ARISE+
Funded by the European Union

Second Six-Monthly Progress Report ASEAN Regional Integration Support by the European Union - (ARISE) Plus

Second Six-Monthly Progress Report

ASEAN Regional Integration Support by the European Union - (ARISE) Plus

Project No. ACA/2016/389774

Service Contract No. EUROPEAID/138416/DH/SER/MULTI

Table of Contents

ARISE PLUS FACT SHEET 4

LIST OF ABBREVIATIONS 5

EXECUTIVE SUMMARY 8

SECTION 1 PROJECT ACTIVITIES 18

COMPONENT 0: MANAGEMENT AND OUTREACH 18
Sub Component 0.1 Project Management and Coordination 18
Sub Component 0.2 Demand Driven Contingency 19
Sub Component 0.3 Visibility Communications and Outreach (VCO) 20
Activity 0.3.1 Visibility, Communications and Outreach 20
COMPONENT 1.0: TRADE FACILITATION AND TRANSPARENCY 24
Sub-Component 1.1 ATIGA Implementation with Focus on Transparency and NTMs 24
Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs) 24
Activity 1.1.2 Identifying, Classifying and Notifying NTMs 26
Activity 1.1.3 Supporting the Coordinating Committee on the Implementation of the ATIGA 28
Sub-Component 1.2 Trade Facilitation and Private Sector Engagement 29
Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors 29
Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee 31
Activity 1.2.3 Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation 32
Activity 1.2.4 Assisting ASEC and AMSs in TF-Related Policies and Dialogue 33
Activity 1.2.5 Strengthening Public-Private Cooperation 33
Sub-Component 1.3 'On-Demand' Activities 35
Activity 1.3.1 Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme 35
Activity 1.3.2 Supporting the ASEAN Connectivity Coordinating Committee (ACCC) 35
Activity 1.3.3 Supporting the Transposition of Regional Agreements at National Level 36
COMPONENT 2.0: STANDARDS AND CONFORMITY ASSESSMENT IN PARTICULAR HEALTHCARE AND AGRO-BASED PRODUCT 37
Sub-Component 2.1 Supporting the Development of Quality Infrastructure and Related Policies in ASEAN 37
Activity 2.1.1 Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance 38
Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations 39
Activity 2.1.3 Strengthening Conformity Assessment and Accreditation 40
Activity 2.1.5 Enhancing Co-ordination Across ASEAN Sectoral Working Groups 41
Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards 42
Activity 2.2.1 Supporting Harmonisation of Standards 42
Activity 2.2.2 Promoting compliance to international standards among business 43
Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector 44
Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety 44
Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs 45
Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety 46
Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN 48
Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF) 49
Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture 50
Activity 2.3.7 Training in Food Safety 51
Sub-Component 2.4 Support to the Pharmaceutical Sector 52
Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework 52
Activity 2.4.2 Combatting Falsified Medicines in ASEAN 53
COMPONENT 3.0: CUSTOMS, TRANSPORT AND ACTS 54
Sub-component 3.1 Customs Measures 54
Activity 3.1.3 Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07) 55
Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13) 56
Sub-component 3.2 Transport Measures 58

Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST) 58
Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT) 60
Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP) 62
Sub-component 3.3 ACTS Implementation *63*
Activity 3.3.1 Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework 63
Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST) 64
Activity 3.3.3 Rolling Out of ACTS in CLMV countries 65
Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT) 67
Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS 69
COMPONENT 4.0: ASEAN ECONOMIC INTEGRATION MONITORING AND STATISTICS 71
Sub-component 4.1 ASEAN Economic Integration Monitoring *71*
Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects 71
Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework 74
Activity 4.1.3 Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025 77
Sub-Component 4.2 ASEAN Statistics Capacity Building *78*
Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025 79

SECTION 2 OVERVIEW OF USE OF RESOURCES 80

SECTION 3 LIST OF MEETINGS AND WORKSHOPS 88

SECTION 4 LIST OF ANNEXES 93

ARISE PLUS FACT SHEET

Project Title	ASEAN Regional Integration Support by EU (ARISE Plus)
Project Number	ACA/2016/389774
Service Contract	EUROPEAID/138416/DH/SER/MULTI
Service Contractor	AETS, in consortium with FratiniVergano, AECOM and ARTEMIS
Project Location	ASEAN Secretariat Jakarta (with activities in ASEAN and EU)
Contracting Authority	EU Delegation to Indonesia & Brunei Darussalam
EUD Task Manager	Celine Prud'homme Madsen(Celine.PRUDHOMME@eeas.europa.eu)
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Project Dates	8th November 2017 to 7th November 2020
Project Budget	€13,833,125.00
Working Days available	KE 2508, SNKE 6,765, JNKE 400
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LIST OF ABBREVIATIONS

ABAC	ASEAN Business Advisory Council
ACCSQ	ASEAN Consultative Committee on Standards and Quality
ACCC	ASEAN Connectivity Coordinating Committee
ACCP	ASEAN Committee on Consumer Protection
ACD	ASEAN Cosmetic Directive
AC-SPS	ASEAN Committee on Sanitary and Phytosanitary Measures
ACSS	ASEAN Community Statistical System
ACTD	ASEAN Common Technical Dossier
ACTR	ASEAN Common Technical Requirements
ACTS	ASEAN Customs Transit System
ACTS-IP	ACTS Information Portal management
AEC	ASEAN Economic Community
AECB	ASEAN Economic Community Blueprint
AEGFS	ASEAN Expert Group on Food Safety
AEO	Authorised Economic Operators
AFAFGIT	ASEAN Framework Agreement on the Facilitation of Goods in Transit
AFAFIST	ASEAN Framework Agreement on the Facilitation of Inter-State Transport
AFAMT	ASEAN Framework Agreement on Multimodal Transport
AFFA	ASEAN Federation of Forwarders Associations
AFTA	ASEAN Free Trade Area
AHEEERR	ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime
AGVCBP	ASEAN Goods Vehicle Cross Border Permit
AMS	ASEAN Member State
API	Active Pharmaceutical Ingredient(s)
APLAC	Asia Pacific Laboratory Accreditation Cooperation
ARAC	ASEAN Risk Assessment Centre for Food Safety
ARASFF	ASEAN Rapid Alert System for Food and Feed
ARISE	ASEAN Regional Integration Support by the EU
ASEAN	Association of South East Asian Nations
ASEAN-CBTP	ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle
ASEC	ASEAN Secretariat
ASI-CT	ASEAN Statistical Indicators-Consolidated Template
ASOA	ASEAN Standard for Organic Agriculture
ASPSC	ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance
ASSIST	ASEAN Solutions for Services, Investment and Trade
ATFJCC	ASEAN Trade Facilitation Joint Consultative Committee
ATFW	ASEAN Trade Facilitation Work Plans
ATT	Authorised Transit Trader
ASW-SC	ASEAN Single Window Steering Committee
ATIGA	ASEAN Trade in Goods Agreement
ATR	ASEAN Trade Repository

