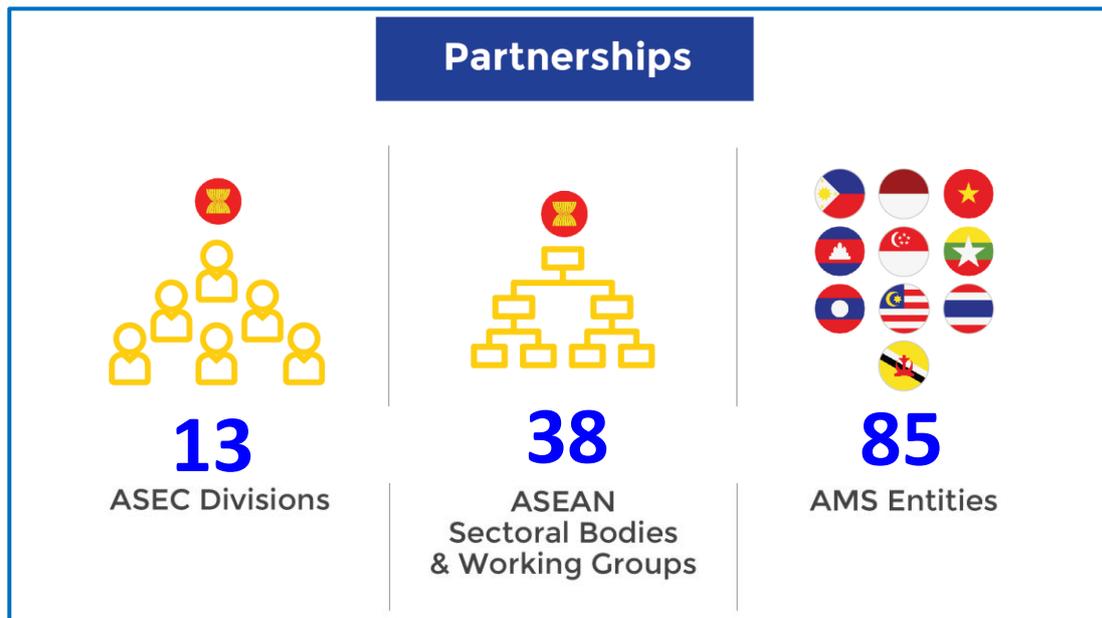


Figure 2: ARISE PLUS partnerships

The partnerships within each of the major categories can be visualised in further detail through figures 4 and 5.

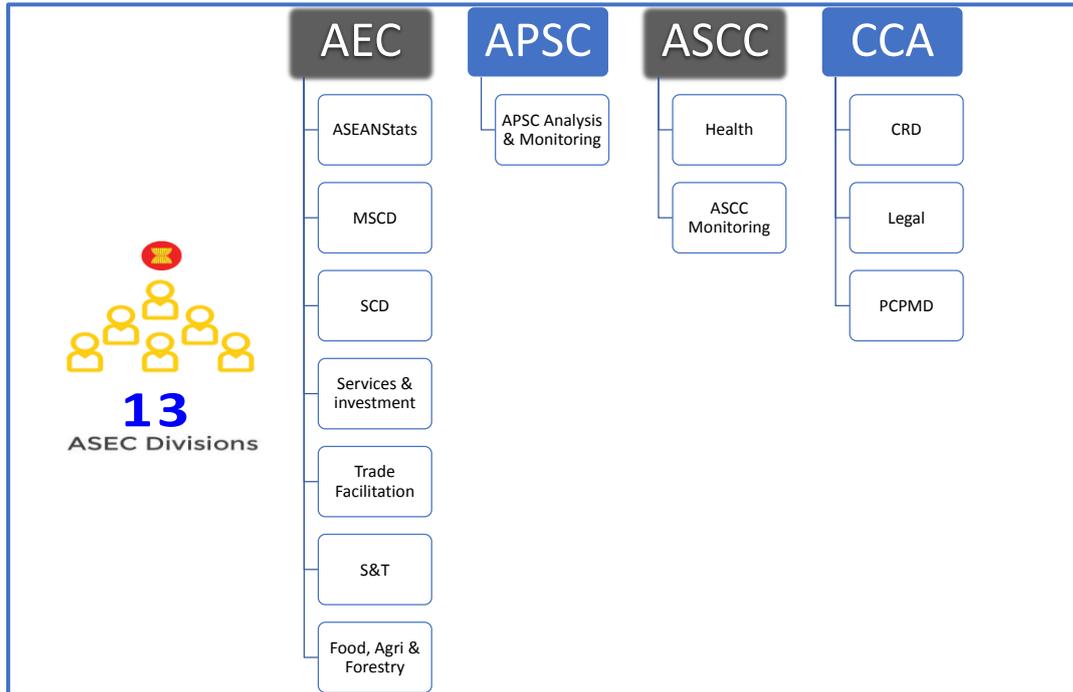


Figure 3: Overview of ASEC Divisions engaged<sup>3</sup>

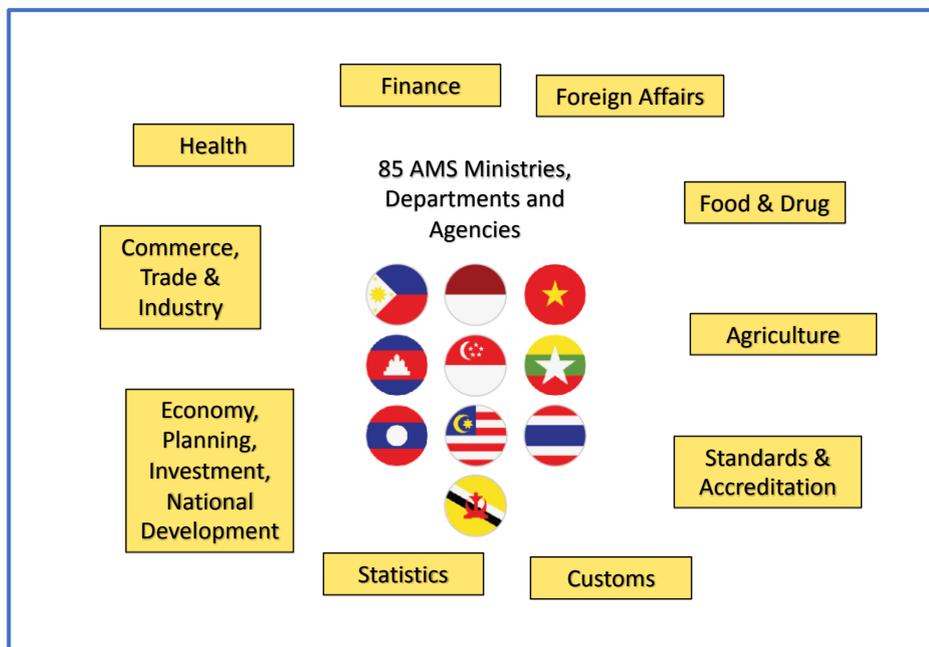


Figure 4: Overview of types of AMS Ministries and agencies engaged

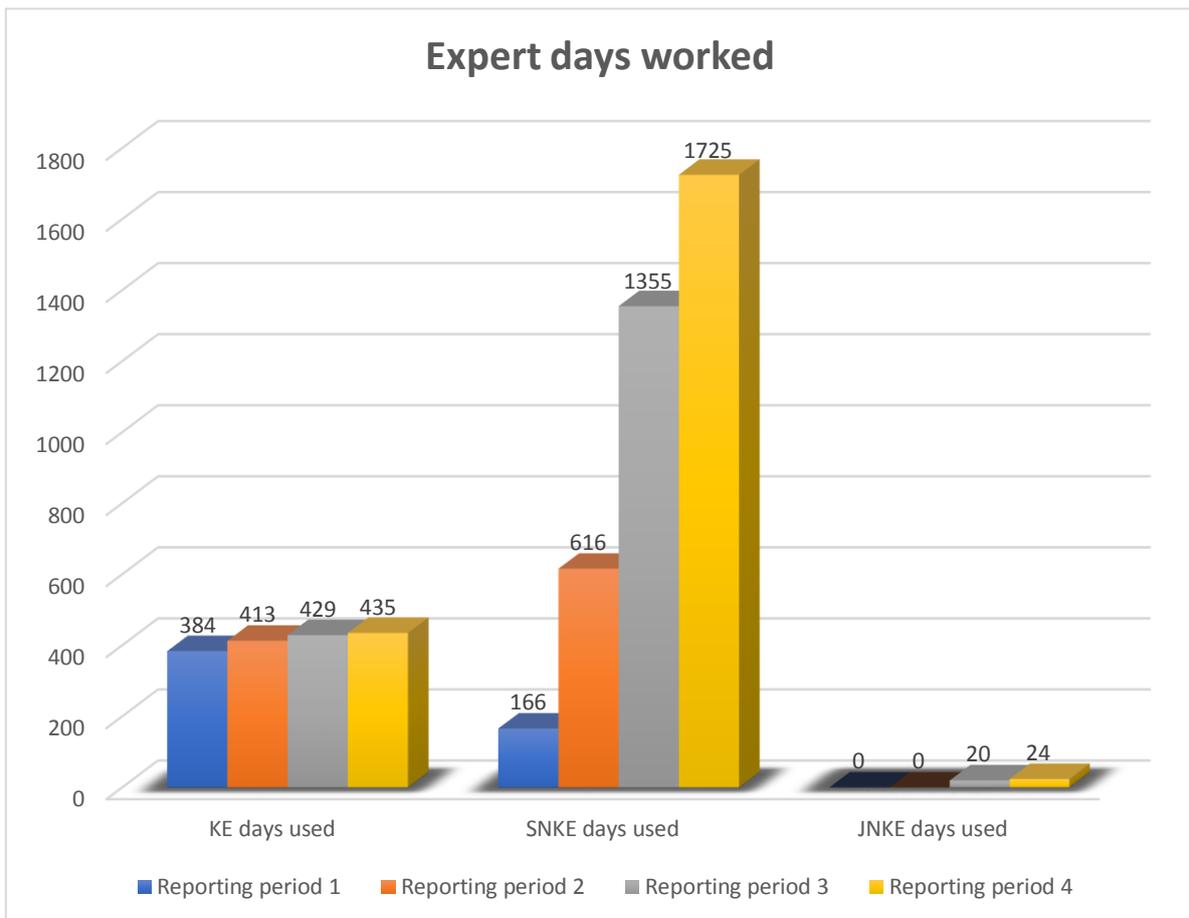
A detailed analysis of these partnerships is provided in the M&E annex

<sup>3</sup> Please refer to page 5 for List of Abbreviations

## Efficiency

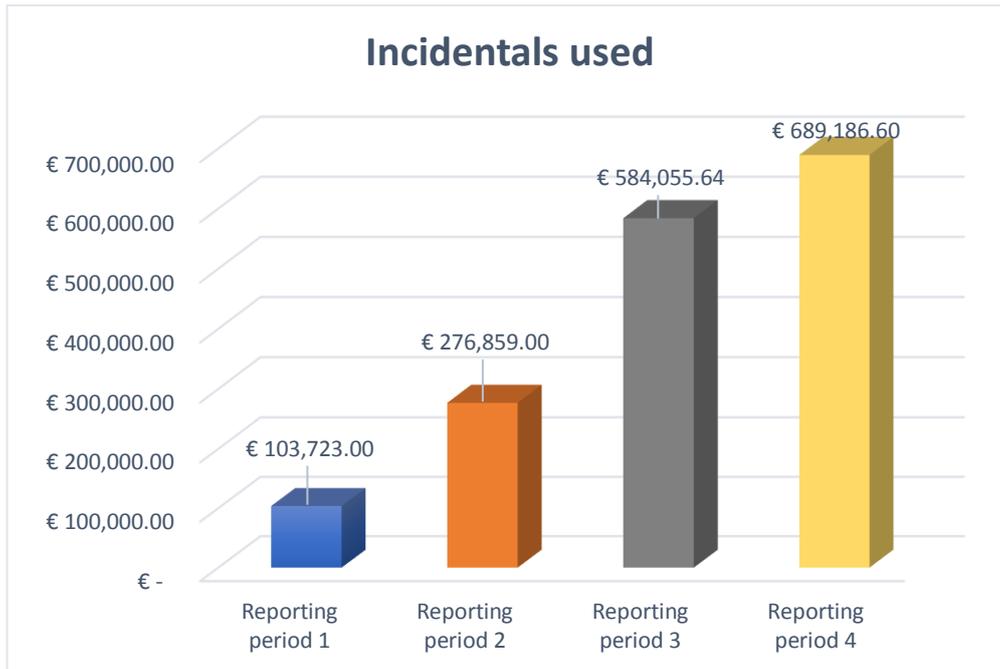
### Availability and utilisation of resources

A total of 1,661<sup>4</sup> key expert (KE) days, 3862 senior non-key expert (SNKE) days and 44 junior non-key expert (JNKE) days have been used since programme commencement until end of October 2019. Over 93 non-key expert (NKE) assignments have been contracted and 1,653,824.24 Euros of incidentals utilised. This utilisation can be visualised from figures 5 and 6.



**Figure 5: expert days used (by reporting period)**

<sup>4</sup> According to audited figures as of July 2019 – these might be subject to change based on the approval process of the EUD



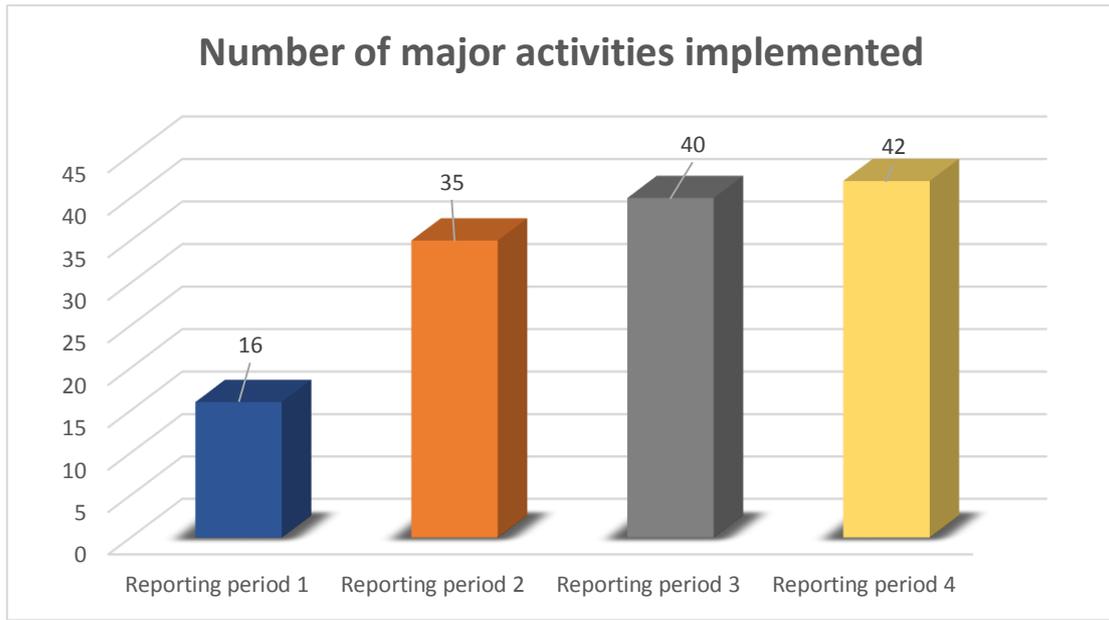
**Figure 6: incidentals used (by reporting period)**

The usage of expert days and incidentals has been increasing with each reporting period, indicating an increase in efficiency in the deployment and availability of resources.

### Activities Implemented

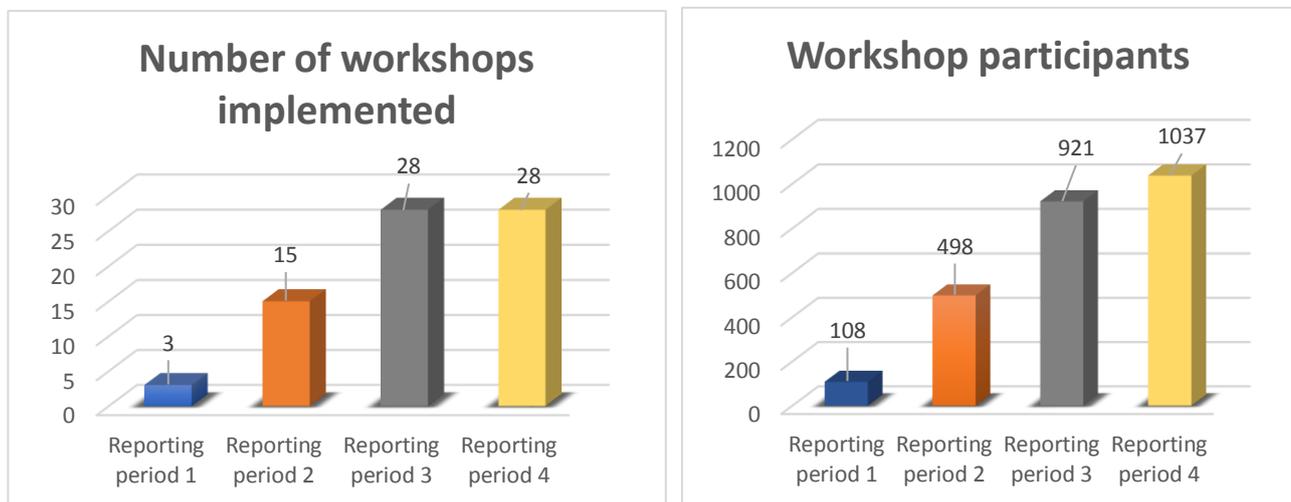
Resources used have resulted in the implementation of a total of **50 major activities and 74 workshops<sup>5</sup>** since commencement of the programme. The increasing number of activities and workshops implemented during each successive reporting period demonstrates the increasing efficiency of ARISE PLUS. Progress was made in 16 major activities during reporting period 1, 35 during reporting period 2, 40 during reporting period 3 and 42 activities during reporting period 4 as visualised in figure 7.

<sup>5</sup> Annex 68



**Figure 7: activities implemented by reporting period**

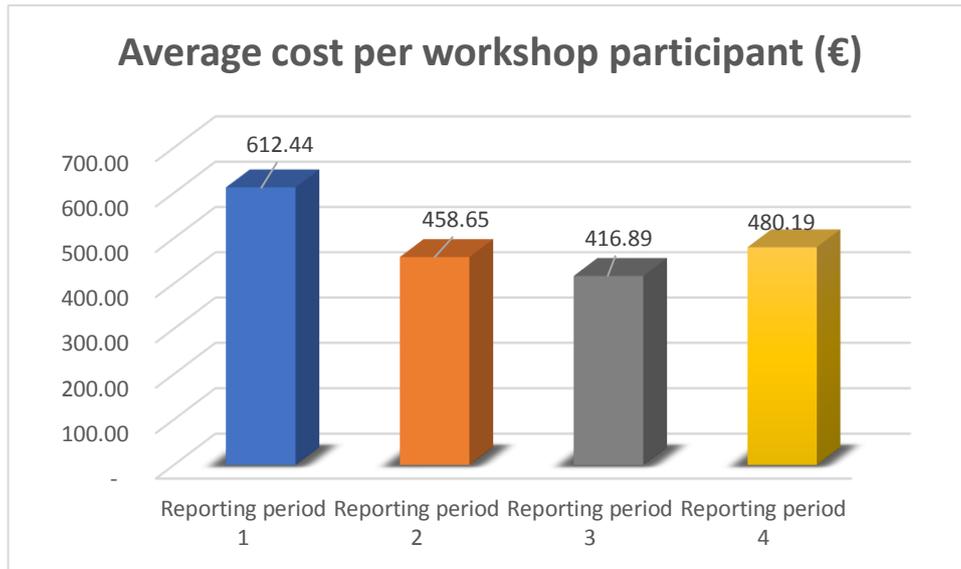
3 workshops were implemented during reporting period 1, 15 during reporting period 2 and 28 each during reporting periods 3 and 4. A total of 108 participants attended workshops during reporting period 1, 516 during reporting period 2, 944 during reporting period 3 and 1037 participants during reporting period 4 as visualised in figure 8.



**Figure 8: workshops and workshop participants by reporting period**

### Efficiency of workshops

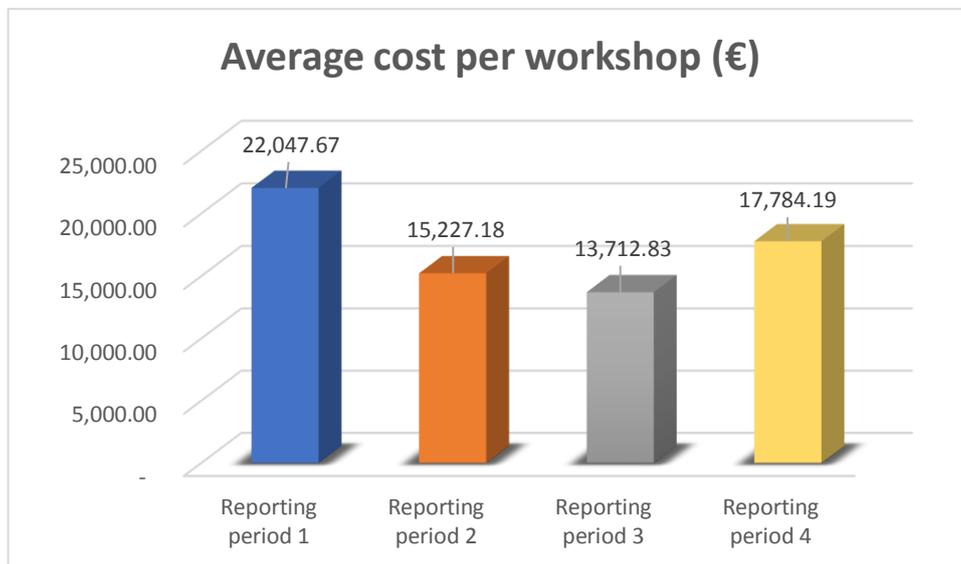
Figure 9 provides the average cost (incidental budget including meeting package costs, per diem and flights) per workshop participant.