AWP	Annual Work Plan
BE	Bio-equivalence
BFSDAS	Broad Framework for the Sustainable Development of ASEAN Statistics
CCA	ASEAN Coordinating Committee on the Implementation of ATIGA
CCI	ASEAN Coordinating Committee on Investment
CCBWG	Customs Capacity Building Working Group
CCC	ASEAN Customs Coordinating Committee
CCS	ASEAN Coordinating Committee on Services
CECWG	Customs Enforcement and Compliance Working Group
CEN-CENELEC	European Committee on Standardization – European Committee for Electrotechnical Standardisation
CIFOCOss	Chronic Individual Food Consumption Database – summary statistics
CLMV	Cambodia, Lao PDR, Myanmar, Viet Nam
COB	Council of ASEAN Insurance Bureaux
COST	Committee on Science and Technology
CPTFWG	Customs Procedures and Trade Facilitation Working Group
DSG	Deputy Secretary General
DG-DEVCO	Directorate-General for International Cooperation and Development
EC	European Commission
EDSM	Enhanced Dispute Settlement Mechanism
EEE	Electrical and Electronic Equipment
EU	European Union
EUABC	EU-ASEAN Business Council
FA	Financing Agreement
FAO	Food and Agriculture Organization
GIFT	Global Individual Food Consumption Data Tool
GMP	Good Manufacturing Practice
ICH	International Council for Harmonization
IGDRP	International Generic Drug Regulators Program
KE	Key Expert(s)
KLTSP	Kuala Lumpur Transport Strategic Plan
JNKE	Junior Non-Key Expert
LSAD	Legal Services and Agreements Directorate
MIS	Management Information System
MPAC	Master Plan on ASEAN Connectivity
MRA	Mutual Recognition Arrangement
MSME	Micro-, Small-, and Medium-Sized Enterprises
MST	Malaysia, Singapore, Thailand
NKE	Non-Key Expert
NAB	National Accreditation Body
NFAB	National Focal Points for Accreditation
NTM	Non-Tariff Measure(s)
NTR	National Trade Repository
NTTCC	National Transit Transport Coordinating Committees
OWP	Overall Work Plan
PFPWG	Prepared Foodstuffs Product Working Group

PIC/S	Pharmaceutical Inspection Co-operation Scheme
PPWG	ACCSQ Pharmaceutical Product Working Group
PSC	Project Steering Committee
PWG	Product Working Group(s)
SC-AROO	Sub Committee on ATIGA Rules of Origin
SNKE	Senior Non-Key Expert
SPCD	Strategic Plans for Customs Development
STOM	ASEAN Senior Transport Officials
SOM AMAF	Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry
TA	Technical Assistance
TAT	Technical Assistance Team
TBT	Technical Barriers to Trade
TFD	Trade Facilitation Division
TFWG	ASEAN Transport Facilitation Working Group
TRIM	Trade-related Investment Measure
TTCB	Transit Transport Coordinating Board
UNCTAD	United Nations Conference on Trade and Development
VCO	Visibility, Communication and Outreach
WGDSA	Working Group on Data Sharing, Analysis, Dissemination and Communication
WHO	World Health Organization
WTO	World Trade Organization

EXECUTIVE SUMMARY

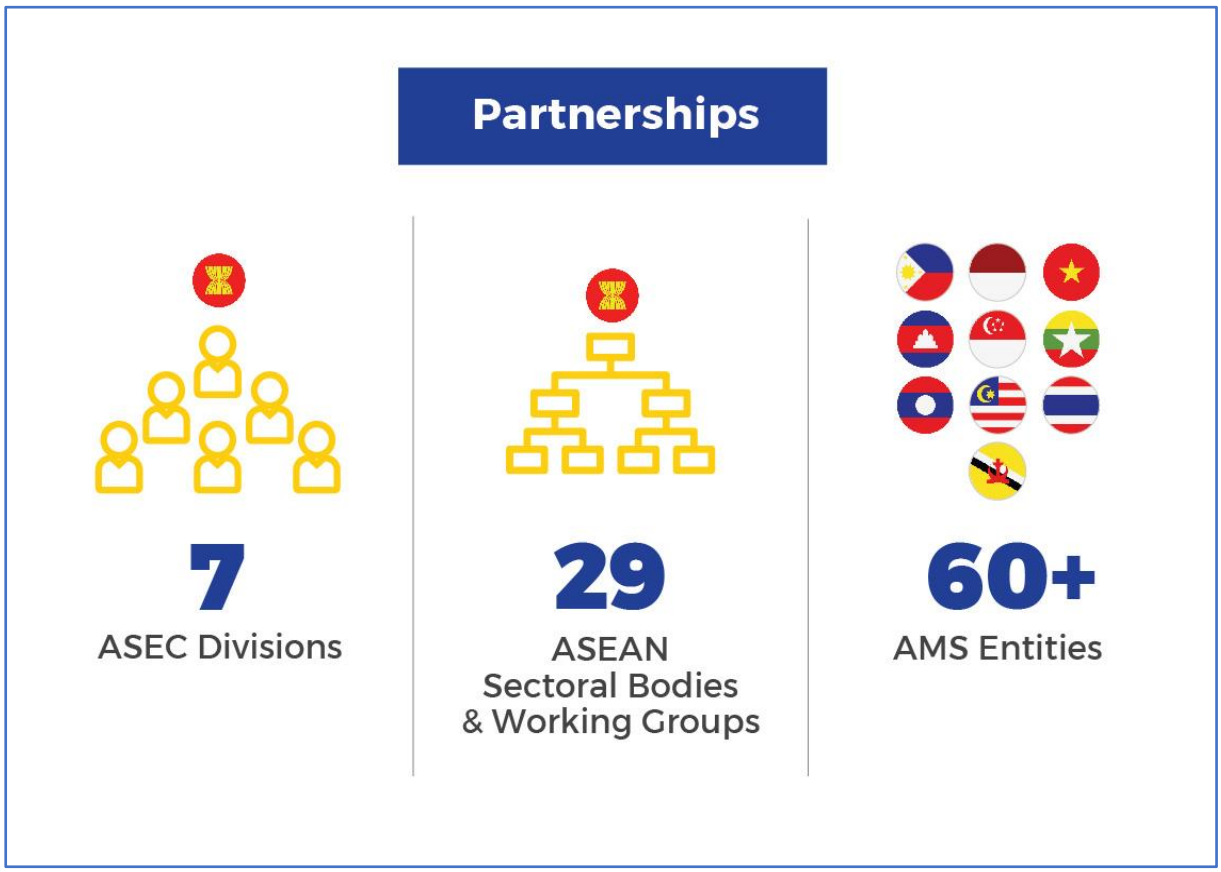
The ARISE Plus second six monthly progress report covers the period of 8th May 2018 to 7th November 2018 and reflects the full 6 months of project activities. Of the 51 planned activities 35 have been launched and are in progress, all of which support the ASEAN economic integration effort.

- ARISE Plus has organised and held 15 workshops during the reporting period. These workshops benefitted a total of 516participants who highly rated the effectiveness of these workshops, as shown by the average effectiveness score of 81.14% obtained from the programme internal monitoring system. Every event has performed well above average with some expected variation depending on topic and participants. In addition to the workshops held, ARISE Plus has produced 37 publications over this reporting period. The publications comprise of three categories of documents, namely official publications, workshop materials and draft ASEAN internal documents.

- Coordination and liaison with other donor agencies and projects, such as USAID, AADCP, JAIF, GIZ, COMPASS, and especially with the E-READI team continue to be highly effective. In the food sector, good cooperation with DG Sante has been maintained with inputs being made to the ASEAN Food Safety Regulatory Framework Agreement. Contacts have been maintained with the regional office of the World Customs Organisation (WCO) to ensure activities are co-ordinated and dialogues with the European Committee for Standardization (CEN), the European Committee

for Electrotechnical Standardization (CENELEC) who are the officially recognized European Standardization Organizations, have been initiated by ACCSQ.

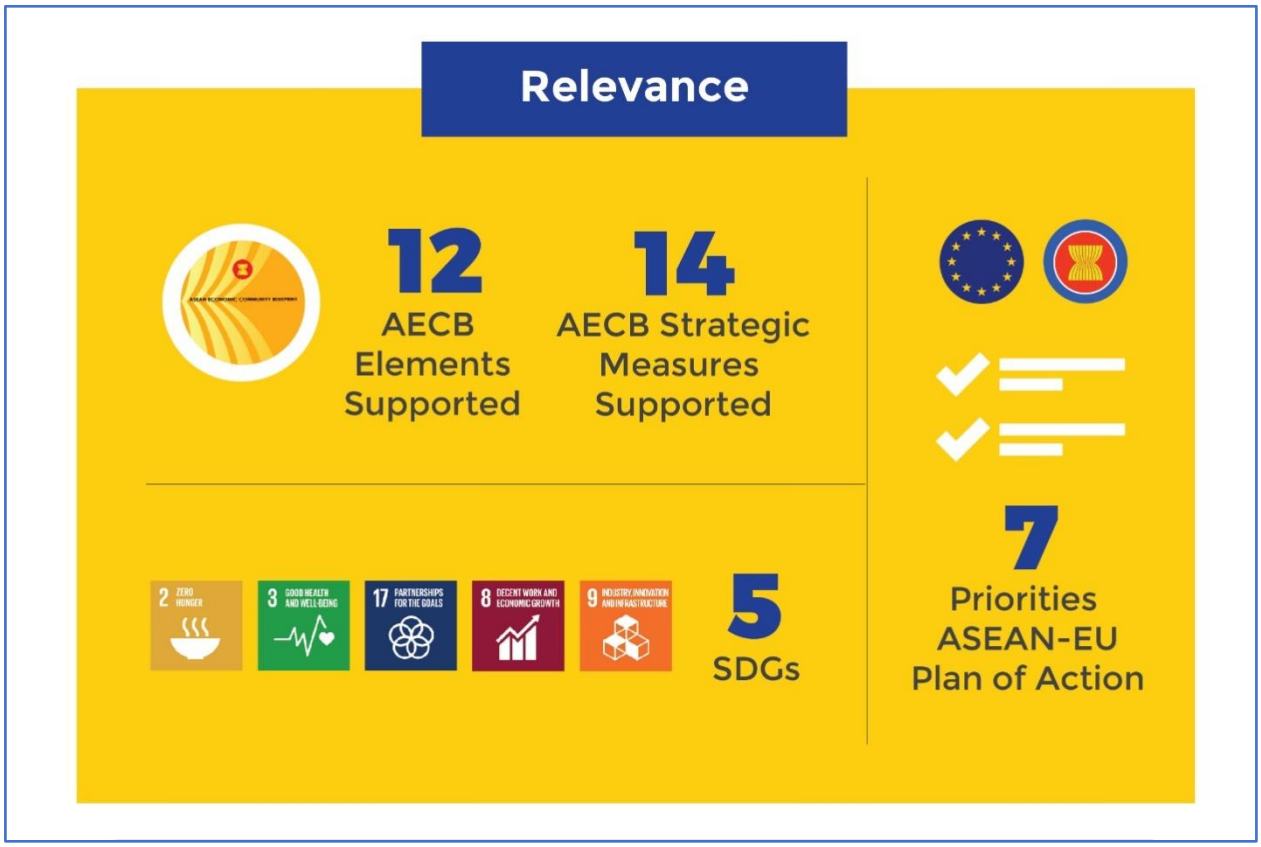
- ARISE Plus has also established strong partnerships and engaged deeply with 7 ASEC Divisions, 29 ASEAN Sectoral Bodies and Working Groups and more than 60 AMS entities.