**Figure 9: average cost per workshop participant (in €)**

Figure 10 shows the average cost per workshop (incidental budget including meeting package costs, per diem and flights) held. The increases seen in the last reporting period are attributable to the holding of events in Singapore at AMS request and a reduction the number of national events.

However, efficiencies have been gained as a result of increased cost sharing by AMS, the organisation of workshops of longer duration, and back-back events.



**Figure 10: average cost per workshop (in €)**

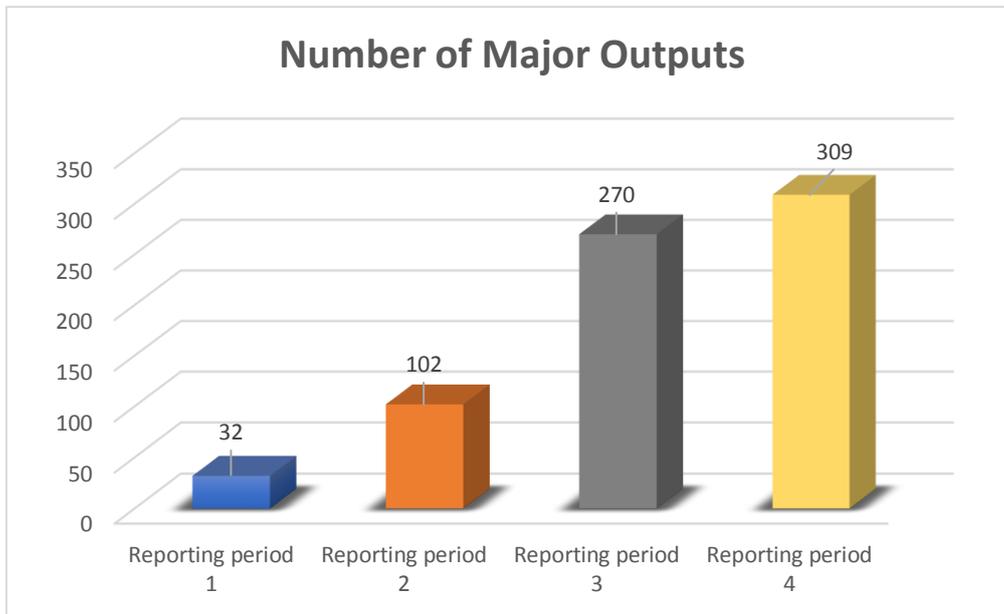
## Effectiveness

### Outputs

ARISE PLUS has overcome significant challenges to generate a substantial number of major high-quality outputs including draft ASEAN publications, internal ASEAN documents, ASEAN ICT systems

and upgrades, workshop reports and training materials, according to the output classification system of the programme<sup>6</sup>.

Figure 11 provides an overview of major outputs generated by reporting period. This indicates increasing number of outputs during each reporting period. The M&E annex provides a detailed breakdown of outputs by component according to classification.



**Figure 11. Major outputs by reporting period**

ARISE Plus has overcome significant challenges to generate a substantial number of major high-quality outputs. Figure 12 provides an overview of the major outputs generated by ARISE Plus which include over 192 internal ASEAN documents, 52 ASEAN ICT systems and upgrades, 84 workshop reports and 61 training materials, according to the output classification system of the program.

### Effectiveness of workshops

As illustrated in figure 12, ARISE PLUS workshops were hosted by all AMS demonstrating the strong ownership of the programme amongst AMS.

<sup>6</sup> Annex 68

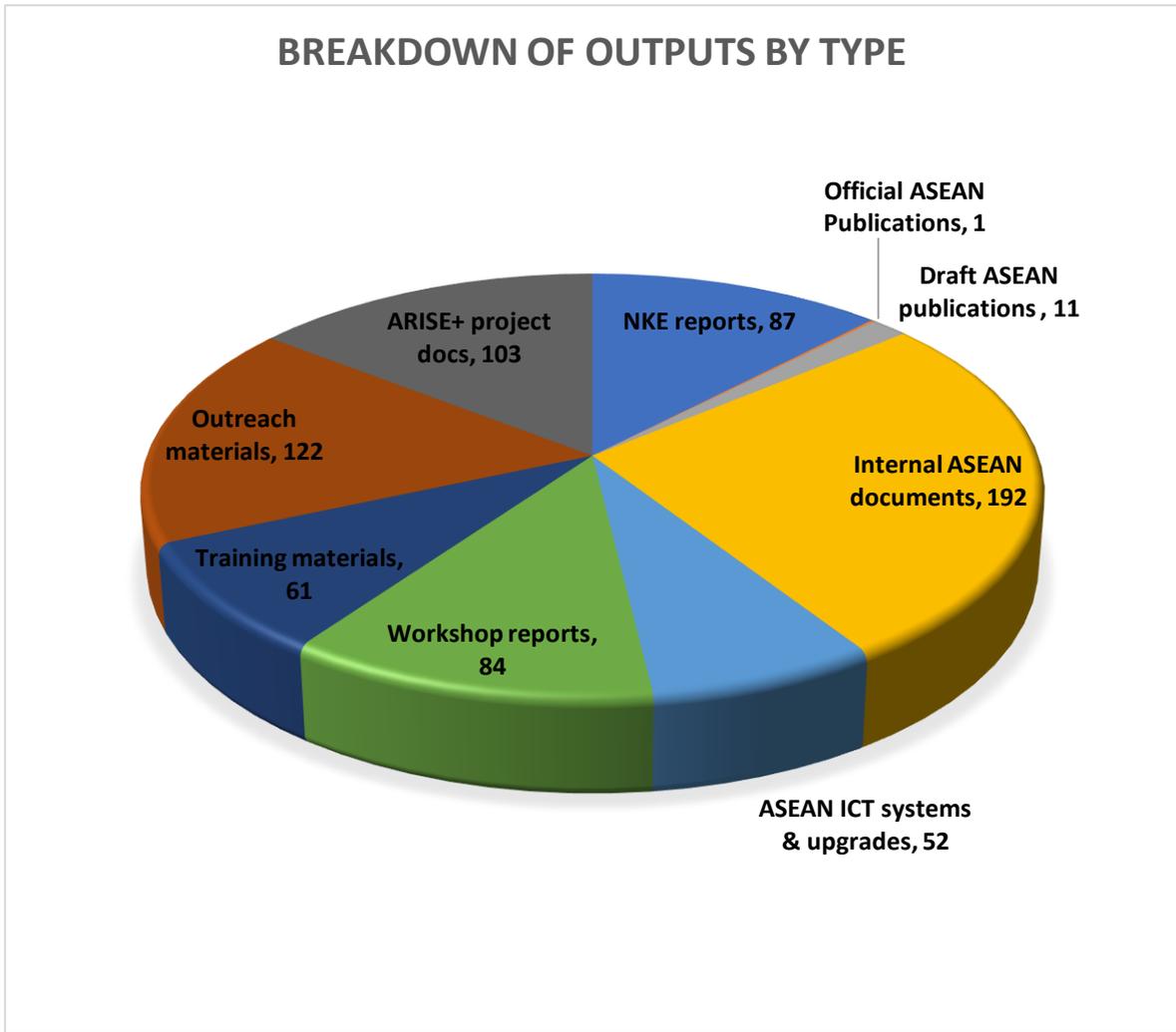
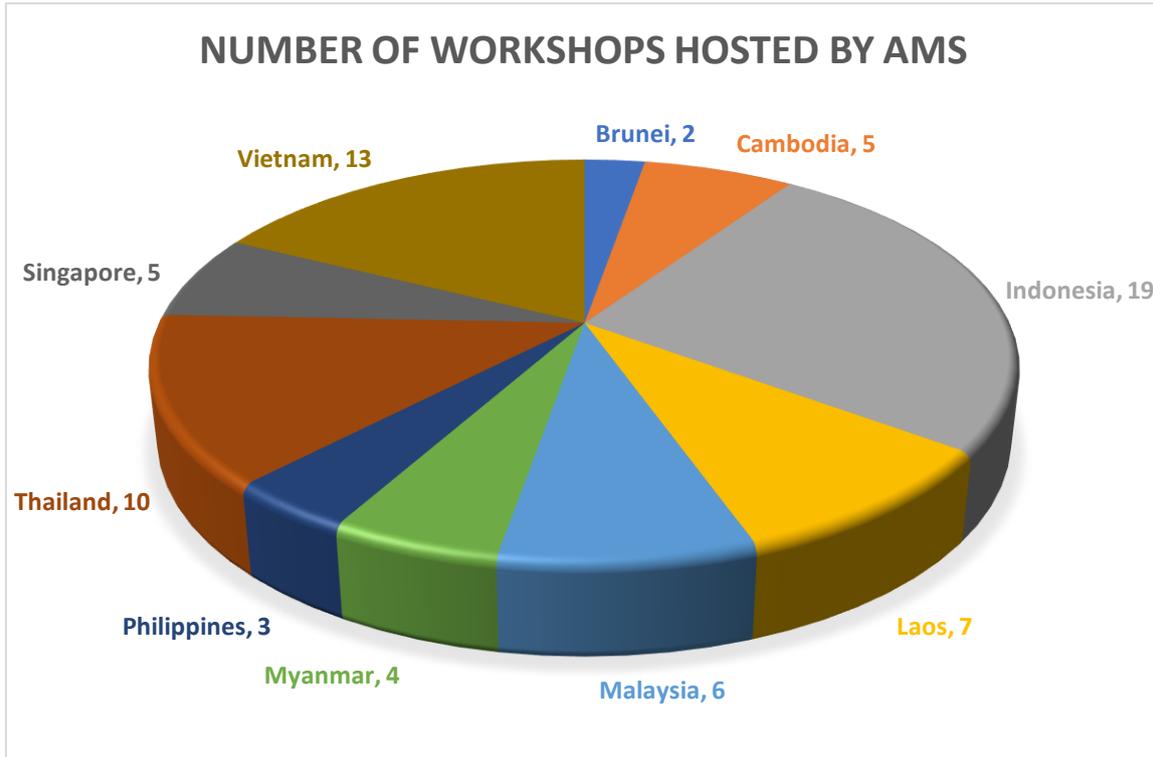
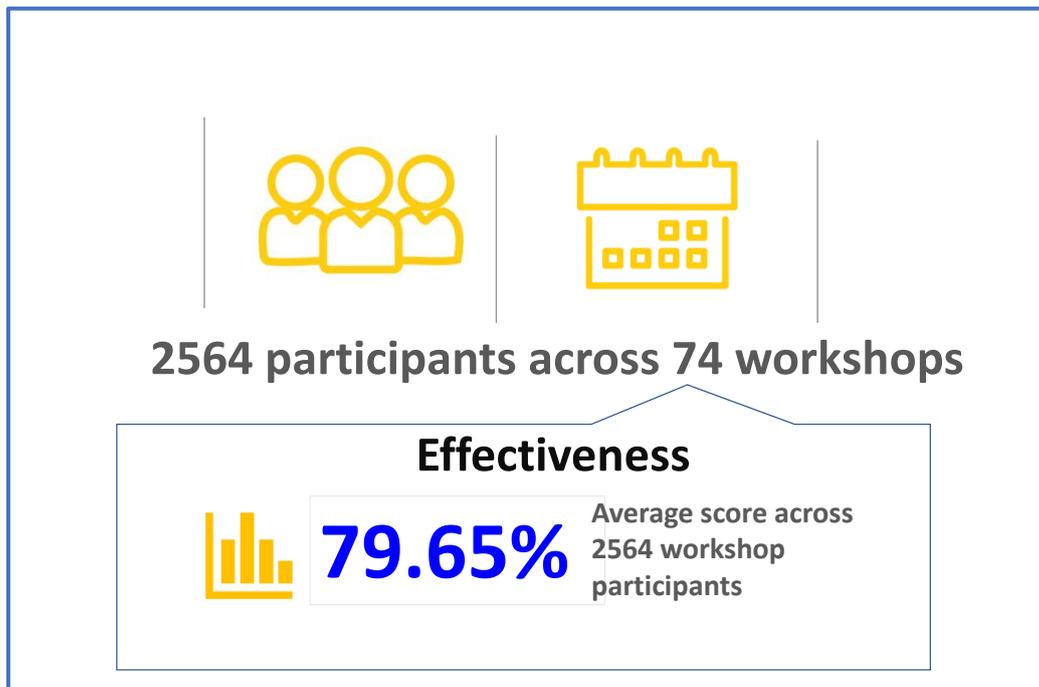


Figure 12 number of major outputs by type



**Figure 13** number of ARISE PLUS workshops hosted by AMS

The workshops were highly rated in terms of effectiveness, with an average score of 79.65% across 2,564 participants.



**Figure 14:** Effectiveness of events (workshops) rated by participants

ARISE PLUS workshops have been characterised by an effective inclusive approach. All 10 ASEAN Member states were well represented across the participants. A total of 999 participants from the CLMV countries have attended the workshops. See figure 15 below for a percentage breakdown of workshop participants by nationality



Figure 15 Breakdown of workshop participants by nationality

In terms of gender, ARISE PLUS workshops have had a strong gender balance during each of the reporting periods as visualised from figure 16. A total of 1304 male participants and 1260 female participants attended ARISE PLUS workshops

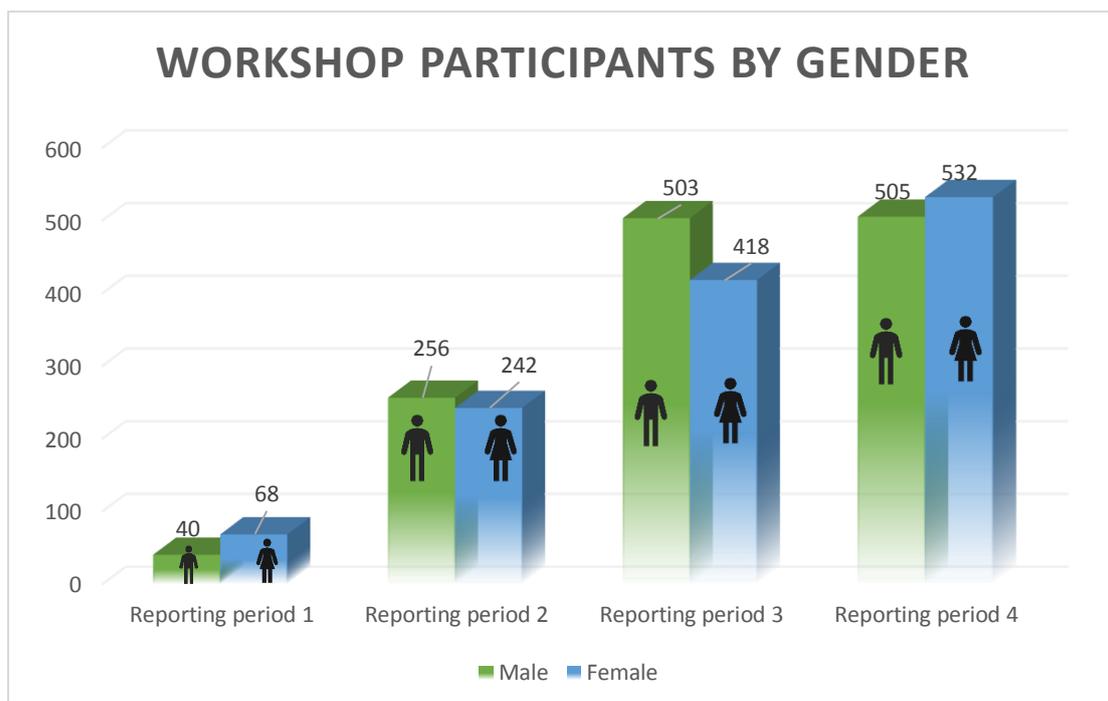


Figure 16: Breakdown of workshop participants by gender

Figure 17 provides a snapshot of the programme after 2 years of implementation in the form of a results chain using aggregate data. A more detailed analysis is provided in the monitoring annex.

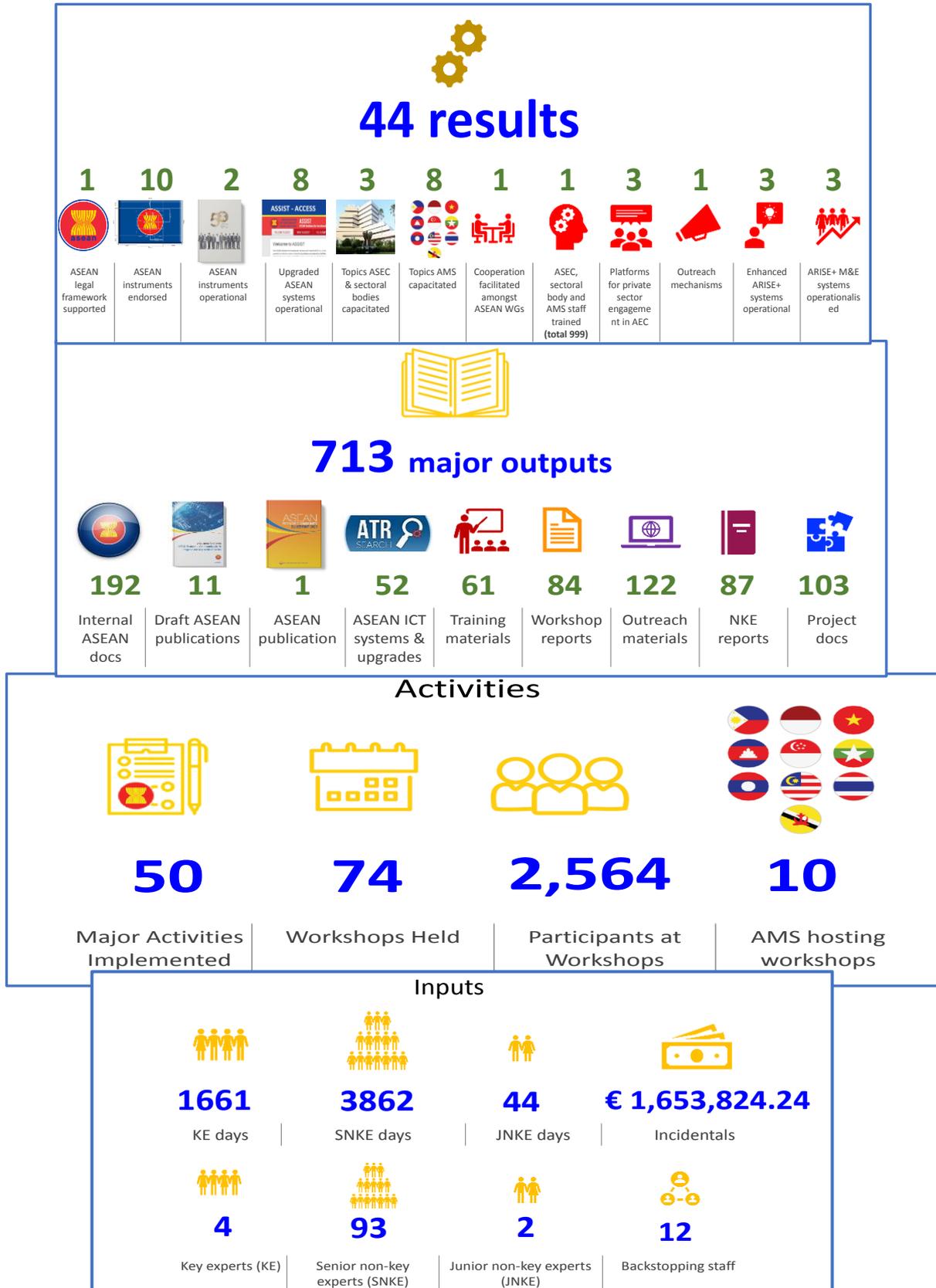


Figure 17 Results Chain



## **Sub Component 0.2 Demand Driven Contingency**

### **Outcome**

*Demand-driven activities facilitated to support the implementation of the AEC Blueprint 2025.*

During this reporting period ARISE plus was requested to expand the scope of three activities which are shown below.

- *Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Food Products*; the number of countries requesting support to carry out the Gap analysis is larger than anticipated with Thailand and Brunei requesting assistance in addition to Cambodia Laos Myanmar;
- *Activity 2.3.5 Establishing Rapid Alert Systems in AMS*; The initial focus of the activity related to traceability and the establishment of relevant guidelines, however direct requests were received from Philippines and Laos for support to improve and or establish national rapid alert systems to which the programme responded positively;
- *Activity 3.2.2 Supporting the implementation of the Action plan for AFAMT* In the process of finalising the implementation guidelines and resulting from AMS consultation requests have been received from Brunei Darussalam, Cambodia, Lao PDR and Malaysia to support the review and or drafting of the multi modal laws and harmonised technical regulation. The work for Brunei Darussalam and Malaysia is complete and awaiting AMS comments, and technical support work is on-going for Cambodia and Lao PDR.

This will result in greater working day allocation to the activities than was foreseen at the time of planning, however this will not affect the overall indicative WP2 allocation of days.

## **Sub Component 0.3 Visibility Communications and Outreach (VCO)**

### **Outcome**

*Communication objectives of the AEC is achieved whilst providing visibility to ARISE PLUS as a flagship programme of the EU and the strategic nature of the EU's support.*

The Team Leader in conjunction with the Key Experts and the backstopping team maintained visibility of programme during this period. This was primarily done via the programme website <http://ariseplus.asean.org>, EU-ASEAN newsletter, EU-ASEAN Bluebook and articles in other portals. Flash reports were also prepared for the EUD's in the region as listed below. The ARISE plus Team Leader attended a briefing from the contractor charged with providing the EU Delegation visibility, which proved fruitful and cooperation modalities have been established with clear differentiation of roles and responsibilities.