- Private sector engagement has significantly increased, with excellent relations established with ASEAN Business Advisory Council, EU-ASEAN Business Council and its associated Euro chambers' members, ASEAN Federation of Forwarders Association, Thailand International Forwarders Association and the ASEAN Food and Beverage Alliance.

- Excellent relations have been established with delegations in the region, with systematic visits from key experts when an event or meeting is taking place in the respective countries. A calendar of events for each country has been established and serves as a useful tool for forward planning and ensures that the EUS's are aware of ARISE Plus activities. During this reporting period the project has delivered over 91 outputs and these are summarised below at the component level.

- The relevance of ARISE Plus is manifested through the support it provides to 12 elements and 14 strategic measures of the ASEAN Economic Community Blueprint 2025 (AECB 2025). ARISE Plus also supports 7 priority areas of the ASEAN-EU Plan of Action (2018-2022) and 5 sustainable development goals.



Component 0 Management and Outreach

- Visibility of the ARISE Plus project has been enhanced through the development of visibility materials such as the project leaflet, project brochure, project roll up banner, a standard ARISE Plus email signature.

- Progress was made on the design and content for the ARISE Plus Website ariseplus@asean.org which is at its final stage of development.

- For the period 8 articles based on the contributions and achievements of ARISE Plus were produced to be disseminated. All the articles will be uploaded on the official ARISE Plus website once the 'news/articles' tab goes live. Several of these articles have been published by stakeholders and multipliers including ASEC in the ASEAN Website, media organisations (such as Asian NGO) and the ARISE Plus implementing consortium.

Component 1.0 Trade Facilitation and Transparency

- A quantitative and qualitative **mapping exercise** of the current status of all individual AMSs' **National Trade Repositories** (NTR) and their linkages to the ASEAN Trade Repository (ATRhttp://atr.asean.org/) was successfully completed and shared with ASEAN Member States (AMSs).

- Initial work has been conducted to assist **Indonesia, Myanmar, Thailand and Viet Nam** in the process of identification, classification, 'packaging' and web-linkage of their **Non-Tariff Measures (NTM)** in the ATR sub-category on SPS (4.1). This work is currently ongoing; as an example, over 200 NTMs have already been codified to be uploaded on the ATR for Indonesia.

- A regional workshop on the **ASEAN NTMs Guidelines** was held in Vientiane, Lao PDR, on 31 May 2018, with the guidelines subsequently finalised and adopted by the ASEAN Economic Ministers 32nd/ASEAN Free Trade Area AFTA Council in August 2018.

- A **new feature for Trade in Services** was added to the **ASSIST** website (http://assist.asean.org/) and the related templates/procedures were developed. **New anonymity features** were designed, so complaints could be filed through an ASEAN-based representative trade association, chamber of commerce, business council, business federation, or through the use an ASEAN-based lawyer or law firm, on behalf of companies. This is being reviewed for endorsement by the relevant sectoral bodies, the ASEAN Secretariat's Trade Facilitation Division (TFD) and Services/Investment Division (SID). A **Regional Workshop on ASSIST for Trade in Services** was held to support this process on the 28th September 2018

- **5 tutorials** were conceptualised to enable potential users to understand the mechanics of ASSIST in relation to 5 hypothetical scenarios, following endorsement by CCA and CCS work.

- The **1st ARISE Plus Roundtable Discussion on Trade Facilitation** was successfully held in Singapore on 3 July 2018, in succession to the ASEAN Trade Facilitation-Joint Coordination Committee (ATF-JCC) meeting. The topic for discussion was "**Private Sector Engagement with ASEAN: The Rules and Modalities"**. A total of **50 participants** from the ASEAN private sector, AMSs and relevant ASEAN sectoral bodies participated in the discussions.

- Regular dialogue was held with **ASEAN Business Advisory Council** (ABAC), EU ASEAN Business Council and other private sector bodies.

Component 2.0 Standards & Conformance

- A revised draft of the **ASEAN General Principles for Harmonisation of Regulatory Regimes** was produced and followed by a workshop to further develop the principles.

- Dialogue between the ASEAN Standard and Conformance Committee (**ACCSQ)** and the European Committee for Standardization (**CEN**), the European Committee for Electrotechnical Standardization (**CENELEC**) (the officially recognized European Standardization bodies), was initiated with plans for formalising the relationship.

- A monitoring and evaluation mechanism (M&E) for the **ASEAN Strategic Plan on Standards and Conformance 2016 - 2025** was developed in conjunction with ASEAN Integration Monitoring Directorate and the Standards and Conformance Division.

- A revised draft of the **"ASEAN Framework Agreement on MRAs"** was developed and will be further developed after discussion with ACCSQ.

- Working Group (WG)1, responsible for the Harmonisation of Standard, has accepted the plan to proceed with the development of a new framework for the **harmonisation of standards**.

- A concept paper on enhancing collaboration among **National Accreditation Bodies and National Accreditation Focal Points** of ASEAN Member States was developed and accepted and considered by Working Group (WG)2, Accreditation and Conformity Assessment.

- A proposal for a joint development of an **ASEAN Harmonised Scheme for Accreditation of Organic Agriculture Products** led to the acceptance of the joint approach by the ACCSQs' WG 2, the ASEAN Sectoral Working Group on Crops and the Experts Working Group on Organic Agriculture.

- The Task Force on **ASEAN Food Safety Regulatory Framework** has reviewed the 2nd draft document and reached a consensus on a major part of the draft agreement. Revised version of **the ASEAN Food Safety** and **Draft Terms of Reference of the ASEAN Food Safety Regulatory Framework Agreement Coordinating Committee** were submitted for further consideration and comment.

- Support for the implementation modalities of the **ASEAN Sectoral Mutual Recognition Arrangements (MRA) on Inspection** and **Certification Systems of Food Hygiene for Prepared Foodstuffs** was provided, a workshop was held in support of the process. In conjunction ARISE Plus published the MRA for distribution to Prepared Food Product Working Group (PFPWG) representatives.http://ariseplus.asean.org/wp-content/uploads/2018/12/PFPWG-MRA-Book-FINAL-1.pdf.