### **EU-ASEAN Cooperation Newsletter:**

1. National Workshop on the Identification, Classification and Packaging of Non-Tariff Measures (NTMs) to be Uploaded onto the ASEAN Trade Repository (ATR)
2. ASEAN Customs Transit System (ACTS) Training for Customs, Government Transport and Private Sector in Thailand



3. 2nd Expert Group on Finalisation of Implementation Framework for ASEAN Framework Agreement on Multimodal Transport (AFAMT)
4. ASEAN Customs Transit System (ACTS) Training for Customs Authorities, Government Transport and Private Sector in Vietnam
5. ASEAN Customs Transit System (ACTS) Training for Customs Authorities, Government Transport and Private Sector in Cambodia

**ARISE Plus website articles:**

6. The 5th Regional Workshop on ASEAN International Merchandise Trade Statistics
7. ASEAN Health Cluster 4 Discussion Forum on: The ASEAN Risk Assessment Centre (ARAC) for Food Safety
8. National Workshop on the Process of NTMs Identification, Classification and Packaging for the TBT Measures
9. The 3rd ARISE Plus Roundtable Discussion on Trade Facilitation on the Notification of the ASEAN Non-Tariff Measures (NTMs) Guidelines and on the Consultations with the Private Sector: Is ASEAN Starting to Implement the NTMs Guidelines?
10. The 3rd Regional Workshop on the ASEAN Sustainable Development Goals Indicators (SDGI)
11. The Workshop on Development of ASEAN Mutual Recognition Arrangement (MRA) for Organic Agriculture
12. ASEAN Customs Transit System (ACTS) in Thailand
13. The 4th workshop on Development of Agreement for Implementation of the AFSRF
14. ASEAN Customs Transit System (ACTS) in Vietnam
15. The Second Experts Group Meeting on Finalisation of Implementation Framework for ASEAN Framework Agreement on Multimodal Transport (AFAMT)
16. The Regional Training on International Merchandise Trade Statistics (IMTS) 2010 Manual
17. The 6th Cambodia, Lao PDR, Myanmar, Viet Nam (CLMV) and Thailand Sub-Regional Workshop on ASEAN International Merchandise Trade Statistics (IMTS)
18. Workshop on Development of Maximum Limits for Food Additives and Maximum Limits for Food Contaminants
19. Workshop on the Development of an ASEAN Sectoral MRA for Certified Organic Agricultural Products
20. Seminar on Trade Facilitation Measures for Vietnamese Exporters to ASEAN Market, Hanoi, Vietnam
21. Seminar on Trade Facilitation Measures for Vietnamese Exporters to ASEAN Market, Da Nang, Vietnam
22. Seminar on Trade Facilitation Measures for Vietnamese Exporters to ASEAN Market, Ho Chi Minh, Vietnam
23. The Regional Training on System of National Accounts 2008
24. Cambodia, Lao PDR, Myanmar, Vietnam (CLMV) Training on Foreign Direct Investment Statistics
25. Regional Workshop on Foreign Direct Investment Statistic (FDIS)
26. ASEAN Customs Training System (ACTS) Training for Lao Customs – Session 2
27. Joint Media Statement



## Component 1.0: Trade Facilitation and Transparency

This component on Trade Facilitation is organized on the basis of three Sub-Components of activities in order to: 1) logically reflect the areas of ARISE Plus intervention mandated under the ToRs; 2) better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held during the inception phase, AWP1 and AWP2 with the relevant sectoral bodies (*i.e.*, SEOM, ATF-JCC, CCA, CCS, CCI, Singapore’s 2018 Chair, Thailand’s 2019 Chair, Viet Nam’s 2020 Chair, ASEC, ABAC, etc.); and 3) leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Component 1	KE	S-NKE	J-NKE	Incidentals
<b>Total</b>	94	226	0	€ 64,700.82

### Sub-Component 1.1 ATIGA Implementation with Focus on Transparency and NTMs

#### Outcome

*Enhanced trade-related regulatory transparency and progressive NTMs’ streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs’ National Trade Repositories (NTRs).*

#### **Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs**

<b>Activity 1.1.1</b>	<b>Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs</b>
<b>Main Beneficiaries Engaged</b>	ASEC’s TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN.
<b>Tasks Status</b>	<b>Deployed</b> specialised teams of experts to engage with the AMSs’ authorities in charge of the respective NTRs and of web-linkages to the ATR. The initial objective was to gather all relevant NTMs in the ATR sub-category of TBT and properly classify them, ‘package’ them for upload on the ATR, verify them, submit them to the respective AMSs’ authorities for validation, and upload them onto the ATR by web-linking them to the NTR and/or the available national online databases. Work was conducted in Brunei Darussalam, Cambodia, Indonesia, Lao PDR, Malaysia, Myanmar,



<p><b>Activity 1.1.1</b></p>	<p><b>Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs</b></p>
	<p>the Philippines, Singapore, and Viet Nam. Engagement with Thailand’s relevant authorities and line agencies remains challenging, with progress delayed in respect of both the SPS and TBT categories.</p> <p><b>Status:</b> The process of identification, classification and ‘<i>packaging</i>’ of the respective AMSs’ TBT NTMs for upload on the ATR is:  <u>Well advanced</u> and ready for AMSs’ validation in Cambodia, Lao PDR, Myanmar and Viet Nam;  <u>Ongoing</u> in Brunei Darussalam, Malaysia and Singapore; and  <u>Delayed</u> in Indonesia, the Philippines and Thailand.</p>
<p><b>Outputs Delivered</b></p>	<ul style="list-style-type: none"> <li>• <b>Interim Reports</b> containing the detailed account of all work being so far done by the STEs in relation to the SPS and TBT NTMs that were identified, collected, classified and ‘<i>packaged</i>’ in the respective AMSs, for verification by ARISE Plus, validation by AMSs and subsequent upload by AMSs on the ATR under the sub-categories on SPS (4.1) and TBT (4.2), have been produced in either Word files or Excel spreadsheets. The documents produced by the respective STEs are filed as <b>ANNEX 1, 2, and 3</b>.</li> <li>• The development of an <b>interactive page on the ATR</b> website called ‘<i>Enquiries</i>’ was completed and presented to AMSs at the CCA meeting of 18 June 2019. AMSs’ final endorsement is expected at the CCA meeting of 27 November 2019 for it to be made available to the public at large.</li> </ul>
<p><b>Problems Encountered</b></p>	<p>The major implementation problem has been again the difficulty for STEs to meaningfully and systematically engage with the ATR Focal Points and especially with the line agencies having SPS and/or TBT competences in most AMSs. Good ‘<i>buy in</i>’ and ownership was so far achieved only in Brunei Darussalam, Cambodia, Lao PDR, Malaysia, Myanmar, Singapore and Viet Nam, at different speeds and degrees of engagement. To a lesser degree in Indonesia, the Philippines and Thailand. The work in most AMSs keeps suffering from lack of inter-ministerial coordination and systematic delays for the unavailability of line agencies to meet and advance the identification and classification work.</p>
<p><b>Lessons Learned</b></p>	<ul style="list-style-type: none"> <li>• Increased focus has been placed during AWP2 on bringing together the relevant AMSs’ authorities sharing SPS and/or TBT competences. This is intended to ensure greater inter-ministerial coordination, ownership and initial identification of the relevant legal instruments where NTMs need to be identified. Where successful, this expedites the work and facilitates to process of NTMs validation ahead of upload onto the ATR.</li> </ul>

Overall Result to-date

- With respect to the ATR sub-categories 4.1 on SPS and 4.2 on TBT, to date the following quantitative results have been achieved or are on target to be achieved by the end of December 2019 (vis-à-vis the baselines provided in the May 2018 'Mapping Reports'). Please note that the numbers in **green** are final:

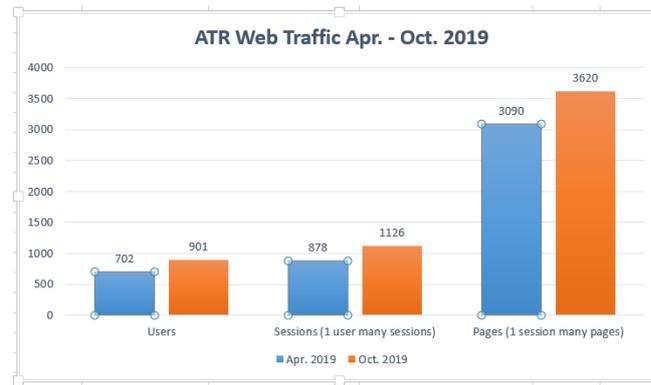
AMS	SPS NTMs in 2018 'Mapping Report'	TBT NTMs in 2018 'Mapping Report'	Validated and Uploaded on ATR (to date)	Collected, but not yet Verified by ARISE Plus and/or Validated by AMSs	Expected by 31 December 2019
Brunei	29	29	n.a.	SPS: 178 – TBT: 245	> 100
Cambodia	0	0	79	TBT: 130	> 200
Indonesia	0	0	137 <sup>7</sup>	SPS: 201 – TBT: n.a.	> 500
Lao PDR	0	0	74	TBT: 120	> 200
Malaysia	1	1	n.a.	SPS: 77 – TBT: 15	> 300
Myanmar	5	11	53	TBT: 76	> 100
Philippines	84	42	90 <sup>8</sup>	SPS: 276 – TBT: 359	> 300
Singapore	12	38	130	TBT: 105	> 300
Thailand	31	18	n.a.	n.a. (188 legal instruments)	> 500
Viet Nam	1	1	251	TBT: 302	> 500
<b>TOTAL</b>	<b>163</b>	<b>150</b>	<b>820</b>	<b>2,084</b>	<b>&gt; 3,000</b>

- Major improvements were also achieved in terms of the quality and uniformity of the information uploaded on the ATR (accessible at <https://atr.asean.org/index.php/links/search/>) and in terms of the AMSs' Focal Points understanding of the methodology, approach and requirements to progressively operationalize the ATR. This should result in speedier and more fruitful engagement by STEs during AWP2, particularly vis-à-vis the process of identification, classification and 'packaging' of the TBT NTMs and of the residual SPS NTMs.

<sup>7</sup> Updated without ARISE Plus verification, currently in the process of review for re-validation.

<sup>8</sup> Updated without ARISE Plus verification, currently in the process of review for re-validation.

- 28% increase in visits to ATR reflecting the ongoing efforts to operationalise the ATR:



### Activity 1.1.2 Supporting the Coordinating Committee on the Implementation of the ATIGA

Activity 1.1.2	Supporting the Coordinating Committee on the Implementation of the ATIGA
Main Beneficiaries Engaged	CCA and ASEC's TFD
Tasks Status	<ul style="list-style-type: none"> <li>• <b>Assisted</b>, on ASEC's TFD and CCA's demand, with the further discussion and conceptualization of the process of implementation of the ASEAN NTMs Guidelines as well as the presentation of the "Enquiries" page for the ATR and the "ePlatform for Consultations with the Private Sector". <b>Status:</b> ongoing</li> <li>• <b>Gave</b> progress update, at the CCA meeting held in Hanoi, Viet Nam, on 18 June 2019. <b>Status:</b> completed</li> </ul>
Outputs Delivered	N.A.
Problems Encountered	N.A.
Lessons Learnt	N.A.
Overall Result to-date	Dedicated assistance is being conceptualized for supporting the implementation of the NTM guidelines



## Sub-Component 1.2 Trade Facilitation and Private Sector Engagement

### Outcome

*Private sector engagement is enhanced through increased participation in the activities of ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.*

### Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	
Main Beneficiaries Engaged	SEOM, ATF-JCC, CCA, CCA, CCI, SID, AMSs' ASSIST Focal Points, ASEC's TFD and Private Sector
Tasks Status	<ul style="list-style-type: none"> <li> <b>Presented and reported</b> on the progress in the technical assistance activities and roll-out of ASSIST for Trade in Services at the meeting of the Coordinating Committee on Services (CCS) in Kuala Lumpur, Malaysia on 20 June 2019. <b>Status:</b> completed         </li> <li> <b>Held</b> 4 dedicated outreach events (upon invitation) on ASSIST for Trade in Goods and Trade in Services, as well as on the new features of ASSIST Website (<i>i.e.</i>, anonymity features, tutorials, enhanced processes, etc.), for the benefit of selected AMSs' private sector organizations (<i>i.e.</i>, in Solo, Indonesia on 17 July 2019, upon the invitation of the Ministry of Trade of Indonesia; and in Hanoi on 23 September 2019, Danang on 25 September 2019, and Ho Chi Minh City on 27 September 2019, upon the invitation of VCCI). <b>Status:</b> completed         </li> <li> <b>Supervised and finalized</b>, in preparation for trainings, the translations into all ASEAN languages other than English (<i>i.e.</i>, Bahasa Indonesia, Burmese, Khmer, Laotian, Thai and Vietnamese) of the updated User Manual with ASSIST's Standard Operating Procedures, all ASSIST Website's pages, the 5 Tutorials, and the standard ASSIST Outreach materials for creating greater awareness among the public at large, especially SMEs and MSMEs in all AMSs. <b>Status:</b> completed         </li> <li> <b>Conceptualized</b> the new procedural features of ASSIST for Investments, coordinated them among all relevant actors (<i>i.e.</i>, ASEC's Services and Investment Division (SID), the Coordinating Committee on Investment         </li> </ul>

Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors
	<p>(CCI) and AMSs, and supervised the work by the STEs to upgrade the ASSIST website, and adjust the ASSIST templates and related procedures, once the system has been endorsed by CCI and the AMSs.</p> <p><b>Status:</b> ongoing</p> <ul style="list-style-type: none"><li>• <b>Presented and discussed</b> the initial concept of ASSIST for Investment for discussion at the meeting of the Coordinating Committee on Investment (CCI) in Bogor, Indonesia on 10 October 2019.</li></ul> <p><b>Status:</b> completed</p> <ul style="list-style-type: none"><li>• <b>Provided</b> regular (<i>‘on demand’</i>) support to the ASEAN Secretariat (<i>i.e.</i>, ASSIST’s Central Administrator) to manage the ongoing ASSIST cases (5 new cases filed in Q1 and Q2 of 2019) and to address a multitude of technical issues (<i>i.e.</i>, procedural matters, IT glitches, dashboard management, support to AMSs, etc.).</li></ul> <p><b>Status:</b> ongoing</p>
Outputs Delivered	<ul style="list-style-type: none"><li>• The updated User Manual with ASSIST’s Standard Operating Procedures, all ASSIST Website’s pages, the 5 ASSIST Tutorials, and the standard ASSIST Outreach Materials were translated into all ASEAN languages other than English (<i>i.e.</i>, Bahasa Indonesia, Burmese, Khmer, Laotian, Thai and Vietnamese). They are available in <b>ANNEX 4</b>.</li><li>• The Concept of ASSIST for Investment presented to CCI for discussion and endorsement. It is available in <b>ANNEX 5</b>.</li><li>• The <b>Interim Report</b> containing the detailed account of all work being so far done by the STE in relation to ASSIST (Outreach, translation of documents into all ASEAN languages and conceptualization of ASSIST for Investments). The document produced by the contracted STE is filed as <b>ANNEX 6</b>.</li></ul>
Problems Encountered	N.A.
Lessons Learnt	<p>Greater awareness and continuing outreach initiatives are necessary in order for ASEAN’s business sector to increase its utilization of ASSIST. The new anonymity features have played a positive role in increasing the rate of ASSIST utilization and should continue to be further socialized among ASEAN’s private sector, representative organizations and lawyer/law firms. Finally, the accessibility and dissemination of the information, training materials and tutorials in all official ASEAN languages looks poised to enable national Governments and private sector organizations to socialize ASSIST and create further awareness, especially among SMEs and MSMEs.</p>



<b>Overall Result to-date</b>	<p>ASSIST has been improved in terms of the operating procedures, scope (extension to services and soon to investments), and addition of important anonymity features, as well as with the development of 5 online tutorials, the updated User Manual and the translation of all materials and IT resources into all ASEAN languages other than English (<i>i.e.</i>, Bahasa Indonesia, Burmese, Khmer, Laotian, Thai and Vietnamese). ASSIST for Trade in Services has been officially launched at the AEM retreat in Phuket, Thailand on 23 April 2019 and has been fully operationalized for use by ASEAN Enterprises as of 1 May 2019. 5 new cases have been filed since the new anonymity features have been operationalized (1 January 2019), 4 of which by representative entities providing anonymity to the actual complainants.</p>
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### Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee

Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee
<b>Main Beneficiaries Engaged</b>	ATF-JCC; ASEC’s DSG for AEC; ASEC’s TFD; SEOM; CCA; CCC; TWFG; ASW-SC; ACCSQ; AMSs and Private Sector
<b>Tasks Status</b>	<ul style="list-style-type: none"> <li> <p>• <b>Held</b> the 3<sup>rd</sup> Roundtable Discussion on Trade Facilitation on the general theme of “<i>Notification of Non-Tariff Measures (NTMs) by ASEAN Member States and Consultations with Private Sector: Is ASEAN Starting to Implement the NTMs Guidelines?</i>”, with the public session (morning) on “<i>Notification of Non-Tariff Measures (NTMs) and Implementation of NTMs Guidelines</i>” and the institutional session (afternoon) on “<i>ASEAN Member States’ Consultation with the Private Sector</i>” in Bangkok, Thailand on 9 July 2019, back-to-back with the ATF-JCC meeting of 10-11 July 2019.</p> <p><b>Status:</b> completed</p> </li> <li> <p>• <b>Prepared</b>, discussed with ASEC’s TFD, and circulated (on 17 July 2019) the report with the Key Messages from 3<sup>rd</sup> Roundtable Discussion on Trade Facilitation, for discussion and endorsement by ABAC and ATF-JCC.</p> <p><b>Status:</b> completed</p> </li> <li> <p>• <b>Prepared</b>, discussed with ASEC’s TFD, and circulated (on 7 July 2019) the Concept with Key Features of the “<i>ePlatform for Consultations with the Private Sector</i>”, for discussion and endorsement by ABAC and ATF-JCC.</p> <p><b>Status:</b> completed</p> </li> <li> <p>• <b>Prepared</b>, supervised and completed, in cooperation with the ARISE Plus IT Expert (STE) the parallel website with the “<i>ePlatform for Consultations with the Private Sector</i>”, which is available at <a href="https://ediscussionv2.assist-asean.org/login">https://ediscussionv2.assist-asean.org/login</a> (not yet public until it is</p> </li> </ul>

Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee	
	<p>endorsed by the ATF-JCC and AMSs). This temporary website can be accessed by using the login <a href="mailto:p.vergano@fratinivergano.eu">p.vergano@fratinivergano.eu</a> and the password <a href="#">forum2019</a>.</p> <p><b>Status:</b> completed</p> <ul style="list-style-type: none"><li>• <b>Participated</b> at the 15<sup>th</sup> meeting of the ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC) held in Bangkok on 10-11 July 2019, with a PowerPoint Presentation given to report on the support by ARISE Plus on trade facilitation issues (<i>i.e.</i>, “<i>ATR/NTRs progressive operationalization</i>”, the “<i>Enhancement of ASSIST</i>”, the “<i>Support of Private Sector Engagement</i>”, the implementation of the “<i>NTMs Guidelines</i>”, the results of the “<i>3<sup>rd</sup> ARISE Plus Roundtable Discussion on Trade Facilitation</i>”, and the presentation of the two new trade facilitation features on “<i>ATR Enquiries</i>” and “<i>ePlatform on Consultations with Private Sector</i>”).</li></ul> <p><b>Status:</b> completed</p>
Outputs Delivered	<ul style="list-style-type: none"><li>• The report with the Key Messages from the 3<sup>rd</sup> Roundtable Discussion on Trade Facilitation, which is available as <b>ANNEX 7</b>.</li><li>• The reports, in the form of a PowerPoint Presentation, presented at the meeting of the ATF-JCC. This report is available as <b>ANNEX 8</b>.</li><li>• The Concept with Key Features of the “<i>ePlatform for Consultations with the Private Sector</i>”, as well as the temporary parallel website for “<i>ePlatform for Consultations with the Private Sector</i>”. This concept is available as <b>ANNEX 9</b>.</li><li>• The <b>Interim Report</b> containing the detailed account of all work being so far done by the STE in relation to the support of the ATF-JCC and the holding of Roundtable Discussions on Trade Facilitation. The document produced by the contracted STE is filed as <b>ANNEX 6</b>.</li></ul>
Problems Encountered	N.A.
Lessons Learnt	N.A.

Overall Result to-date	<p>The various ASEAN trade facilitation initiatives being supported by ARISE Plus (<i>i.e.</i>, “<i>ATR/NTRs progressive operationalization</i>”, the implementation of the “<i>NTMs Guidelines</i>”, particularly with regards to Principles 2 and 3 thereof, and the roll-out of “<i>ASSIST for Trade in Services</i>”, as well as the improvement of the basic procedures and anonymity features of ASSIST in general) are progressing smoothly and the results achieved through the assistance provided by ARISE Plus have been endorsed and referenced by ASEAN at ATF-JCC and SEOM level.</p>
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### Activity 1.2.3 Strengthening Public-Private Cooperation

Activity 1.2.3 Strengthening Public-Private Cooperation	
<b>Main Beneficiaries Engaged</b>	ABAC, EABC, ATF-JCC, ASEC
<b>Tasks Status</b>	<ul style="list-style-type: none"> <li> <b>Coordinated</b> with ASEAN’s private sector, particularly within the ASEAN Business Advisory Council (ABAC), the Thai ABAC Chair, the EU-ASEAN Business Advisory Council (EABC), and the ASEC’s Trade Facilitation Division and Enterprise &amp; Stakeholders Engagement Division in order to advance private sector engagement with ASEAN institutions, notably with respect to the <i>ARISE Plus Roundtables on Trade Facilitation</i>, the implementation of the <i>NTMs Guidelines</i>, and the use of <i>ASSIST</i>. <b>Status:</b> ongoing         </li> <li> <b>Delivered</b> a Presentation on “<i>Report by ARISE Plus in relation to Trade Facilitation and Transparency</i>” to the AGM Meeting of the EU-ASEAN Business Council in Singapore on 28 June 2019, with particular focus on the activities under Component 1, notably the process of ATR/NTRs operationalization, regulatory transparency and streamlining of NTMs, and the extension of ASSIST to Trade in Services and the improvement of ASSIST’s ‘<i>anonymity features</i>’, and the creation of the ‘<i>ePlatform for Consultations with the Private Sector</i>’. <b>Status:</b> completed         </li> <li> <b>Supported</b> the 3<sup>rd</sup> Informal Dialogue Session between the ASEAN Secretariat and the ASEAN Business Councils, which was held in Jakarta on 3-4 October 2019. <b>Status:</b> completed         </li> </ul>
<b>Outputs Delivered</b>	The presentation on ARISE Plus, delivered at the AGM Meeting of the EU-ASEAN Business Council in Singapore on 28 June 2019, which is available as <b>ANNEX 10</b> .
<b>Problems Encountered</b>	N.A.
<b>Lessons Learnt</b>	N.A.

<b>Overall Result to-date</b>	ASEAN’s private sector has been systematically engaged for purposes of creating greater awareness on and utilization of ASSIST (see Activity 1.2.1), for purposes of increasing its consultations with the relevant ASEAN sectoral bodies, primarily within the ATF-JCC and through the informal Roundtable Discussions on Trade Facilitation (see Activity 1.2.2), and with a view to tackling more effectively the NTMs and NTBs that hamper ASEAN economic integration (particularly through assistance for the
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*Implementation of the NTMs Guidelines and the ePlatform for Consultations with Private Sector).*

### Sub-Component 1.3 ‘On-Demand’ Activities

#### Outcome

*Legal certainty, commercial predictability and trade facilitation are enhanced through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.*

#### Activity 1.3.1 General Review of the ASEAN Trade in Goods Agreement (ATIGA)

Activity 1.3.1 General Review of the ASEAN Trade in Goods Agreement (ATIGA)	
<b>Main Beneficiaries Engaged</b>	CCA, SCA-ROO, AMSs, Private Sector
<b>Tasks Status</b>	<p>This ‘on demand’ activity has not yet been activated by ASEAN, but coordination work has been conducted with ASEC’s Trade Facilitation Division in order to define the scope of intervention in light of the ToRs adopted by the AFTA Council mandating SEOM to work with development partners to conduct the <i>General Review of the ATIGA</i>. CCA is currently evaluating a number of concepts proposed by development partners and shall discuss with the selected one the specific scope, objectives and methodology for the <i>General Review of the ATIGA</i> to be conducted. ARISE Plus will likely support ASEAN with the legal review of the ATIGA in light of relevant international best practices and will propose legal improvements for the renegotiation of the ATIGA. The economic impact assessment of the ATIGA will be conducted by another development partner.</p> <p><b>Status:</b> ongoing</p>
<b>Outputs Delivered</b>	N.A.
<b>Problems Encountered</b>	No specific request was made yet by ASEAN with respect to this ‘on demand’ activity. A decision is expected to be made by the end of the year, with technical assistance to be provided starting in Q1 of 2020.
<b>Lessons Learnt</b>	N.A.
<b>Overall Result to-date</b>	N.A.



### Activity 1.3.2 Supporting the Implementation of the NTMs Guidelines

Activity 1.3.2 Supporting the Implementation of the NTMs Guidelines	
<b>Main Beneficiaries Engaged</b>	ACCC, SEOM, ACCSQ, AMSS' Regulators
<b>Tasks Status</b>	<ul style="list-style-type: none"> <li>• Coordination work has been conducted with ASEC's Trade Facilitation Division in order to define the scope of intervention in light of the two projects that CCA, SEOM and the ATF-JCC wish ASEAN to embark on with respect to the implementation of the NTMs Guidelines: 1) Capacity building on Principles 1, 2, 3, and 5 of the NTM Guidelines <b>Status:</b> ongoing</li> <li>• <b>Held</b> the 3<sup>rd</sup> Roundtable Discussion on Trade Facilitation (see Activity 1.2.2) on the general theme of <i>"Notification of Non-Tariff Measures (NTMs) by ASEAN Member States and Consultations with Private Sector: Is ASEAN Starting to Implement the NTMs Guidelines?"</i>, with the public session (morning) on <i>"Notification of Non-Tariff Measures (NTMs) and Implementation of NTMs Guidelines"</i> and the institutional session (afternoon) on <i>"ASEAN Member States' Consultation with the Private Sector"</i> in Bangkok, Thailand on 9 July 2019, back-to-back with the ATF-JCC meeting of 10-11 July 2019. This activity was implemented to advance the implementation of the NTMs Guidelines. <b>Status:</b> completed</li> <li>• <b>Conceptualized</b> and constructed the temporary parallel website with the <i>"ePlatform for Consultations with the Private Sector"</i> (see Activity 1.2.2), which is critical in order to advance the process of private sector engagement, in line with the NTMs Guidelines, and cross-cutting vis-à-vis a number of areas of ARISE Plus intervention. <b>Status:</b> completed</li> </ul>
<b>Outputs Delivered</b>	<ul style="list-style-type: none"> <li>• The temporary parallel website with the <i>"ePlatform for Consultations with the Private Sector"</i>, which is being tested and evaluated for endorsement by AMSS.</li> <li>• The decision-making process within ASEAN (at SEOM/ATF-JCC/CCA level) is still ongoing and the ToRs and methodology of the two projects are still being defined. A decision is expected to be made by the end of the year, with technical assistance through SNKE to be provided starting in Q1 of 2020.</li> </ul>
<b>Problems Encountered</b>	The decision-making process within ASEAN (at SEOM/ATF-JCC/CCA level) is still ongoing and the ToRs and methodology of the two projects are still being defined. A decision is expected to be made by the end of the year,



<b>Activity 1.3.2</b>	<b>Supporting the Implementation of the NTMs Guidelines</b>
	with technical assistance through SNKE to be provided starting in Q1 of 2020.
<b>Lessons Learnt</b>	N.A.
<b>Overall Result to-date</b>	N.A.



## Component 2.0: Standards and Conformity Assessment In Particular Healthcare and Agro-based Product

Component 2.0	KE	S-NKE	J-NKE	Incidentals
Total	106	203	0	€ 188,638.52

### Sub-Component 2.1 Supporting the Development of Quality Infrastructure and Related Policies in ASEAN

#### Outcome

*Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.*

#### Activity 2.1.1 Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance

Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance
Main Beneficiaries engaged	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Tasks status (ongoing, completed)	<b>Continued</b> communications for a dialogue between CEN/CENELEC and ACCSQ. CEN/CENELEC official has proposed items for discussion and plans in process for the development of cooperation programmes. <b>Status:</b> Ongoing
Outputs delivered	N.A.
Problems encountered	N.A.
Lessons learnt	The initiation of the dialogue has been rescheduled due to delayed responses to communication between the parties.
Overall result to-date	Unchanged for previous six-monthly reporting period.



### Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations

<b>Activity 2.1.2</b>	<b>Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations</b>
<b>Main Beneficiaries engaged</b>	ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points.
<b>Tasks status (ongoing, completed)</b>	Review of the 1998 ASEAN Framework Agreement on MRAs. There has been no further request for assistance from ACCSQ or ASEAN Secretariat since the previous six-monthly reporting period. <b>Status:</b> Work in progress
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	Given the nature of the agreement, the revision exercise involved multiple discussions/negotiations with varying views across ASEAN and therefore more time should have been allowed by the project team when planning for completion.
<b>Lessons learnt</b>	Better communication should be established to assist in speeding up the process.

<b>Overall result to-date</b>	ACCSQ has completed the review of the 1988 ASEAN Framework Agreement on MRAs. The draft revised text has been principally endorsed by ACCSQ, pending legal scrubbing from LSAD and national legal advisors.
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### Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

<b>Activity 2.1.3</b>	<b>Strengthening Conformity Assessment and Accreditation</b>
<b>Main Beneficiaries engaged</b>	National Accreditation Bodies and National Accreditation Focal Points in Member States, Working Group 2.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Proposal for establishment of a framework for cooperation between National accreditation bodies for capacity development, harmonisation of procedures and sharing of resources. <b>Status:</b> Completed</li> <li>A Joint Workshop with the Experts Group on Organic Agriculture for the harmonisation and mutual recognition of Certification of Organic Agriculture in ASEAN was held on 8-9 September 2019 <b>Status:</b> Completed</li> </ul>



Activity 2.1.3	
<b>Strengthening Conformity Assessment and Accreditation</b>	
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Recommendations of the Joint Workshop between WG 2 and the EWG-OA on the ASEAN Organic Agriculture Certification Scheme (<b>ANNEX 11</b>).</li> <li>• Report on Development of ASEAN Sectoral MRA on Certification of Organic Agriculture and New Initiatives for Enhancing Collaboration among ASEAN NABs/NAFPs (<b>ANNEX 12</b>)</li> </ul>
<b>Problems encountered</b>	The proposed initiatives for cooperation between national accreditation bodies are yet to be agreed for implementation by WG 2 however, discussions are on-going to bring forward the implementation of the proposed initiatives.
<b>Lessons learnt</b>	Joint events with different ASEAN Bodies (pillars) facilitate and accelerate the realisation of joint initiatives.

<b>Overall result to-date</b>	Communication and Cooperation between the Experts Group on Organic Agriculture and the Accreditation and Certification Community in ASEAN has been enhanced.
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#### Activity 2.1.4 Transposing National Commitments into National legislation

Activity 2.1.4	
<b>Transposing National Commitments into National legislation</b>	
<b>Main Beneficiaries engaged</b>	Myanmar Electrical and Electronic Equipment Safety Authorities, Joint Sector Committee, Electrical and Electronic Equipment MRA.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• Support provided to Myanmar in the development of Electrical and Electronic Safety Regulations to support its participation in the ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime (AHEEERR), Progress includes;               <ol style="list-style-type: none"> <li>i. identification of regulatory authority and relevant laws,</li> <li>ii. development of draft regulations,</li> <li>iii. development of implementation plan</li> <li>iv. preparation of information booklet</li> <li>v. finalisation &amp; adoption of new regulations</li> </ol> <b>Status: I, ii, iii, Completed; iv, v : Ongoing</b> </li> </ul>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Review of the Myanmar’s situation with regard to electrical safety regulations and recommendations on the way forward (Mission Report by expert (<b>ANNEX 13</b>)).</li> <li>• Draft of the proposed Electrical and Electronic Safety Regulations (5<sup>th</sup> draft incorporating inputs by stakeholders and Plan for implementation (<b>ANNEX 14</b>)).</li> </ul>



<b>Activity 2.1.4</b>	<b>Transposing National Commitments into National legislation</b>
<b>Problems encountered</b>	The progress was more rapid than anticipated and the man-days allocated were not sufficient to undertake the expected work in the timeframe as requested by counterparts.
<b>Lessons learnt</b>	Plans for support to Member States need to incorporate contingencies and have a high degree of flexibility due to unpredictability.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• The progress was made on the development of Electrical and Electronic Equipment safety regulations has been rapid noting the complexities encountered- such as uncertainty on the regulatory authority selected, the basic law that the new regulations will be under.</li> <li>• An advanced draft of the regulations has been developed, a roadmap for its adoption agreed by counterparts, certification bodies and infrastructure required for implementation identified.</li> </ul>
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#### Activity 2.1.5 Enhancing Co-ordination across ASEAN Sectoral Working Groups

<b>Activity 2.1.5</b>	<b>Enhancing Co-ordination Across ASEAN Sectoral Working Groups</b>
<b>Main Beneficiaries engaged</b>	ACCSQ, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST) and its Experts' Group on Metrology, Health Cluster 4, ASEAN Sectoral Working Groups on Crops, on Fisheries and on Livestock, Prepared Foodstuffs Product Working Group for Food Safety, the Pharmaceutical Products Working Group, Health Cluster 3.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Established</b> cooperation between Experts Group on Organic Agriculture for the joint development of harmonisation of certification requirements and mutual recognition with the Working Group 2 at its September 2019 meeting. <b>Status:</b> Completed</li> <li>• <b>Organised</b> the 4<sup>th</sup> Workshop of the AFSRF Task Force July 30 to August 1, 2019 on with involvement of the PFPWG from the economic sector, Working Groups from the agriculture sector and representation from the health sector (cluster 4). <b>Status:</b> Ongoing</li> <li>• <b>Continued</b> with the joint development of food and feed traceability guidelines between the health, agriculture and economic sectors <b>Status:</b> Ongoing</li> </ul>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Workshop of the AFSRF Task Force on 30 July to August 1, Jakarta. <b>(ANNEX 15).</b></li> </ul>



<b>Activity 2.1.5</b>	<b>Enhancing Co-ordination Across ASEAN Sectoral Working Groups</b>
	<ul style="list-style-type: none"> <li>• Draft AFSRF Agreement recommended for adoption by the Task Force to the health economic and agriculture sectors.</li> </ul>
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	Most of the initiatives on food safety are crosscutting as the subject matter often under the purview of ASEAN Bodies under the ASEAN economic, health and agriculture ministerial bodies. In order to ensure wider acceptance and avoid duplication, consultation at an early stage is useful.

<b>Overall result to-date</b>	The efforts of ARISE Plus has resulted in the continued progress in the cross-cutting initiatives and the breakdown of silo working.
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## Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

### Outcome

*Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry.*

### Activity 2.2.1 Supporting Harmonisation of Standards

<b>Activity 2.2.1</b>	<b>Supporting Harmonisation of Standards</b>
<b>Main Beneficiaries engaged</b>	Working Group 1 of the ACCSQ, Product working groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States
<b>Tasks status (ongoing, completed)</b>	A plan for a workshop based on Concept Paper Recommendations for Increasing Effectiveness of Harmonisation of Standards in ASEAN has been developed. Due to lack of suitable dates for the workshop, this has been postponed to the first half of 2020. <b>Status:</b> Ongoing
<b>Outputs delivered</b>	Report on Review of the Process for Harmonisation of Standards in ASEAN and Implementation of Initiatives to Identify Priority Areas for Harmonisation ( <b>ANNEX 16</b> )
<b>Problems encountered</b>	The implementation has been delayed due to clashing of event dates
<b>Lessons learnt</b>	N.A.



<b>Activity 2.2.1</b>	<b>Supporting Harmonisation of Standards</b>
<b>Overall result to-date</b>	Unchanged from previous six-monthly period.

### Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

#### Outcome

*Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.*

#### Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

<b>Activity 2.3.1</b>	<b>Developing and Implementing a Regulatory Framework for Food Safety</b>
<b>Main Beneficiaries engaged</b>	PPFWG, Health Cluster 4, ASEAN Sectoral Working Group on crops, on fisheries and on livestock
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Organised</b> and conducted the third workshop to deliberate on the 4<sup>th</sup> draft ASEAN Food Safety Regulatory Framework Agreement. <b>Status:</b> Completed</li> <li>• <b>An advanced</b> draft of the <b>ASEAN Food Safety Regulatory Framework Agreement</b> was developed based on the workshop in July 2019. This is now with Member States for domestic consultation. <b>Status:</b> Ongoing</li> <li>• The Terms of Reference of the <b>ASEAN Food Safety Coordinating Committee</b> and a process for the implementation process for the protocols to the AFSRF have been agreed by the AFSRF Task Force. <b>Status:</b> Completed</li> <li>• Supported Workshops on the harmonisation of maximum limits on <b>Food Additives</b> and on <b>Food Contaminants and Toxins</b> in September 2019. <b>Status:</b> Completed</li> </ul>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• <b>5<sup>th</sup> Draft of the AFSRF Agreement</b> has been circulated to Member States for domestic consultation. (<b>ANNEX 17-</b> Recommendations of the Task Force)</li> </ul>



<b>Activity 2.3.1</b>	<b>Developing and Implementing a Regulatory Framework for Food Safety</b>
	<ul style="list-style-type: none"> <li>• <b>Terms of Reference for the ASEAN Food Safety Committee</b> incorporating processes for the development and implementation of the protocols to the agreement. (<b>ANNEX 17</b> - Recommendations of the Task Force)</li> <li>• The <b>Task Force</b> has agreed on a <b>preliminary list of protocols</b> to the AFSRF Agreement that are recommended to be developed.</li> <li>• Recommendations made to the PFPWG for publication of the harmonised maximum use levels for 108 food additives, and identification of 174 food additives for harmonisation.</li> <li>• Recommendation to the PFPWG for the harmonisation of maximum limits for arsenic in fats and oils and mercury in water and adoption of a phased approach for the harmonisation of heavy metals; 26 in phase 1, 29 in phase 2 and 18 in phase 3. (<b>Annex 18</b>)</li> </ul>
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	Continuing support has enabled the progress of the development of the AFSRF Agreement to be on the planned schedule.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• The development of the AFSRF Agreement is on track and it is anticipated that the AFSRF Task Force will be able to endorse this by the end of 2019.</li> <li>• ASEAN have made progress in implementing the ASEAN Principles and Criteria for Contaminants and Toxins in Food and Feed and the ASEAN Principles and Guidelines for the Establishment of Maximum Use Level for Food Additives by establishing harmonised levels for a significant number of harmonised limits for food additives and identified the first two harmonised maximum levels for food contaminants.</li> </ul>
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### Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

<b>Activity 2.3.2</b>	<b>Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs</b>
<b>Main Beneficiaries engaged</b>	Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Organized</b> and conducted the 2<sup>nd</sup> workshop for the PFPWG to review draft procedures for implementation of the ASEAN Sectoral MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs and to deliberate on the implementation details. <b>Status:</b> Completed</li> <li>• <b>Revised</b> the set of implementation procedures for the implementation of the MRA on Food Hygiene based on the outcome of the first workshop</li> </ul>



<b>Activity 2.3.2</b>	<b>Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs</b>
	<p>and the comments by Member States representative at the 2nd Meeting of the Joints Sector Committee of the MRA.</p> <p><b>Status:</b> Completed</p> <ul style="list-style-type: none"> <li>• <b>Reviewing and proposing final drafts</b> of the full set of the procedures for the implementation of the MRA on Food Hygiene based on the decisions of the September 2019 workshop. Developed recommendations for implementation of the MRA.</li> </ul> <p><b>Status:</b> Ongoing</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• <b>Recommendations from the Workshop</b> to Joint Sectoral Committee for the MRA on Food Hygiene for implementation of the MRA and finalisation of the procedures. <b>(ANNEX 19)</b></li> <li>• Recommended Options for Implementation of the MRA on Prepared Foodstuffs. <b>(ANNEX 20)</b></li> <li>• Interim Report on Implementing the MRA on Inspection and Certification Systems for Food Hygiene for Prepared Foodstuffs <b>(ANNEX 21)</b></li> <li>• Interim Report on Prepare for Gap Analysis on Implementing MRA on Inspection and Certification Systems for Food Hygiene for Prepared Foodstuffs <b>(ANNEX 22)</b></li> </ul>
<b>Problems encountered</b>	<p>The broad nature of the recognition obligations in the MRA have made it necessary for the Joint Sector Committee to further define the scope and nature of the obligations more precisely. This has required more investigation and discussion than expected.</p>
<b>Lessons learnt</b>	<p>Broad based mutual recognition arrangements, such as in this MRA for mutual recognition food hygiene systems, would be facilitated with the concurrent development of initiatives for harmonisation of the essential requirements.</p>

<b>Overall result to-date</b>	<p>The implementation of the MRA for prepared foodstuffs for has progressed with the advanced development of the procedures for implementation.</p>
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### Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

<b>Activity 2.3.3</b>	<b>Supporting the ASEAN Risk Assessment Centre for Food Safety</b>
<b>Main Beneficiaries engaged</b>	<p>ARAC, ASEAN Health Cluster 4 (Ensuring Food Safety), ASEAN Food Safety Regulators.</p>



<b>Activity 2.3.3</b>	<b>Supporting the ASEAN Risk Assessment Centre for Food Safety</b>
<b>Tasks status (ongoing, completed)</b>	<p><b>Supported The ASEAN Health Cluster 4</b> to conduct a Discussion Forum on the ASEAN Risk Assessment Centre (ARAC) for Food Safety</p> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Consultation report on Support to the ASEAN Risk Assessment Centre for Food Safety (ARAC) by Dr. Hubert Deluyker (ARISE Plus Expert) circulated to AHC 4 including ARAC.</li> <li>• <b>Review of operations of ARAC</b> through the “Discussion Forum in Preparation for the Workshop on the Review and Evaluation of ARAC for Food Safety “was undertaken at the discussion forum on 25 June 2019 in Vietnam back-to-back with the conduct of AHC 4 on ensuring food safety on 26 – 28 June 2019. The purpose of the adjoined meetings was for recommendations from the forum to be immediately reported to AHC 4 for final decision.</li> </ul> <p>During the forum a number of significant recommendations (<b>ANNEX 23</b>) were developed to further enhance the operations of ARAC such as:</p> <ul style="list-style-type: none"> <li>• On the enhancement of ARAC’s Governance <ul style="list-style-type: none"> <li>○ To promote synergies in engaging with relevant sectors/authorities on food safety</li> <li>○ To streamline working procedures of ARAC and internal roles</li> <li>○ To support policy and/or procedure development</li> <li>○ To promote ARAC visibility</li> <li>○ To expand the scope of work ARAC</li> </ul> </li> <li>• On the sustainability of ARAC <ul style="list-style-type: none"> <li>• To explore sustainability measures</li> </ul> </li> </ul>
<b>Problems encountered</b>	<p>Initial coordination with lead country for the preparation and conduct of the discussion forum with regard to mechanism and stakeholder participation. This was subsequently resolved.</p>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>• Consistent engagement and dialogue with lead country with regard to the conduct of the Discussion Forum which facilitated the involvement of other stakeholders such as national risk assessment centres and external consultant of EU ARISE Plus</li> <li>• Engagement with ASEAN Secretariat and lead country in the process of finalising the forum mechanism and greater stakeholder participation.</li> </ul>



## Overall result to-date

The main achievement from this activity, has been that a set of recommendations have been documented for follow-up in order to enhance the operations of ARAC by reviewing its governance and operating procedures, widening its scope of work and launching new activities. The recommendation from the discussion forum were endorsed by AHC 4 and work items were decided. Based on the excerpt of the 4<sup>th</sup> AHC 4 meeting in June 2019, the following were agreements on the new areas of work proposed by the Scientific Committee to be done by ARAC on:

- Risk assessment on arsenic and cadmium in rice based on the high consumption of rice among ASEAN population
- Risk profile of pesticides used in leafy vegetable among ASEAN Member States

The Meeting further exchanged views and agreed on the following:

- Indonesia volunteered to be the Lead Country, subject to internal consultation with relevant agencies, for the conduct of risk assessment on arsenic and cadmium in rice based on the high consumption of rice among ASEAN population.
- Vietnam expressed their support and volunteered to be the Co-Lead Country, together with Indonesia.
- Vietnam volunteered to be the Lead Country to prepare the risk profile of pesticides used in leafy vegetable among ASEAN Member States.
- Further internal consultation will be sought by Thailand to ensure that two issues proposed by the Scientific Committee are the priority for the region, and as Co-Lead on the risk assessment on arsenic and cadmium in rice, Thailand will confirm accordingly.
- Cambodia expressed support by committing to provide data on arsenic in rice for the risk assessment purposes.
- EU ARISE Plus also expressed that it can support Vietnam in preparing the risk profiling of pesticides by providing technical assistance and an expert.