- Support was provided to the **ASEAN Risk Assessment Centre (ARAC) for Food Safety** which enabled the second Scientific Panel meeting to be conducted and further deliberations were held on the risk assessment for aflatoxins. A survey to identify new areas of work for ARAC was conducted and a review of operating procedures was carried out.

- In tandem with the support to ARAC, work on the harmonisation of food consumption data in ASEAN was initiated leading to the Draft **Guidelines to Harmonise Individual Food Consumption Data** in AMSs.

- The draft work plan to assist the strengthening of the **ASEAN Rapid Alert System for Food and Feed (ARASFF)** was developed and approved. It focusses on three areas;
  a) Enhancing the **National Traceability Systems,**

  b) Strengthening of **National Food Crisis Management,** and

  c) Establishment of **National Rapid Alert Systems.**

Enhancing the National Traceability Systems is now prioritised as it is a key element of the **ASEAN Food Safety Regulatory Framework Agreement**.

- For the pharmaceuticals sector a revised version of the **ASEAN Common Technical Requirements for Quality** and the **ASEAN Common Technical Requirements for Safety and Efficacy** were elaborated and followed by a Brainstorming Session for the Pharmaceuticals Product Working Group.

Component 3.0 Customs, Transport and ACTS

- ARISE Plus Supported the development of standard **Authorised Economic Operator (AEO) Programmes** and **AEO Mutual Recognition Arrangements (MRA) in ASEAN**, through a specialised regional 5-day AEO capacity building and training workshop bringing together AEO customs experts from all AMSs.

- Assistance was provided to **Narrowing the Development Gap in Customs** (ref SPDC 13) through a series of missions to **Cambodia, Lao PDR** and **Myanmar** to carry out a gap analysis of the training and capacity building needs of the Customs Authorities; the resultant **Training and Capacity Building Action Plan** was designed. This led to a concept note and programme developed and agreed by Cambodia Customs for a capacity building workshop.

- Updated action plans have been produced in support of the implementation framework for the operationalisation of the **ASEAN Framework Agreement on Multi-modal Transport** (AFAMT) by **Cambodia, Lao PDR, Malaysia, Myanmar, Philippines, Singapore, Thailand and Viet Nam**.

- ARISE+ concluded an agreement with **ASEAN Federation of Forwarders Association** (AFFA) and **Thai International Freight Forwarders Association** (TIFFA) on coordinated capacity building activities in support of the operationalisation of **AFAMT** in ASEAN.
- The implementing guidelines to the **ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles** (ASEAN-CBTP) was updated, providing a more detailed and comprehensive document.

- ARISE + has been monitoring the progress in the legal framework for **ASEAN Customs Transit System (ACTS), and notes** with the ratification by ASEAN Member States of Protocol 7 of the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), with the exception of Indonesia, while for Protocol 2 (frontier posts and facilities) all AMS have signed with Thailand recently ratifying.

- A checklist of preparatory actions for the **Malaysia, Singapore, Thailand ACTS Pilot** was developed and approved, it includes liaisons required with other stakeholders and the transport and insurance sectors, in addition to private sector actors.

- The preparatory work for introducing **ACTS to Cambodia, Lao PDR, Myanmar and Viet Nam** (CLMV) is well underway, with:
  a) The definition of hardware requirement for the, installation and configuration for ACTS ICT environments in Cambodia, Lao PDR and Viet Nam;
  b) The finalisation of Myanmar ACTS ICT environment specifications (IT architecture, hardware and system software);
  c) Completion of the initial planning of preparation activities of ACTS roll-out in CLMV.

- **Capacity building for CLMV commenced with a 2-week ACTS training programme** developed and delivered for Viet Nam Customs Department personnel; this includes ACTS procedures and IT system training (Train-the-Trainer) and hands-on exercises in Viet Nam Customs; 24 officers were trained. This was followed by a 4-week ACTS testing activities in Viet Nam Customs.

- Training and capacity building events were designed, developed and delivered to the **ACTS Central Management Team (CMT) in the ASEAN Secretariat**. Reference material for CMT in how to operate the ACTS system and host the ACTS Central Services was also provided, specifically:
  a) Training in ACTS project organisation and Project Management and Quality Assurance;
  b) Training in ACTS ICT Environment daily ICT upkeep procedures.

- **CMT Central Services ICT Environment capacity extension** specifications were finalised along with the terms of reference for the procurement of additional capacity that includes complete ACTS ICT environments for training, testing, and staging. This significantly contributes to future ACTS sustainability and ASEAN capacity to perform ACTS enhancements and ACTS maintenance without necessity to engage any software development contractors.

- **ACTS IT Systems enhancements** have been requested through the ACTS Sub Working Group (SWG-ACTS) meeting. An initial analysis and assessment have been completed of an initial list of 10 Change Requests (CR), including the validation and control of ASEAN Goods Vehicle Cross Border (AGVCB) permits in ACTS. Change Requests have been completed for a number of issues, and have been discussed and agreed at the ACTS SWG held 3-5 July in Vietnam.

- Three **additional ACTS IT subsystems have been requested by some AMSs** and an initial description of tentative scope of such system that include transport (truck) movement monitoring (Transport Monitoring System, TMS), organisation and management of goods inspection activities and self-registration of traders in ACTS has been prepared. The SWG-ACTS would further discuss and evaluate these proposed subsystems at a later time.

Component 4.0 ASEAN Economic Integration Monitoring and Statistics

- A **comprehensive project monitoring system** has been developed for the ARISE+ programme which includes, indicators of relevance, efficiency, effectiveness and impact. An additional feature is linking the indicators for outcomes, specific objectives and overall objective with ASEAN Economic Community indicators for compliance monitoring across all the 153 strategic measures and 35 elements of which ARISE+ relates to 12 Elements and 14 strategic measures. An updated data collection tool for monitoring of ARISE Plus regional project events was also developed.