There was also an agreement on the conduct of the Workshop on Review and Evaluation of Operationalisation of ASEAN Risk Assessment Centre for Food Safety (ARAC) 13 – 15 January 2020, Kuala Lumpur, Malaysia.

Aligned to the work of ARAC is the capacity building on risk based approach to food safety under AHC 4 Work programme; this was also agreed to be supported by EU ARISE Plus.



### Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN

<b>Activity 2.3.4</b>	<b>Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN</b>
<b>Main Beneficiaries engaged</b>	ASEAN Risk Assessment Centre, Health Cluster 4, Prepared Foodstuffs Product Working Group
<b>Tasks status (ongoing, completed)</b>	<b>Proposed</b> a workshop to discuss the harmonisation of food consumption data harmonisation in ASEAN. The date for this workshop is yet to be confirmed. <b>Status:</b> Ongoing
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	The activity is at an initial phase.
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### Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)

<b>Activity 2.3.5</b>	<b>Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)</b>
<b>Main Beneficiaries engaged</b>	ARASFF Steering Committee, PFPWG, ASEAN Health Cluster 4 and the authorities responsible for food safety and agriculture in Member States.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Developed</b> an outline of the ASEAN Guideline on Traceability for Food and presented at the ARASFF Meeting held in in Champasak, Lao PDR. <b>Status:</b> Ongoing</li> <li>• Support the development of the RASFF system in the Philippines has been provided with the deployment of an ARISE Plus expert in October 2019 to advise on implementation and train officials. <b>Status:</b> Ongoing</li> <li>• Preliminary arrangements have been made with Officials in Lao PDR to support the development of the national RASFF system and an outline plan developed.</li> </ul>



<b>Activity 2.3.5</b>	<b>Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)</b>
	<b>Status:</b> Ongoing
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• A preliminary draft of the <i>ASEAN Guide for Traceability for Food</i> has been developed and circulated for consultation (<b>ANNEX 24 and 25</b>)</li> <li>• Proposed Work Programme for Lao PDR (<b>ANNEX 26</b>)</li> <li>• A Mission was undertaken (October 8 to 17, 2019) to Philippines to support the implementation of the RASFF (<b>ANNEX 27</b>)</li> <li>• Interim Report on Supporting Philippines in Finalizing the Development of the National Rapid Alert System for Food and Feed (RASFF) and the Integration into ARASFF (<b>ANNEX 28</b>)</li> </ul>
<b>Problems encountered</b>	Reviewing of the request for assistance from the Philippines and also from Lao PDR was needed to clarify and revise the plans to ensure that these are viable and appropriate.
<b>Lessons learnt</b>	Finalisation of the plans for assistance to Philippines and Lao PDR require preparatory discussions in order to clarify the needs.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• The work on the development of an ASEAN Guideline on traceability is ongoing and preliminary draft has been developed and presented at the ARASFF NCP Meeting and also circulated to the PFPWG, and AHC 4 for consultation and comment.</li> <li>• Philippines has enhanced capacity to implement its newly introduced PHILRASFF system with the support provided by ARISE Plus</li> <li>• Lao PDR has committed to develop its RASFF with support from ARISE Plus</li> </ul>
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### Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture

<b>Activity 2.3.6</b>	<b>Strengthening ASEAN Cooperation on Organic Agriculture</b>
<b>Main Beneficiaries engaged</b>	SOM AMAF, the Experts Working Group on Organic Agriculture (EWG-OA), the ASEAN Sectoral Working Groups on Crops, on Fisheries and on Livestock and Working Group 2 of the ACCSQ.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Organised</b> and conducted a workshop on the Development of an MRA on Organic Agriculture for the EWG-OA in Thailand. <b>Status:</b> Completed</li> <li>• <b>Developed</b> an outline of the proposed for the ASEAN Organic Agriculture Scheme. <b>Status:</b> Ongoing</li> </ul>



Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture
	<ul style="list-style-type: none"> <li>• <b>Organised</b> and conducted a joint workshop for Working Group 2 (accreditation and Conformity Assessment) and the EWG-OA to facilitate cooperation in the Development and subsequent implementation of an MRA on Organic Agriculture. <b>Status:</b> Completed</li> </ul>
Outputs delivered	<ul style="list-style-type: none"> <li>• <b>EWG Workshop Recommendations:</b> Development of an MRA on Organic Agriculture ASEAN Member States (<b>ANNEX 29</b>)</li> <li>• Draft of the proposed for the <b>ASEAN Organic Agriculture Scheme. (ANNEX 30)</b></li> <li>• Concept Note on the development and implementation of an ASEAN Organic Certification Mark. (<b>ANNEX 31</b>)</li> <li>• Report on Development of ASEAN MRA on Organic Agriculture (<b>ANNEX 32</b>)</li> </ul>
Problems encountered	N.A.
Lessons learnt	N.A.

Overall result to-date	<ul style="list-style-type: none"> <li>• The main achievement from this activity are that the Experts Group for Organic Agriculture has made clear recommendations on the outline and elements of the proposed ASEAN MRA on Organic Agriculture.</li> <li>• An understanding has been established between the WG 2 and the EWG- on the respective roles of the EWG-OA and the accreditation and certification bodies in ASEAN with regards to the development of mutual recognition of organic agriculture certification.</li> </ul>
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### Activity 2.3.7 Training in Food Safety

Activity 2.3.7	Training in Food Safety
Main Beneficiaries engaged	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, ASEAN Health Cluster 4, ASEAN Consumers
Tasks status (ongoing, completed)	Completed the development of a proposal for the establishment of a Food Safety Training Network in ASEAN. <b>Status:</b> Completed
Outputs delivered	Proposal for the Development of an ASEAN Food Safety Network incorporating a survey of training needs, and the establishment of a qualifications framework and a strategy for implementation. ( <b>ANNEX 33</b> )



<b>Activity 2.3.7</b>	<b>Training in Food Safety</b>
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	The comprehensive proposal is in place for launching the next steps to obtain stakeholder endorsement for implementation.

### Activity 2.3.8 Harmonised Nutritional Labelling Requirements

<b>Activity 2.3.8</b>	<b>Harmonised Nutritional Labelling Requirements</b>
<b>Main Beneficiaries engaged</b>	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, ASEAN Health Cluster 4, ASEAN Consumers.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Review</b> of the current regulations on nutritional labelling in ASEAN Member States and identified issues for discussion and for potential harmonisation conducted by short term experts appointed. <b>Status:</b> Completed</li> <li>• <b>Held</b> discussions with selected Malaysian representatives who are leading the work and selected Member States on options that ASEAN may use to harmonise nutritional labels and their specific concerns. <b>Status:</b> Completed</li> <li>• <b>Planned</b> and prepared presentations for a workshop for PFPWG (to be held on Nov 15-16, 2019 to discuss the optional approaches to harmonise nutritional label and develop a consensus on the approach. (ongoing)</li> </ul>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Plan for and presentations for a Workshop on Nutritional Labelling for the PFPWG</li> <li>• Report on Assisting in the Harmonisation of Nutritional Labelling Rules in ASEAN and the Preparation of the Relevant PFPWG Meeting (<b>ANNEX 34</b>)</li> </ul>
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• There was a wide variation in existing nutritional regulations in Member States ranging from no regulation to different parameters defined in regulations.</li> </ul>
<b>Lessons learnt</b>	N.A.



<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>Information on the nutritional labelling regulatory requirements in AMS has been reviewed and preliminary discussions on potential approaches to harmonisation conducted.</li> <li>The range of issues that have to be discussed and agreed upon to enable the harmonisation of nutritional labelling in AMS have been identified.</li> <li>A workshop has been planned PFPWG to recommend an approach and an outline for the harmonisation of nutritional labelling.</li> </ul>
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## Sub-Component 2.4 Support to the Pharmaceutical Sector

### Outcome

*The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.*

### Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework
Main Beneficiaries engaged	Pharmaceutical Product Working Group (PPWG), ASEAN Health Cluster (2 and 3) under the ASEAN Health Ministers
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Consultation on a concept note for the development of an ASEAN Framework for regulatory harmonisation. <b>Status:</b> Ongoing</li> <li><b>Initiated Planning</b> for a training workshop for the evaluators for the Bio-Equivalence MRA to support implementation of the MRA. <b>Status:</b> Ongoing</li> </ul>
Outputs delivered	<ul style="list-style-type: none"> <li>Member states have indicated support for the concept note to commence the deliberations on the development of an integrated approach for pharmaceutical regulatory harmonisation.</li> <li>Report on Strengthening the Pharmaceuticals Regulatory Framework in ASEAN (<b>ANNEX 35</b>)</li> </ul>
Problems encountered	N.A.
Lessons learnt	N.A.

<b>Overall result to-date</b>	The main achievement from this activity has been the agreement of the PPWG to embark upon two concrete market integration initiatives with the support of ARISE Plus: the development of a Framework for Pharmaceutical
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Regulation in ASEAN. This framework will integrate the existing initiatives on harmonisation of technical requirements, market authorisation and mutual arrangements for recognition on GMP and bioequivalence  
Plans have been initiated for the implementation of a training workshop for Bioequivalence evaluators in the first quarter of 2019.

### Activity 2.4.2 Establishing an ASEAN Network of Official Pharmaceutical Laboratories

<b>Activity 2.4.2</b>	<b>Establishing an ASEAN Network of Official Pharmaceutical Laboratories</b>
<b>Main Beneficiaries engaged</b>	Pharmaceutical Product Working Group (PPWG), ASEAN Health Cluster (2 and 3) under the ASEAN Health Ministers
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• <b>Organised and conducted a workshop</b> on the establishment of an ASEAN Pharmaceutical Laboratory Network. <b>Status:</b> Completed</li> <li>• <b>Developed</b> the Terms of Reference for the proposed ASEAN Pharmaceutical Laboratory Network and a proposed road map for implementation. <b>Status:</b> Ongoing</li> </ul>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• <b>PPWG workshop</b> recommendations recording consensus of Member States on the establishment of an ASEAN Pharmaceutical Laboratory Network (<b>ANNEX 36</b>)</li> <li>• <b>Terms of Reference</b> for the proposed ASEAN Pharmaceutical Laboratory Network (<b>ANNEX 37</b>)</li> <li>• Road map for PPWG for the development of the ASEAN Pharmaceutical Lab Network (<b>ANNEX 38</b>)</li> </ul>
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.

**Overall result to-date** The PPWG has agreed to embark on the development of a network of pharmaceutical laboratories and a draft term of reference developed with a roadmap for implementation.

### Activity 2.4.3 Combatting Falsified Medicines in ASEAN

<b>Activity 2.4.3</b>	<b>Combatting falsified medicines in ASEAN</b>
<b>Main Beneficiaries engaged</b>	Pharmaceutical Product Working Group, ASEAN Health Cluster 3, ASEAN Drug Regulators



<b>Activity 2.4.3</b>		<b>Combatting falsified medicines in ASEAN</b>	
<b>Tasks status (ongoing, completed)</b>		<ul style="list-style-type: none"> <li>No specific tasks were implemented as the Pharmaceutical Product Working Group had not decided its intention to embark on addressing this issue during the period.</li> </ul>	
<b>Outputs delivered</b>		N.A.	
<b>Problems encountered</b>		N.A.	
<b>Lessons learnt</b>		N.A.	
<b>Overall result to-date</b>		N.A.	

**Activity 2.4.4 Enhancing pharmaceuticals standardization in ASEAN**

<b>Activity 2.4.4</b>		<b>2.4.4 Enhancing pharmaceuticals standardization in ASEAN</b>	
<b>Main Beneficiaries engaged</b>		Pharmaceutical Product Working Group, Health Cluster 3, ASEAN Drug Regulators	
<b>Tasks status (ongoing, completed)</b>		No specific tasks were implemented as the Pharmaceutical Product Working Group had not decided its intention to embark on addressing this issue during the period.	
<b>Outputs delivered</b>		N.A.	
<b>Problems encountered</b>		N.A.	
<b>Lessons learnt</b>		N.A.	
<b>Overall result to-date</b>		N.A.	



## Component 3.0: Customs, Transport and ACTS

Component 3.0	KE	S-NKE	J-NKE	Incidentals
Total	117	975	0	€ 199,632.44

### Sub-component 3.1 Customs Measures

#### Outcome

*Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.*

#### **Activity 3.1.2 Supporting Partnership with Businesses and the Trading Community (Strategic Plan of Customs Development (SPCD) 06)**

Activity 3.1.2	Supporting Partnership with Business and the Trading Community
<b>Main Beneficiaries engaged</b>	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA). ASEAN Business Advisory Council (ABAC) Joint Business Councils, EU ASEAN Business Council
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>ARISE Plus has developed a concept note on the proposed methodology for supporting this SPCD, which has been distributed for comments to the ASEAN Secretariat and ASEAN Member States (AMS). This concept note with AMS comments now incorporated is at <b>ANNEX 39</b> to this report, and is awaiting final approval by the ASEAN Customs Procedures and Trade Facilitation Working Group (CPTFWG) meeting planned for end October 2019;</li> <li>ARISE Plus has recruited a Senior Customs Expert to support the implementation of this activity and an initial briefing of the expert has been performed.</li> </ul> <p><b>Status:</b> ongoing</p>
<b>Outputs delivered</b>	A concept note has been prepared, and AMS comments incorporated, on proposed methodology, content and timing for the support for the implementation of this SPCD.
<b>Problems encountered</b>	ASEAN Member States have made good progress in the development of guidelines for the engagement of the business community with Customs



<b>Activity 3.1.2</b>	<b>Supporting Partnership with Business and the Trading Community</b>
	Authorities. The challenge for ARISE Plus now is to assess the effectiveness of these arrangements, and to propose enhancements.
<b>Lessons learnt</b>	An in-depth assessment of prior and on-going AMS activities is beneficial in the provision of effective technical support.

<b>Overall result to-date</b>	ARISE Plus has captured AMS reactions to the proposed support methodology, and a clear way forward in support of this activity has been proposed.
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### Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

<b>Activity 3.1.5</b>	<b>Assistance to Narrowing the Development Gap in Customs (SPCD 13)</b>
<b>Main Beneficiaries engaged</b>	(ASEAN Secretariat, ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Following the two previous Customs capacity building training events held to support the development of intelligence and investigation functions for Customs Officers of Cambodia and Myanmar, one further intelligence and investigation workshop was performed for officers of Lao PDR Customs Authority from 7-10 May 2019; ARISE Plus expert reports of this event are at <b>ANNEX 40 and 41</b> to this report; the training material is shown in the folder attached as <b>ANNEX 42</b>.</li> <li>In the context of the gap analysis carried out by ARISE Plus under a previous work plan, it is recommended that additional support is to be provided under this SPCD for post-clearance audit functions, and integrity.</li> <li>A concept note has been developed, and distributed for comments to AMS. This note is at <b>ANNEX 43</b> to this report.</li> </ul> <p><b>Status:</b> Ongoing</p>
<b>Outputs delivered</b>	A concept note has been prepared, and AMS comments received and incorporated, on proposed methodology, content and timing for the support for the implementation of this SPCD.
<b>Problems encountered</b>	The main challenge to conducting technical support activities on the issue of integrity is the perceived reluctance of Customs Authorities to promote transparency in their administrations.
<b>Lessons learnt</b>	It is challenging to initiate many technical support activities at the same time, leading to delays in implementation.



**Overall result to-date**

Customs officers from Lao PDR now have additional expertise in the intelligence and investigation functions, in line with international standards and the principles of the World Customs Organisation (WCO).

## Sub-component 3.2 Transport Measures

### Outcome

*An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.*

### Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

<b>Activity 3.2.1</b>	<b>Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA), ASEAN Council of Bureau (COB).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• The main vehicle for operationalising AFAFGIT is the advent of the ACTS system in the region; the legal framework for ACTS is now in place.</li> <li>• A number of functional upgrades, including two system changes directly related to the transport sector, have been incorporated into ACTS by ARISE Plus, as agreed by the ACTS Change Management Board (CMB); more details are at item 3.3.5 in this report.</li> <li>• ARISE Plus has also proposed upgrades to ACTS functionality with the development of a concept for a proposed ACTS Transport Monitoring System (TMS) which will facilitate the full implementation of both AFAFGIT and AFAFIST; details of this proposal are at <b>ANNEX 44</b> to this report.</li> </ul> <p><b>Status:</b> ongoing</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Protocol 2 of AFAFGIT and AFAFIST, Designation of Frontier Posts, is now in force, as six AMS have ratified it; the pilot implementation of the planned live run of the ASEAN Customs Transit System (ACTS) can go ahead.</li> </ul>



<b>Activity 3.2.1</b>	<b>Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)</b>
	<ul style="list-style-type: none"> <li>Two system changes related to the transport sector have been incorporated into ACTS by ARISE Plus.</li> <li>Proposals have been made to ASEAN Member States via the Sub Working Group of the Customs Procedures and Trade Facilitation Working Group (CPTFWG) on ACTS (SWG-ACTS) and the Transport Facilitation Working Group (TFWG) to upgrade ACTS functionality with the development of a Transport Management System (TMS) which would facilitate the full operationalisation of both AFAFGIT and AFAFIST.</li> </ul>
<b>Problems encountered</b>	Delay by AMS in ratification of relevant protocols of AFAFGIT and AFAFIST.
<b>Lessons learnt</b>	Senior level commitment within ASEAN Customs and Transport administrations is vital for the legal framework to be fully in place within agreed timescales.

<b>Overall result to-date</b>	Now that the legal framework of AFAFGIT is in place, prospects are good for the ACTS live run of the Pilot planned for March 2020.
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### Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

<b>Activity 3.2.2</b>	<b>Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>Following the Experts' Group Meeting on the Implementation of the AFAMT held in Vietnam from 18-22 February 2019, a second Experts' Group Meeting was held from 26-29 August 2019, again in Vietnam as lead country for the implementation of AFAMT under the ASEAN Transport Strategic Plan 2016-2025 / Kuala Lumpur Transport Strategic Plan (KLTSPP). The objective of the second meeting was to discuss and finalise the draft Implementation Framework of AFAMT and its Action Plan, as a key deliverable under the KLTSPP. In addition to Government Transport Officials, representatives of AFFA, FIATA and UN-ESCAP attended and shared their expertise.</li> </ul>

**Activity 3.2.2****Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)**

- Short-term expertise was engaged to develop Implementation Framework of AFAMT; the expert's final report is attached at **ANNEX 45** to this report, along with draft Implementing Guidelines to AFAMT.
- ARISE Plus developed a web platform for AFAMT, at the address <https://afamt.asean.org>. This site includes explanations of aspects of AFAMT, describes the use the functions of the FIATA Bill of Lading and provides a link to the e-learning training material that ARISE Plus is developing using training materials developed by ASEAN with support from JAIF as basis. This site could also serve as a regional register of Multimodal Transport Operators (MTO) as set down by the AFAMT; the concept note for this platform is at **ANNEX 46** to this report.
- ARISE Plus has engaged experts to develop the necessary legal framework for multi-modal transport operations in Brunei Darussalam, Cambodia, Lao PDR and Malaysia. The work for Brunei Darussalam and Malaysia is complete and awaiting AMS comments, and technical support work is on-going for Cambodia and Lao PDR.

**Status:** ongoing

**Outputs delivered**

- ARISE Plus expert reports are at **ANNEX 45 and 47** to this report. The following annexes are attached to these reports:
- The finalised 'Implementation Framework of AFAMT' based on the outcomes of the second AFAMT experts group meeting, and supplemented by a regional action plan and national-level action plans for implementation of AFAMT.
- The AFAMT web platform has been developed, and will be further refined in the light of comments from AMS; the expert's interim report on AFAMT platform development is at **ANNEX 48** to this report.
- Updated multi-modal transport e-learning module with voice-over, available via AFAMT platform.
- Interim report from ARISE Plus expert engaged to develop Cambodia national multimodal transport law and regulations; this report is at **ANNEX 49** to this report.
- Interim report from ARISE Plus expert engaged to carry out gap analysis of Malaysia Multi-Modal Transport Law; the draft gap analysis is annexed to the expert's report at **ANNEX 50**.



<b>Activity 3.2.2</b>	<b>Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)</b>
	<ul style="list-style-type: none"> <li>• An ARISE Plus expert has been engaged to develop national multimodal transport law and regulations for Lao PDR.</li> <li>• Interim report at <b>ANNEX 51</b> to this report from ARISE Plus expert engaged to carry out analysis of multi-modal transport legal framework for Brunei Darussalam, including the following annexes to the report:             <ul style="list-style-type: none"> <li>○ Multi-Modal Transport Order;</li> <li>○ Multi-Modal Transport Regulations; and</li> <li>○ Standard Operating Procedures for MTO registration.</li> </ul> </li> </ul>
<b>Problems encountered</b>	Lack of functioning national-level multi-modal transport laws and/or regulations in Cambodia, Malaysia, Brunei Darussalam and Lao PDR, leading to the need for additional technical assistance by ARISE Plus experts.
<b>Lessons learnt</b>	Senior level commitment within ASEAN Transport administrations is vital for the multi-modal legal framework to be fully in place within agreed timescales. Close liaison of Government Transport Agencies with Customs authorities, as well as with private sector associations is essential for progress to be made on facilitation of multi-modal transport and logistics chains in ASEAN.
<b>Overall result to-date</b>	ARISE Plus technical support has assisted the progress which AMS are now making towards full implementation of the AFAMT, expected in 2020.

**Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)**

<b>Activity 3.2.3</b>	<b>Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; ASEAN Council of Bureau (COB).
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• Short-term expertise was engaged to develop revised Implementing Guidelines for the ASEAN-CBT, an action plan for the CBTP Pilot and two CBTP procedural manuals, for the public and private sectors.</li> </ul> <p><b>Status:</b> ongoing.</p>

<b>Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)</b>	
<b>Outputs delivered</b>	<ul style="list-style-type: none"><li>• The CBTP web platform has been developed, and will be further refined in the light of comments from AMS; the concept note on the platform is at <b>ANNEX 46</b> to this report; the interim expert report from platform developer is at <b>ANNEX 52</b> to this report.</li><li>• The ARISE Plus short term expert has produced the following documents, attached to the interim expert report at <b>ANNEX 53</b> to this report, which are currently under consideration by AMS:<ul style="list-style-type: none"><li>○ Revised draft Implementing Guidelines for CBTP;</li><li>○ Draft Action plan outline for a CBTP Pilot;</li><li>○ Outline draft CBTP procedural guides for the public and private sector to support implementation.</li></ul></li></ul>
<b>Problems encountered</b>	Internal procedures for ratification by some AMS of the CBTP agreement has taken a relatively long time. However, Thailand has ratified ASEAN CBTP, while Malaysia and Myanmar are in the process to submit their instruments of ratification of ASEAN CBTP. Brunei Darussalam and Indonesia (as pilot countries for ASEAN CBTP implementation, together with Malaysia) have yet to ratify ASEAN CBTP.
<b>Lessons learnt</b>	<ul style="list-style-type: none"><li>• Not all AMS are at the same stage of development of passenger transport rules and regulations. The existing arrangements in BIMP-EAGA, which are more advanced than elsewhere in ASEAN, appear to provide a suitable basis for the CBTP Pilot exercise.</li><li>• Need more support for transparency among AMS on the domestic regulations on passenger transport services, as well as technical requirements for the passenger transport vehicles.</li></ul>
<b>Overall result to-date</b>	Progress has been good, albeit slow, on developing environment for the CBTP to be implemented in ASEAN.

### Sub-component 3.3 ACTS Implementation

#### Outcome

*The ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the ASEAN north-south and east-west transit transport corridors.*



### Activity 3.3.1 Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework

Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS)
Main Beneficiaries engaged	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• ARISE Plus has supported the ratification of the legal framework required for ACTS to commence live operations; this legal framework is now in place<sup>9</sup>, with the ratification of the AFAFGIT and protocols 1,2,3,4,5 &amp; 7.</li> <li>• ARISE Plus has carried out extensive training and technical implementation support activities for Participating Member States (PMS); these are described in more detail under activity reports 3.3.2 and 3.3.3 below.</li> <li>• All PMS now have set up national ACTS Project Teams.</li> <li>• ARISE Plus has produced a series of documents to assist AMS implementation of ACTS, including an information notice to supplement national-level decrees, updated procedural manuals, checklists and definition of priority action areas for AMS National ACTS project Teams; the ACTS Information Notice<sup>10</sup> is at <b>ANNEX 54</b> to this report.</li> <li>• Ten System Change Requests (CR) have been discussed and agreed by the SWG-ACTS and the Change Management Board (CMB); these have been incorporated into the ACTS application software; more details are set out under activity 3.3.5.</li> <li>• Additional functional upgrades have been identified and will be considered by AMS as per activity 3.3.5 to this report.</li> <li>• An ACTS specialist expert has been engaged to support ACTS Pilot implementation activities, and his interim report is at <b>ANNEX 55</b> to this report;</li> </ul>

<sup>9</sup> AFAFGIT's Protocol 2 (Designation of Frontier Posts) was ratified by six (6) ACTS pilot Participating Member States (PMS) namely: Lao PDR, Malaysia, Myanmar, Singapore, Thailand and Viet Nam and have entered into force on 6 October 2019 among the six PMS that have ratified/accepted it. Cambodia as one of the PMS to join the planned "Live Run" and subsequent "Full Live Operation" of the ACTS pilot endeavors to ratify Protocol 2 in 2019.

<sup>10</sup> ACTS Information Notice is going to be discussed and finalized at the 22<sup>nd</sup> Meeting of the SWG-ACTS scheduled on 12 – 14 November 2019.



<b>Activity 3.3.1</b>	<b>Supporting Implementation of the ASEAN Customs Transit System (ACTS)</b>
	<b>Status:</b> ongoing.
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• The legal framework is now in place and the implementation of the planned live run of the ASEAN Customs Transit System (ACTS) can commence in 2020.</li> <li>• Procedural training has been carried out for Customs, Government Transport agencies and the private sector in Thailand, Vietnam and Cambodia.</li> <li>• ARISE Plus has provided technical training support and system implementation support in all Participating Member States; the relevant reports are at items 3.3.2 and 3.3.3 below.</li> <li>• ARISE Plus has developed proposals for an awareness and publicity campaign<sup>11</sup>; the document is at <b>ANNEX 52</b> to this report.</li> <li>• ARISE Plus has proposed the scope and content of a time–release study to act as post of the Post Implementation Review (PIR) of ACTS end to end transit operations; the objective is to assess the impact, efficient and effectiveness, including time-saving, of the implementation on ACTS. This document is at <b>ANNEX 57</b> to this report.</li> <li>• Expert final reports of the ACTS IT Team are shown at <b>ANNEXES 58 - 65</b> of this report, along with the related outputs.</li> </ul>
<b>Problems encountered</b>	Delay by AMS in ratification of relevant protocols of AFAFGIT.
<b>Lessons learnt</b>	Senior level commitment within ASEAN Customs and Transport administrations is vital for the national preparations to be fully in place for ACTS implementation within agreed timescales; a critical factor is the need for coordination between Customs Authorities and Transport Agencies, and between these Government agencies and the private sector transport and banking community, ideally utilising the National Transit Transport Coordinating Committee (NTTCC).
<b>Overall result to-date</b>	Prospects are good for the legal framework of AFAFGIT to be in place in time for the ACTS live run of the Pilot planned for March-April 2020.

<sup>11</sup> The draft proposal on ACTS socialization/publicity campaign will be discussed at the 22<sup>nd</sup> Meeting of the SWG-ACTS to be held on 12 – 14 November 2019.



### Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)

<b>Activity 3.3.2</b>	<b>Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• ARISE Plus has carried out ACTS technical and procedural training in Thailand for the following groups: <ul style="list-style-type: none"> <li>○ Customs personnel responsible for managing the ACTS procedural environment;</li> <li>○ Customs technical personnel responsible for managing the ACTS system at national level;</li> <li>○ Ministry of Transport staff; and</li> <li>○ Private sector freight forwarders, transporters and the banking community.</li> </ul> </li> <li>• ARISE Plus has supported the implementation of the latest version of the ACTS software in Malaysia, Singapore and Thailand; the system has been updated to version 3.1.0 to include the latest 10 system change requests agreed by the ACTS Central Management Board.</li> <li>• ARISE Plus has produced an ACTS Information Notice<sup>12</sup> to support AMS in their preparations for ACTS implementation.</li> </ul> <p><b>Status:</b> ongoing</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• Good progress in collection of updated ACTS reference data in ACTS Customs Office List and ASEAN Harmonised Tariff Nomenclature 2017.</li> <li>• The latest version of the ACTS software has been installed in Malaysia, Singapore and Thailand.</li> </ul>
<b>Problems encountered</b>	Delay by some AMS in ratification of relevant protocols of AFAFGIT.

<sup>12</sup> The draft ACTS Information Notice is being reviewed by the SWG-ACTS and targeted for intersessional endorsement by December 2019.



<b>Activity 3.3.2</b>	<b>Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)</b>
<b>Lessons learnt</b>	Full senior level commitment in Customs Departments and Government Transport Agencies, including full participation of National ACTS Project Teams, is vital if ACTS implementation is to be successfully sustained.
<b>Overall result to-date</b>	Now that the legal framework of AFAFGIT is in place, prospects are good for the ACTS Pilot planned for March-April 2020.

### Activity 3.3.3 Rolling Out of ACTS in Cambodia, Lao PDR, Myanmar and Vietnam (CLMV) countries

<b>Activity 3.3.3</b>	<b>Rolling Out of ACTS in CLMV countries</b>
<b>Main Beneficiaries engaged</b>	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• ARISE Plus has carried out ACTS technical and procedural training in Cambodia and Vietnam for the following groups: <ul style="list-style-type: none"> <li>○ Customs personnel responsible for managing the ACTS procedural environment;</li> <li>○ Customs technical personnel responsible for managing the ACTS system at national level;</li> <li>○ Ministry of Transport staff; and</li> <li>○ Private sector freight forwarders, transporters and the banking community.</li> </ul> </li> <li>• An ACTS Information Notice has been prepared, for general distribution in pilot AMS; this is at <b>ANNEX 54</b> to this report.</li> <li>• The latest version of the ACTS application software, version 3.1.0, has been successfully deployed with the support of ARISE Plus in Cambodia, Lao PDR and Vietnam.</li> </ul> <p><b>Status:</b> ongoing</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• ARISE Plus has developed a document summarising the next steps for national Customs Administrations to take in preparation for the ACTS Pilot; this is at <b>ANNEX 56</b> to this report.</li> <li>• An updated ACTS Information Notice in conjunction with AMS comments, for general distribution in pilot countries.</li> </ul>



<b>Activity 3.3.3</b>	<b>Rolling Out of ACTS in CLMV countries</b>
	<ul style="list-style-type: none"> <li>Updated ACTS reference data has been collected in the ACTS Customs Office List and the ASEAN Harmonised Tariff Nomenclature (AHTN) 2017.</li> <li>The latest version of ACTS has been successfully deployed in Cambodia, Lao PDR and Vietnam.</li> <li>Expert final reports of the ACTS IT Team are shown at <b>ANNEXES 58 - 65</b> of this report, along with the related outputs.</li> </ul>
<b>Problems encountered</b>	Delay by AMS in ratification of relevant protocols of AFAFGIT. Delays in some participating countries in setting up National ACTS Project Teams, and in making the necessary coordination links between Customs, Government Transport agencies and the private sector. These are vital if ACTS implementation is to be a success.
<b>Lessons learnt</b>	Full commitment from senior level Customs and Transport officers, and full participation of national ACTS Project Teams, is vital if ACTS implementation is to be successfully sustained. Coordination by Customs Authorities, as the lead agency for ACTS, with other stakeholders in transport and private sector is vital for project success also.
<b>Overall result to-date</b>	Prospects are good for the legal framework of AFAFGIT to be in place in time for the planned ACTS live run of the MST Pilot planned for March-April 2020.

#### Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

<b>Activity 3.3.4</b>	<b>Developing the Capacity of the ACTS Central Management Team (CMT)</b>
<b>Main Beneficiaries engaged</b>	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>ARISE Plus has arranged for members of the CMT to attend ACTS procedural and technical training carried out in ASEAN Pilot Countries, in order to improve their skills and knowledge and skills.</li> <li>The ACTS applications are now under the management of the CMT with technical and managerial support as needed from ARISE Plus. This strategy will help to ensure future ACTS system resilience by the</li> </ul>



Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)
	<p>transfer of knowledge, capacity and skills to ASEAN counterpart staff in the ACTS CMT.</p> <p><b>Status:</b> Ongoing</p>
Outputs delivered	<ul style="list-style-type: none"> <li>• The knowledge and skills of CMT members have been enhanced by attendance at ARISE Plus ACTS procedural and technical training events in participating ASEAN Member States.</li> <li>• The ACTS applications are now under the management of the CMT.</li> <li>• Collection and installation by CMT of the reference data required for ACTS Central Services is progressing well.</li> </ul>
Problems encountered	<p>Minor delays in the collection of ACTS reference data for the Reference Data System (RDS) in ACTS Central Services.</p>
Lessons learnt	<p>Full participation of the CMT is vital if ACTS implementation is to be successfully sustained.</p>

Overall result to-date	<p>The expertise of the CMT on ACTS has been expanded to the extent that prospects are now good for the planned ACTS live run of the MST-CLMV Pilot planned for January-April 2020.</p>
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### Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Main Beneficiaries engaged	<p>ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.</p>
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>• ARISE Plus has incorporated into the ACTS software the agreed change requests for the following issues: these changes have been agreed by the SWG-ACTS and approved by the CMB: <ul style="list-style-type: none"> <li>○ Introduction of an electronic message to notify all office of departure, transit and destination in the event that a consignment has been stopped or turned back at an office of transit;</li> <li>○ Provision of facility for Customs officers to calculate the guarantee amount in the national transit Application (NTA);</li> </ul> </li> </ul>

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
	<ul style="list-style-type: none"><li>○ Development of a Home Page in the NTA to allow monitoring by Customs officers of messages, similar to the Home Page feature in the Trader Portal (TP);</li><li>○ Relocation within the ACTS declaration structure of ASEAN Goods vehicle Cross Border Permits (AGVCBP) from 'item' level to 'general' level;</li><li>○ Online validation by ACTS at departure of ASEAN Goods Vehicle Cross Border Permits (AGVCBP);</li><li>○ Introduce a 'copy goods item' feature for traders at declaration stage, where different consignments with similar data features are captured to ACTS.</li></ul> <ul style="list-style-type: none"><li>● Consideration is ongoing of three additional ACTS IT subsystems with initial description of tentative scope that includes transport (truck) movement monitoring (Transport Monitoring System, TMS), organisation and management of goods inspection activities and self-registration online of traders in ACTS.</li><li>● In addition, discussions are ongoing on how to incorporate the ACTS management strategy into multi-modal transport operations in ASEAN, and in processing transit operations for goods arriving from third under separate transit systems, in particular goods arriving from or destined to China under the TIR system.</li><li>● An additional set of 15 Change Requests have been identified and will be considered for implementation by the AMS. These changed resulted from the ACTS training and testing events held by ARISE Plus in participating Member States; more details are attached to the experts' reports <b>ANNEXES 58 – 65</b> to this report.</li></ul> <p><b>Status:</b> Ongoing</p>
<b>Outputs delivered</b>	<ul style="list-style-type: none"><li>● Formal change requests have been implemented under version 3.1.0 of the ACTS system in PMS as agreed with AMS for the following issues:<ul style="list-style-type: none"><li>○ Introduction of an electronic message to notify all office of departure, transit and destination in the event that a consignment has been stopped or turned back at an office of transit;</li><li>○ Provision of facility for Customs officers to calculate the guarantee amount in the National Transit Application (NTA);</li><li>○ Development of a Home Page in the NTA to allow monitoring by Customs officers of messages, similar to the Home Page feature in the Trader Portal (TP);</li></ul></li></ul>

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
	<ul style="list-style-type: none"> <li>○ Relocation within the ACTS declaration structure of ASEAN Goods vehicle Cross Border Permits (AGVCBP) from ‘item’ level to ‘general’ level;</li> <li>○ Online validation by ACTS at departure of ASEAN Goods Vehicle Cross Border Permits (AGVCBP);</li> <li>○ Introduce a ‘copy goods item’ feature for traders at declaration stage, where different consignments with similar data features are captured to ACTS.</li> <li>● Three additional ACTS IT subsystems proposed, as follows:               <ul style="list-style-type: none"> <li>○ The Transport Monitoring System;</li> <li>○ Online trader registration module;</li> <li>○ ACTS inspection module;</li> </ul> </li> <li>● Two additional issues have been initiated, namely the integration of the general ACTS philosophy into multi-modal transport in ASEAN, and the need to handle third country goods transit arriving from or destined to countries utilising the TIR system.</li> </ul>
<b>Problems encountered</b>	No problems were encountered in this activity, which progressed with good cooperation from ASEAN Member States.
<b>Lessons learnt</b>	Recruitment of suitable ICT staff from the region has been essential to ensure control of ACTS system upgrades and future system resilience.

<b>Overall result to-date</b>	ACTS functionality has now been improved, to the benefit of public and private sector operatives in ASEAN. The functional upgrades agreed by AMS have been successfully applied to ACTS under version 3.1.0, along with an agreed mechanism for controlling the priority, impact and costs of such changes using the initial discussions by AMS at the SWG-ACTS followed by consideration by the ACTS Change Management Board (CMB) which has been set up with the support of ARISE Plus.
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## Component 4.0: ASEAN Economic Integration Monitoring and Statistics

Component 4.0	KE	S-NKE	J-NKE	Incidentals
<b>Total</b>	0	321	24	€ 222,126.36



## Sub-component 4.1 ASEAN Economic Integration Monitoring

### Outcome

*Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.*

### Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

<b>Activity 4.1.1</b>	<b>Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects</b>
<b>Main Beneficiaries Engaged</b>	ARISE Plus TAT of regional project, EU Mission To ASEAN, ASEAN Integration Monitoring Division (AIMD)
<b>Tasks status</b>	<p><b>ARISE Plus regional programme internal monitoring:</b></p> <ul style="list-style-type: none"> <li>• Developed a comprehensive results chain from programme commencement in November 2017 to May 2019. The results chain links inputs, activities, major outputs and results in a visual format. This was used with the Team Leader to review progress and undertake planning for the next reporting period (<b>ANNEX 67</b>).</li> </ul> <p><b>Status:</b> results chain at mid-term completed; will be updated on a six-monthly basis.</p> <ul style="list-style-type: none"> <li>• Undertook comprehensive internal mid-term assessment of ARISE PLUS from programme commencement in November 2017 to May 2019 covering (<b>ANNEX 68</b> for internal mid-term assessment report):             <ul style="list-style-type: none"> <li>○ analysis of relevance to AECB (Elements and Strategic Measures supported), Consolidated Strategic Action Plan (Key Action Lines), SDGs and ASEAN-EU Plan of Action</li> <li>○ detailed compilation of partnerships (ASEC Divisions, ASEAN Sectoral Bodies / Working Groups and AMS entities)</li> <li>○ analysis of efficiency (resources used, activities implemented, efficiency of activities, efficiency of workshops,</li> <li>○ analysis of effectiveness (overall management/learning, efficiency of outputs by component, compilation and categorisation of outputs, effectiveness of events including gender participation and participation by AMS, overview of main results generated)</li> </ul> </li> </ul> <p><b>Status:</b> completed internal mid-term assessment; will be updated on a six-monthly basis</p>



<b>Activity 4.1.1</b>	<b>Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects</b>
	<ul style="list-style-type: none"> <li>Updated ARISE Plus log frame (<b>ANNEX 69</b>)</li> </ul> <p><b>Status:</b> Log frame updated;</p> <p><b>ARISE Plus regional and national projects monitoring and coordination:</b> Regular coordination has been established between the ARISE Plus Regional and the country-projects which have already started, notably Cambodia, Indonesia, Laos and Myanmar. Such a coordination is done at programme management level and technical level, with respective experts already liaising.</p>
<b>Outputs delivered</b>	<p><b>ARISE Plus regional programme internal monitoring:</b></p> <ul style="list-style-type: none"> <li>Updated log frame (<b>ANNEX 69</b>).</li> <li>ARISE Plus regional programme results chain (<b>ANNEX 67</b>).</li> <li>Comprehensive Internal Mid-term Assessment report of ARISE Plus from programme commencement in November 2017 to May 2019 with detailed analysis of relevance, partnerships, efficiency and effectiveness. (<b>ANNEX 68</b>).</li> <li>Lead M&amp;E NKE interim report (<b>ANNEX 70</b>).</li> </ul> <p><b>ARISE Plus regional and national projects monitoring and coordination:</b></p> <ul style="list-style-type: none"> <li>First ARISE Plus monitoring and coordination report covering ARISE Plus Regional programme, ARISE Plus IPR, ARISE Plus Indonesia, ARISE Plus Cambodia and presentation for SEOM (<b>ANNEX 71</b>).</li> </ul>
<b>Problems encountered</b>	<p>Limited progress was made in the monitoring and coordination of the ARISE Plus regional and national projects due to the delay in commencement of some of the national projects and delay in scheduling of the coordination meeting.</p>
<b>Lessons learnt</b>	<ul style="list-style-type: none"> <li>The M&amp;E framework has been applied at all levels of the programme (events, activities and components) to enable a consistent approach to monitoring and reporting.</li> <li>A more detailed results chain model for the programme has helped to consolidate and simplify major outputs and results and support decision making.</li> </ul>
<b>Overall result to-date</b>	<p>Enhanced management and decision making in the ARISE Plus regional programme through a robust internal monitoring system.</p>



Integrated monitoring framework established and first report drafted to enable coherence and coordination between the national projects and regional ARISE Plus programme.

#### Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework

Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework
<b>Main Beneficiaries Engaged</b>	Monitoring, Surveillance and Coordination Division (MSCD) of the ASEAN Integration Monitoring Directorate (AIMD), ASEC Standards and Conformance Division (SCD), ASEAN Consultative Committee for Standards and Quality (ACCSQ)
<b>Tasks status</b>	<p><b>Support AEC Divisions and ASEAN Sectoral bodies:</b></p> <ul style="list-style-type: none"> <li>Supported SCD and ACCSQ to develop the detailed M&amp;E system for the ASEAN Strategic Plan on Standards and Conformance (2016-2025) including templates for baseline data collection, template for regular data collection, and templates for reports. <b>Status:</b> Completed</li> <li>Facilitated the presentation of M&amp;E system including templates to pilot ACCSQ working groups by SCD <b>Status:</b> Ongoing</li> <li>Updated M&amp;E system including templates based on working group feedback. <b>Status:</b> Ongoing</li> <li>Supported SCD to update and table the M&amp;E system including templates to the 51<sup>st</sup> ACCSQ meeting, held on 08 July 2019, in Kuala Lumpur, Malaysia. ACCSQ agreed on applying the detailed M&amp;E system and templates to monitor the KPIs of ACCSQ and that of the WG/PWGs. <b>Status:</b> Completed</li> <li>The M&amp;E templates will be implemented for the 2019 reporting of the progress of the KPIs which are directly under the responsibility of the ACCSQ. <b>Status:</b> Ongoing</li> </ul>
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>Detailed M&amp;E system for the ASEAN Strategic Plan on Standards and Conformance (2016-2025) for SCD and ACCSQ including templates for baseline data collection, template for regular data collection, templates for reports, and a sample template for outcome monitoring as guide for filling-in (<b>ANNEX 72</b>).</li> <li>Updated M&amp;E system including templates based on working group feedback.</li> </ul>



<b>Activity 4.1.2</b>	<b>Supporting Implementation of AEC 2025 M&amp;E Framework</b>
	<ul style="list-style-type: none"> <li>Updated M&amp;E system document tabled by SCD at 51<sup>st</sup> ACCSQ meeting held on 08 July 2019, in Kuala Lumpur, Malaysia (<b>ANNEX 73</b>).</li> </ul>
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	The successful approach and format used for the M&E Mechanism developed for the ASEAN Strategic Plan on Standards and Conformance (2016-2025) can be used to develop M&E mechanisms for other Sectoral Bodies in the AEC.