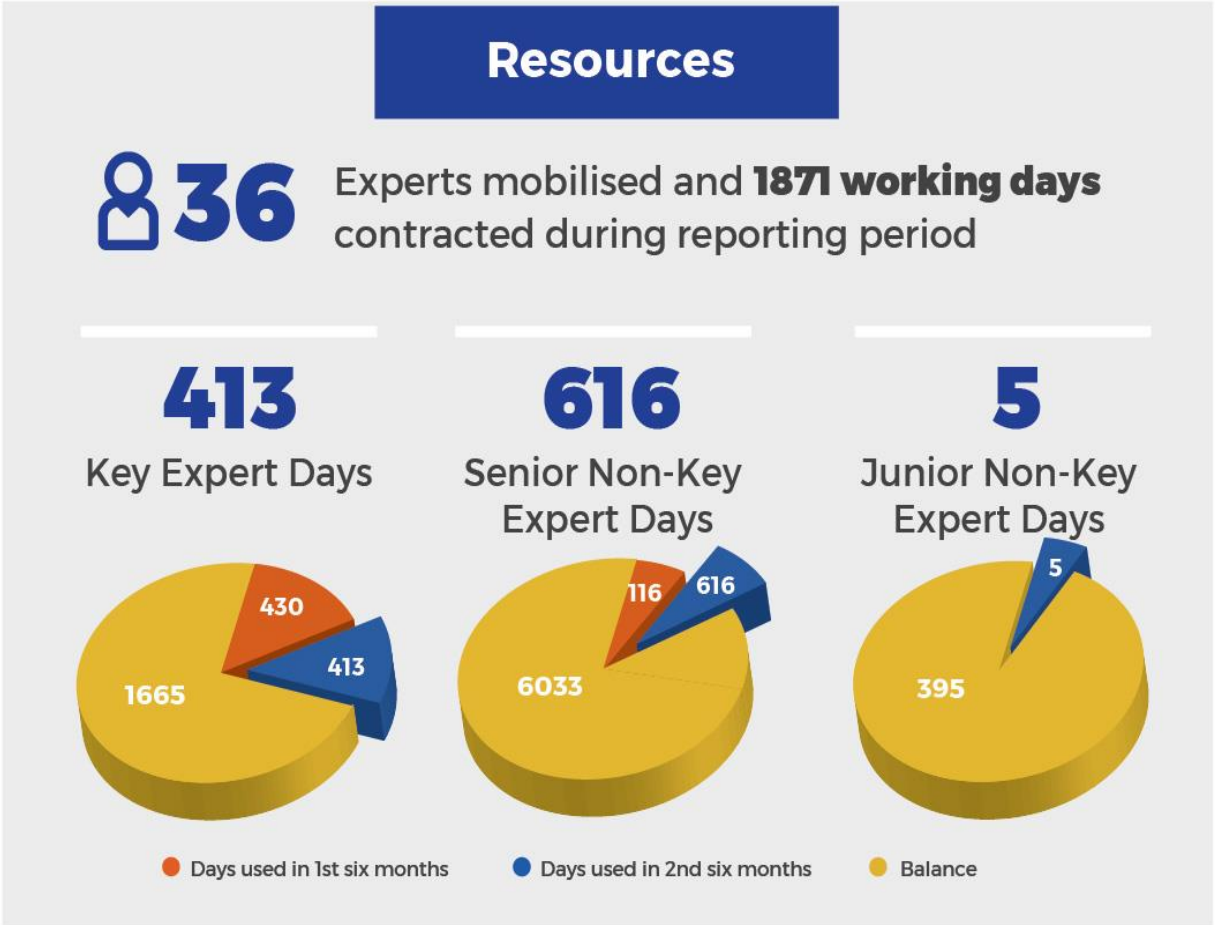
- A draft **monitoring/coordinating framework, linking ARISE Plus regional programme with ARISE+ national projects** has been prepared. This will reflect the linkages between these projects and the elements and strategic measures of the ASEAN Economic Community Blueprint (AECB) 2025. This was presented to the EUDs' in the region and DEVCO Brussels.

- An initial draft of a **M&E mechanism for the ASEAN Standards and Conformance Strategic Plan 2016-2025** was developed. The process was supported with a capacity building workshop for staff from the Standards and Conformance Division of the ASEC, Science and Technology Division, Statistics Division, ACCSQ Indonesia, and with inputs from ASEAN Integration Monitoring Directorate (AIMD). This will be presented to the 50th ACCSQ meeting in December.

- As part of the implementation of **ASEAN Economic Community (AEC) 2025 M&E Framework**, support was provided to AIMD to enhance the country visit process for AEC compliance monitoring. A 2-day workshop was held for AIMD staff in July 2018. The workshop focussed on improving the process for the preparation of the country visits, conducting of the country visits, and preparation of reports. The documents/templates developed have been presented to AIMD and have been operationalised as appropriate starting with the country visit in **Brunei** in September 2018 by AIMD.

- Quick start of component 4.2 activities (Statistics): A plan was developed for ARISE Plus to continue the work of the EU-funded COMPASS project (ASEAN Statistics & Integration Monitoring), albeit on a reduced scale. This included the recruitment of a coordinator for the activities in statistics, the only component with no Key Expert. A presentation on the ARISE Plus statistical sub-component was made during the 8th Session of the ASEAN Community Statistical System (ACSS) Committee and the last COMPASS Steering Committee in October. The main areas where support will be continued are regional activities such as workshops, trainings, cascading/echoing forum in AMSs, technical assistance & training to ASEANstats and peer reviews.

RESOURCES



During this reporting period, ARISE Plus has mobilised the resources shown in column 2 of the table below. Due to the six-monthly reporting and invoicing cycle, the first month of WP1 was reported

under the previous progress report. The purpose of the table is to show the cumulative resource use in relation to the overall budget for WP1.

	1st Month of WP1*	**Mobilised during the period (8th May 2018 to 7th Nov 2018) ***	**Overall Budget WP1**	**Balance WP1**
KE Days	80	413	909	416
SNKE Days	46	616	2683	2021
JNKE days	0	5	110	105
Incidentals	€30,363.36	€ 276,859.19	€1,422.747	€ 1,115,524.45

SECTION 1 PROJECT ACTIVITIES

Component 0: Management and Outreach

Sub Component 0.1 Project Management and Coordination

Actual Resources Use

Sub Component 0.1	KE	S-NKE	J-NKE	Incidentals
Total	117	0	0	€ 11.831,68

Outcome

Project resources managed to ensure the efficient and effective realisation of outcomes at all levels.