<b>Overall result to-date</b>	Operationalisation of the ASEAN M&E framework was supported for compliance and outcome monitoring in a major sectoral body (ACCSQ) for the ASEAN Strategic Plan on Standards and Conformance (2016-2025).
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#### **Activity 4.1.3 Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025**

<b>Activity 4.1.3</b>	<b>Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025</b>
<b>Main Beneficiaries Engaged</b>	Monitoring, Surveillance and Coordination Division (MSCD) of the ASEAN Integration Monitoring Directorate (AIMD).
<b>Tasks status</b>	Developed an enhanced AEC Monitoring Website including new layout, attractive and user-friendly design, a stronger organisational identity, editing and repackaging of content to make it appealing to a range of target end-users such as AMS governments, civil society, private sector, academia and the wider public. The enhanced website will enable AIMD to optimise dissemination and outreach potential to its target users. <b>Status:</b> ongoing (to be completed in late November 2019)
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>Substantially enhanced AEC Monitoring Website including edited content, infographics, icons, (ref: <a href="https://veste.id/aecm/">https://veste.id/aecm/</a>)</li> <li>NKE reports (SNKE and JNKE) (<b>ANNEXES 74 and 75</b>).</li> <li>Guideline and training material for ASEC staff to maintain AEC Monitoring Website and update content (<b>ANNEXES 76 and 77</b>).</li> </ul>
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	Building on the visible improvements to the revised AEC Monitoring Website, the AIMD has requested to strengthen its publications, communication and outreach approach in a strategic manner.



<b>Activity 4.1.3</b>	<b>Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025</b>
	Additional technical assistance is currently being designed (at the TOR phase) including enhancing AIMD communication and outreach activities, enhancing a number of AIMD publications including the ASEAN Economic Integration Brief (AEIB), to enable greater access and reach through tools such as the AEC Monitoring website. Case studies on the private sector will also be developed to demonstrate emerging benefits of AEC integration efforts including longer versions for the AECB MTR and shorter versions for the website.
<b>Overall result to-date</b>	<p>ASEAN research, capacity and awareness to facilitate the implementation of the AEC Blueprint 2025 strengthened through development of an enhanced AEC Monitoring website.</p> <ul style="list-style-type: none"> <li>• The enhanced AEC Monitoring Website enable it to be positioned as a portal and knowledge hub for AIMD to communicate and disseminate information on the progress of AEC integration, its monitoring process and to disseminate other research and knowledge products of the AIMD and ASEAN, and thus support the implementation of the AECB.</li> <li>• The enhanced AEC Monitoring Website is fully sustainable. AIMD has taken full ownership of the AEC Monitoring website. The TA will include training to enable AIMD to update content on the website. A dissemination event is planned for Q3 of AWP2 to promote the enhanced website amongst AEC divisions, other donor supported initiatives and academia.</li> </ul>

## Sub-Component 4.2 ASEAN Statistics Capacity Building

### **Outcome**

*Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all AMS (and particularly but not limited to CLMV countries).*

This section focuses on the activities implemented under the Work Plan 2 (WP 2) for the period of 8 May 2019 – 31 October 2019 under the component 4.2 – ASEAN Statistics Capacity Building. Over the period, nine Terms of References (TORs) have been drafted, six assignments were fully implemented, one is ongoing with a completion expected in late November 2019, and two TORs need to be rescheduled or revamped due to the latest developments in the ASEAN Member States (AMSs). The six completed assignments involved 5 Non-Key Experts (NKEs), concerned 6 statistical domains, and led to the implementation of 17 tasks/sub-activities.

## Role of Statistics Coordinator

During the reporting period, the Statistics Coordinator focused on the implementation of activities planned under WP2, including the definition of ToRs, the technical briefing and coaching of the NKEs to ensure an efficient implementation of their assignment, as well as the facilitation of the implementation of the activities through regular contact with the National Statistical Institutes (NSIs) of the ASEAN Member States (AMS) to assess their needs and adapt the NKE support to the situation. **ANNEX 78** shows the report of the SNKE on Coordination of Statistics Capacity Building Activities.

Details on the activities implemented during WP2 as of 31 October 2019 are presented below

### Activity 4.2.1 Enhancing AEC Monitoring Databases

Activity 4.2.1	Enhancing AEC monitoring databases
Main Beneficiaries engaged	ASEAN Integration Monitoring Directorate (AIMD)
Tasks status (ongoing, completed)	A couple of interventions have been planned during WP2 for improved and implementation of the Compliance Monitoring (CM) Database. AIMD is currently working on the coding and classification of the compliance indicators collected from ASEAN Secretariat (ASEC) desk officers, and will continue populating the CM Database. No issues are foreseen, except for the need to redouble effort to ensure continuity moving forward, given staff turnover in MSCD but the Directorate has put transition mechanism in place; assistance from the ARISE Plus will be defined as issues or the need to modify/further develop the functionality of the CM Database surface.
Outputs delivered	N.A.
Problems encountered	N.A.
Lessons learnt	N.A.

Overall result to-date	The system is ready for migration to production.
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### Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025

Sub-Activity 4.2.2.1	Supporting the ACSS in Implementation of SNA 2008
Main Beneficiaries engaged	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on System of National Accounts (WGSNA)



<b>Sub-Activity 4.2.2.1</b>	<b>Supporting the ACSS in Implementation of SNA 2008</b>
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• The overall intervention under SNA includes the following five tasks: development of Operational Guidelines (OG) for the Implementation of SNA 2008; Regional workshop on SNA 2008, regional training on basic SNA 2008; TA to Cambodia; and TA to Philippines.</li> <li>• The regional training, regional workshop, as well as the TAs are meant to support AMSs and ASEANStats implement the ASEAN SNA 2008 Implementation Roadmap as guided by the OG.</li> <li>• All tasks have been completed by end of October 2019.</li> </ul>
<b>Outputs delivered</b>	Integrated NKE Report on the ‘Development of ACSS Operational Guidelines for the Implementation of SNA 2008 - Phase 2; Regional Training on SNA and FDIS; and CLMV Sub-Regional Workshop on FDIS’ ( <b>ANNEX 80</b> )
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• The primary objective of the intervention under the SNA is to develop an Operational Guidelines and to help ACSS implement the SNA Roadmap. As per ASEANStats’ request, a couple of ‘activities’ have also been identified for immediate implementation.</li> <li>• Inputs to the drafting and finalisation of the OG seemed to be more optimal if gathered though a workshop/direct intervention rather than intersessional discussion.</li> <li>• Needs on capacity building for statistician (SNA data compiler) were recognised from the training observation, i.e. intermediate and advance training on SNA 2008 as the continuation of the basic SNA 2008 training</li> </ul>
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• An Operational Guidelines for the implementation of the ACSS Roadmap on SNA.</li> <li>• Improved understanding of the overall concepts of the SNA 2008 Framework and the strategic areas for SNA improvements, as well as improved skills to support the implementation of the SNA Roadmap.</li> </ul>
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<b>Sub-Activity 4.2.2.2</b>	<b>Supporting the ACSS in Furthering the Development of the IMTS</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of AMSs, ASEANStats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on International Merchandise Trade Statistics (WGIMTS)
<b>Tasks status</b>	<ul style="list-style-type: none"> <li>• Regional Training on Basic IMTS.</li> </ul>



Sub-Activity 4.2.2.2	Supporting the ACSS in Furthering the Development of the IMTS
(ongoing, completed)	<ul style="list-style-type: none"> <li>CLMV and Thailand Sub-Regional Workshop on Mirror Analysis, for improving the quality of IMTS data.</li> </ul> <p><b>Status:</b> Completed</p>
Outputs delivered	<ul style="list-style-type: none"> <li>NKE Interim Report on the ‘Strengthening International Merchandise Trade Statistics (IMTS) Data Analysis and Quality Assessment, through Workshop on Mirror Analysis, Training, and Technical Assistance to Selected Member States, And Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace in Cambodia, Lao PDR, and Myanmar’ (<b>ANNEX 79</b>).</li> </ul>
Problems encountered	<ul style="list-style-type: none"> <li>Lack of institutional memory in some AMSs due to staff promotion/rotation has prompted the ARISE Plus to provide a RT on IMTS. One thing to avoid such an issue from happening again in the future could be (1) Training for Trainers approach involving other statistically more advanced AMSs, (2) development of a step-by-step guidelines/procedure, manual of data processing, as applicable.</li> </ul>
Lessons learnt	<ul style="list-style-type: none"> <li>Sharing of data and information among institutions is normally a big challenge. The CLMV and Thailand Sub-regional workshop shows that given a shared objective, the right tools/means for data sharing (such as a mirror table showing discrepancies between country A’s export and country B’s import) and good coaching, information sharing and cooperation could be reinforced.</li> </ul>

Overall result to-date	<ul style="list-style-type: none"> <li>Reinforced cooperation among members of the CLMV and Thailand Mirror Analysis workshop.</li> <li>A good overall picture of the IMTS 2010 manual and key areas of improvements.</li> </ul>
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Sub-Activity 4.2.2.3	Supporting the ACSS in Furthering the Development of the SITS
Main Beneficiaries engaged	National Statistical Office of AMSs, ASEANStats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Statistics on International Trade in Services (WGSITS)
Tasks status (ongoing, completed)	<ul style="list-style-type: none"> <li>Regional Workshop on SITS</li> <li>CLMV Training on SITS</li> </ul> <p><b>Status:</b> Tasks are scheduled for implementation in late November 2019.</p>



<b>Sub-Activity 4.2.2.3</b>	<b>Supporting the ACSS in Furthering the Development of the SITS</b>
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• Expected to broaden the knowledge of the CLMV in the concepts and strategic areas of improvements related to the manual on SITS 2010;</li> <li>• Improved skills in planning and dealing with new fields of SITS, in particular on the measurement of the digital economy.</li> </ul>
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<b>Sub-Activity 4.2.2.4</b>	<b>Supporting the ACSS in Furthering the Development of the FDIS</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of AMSs, ASEANStats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on International Investment Statistics (WGIIS)
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• Regional Workshop on FDIS</li> <li>• CLMV Basic Training on FDIS</li> </ul> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	Integrated in the NKE Interim Report on the ‘ <i>Development of ACSS Operational Guidelines for the Implementation of SNA 2008 - Phase 2; Regional Training on SNA and FDIS; and CLMV Sub-Regional Workshop on FDIS</i> ’ ( <b>ANNEX 80</b> ). The tasks have just been implemented (W4 of October).
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• Expected to broaden the basic knowledge of the CLMV in the concepts and strategic areas of improvements related to the Balance of Payments Manual 6 (BPM6) and other relevant frameworks;</li> <li>• Improved skills in planning and dealing with WIIS, in particular those related to outward stocks, and ultimate country handling.</li> </ul>
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<b>Sub-Activity 4.2.2.5</b>	<b>Supporting the ACSS in Furthering the Development of SDGI</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of AMSs, ASEANStats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Sustainable Development Goals Indicators (WGSDGI)
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"> <li>• TA to ASEANStats for the assessment of the SDGIs data collection Tier 1</li> <li>• Regional Workshop on SDGI Tier 1 Data Assessment</li> </ul> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	The NKE Final Report on the ‘ <i>Support for the ACSS for enhanced strategy, mandate and governance, and for improved collection and dissemination of SDG indicators</i> ’ ( <b>ANNEX 81</b> ).
<b>Problems encountered</b>	Full SDG data set from the AMS was not available during the mission; assessment tool and practice were provided; however it did not lead to a list of selected indicators, amid less than 40% submission coverage.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	<ul style="list-style-type: none"> <li>• A limited collection of SDGIs Tier 1, with preliminary guidance of data quality check.</li> <li>• Recommendations relating to the development of an ASEAN SDGI online publication.</li> </ul>
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<b>Sub-Activity 4.2.2.7</b>	<b>Supporting the ACSS in Furthering the Development of Other Fields of Statistics (OFS)</b>
<b>(Main Beneficiaries engaged)</b>	National Statistical Office of AMSs, ASEANStats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC)
<b>Tasks status (ongoing, completed)</b>	Mid-Term Review (MTR) of the ACSS Strategic Plan 2016 – 2025 and the Multi-Year Plan, including a presentation of the MTR at the SCPC Meeting, July 2019. <b>Status:</b> Completed
<b>Outputs delivered</b>	The NKE Final Report on the ‘ <i>Support for the ACSS for enhanced strategy, mandate and governance, and for improved collection and dissemination of SDG indicators</i> ’ ( <b>ANNEX 81</b> ).
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• The scope of the MTR was not sufficiently understood resulting in an MTR which initially considered ACSS governance and sustainability, challenges of national coordination, and the role of ASEANstats. However, after discussion and with suggestions from</li> </ul>

<b>Sub-Activity 4.2.2.7</b>	<b>Supporting the ACSS in Furthering the Development of Other Fields of Statistics (OFS)</b>
	<p>the ACSS SCPC. the MTR refocused to review of the implementation of activities in the ACSS Strategic Plan with the objective to identify areas for improvement or change.</p> <ul style="list-style-type: none"> <li>The preliminary findings and recommendations on the MTR presented at the 18<sup>th</sup> Meeting of the ACSS SCPC needed further intersessional revision with inputs from AMS, before the final MTR report could be adopted. It should be noted that the final report provided recommendations for the basis of revision of the current strategic plan.</li> </ul>
<b>Lessons learnt</b>	<p>A clearer approach, methodology and scope, should be mutually defined and agreed upon between the ARISE+ project, ASEC and AMSs prior to the implementation of future MTR missions. A more efficient feedback mechanism should be established from the beginning of the activity to ensure all parties views are duly reflected in recommendations of the MTR.</p>
<b>Overall result to-date</b>	<p>Findings and recommendations from the MTR will enhance ACSS methods of works, ensure sustainability, promote ASEAN-help-ASEAN, and strengthening ASEANStats, amongst others.</p>

### Activity 4.2.3 Strengthening AMSs' Statistical Capacities (Particularly in but not Limited to CLMV)

<b>Activity 4.2.3.1</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Implementation of SNA 2008</b>
<b>Main Beneficiaries engaged</b>	<p>National Statistical Office of Cambodia; Philippines Statistical Authority, and CLMV Countries in general</p>
<b>Tasks status (ongoing, completed)</b>	<p>The followings are integrated under the SNA overall intervention, which have also been completed in September 2019.</p> <ul style="list-style-type: none"> <li>TA to Cambodia on SNA and Supply and Use Tables (SUTs)</li> <li>TA to Philippines on SNA re-basing and hands-on training on selected key elements of SNA.</li> </ul> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	<p>Integrated in the <b>ANNEX 80</b>.</p>
<b>Problems encountered</b>	<p>Philippines has earlier requested for a TA on re-basing, but has asked for a hands-on training, in addition. This implies the need to clearly define the objectives and scope of the TA in advance.</p>



<b>Activity 4.2.3.1</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Implementation of SNA 2008</b>
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	Improved skills and knowledge on various strategic elements of SNA hence better ability to do the right prioritisation.
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<b>Activity 4.2.3.2</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of IMTS</b>
<b>Main Beneficiaries engaged</b>	National Institute of Statistics (NIS) Cambodia; Lao Bureau of Statistics (LBS), and Central Statistical Office (CSO) Myanmar.
<b>Tasks status (ongoing, completed)</b>	<p>This task is part of intervention on <i>Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace</i>. It was implemented by two different experts: one on IMTS, and one on Eurotrace. The following three sub-tasks, have been completed in September 2019:</p> <ul style="list-style-type: none"> <li>• TA to Cambodia on IMTS key concepts</li> <li>• TA to Lao PDR on IMTS key concepts</li> <li>• TA to Myanmar on IMTS key concepts</li> </ul> <p><b>Status:</b> Completed</p>
<b>Outputs delivered</b>	Integrated in the <b>ANNEX 79</b> .
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• Lack of institutional memory on IMTS 2010 concepts</li> <li>• Insufficient knowledge of the relevant IMTS concepts and measurement to address the expanded requirements, from the Line Ministry</li> </ul>
<b>Lessons learnt</b>	The right combination of experts have greatly reinforced the results. The absence/minimum documentation on how IMTS issues were addressed in the past intervention has been well addressed through a review of the data by the ARISE Plus Eurotrace expert. The lack of knowledge on IMTS concepts which are key to improvement of the data, has been addressed through the presence of the ARISE Plus IMTS expert.

<b>Overall result to-date</b>	Improved data in CLM; and with a follow-up, hands-on training on IMTS (using the respective country data) and on using Eurotrace, it is believed that CLM would be able to ensure regularity in their data submission to ASEANStats.
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<b>Activity 4.2.3.3</b>	<b>Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Development of SITS</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of Viet Nam
<b>Tasks status (ongoing, completed)</b>	TA to Viet Nam on SITS. <b>Status:</b> ongoing, scheduled for implementation in late November 2019
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	N.A.
<b>Lessons learnt</b>	N.A.

<b>Overall result to-date</b>	Expected improved skills and knowledge on Viet Nam General Statistics Office (GSO) and the partner institutions (State Bank of Viet Nam) in planning and addressing issues related to Viet Nam country specific issues.
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<b>Activity 4.2.3.6</b>	<b>Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Information and Technology Development (ITD)</b>
<b>Main Beneficiaries engaged</b>	National Institute of Statistics (NIS) Cambodia; Lao Bureau of Statistics (LBS), and Central Statistical Office (CSO) Myanmar.
<b>Tasks status (ongoing, completed)</b>	This task is part of the intervention on <i>Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace</i> . It was implemented by two different experts: one on IMTS, and one on Eurotrace. The following three sub-tasks, have been completed in September 2019: <ul style="list-style-type: none"> <li>• TA to Cambodia on Eurotrace hands-on-training.</li> <li>• TA to Lao PDR on Eurotrace hands-on-training.</li> <li>• TA to Myanmar on Eurotrace hands-on training.</li> </ul> <b>Status:</b> Completed
<b>Outputs delivered</b>	<ul style="list-style-type: none"> <li>• NKE report on Enhancing Production and Dissemination of International Merchandise Trade Statistics (IMTS) Using Eurotrace in Cambodia, Lao PDR, and Myanmar, as presented as <b>ANNEX 82</b>.</li> </ul>

<b>Activity 4.2.3.6</b>	<b>Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV), in Furthering the Information and Technology Development (ITD)</b>
<b>Problems encountered</b>	<ul style="list-style-type: none"><li>• The absence/minimum documentation on how IMTS issues were addressed in the past intervention</li><li>• Knowledge on IMTS concepts which are key to improvement of the data, is also missing.</li><li>• Documentation and step-by-step procedure is not available.</li><li>• Cambodia IMTS staff, under supervision of the Eurotrace expert during the TA, had been able to produce good quality of data, submitted to ASEANStats. However, in the absence of the expert, Cambodia is having difficulty producing a new set of data for submission to ASEANStats.</li><li>• The current version of Eurotrace (Access-based) is very limited in capacity and does not support effective data quality check.</li></ul>
<b>Lessons learnt</b>	<ul style="list-style-type: none"><li>• The right combination of experts has greatly reinforced the outcomes of the mission.</li><li>• A proper documentation on the step-by-step procedure must be emphasized, for sustainability of the process.</li><li>• An SQL-based Eurotrace should be introduced that will greatly improve efficiency and quality assurance.</li></ul>
<b>Overall result to-date</b>	Improved data in CLM; and with a follow-up, hands-on training on using Eurotrace by a Eurotrace expert, and with involvement of an expert on IMTS, it is believed that CLM would be able to ensure regularity in their data submission to ASEANStats.

#### Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains

<b>Activity 4.2.4</b>	<b>Expanding ACSS Capacity in New Statistical Domains</b>
<b>Main Beneficiaries engaged</b>	National Statistical Office of AMSs, ASEANstats, ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA)
<b>Tasks status (ongoing, completed)</b>	<ul style="list-style-type: none"><li>• Follow-up support for the Big Data concept and experiments. Led by BPS Statistics Indonesia and Department of Statistics Malaysia, a concept note on the Big Data has been drafted and presented to the WGDSA, SCPC and ACSS. Further refinements were proposed to the concept note to focus on identifying a potential use case or Big Data project for implementation, capacity building needed and suitable advocacy efforts on the application of Big Data in statistics. The WGDSA is expected to provide comments on the refined concept</li></ul>



Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains
	<p>note drafted by lead AMSs in defining the specific fields as well as the concrete steps for finalisation of the Big Data concept note, with clear scope and focus.</p> <ul style="list-style-type: none"> <li>• The ACSS Open Data Implementation Framework, led by the Singapore and the Brunei Darussalam Departments of Statistics was endorsed by the ACSS9. All AMSs and ASEANstats will conduct the baseline open data self-assessment in 2020 based on statistics available on the websites of AMSs' National Statistical Offices and ASEANstats respectively. Once the baseline ACSS Open Data Index is established, the open data self-assessment will be conducted once in two years in line with the self-assessment of the ACSS Code of Practice Section A. A possible follow up workshop is envisioned in Q1 2020 with a potential scope of further discussing the process of undertaking the baseline ACSS Open Data Index.</li> </ul>
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	<ul style="list-style-type: none"> <li>• SCPC18 meeting noted the issues related to the use of Big Data such as data access, legal framework, methodology, capacity, technology, cost and infrastructure.</li> <li>• ACSS9 recommended concept note on big data be further refined to focus on identifying a potential use case or big data project for implementation, the needed capacity building efforts and further awareness building on the potential use of big data for ACSS official statistics. ACSS9 agreed to continue the refinement of the concept note as one of the ACSS annual priorities in 2020.</li> </ul>
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	N.A.

#### Activity 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics

Activity 4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics
<b>Main Beneficiaries engaged</b>	ASEAN Integration Monitoring Directorate (AIMD), ASEAN Community Statistical System (ACSS)
<b>Tasks status (ongoing, completed)</b>	Definition of an approach to increase the visibility of the AIMD work in the field of monitoring and statistics.



<b>Activity 4.2.5</b>	
<b>Supporting ASEAN-EU Policy Dialogue on M&amp;E and Statistics</b>	
<b>Outputs delivered</b>	N.A.
<b>Problems encountered</b>	N.A. As per the conclusions of the ACSS 9 in Bangkok 9-11 October 2019, this activity was given a very low priority.
<b>Lessons learnt</b>	N.A.
<b>Overall result to-date</b>	N.A.



## SECTION 2 OVERVIEW OF USE OF RESOURCES

Cumulative Resources							
Reporting Period	KE1	KE2	KE3	KE4	Senior Non-Key	Junior Non-Key	Incidentals
1 <sup>st</sup> 6 Monthly Report covering Inception period and 1 <sup>st</sup> Month of AWP1 (8 <sup>th</sup> November 2017 - 7 <sup>th</sup> May 2018)	113	74	101	96	166	0	€ 103,723.63
2 <sup>nd</sup> Six Monthly Report (8 <sup>th</sup> May 2018 – 7 <sup>th</sup> November 2018)	98	97	103	115	616	5	€ 276,859.19
3 <sup>rd</sup> Six Monthly Report (8 <sup>th</sup> November 2018 – 7 <sup>th</sup> May 2019)	109	106	100	114	1,355	15	€ 584,055.64
4 <sup>th</sup> Six Monthly Report (8 <sup>th</sup> May 2019 – 7 <sup>th</sup> November 2019)	<b>118</b>	<b>94</b>	<b>106</b>	<b>117</b>	<b>1,725</b>	<b>24</b>	<b>€ 689,186.60</b>
<b>Actual to Date</b>	<b>439</b>	<b>371</b>	<b>410</b>	<b>442</b>	<b>3,862</b>	<b>39</b>	<b>€ 1,653,825.06</b>
<b>Programme Budget</b>	<b>660</b>	<b>528</b>	<b>660</b>	<b>660</b>	<b>6,765</b>	<b>400</b>	<b>€ 3,500,000</b>
<b>Balance Remaining</b>	<b>221</b>	<b>157</b>	<b>250</b>	<b>218</b>	<b>2,903</b>	<b>361</b>	<b>€ 1,846,174.94</b>



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
<b>COMPONENT 0.0</b>	<b>Management and Outreach</b>	<b>118</b>						<b>12,470.38</b>
<b>Sub Component 0.1</b>	<b>Programme Management and Coordination</b>	<b>118</b>						<b>12,251.70</b>
Activity 0.1.1	Programme Management and Coordination	118						12,251.70
<b>Sub Component 0.2</b>	<b>Demand Driven Contingency</b>							
Activity 0.2.1	Demand Driven Contingency							
<b>Sub Component 0.3</b>	<b>Visibility, Communication and Outreach</b>							<b>218.68</b>
Activity 0.3.1	Visibility, Communication and Outreach							218.68
<b>COMPONENT 1.0</b>	<b>Trade Facilitation and Transparency</b>		<b>94</b>			<b>226</b>		<b>64,700.82</b>
<b>Sub Component 1.1</b>	<b>ATIGA Implementation with Focus on Transparency and NTMs</b>					<b>181</b>		<b>38,447.69</b>
Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)					178		20,462.24



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 1.1.2	Identifying, Classifying and Notifying NTMs					3		17,985.46
<b>Sub - Component 1.2</b>	<b>Trade Facilitation and Private Sector Engagement</b>					<b>45</b>		<b>26,253.13</b>
Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors					21		24,344.13
Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee					24		1,909.00
Activity 1.2.3	Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation							
<b>Sub - Component 1.3</b>	<b>On-demand Activities</b>							
Activity 1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme							
Activity 1.3.2	Supporting the ASEAN Connectivity Coordinating Committee							
<b>COMPONENT 2.0</b>	<b>Standards and Conformity Assessment in Particular Healthcare and Agro-based products</b>			<b>106</b>		<b>203</b>		<b>188,638.52</b>
<b>Sub Component 2.1</b>	<b>Supporting the Development of Quality Infrastructure and Related Policies in ASEAN</b>					<b>56</b>		<b>8,127.51</b>



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance							
Activity 2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations							1,351.27
Activity 2.1.3	Strengthening Conformity Assessment and Accreditation					7		1,171.07
Activity 2.1.4	Transposing Regional Commitments into National Legislation					49		5,605.17
<b>Sub Component 2.2</b>	<b>Supporting the Harmonisation of Standards and Compliance to International Standards</b>					<b>7</b>		<b>1,033.46</b>
Activity 2.2.1	Supporting Harmonisation of Standard					7		1,033.46
<b>Sub Component 2.3</b>	<b>Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector</b>					<b>123</b>		<b>168,034.07</b>
Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety							69,616.12
Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs					52		39,183.43
Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety							7,574.85



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 2.3.4	Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN							
Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)					13		2,634.00
Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture					22		46,468.28
Activity 2.3.7	Training in Food Safety					24		2,160.51
Activity 2.3.8	Harmonised Nutritional Labelling					12		396.88
<b>Sub Component 2.4</b>	<b>Support to the Pharmaceutical Sector</b>					<b>17</b>		<b>11,443.48</b>
Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory framework					17		1,994.44
Activity 2.4.2	Combatting Falsified Medicines in ASEAN							9,449.04
Activity 2.4.3	Enhancing Pharmaceuticals Standardization in ASEAN							
Activity 2.4.4	Enhancing Pharmaceutical Standardisations in ASEAN							



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
<b>COMPONENT 3.0</b>	<b>Customs, Transport and ACTS</b>				<b>117</b>	<b>982</b>		<b>199,632.44</b>
<b>Sub Component 3.1</b>	<b>Customs Measures</b>					21		18,853.43
Activity 3.1.2	Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)							
Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)					21		18,853.43
<b>Sub Component 3.2</b>	<b>Transport Measures</b>					<b>109</b>		<b>51,462.02</b>
Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)							
Activity 3.2.2	Supporting Implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multi Modal Transport (AFAMT)					100		50,935.51
Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport					9		526.52



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
	of Passengers by Road Vehicles (ASEAN-CBTP)							
<b>Sub Component 3.3</b>	<b>ACTS Implementation</b>					<b>852</b>		<b>129,316.98</b>
Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS) legal framework					11		5,126.38
Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)					14		10,805.83
Activity 3.3.3	Rolling Out of ACTS in CLMV Countries					124		79,393.44
Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)							
Activity 3.3.5	Carrying out a Functional Upgrade of the ACTS					703		33,991.33
<b>COMPONENT 4.0</b>	<b>ASEAN Economic Integration Monitoring and Statistics</b>					<b>321</b>	<b>24</b>	<b>222,126.36</b>
<b>Sub - Component 4.1</b>	<b>ASEAN Economic Integration Monitoring</b>					<b>91</b>	<b>24</b>	<b>223.87</b>
Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects					35		
Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework					4		



Resources at the Activity Level								
Sub Component	Title	KE 1	KE 2	KE 3	KE 4	Senior NKE	Junior NKE	Incidentals €
Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025					52	24	223.87
<b>Sub - Component 4.2</b>	<b>ASEAN Statistics Capacity Building</b>					<b>230</b>		<b>221,902.49</b>
Activity 4.2.1	Enhancing AEC Monitoring Databases					3		
Activity 4.2.2	Supporting the ACSS in the Context of the AECB 2025					137.5		139,676.69
Activity 4.2.3	Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV)					75.5		76,876.86
Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains					7		5,348.94
Activity 4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics					7		
<b>Bank Charges</b>								1,618.09
<b>TOTAL</b>		<b>118</b>	<b>94</b>	<b>106</b>	<b>117</b>	<b>1.725</b>	<b>24</b>	<b>689,186.60</b>



## SECTION 3 LIST OF OFFICIAL MEETINGS PARTICIPATED IN BY ARISE PLUS

No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
1	17 – 18 June 2019	Hanoi, Vietnam	The 30 <sup>th</sup> Meeting of the Coordinating Committee on the Implementation of the ATIGA (30 <sup>th</sup> CCA Meeting)
2	20 June 2019	Kuala Lumpur, Malaysia	Meeting of the Coordinating Committee on Services (CCS Meeting)
3	20 – 21 June 2019	Manila, Philippines	The 28 <sup>th</sup> ACCSQ-PFPWG Meeting and its related meetings
4	27 – 28 June 2019	Danang, Vietnam	The 42 <sup>nd</sup> ACCSQ Working Group on Standards (WG1) Meeting and Its Related Events
5	25 – 27 June 2019	Bangkok, Thailand	ACTS Sub-Working Group Meeting
6	28 June 2019	Singapore	EU-ASEAN Business Council Annual General Assembly (AGM)
7	10 July 2019	Bangkok, Thailand	America Chambers of Commerce (AMCHAM) and European Association for Business and Commerce (EABC) Meetings
8	11 – 12 July 2019	Bangkok, Thailand	The 15 <sup>th</sup> Meeting of the ASEAN Trade Facilitation – Joint Consultative Committee (ATF – JCC)
9	15 July 2019	Bangkok, Thailand	The 3 <sup>rd</sup> Meeting of the ASEAN Senior Economic Officials for the Fiftieth ASEAN Economic Minister’s Meeting (SEOM 3/50)
10	17 July 2019	Solo, Indonesia	ASSIST Outreach Event hosted by Ministry of Trade of Indonesia
11	23 – 24 July 2019	Champasak, Laos	The 7 <sup>th</sup> Meeting of ASEAN Alert System for Food (ARASFF) National Focal Points
12	25 July 2019	Champasak, Laos	The 5 <sup>th</sup> ASEAN Rapid Alert System Food and Feed (ARASFF) Steering Committee
13	9 September 2019	Bangkok, Thailand	EU-ASEAN Business Summit
14	11 September 2019	Yogyakarta, Indonesia	The 37 <sup>th</sup> ACCSQ-WG2 and Its Related Meetings
15	10 October 2019	Bogor, Indonesia	The 75 <sup>th</sup> Meeting of the ASEAN Coordinating Committee on Investment (CCI)
16	15 – 17 October 2019	Bandar Seri Begawan, Brunei	The 38 <sup>th</sup> ASEAN Transport Facilitation Working Group (TFWG) Meeting & 11 <sup>th</sup> ASEAN Transit Transport Coordinating Board (TTCB) Meeting



## SECTION 4 LIST OF MEETINGS / EVENTS ORGANISED BY ARISE PLUS

No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
1	7 – 10 May 2019	Vientiane, Laos	Workshop on Strengthening of Customs Intelligence and Investigation Capacity
2	20 – 22 May 2019	Jakarta, Indonesia	Regional Workshop on Social Network Analysis (SNA)
3	23 – 24 May 2019	Manila, Philippines	Review and Validation of Philippines' SPS NTMs with Department of Trade and Industry
4	27 May 2019	Manila, Philippines	NTM Identification and Classification in TBT Area and Launch of Work for AWP 2 with DTI and STE
5	10 June 2019	Phnom Penh, Cambodia	Meeting with General Department of Land Transport of Cambodia
6	11 June 2019	Phnom Penh, Cambodia	Meeting with EU Delegation and ARISE Plus Cambodia
7	11 – 12 June 2019	Phnom Penh, Cambodia	Meeting with Customs Authorities and Land Transport Department of Cambodia for ACTS Coordination
8	18 – 20 June 2019	Bali, Indonesia	The 5th Regional Workshop on ASEAN International Merchandise Trade Statistics (IMTS)
9	23 June 2019	Penang, Malaysia	Workshop on Establishment of ASEAN Pharmaceutical Laboratory Network
10	25 June 2019	Nha Trang, Vietnam	Discussion Forum on The ASEAN Risk Assessment Centre (ARAC) for Food Safety
11	4 – 5 July 2019	Manila, Philippines	Workshop on Technical Barriers on Trade (TBT) Non-Tariff Measures (NTMs)' Identification, Classification and Packaging for the ASEAN Trade Repository (ATR)
12	5 July 2019	Manila, Philippines	Meeting with ASEAN Rapid Alert System for Food and Feed (ARASFF) Contact Point



No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
13	9 July 2019	Bangkok, Thailand	3 <sup>rd</sup> ARISE Plus Roundtable Discussion on Trade Facilitation on the Notification of ASEAN Non-Tariff Measures (NTMs) Guidelines and on the Consultation with Private Sector: Is ASEAN Starting to Implement the NTMs Guidelines?
14	14 July 2019	Bangkok, Thailand	Meeting with SEOM Lead and Supporting Team from Indonesia and Thailand
15	17 – 18 July 2019	Bali, Indonesia	The 3rd Regional Workshop on the ASEAN Sustainable Development Goals Indicator (SDGI)
16	18 – 19 July 2019	Koh Samui, Thailand	Workshop on Development of ASEAN Mutual Recognition (MRA) for Organic Agriculture
17	22 July – 9 August 2019	Bangkok, Thailand	Training ASEAN Customs Transit System (ACTS) System and Procedures session 2 (Customs, Transport, Traders) in Thailand - Session 2
18	23 – 25 July 2019	Bangkok, Thailand	ASEAN Customs Transit System (ACTS) IT Operations Training for Customs Service of Vietnam (Information Communication Technology staff)
19	24 – 25 July 2019	Vientiane, Laos	Meeting with Standards Division, Department of Standardization and Metrology and Foreign Trade Policy Department, Department of Planning and Cooperation of Lao PDR
20	30 July – 1 August 2019	Jakarta, Indonesia	4th ASEAN Food Safety Regulatory Framework (AFSRF) Workshop
21	1 – 8 August 2019	Nay Pyi Taw, Myanmar	Meeting with the ATR/NTR focal point & Workshop on identifying, classifying and packaging NTMs
22	5 August 2019	Phnom Penh, Cambodia	Meeting with Information Department, Institute of Standards of Cambodia (ISC), Ministry of Industry and Handicraft (MIH),
23	6 August 2019	Phnom Penh, Cambodia	Meeting with Department of Economic Integration and ASEAN (National Trade Repository Secretariat
24	7 August 2019	Phnom Penh, Cambodia	Meeting with Department of Economic Integration
25	19 August - 6 September 2019	Hanoi, Vietnam	Training ASEAN Customs Transit System (ACTS) System and Procedures session 2 (Customs, Transport, Traders) in Vietnam - Session 2



No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
26	23 August 2019	Kuala Lumpur, Malaysia	Meeting with Regulators in Malaysia and Training Institute Officials to Understand the Training and Educational Programs Offered
27	23 August 2019	Kuala Lumpur, Malaysia	Meeting with Deputy Director, Centre for Investigational New Products, National Pharmaceutical Regulatory Agency (NPRA)
28	26 – 29 August 2019	Hoi An, Vietnam	Expert Group Meeting on Finalisation of Implementation Framework for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)
29	27 – 30 August 2019	Danang, Vietnam	The Regional Training on International Merchandise Trade Statistics (IMTS)
30	28 – 29 August 2019	Bandar Seri Begawan, Brunei	National Workshop on the Process of Identification, Classification and Packaging of Non-Tariff Measures (NTMs) to be Uploaded onto the ATR in Bandar Seri Begawan
31	3 – 6 September 2019	Bangkok, Thailand	The 6th Cambodia, Lao PDR, Myanmar, Viet Nam (CLMV) and Thailand Sub-Regional Workshop on ASEAN International Merchandise Trade Statistics (IMTS)
32	4 – 6 September 2019	Bali, Indonesia	Workshop on Development of Maximum Limits for Food Additives and Maximum Limits for Food Contaminants
33	5 – 6 September 2019	Hanoi, Vietnam	Meeting with the Private Sector at the General Department of Vietnam Customs HQ
34	6 September 2019	Kuala Lumpur, Malaysia	Meeting with MITI, MOH and MOA Malaysia
35	9 – 10 September 2019	Yogyakarta, Indonesia	Workshop on the Development of an ASEAN Sectoral MRA for Certified Organic Agricultural Products
36	9 – 13 September 2019	Nay Pyi Taw, Myanmar	Technical Assistance for International Merchandise Trade Statistics (IMTS) to Myanmar
37	9 – 14 September 2019	Phnom Penh, Cambodia	ACTS Procedural Training for Cambodia
38	16 – 19 September 2019	Phnom Penh, Cambodia	Technical Assistance for International Merchandise Trade Statistics (IMTS) to Cambodia



No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
39	18 September 2019	Kuala Lumpur, Malaysia	Meeting with Malaysia NPRA Stakeholders regarding BE MRA and ASEAN Pharmaceutical Regulatory Framework Discussions and Workshops
40	23 – 27 September 2019	Phnom Penh, Cambodia	ASEAN Customs Transit System (ACTS) IT Operations Training for Customs Service of Cambodia (Information Communication Technology staff)
41	23 September 2019	Hanoi, Vietnam	Seminar Trade Facilitation Measures for Vietnamese Exporters to ASEAN Market
42	24 September 2019	Singapore	Meeting with Singapore Authorities, discussion on Mutual Recognition Agreement (MRA) on Prepared Foodstuff Products
43	24 September 2019	Singapore	Meeting with the Singapore HAS on Developing the TOR for ASEAN Laboratory Network and Framework for ASEAN Pharmaceutical Regulation
44	24 – 26 September 2019	Phnom Penh, Cambodia	Training ASEAN Customs Transit System (ACTS) System and Procedures session 2 (Customs, Transport, Traders) in Cambodia - Session 2
45	25 September 2019	Danang, Vietnam	Seminar Trade Facilitation Measures for Vietnamese Exporters to ASEAN Market
46	25 – 27 September 2019	Singapore	2 <sup>nd</sup> Workshop on Development of SOP's for Implementation of the ASEAN Sectoral MRA for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products
47	27 September 2019	Ho Chi Minh, Vietnam	Seminar Trade Facilitation Measures for Vietnamese Exporters to ASEAN Market
48	21 October 2019	Kuala Lumpur, Malaysia	Meeting with Malaysian Government Officials, the Coordinators in the Subject of Harmonising Nutrition Labelling for the ASEAN Region
49	21 – 25 October 2019	Yogyakarta, Indonesia	The Regional Training on System of National Accounts 2008
50	28 – 29 October 2019	Yogyakarta, Indonesia	CLMV Training on Foreign Direct Investment Statistic (FDIS)
51	30 October – 1 November 2019	Yogyakarta, Indonesia	Workshop on Foreign Direct Investment Statistic (FDIS)
52	4 – 8 November 2019	Vientiane, Laos	ACTS ICT Staff for Laos



## SECTION 5 LIST OF ANNEXES

Annex	Activity	Experts outputs
Annex 1	1.1.1	Interim Report for Technical Assistance Activity: Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs for Brunei Darussalam, Malaysia and the Philippines
Annex 2	1.1.1	Interim Report for Technical Assistance Activity: Mapping of Non-Tariff Measures under SPS and TBT in Indonesia, Myanmar and Singapore
Annex 3	1.1.1	Interim Report for Technical Assistance Activity: Mapping of TBT Non-Tariff Measures in Cambodia, Lao PDR and Vietnam
Annex 4	1.2.1	Updated ASSIST User Manual with ASSIST Standard Operating Procedure, ASSIST Website Pages, 5 ASSIST Tutorials and the Standards ASSIST Outreach Materials translated into Bahasa Indonesia, Burmese, Khmer, Laotian, Thai and Vietnamese
Annex 5	1.2.1	The Concept of ASSIST for Investment presented to CCI
Annex 6	1.2.1	Interim Report for Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors
Annex 7	1.2.2	Key Messages from the 3 <sup>rd</sup> Roundtable Discussion on Trade Facilitation
Annex 8	1.2.2	Presentation at the meeting of the ATF-JCC
Annex 9	1.2.2	The Concept with Key Features of the <i>“ePlatform for Consultations with the Private Sector”</i>
Annex 10	1.2.3	Presentation at the AGM Meeting of the EU-ASEAN Business Council
Annex 11	2.1.3	Recommendations of the Joint Workshop between WG 2 and the EWG-OA on the ASEAN Organic Agriculture Certification Scheme
Annex 12	2.1.3	Report on Development of ASEAN Sectoral MRA on Certification of Organic Agriculture and New Initiatives for Enhancing Collaboration among ASEAN NABs/NAFPs
Annex 13	2.1.4	Fact Finding Mission to Myanmar on Electrical and Electronic Equipment Safety Regulations
Annex 14	2.1.4	Drafting Electrical and Electronic Equipment Safety Regulations
Annex 15	2.1.5	4 <sup>th</sup> AFSRF Workshop Programme



Annex 16	2.2.1	Report on Review of the Process for Harmonisation of Standards in ASEAN and Implementation of Initiatives to Identify Priority Areas for Harmonisation
Annex 17	2.3.1	Recommendations of the Task Force
Annex 18	2.3.1	Recommendations from Workshop on Food Additives and Food Contaminants
Annex 19	2.3.2	Recommendations from the Workshop to Joint Sectoral Committee for the MRA on Food Hygiene for implementation of the MRA and finalisation of the procedures
Annex 20	2.3.2	Recommended Options for Implementation of the MRA on Prepared Foodstuffs
Annex 21	2.3.2	Interim Report on Implementing the MRA on Inspection and Certification Systems for Food Hygiene for Prepared Foodstuffs
Annex 22	2.3.2	Interim Report on Prepare for GAP Analysis on Implementing MRA on Inspection and Certification Systems for Food Hygiene for Prepared Foodstuffs
Annex 23	2.3.3	Review of operations of ARAC
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