The Team Leader, supported by the Key experts and project assistants in Jakarta, coordinated the implementation of the activities for the 6 months period covered by this report under WP1.

Of the 51 planned activities 35 have been launched and are in progress, all meeting the high standards set the by ARISE Plus Project Team.

ARISE plus has organised and held 15 workshops during the reporting period. The total number of participants for all events was 516. They highly rated the effectiveness of these workshops with average rating of5.7 out of 7. Every event has performed well above average with some expected variation depending on topic and participants.

Coordination and liaison with other donor agencies and projects continue to be highly effective, for example with USAID, AADCP, JAIF, and GIZ, of special note is the relationship with the E-READI team. In the food sector, good cooperation with DG Sante has been maintained with inputs being made to the ASEAN Food Safety Regulatory Framework Agreement. Contacts have been maintained with the regional office of the World Customs Organisation (WCO) to ensure activities are co-ordinated and Cen-Cenelec have started dialogue with ACCSQ.

Private sector engagement has significantly increased, with excellent relations established with ASEAN Business Advisory Council, EU-ASEAN Business Council and its associated Euro Chambers members, ASEAN Federation of Forwarders Association, Thailand International Forwarders Association and the ASEAN Food and Beverage Alliance.

Excellent relations have been established with Delegations in the region, with systematic visits from KEs' when an event or meeting is taking place in the respective countries. A calendar of events for

each country has been established and serves as a useful tool for forward planning and ensures that the EUS's are aware of ARISE plus activities.

In addition to the above, the tasks carried out by the Team Leader included:

- Regular consultation all relevant stakeholders, including high level officials in ASEAN and EU Delegations;
- Provided high-level policy / technical / strategic inputs to the AEC pillar of the ASEAN Secretariat; for example, on the strategy for private sector engagement with sectoral bodies;
- Managed the team of Key and non-Key Experts, including the reviewing of ToRs for NKE's, monitoring of performance and outputs;
- Reported regularly to the EU Delegation on progress achieved in implementing the project;
- Participated actively in M&E activities, with specific focus on developing and implementing an integrated monitoring framework across ARISE Plus regional and national components;
- Insured coordination across all ARISE Plus' components, including those to be implemented at the national level in the future;

Sub Component 0.2 Demand Driven Contingency

Actual Resource Use

Sub Component 0.2	KE	S-NKE	J-NKE	Incidentals
Total	0	0	0	0

Outcome

Demand-driven activities facilitated ***to support the implementation of the AEC Blueprint 2025.***

During the reporting period, a formal request was received by the ASEAN Secretariat from Ministry of Public Works and Transport for assistance in drafting a new law and associated regulations to support the implementation of the ASEAN Framework Agreement on Multi-modal Transport (AFAMT). This request was supported by the Transport division in the ASEC. It is coherent with ARISE+ Sub Component 3.2 Transport Measures and complementary to activity 3.2.2Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)The resources required for this initiative will be mobilised during the next reporting period.

Furthermore, it is foreseen that support will be requested by the Prepared Food product Working Group for assistance in developing the ASEAN guidelines on Harmonised Nutritional Labelling. This would be coherent with ARISE+ Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector and, the support already provided to enhance the ASEAN

Food Safety Regulatory Framework Agreement and would meet a key demand of the private sector for harmonisation of standards in the food sector.

Sub Component 0.3 Visibility Communications and Outreach (VCO)

Actual Resource Use

Sub Component 0.3	KE	S-NKE	J-NKE	Incidentals
Total	0	48	0	€ 6.791,96

Outcome

Communication objectives of the AEC is achieved whilst providing visibility to ARISE Plus as a flagship programme of the EU and the strategic nature of the EU's support.

Activity 0.3.1 Visibility, Communications and Outreach

Activity 0.3.1	**Visibility, Communication and Outreach**
Main Beneficiaries Engaged	EUD, ASEC Community Relations Division, ASEC ASEAN Integration Monitoring Division, ASEC Standards and Conformance Division, media networks in Thailand, Philippines, Myanmar and Malaysia, EU-Malaysia Chamber of Commerce and Industry.
Tasks progress	Developed 8 articles as communication products to communicate the project activities and outputs to disseminate information and create buy in among stake holders at programme and component level when the website goes live. Several articles have been published by ASEC in the ASEAN website, media organisation (ASIAN NGO) and the ARISE Plus implementing consortium. All articles will be published in the ARISE+ website once the site is live. The articles are attached as ***Annex 1 to 9*** in this report.

1.	ASEAN Agrees on Common Requirements of Biological Products
2.	ASEAN Member States Sign Mutual Recognition Agreement (MRA) of Bioequivalence Study Reports of Generic Medicinal Products
3.	The ASEAN Pharmaceutical Product Working Group (PPWG) Develops ASEAN Common Technical Requirements (ACTR) for Biotechnological Products
4.	ARISE PLUS Supports Development of the ASEAN Standard for Food Additives and ASEAN General Guidelines on Food Contact Materials (FCM).
5.	ASEAN Integration Monitoring Division Further Enhances Country Visit Process
6.	50th ASEAN Economic Ministers' Meeting Acknowledges the Contributions of ARISE Plus to the ASEAN Region

Activity 0.3.1	Visibility, Communication and Outreach

7.	Achieving the ASEAN Economic Community Vision: Standards and Conformance Division Enhances Monitoring and Evaluation Mechanism
8.	ARISE Plus Organises Authorised Economic Operator (AEO) Regional Capacity Building Workshop
9.	Brief on ARISE+ activities/events

Status: Ongoing

Developed Standard Operating Procedure (SOP) for the production of written VCO materials involving the rest of the ARISE+ team, EUD and ASEC.
Status: Completed

Developing, project website, component brochure.
Status: Ongoing

Developed content for project brochure, leaflet, roll up banner and team email signature.
Status: Completed

Dissemination of 200 brochures to wider stakeholders via ASEC CRD.
Status: Completed

Dissemination of 30 brochures to the Head of Development Cooperation of the EUD in each ASEAN MS.
Status: Ongoing

Developing of 3 component brochures.
Status: Ongoing

Dissemination of information about ARISE+ via varsity speech slot.
Status: Ongoing

Developing content for project website and supervise development.
Status: Ongoing

Develop content for animations and video and supervise development (for service provider) for Component 1 video on ASSIST.
Status: Ongoing

Developing content for 2019 pocket calendar upon the request of ASEC to diversify communication modalities and to enhance visibility, buy in and outreach. 1000 calendars were printed and 800 provided to CRD ASEC for distribution amongst its stakeholders
Status: Ongoing

Developing a case study on Component 3: ACTS (DHL cross border transport system) to illustrate the success stories of the pilot phase with

Activity 0.3.1	Visibility, Communication and Outreach

valid and reliable qualitative and quantitative data to create buy in and increase visibility of the project.
Status: Ongoing

Provide inputs into suitable ASEC and other suitable websites to leverage on the existing ASEAN and other platforms to keep all stakeholders informed on ARISE Plus especially on the activities, outputs and outcomes at programme and component level.

No	Title	Website
1.	ASEAN Agrees on Common Requirements of Biological Products	ASEC/AETS
2.	50th ASEAN Economic Ministers' Meeting Acknowledges the Contributions of ARISE Plus to the ASEAN Region	AETS ASIAN NGO - e-newsletter
3.	Achieving the ASEAN Economic Community Vision: Standards and Conformance Division Enhances Monitoring and Evaluation Mechanism	AETS
4.	ASEAN Member States Sign Mutual Recognition Agreement (MRA) of Bioequivalence Study Reports of Generic Medicinal Products	AETS
5.	ARISE Plus Organises Authorised Economic Operator (AEO) Regional Capacity Building Workshop	ASEC

Provide inputs for EU-ASEAN newsletter June-November 2019, to provide updates on the ARISE Plus program and its development.
Status: Ongoing

Developing media linkages, with Community Relations Division of ASEC, EU MCCI Malaysia, and media network in Thailand, Philippines, Malaysia and Myanmar. These linkages will help increase visibility, outreach and engage the broader ASEAN community to create awareness among the general public on the subject matter and simultaneously increase EU-ASEAN visibility.
Status: Ongoing

Maintain media library (event photos and other visuals) for ease of consumption for visibility and outreach activities and documentation purpose.
Status: Ongoing

Develop communication and design guidelines for national components to ensure consistency in messages within the ARISE+ programme.
Status: Ongoing

Outputs	• ARISE+ project leaflet.

<table>
<tr><th>Activity 0.3.1</th><th>Visibility, Communication and Outreach</th></tr>
<tr><td></td><td>

ARISE+ project brochure.
ARISE+ Project Roll Up Banner.
ARISE+ email signature. A uniform signature used by all team members.
SOP for Producing Articles on ARISE+ which would help the timely and relevant production of articles on ongoing activities, initiatives and achievements of ARISE+.
Produced 8 articles based on the contributions and achievements of ARISE+. All the articles will be uploaded on the official ARISE+ website once the ‘news/articles’ tab goes live.

</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table>
<tr><td>Experts</td><td>Work Days</td></tr>
<tr><td>KE</td><td>0</td></tr>
<tr><td>SNKE</td><td>48</td></tr>
<tr><td>Incidentals</td><td>€ 6.791,96</td></tr>
</table>
</td></tr>
</table>

Component 1.0: Trade Facilitation and Transparency

Component 1 on Trade Facilitation is organized on the basis of three Sub-Components of activities in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held during the inception phase with the relevant sectoral bodies (*i.e.*, SEOM, ATF-JCC, CCA, Singapore's 2018 Chair, ASEC, etc.), and leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Sub-Component 1.1 ATIGA Implementation with Focus on Transparency and NTMs

Actual Resources Use

Sub Component 1.1	KE	S-NKE	J-NKE	Incidentals
Total	31	76	0	€22.146,81

Outcome

Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs)

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)
Main Beneficiaries Engaged	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN.
Tasks progress	• **Conducted**, in each of the 10 AMSs, a quantitative and qualitative '*mapping exercise*' of the current status of the individual NTRs and their linkages to the ATR in terms of: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, correct categorization, completeness, comprehensiveness and reliability of the information so far uploaded on the ATR; and apparent areas of deficiency. A detailed '*Mapping Exercise Report*' was produced for each AMS and circulated only to the respective AMS and the ASEC for information. **Status:** completed

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)
	• **Given** a detailed presentation at the 27th Meeting of the Coordinating Committee on the Implementation of the ATIGA (CCA) on 1-2 June 2018in Vientiane, Lao PDR to assess the state-of-play of the NTRs/ATR system on the basis of the '*mapping exercise*' conducted. **Status:** completed • **Participated** in a regional '*validation workshop*' organized by ERIA/UNCTAD in Hanoi, Viet Nam on 18-20 September 2018 on NTMs classification and collection in order to avoid duplication, build synergies among ASEAN development partners' efforts on regulatory transparency of NTMs, and inform the deployment of the ARISE Plus short-term expertise to assist AMSs on NTMs for NTR/ATR improvement. **Status**: completed • **Deployed** specialised teams of experts (working alone or in teams of two, depending on the language needs and/or on the AMSs' NTR/ATR state-of-play) to engage with the AMSs' authorities in charge of the respective NTRs and of web-linkages to the ATR. The initial objective is to gather all relevant NTMs in the ATR sub-category of SPS and properly classify them, '*package*' them for upload on the ATR, web-link them to the NTR and/or to the available national online databases. Work has commenced in Indonesia, Myanmar, Thailand and Viet Nam. **Status:** ongoing
Outputs	• Reports on the quantitative and qualitative '*mapping exercise*' of the current status of the individual AMSs' NTRs and their linkages to the ATR produced and submitted to each AMS and ASEC. The individual '*mapping exercise*' reports are available as ***Annexes 10 to 19*** but should not be made public as AMSs have requested that they remain confidential. • PowerPoint presentation prepared and delivered at the 27th Meeting of the Coordinating Committee on the Implementation of the ATIGA (CCA) on 1-2 June 2018 in Vientiane, Lao PDR in order to assess the state-of-play of the NTRs/ATR system on the basis of the '*mapping exercise*' conducted. The following issues were covered: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, the correct categorization, the completeness, the comprehensiveness and the reliability of the information so far uploaded on the ATR. The presentation is filed as ***Annex 20***. • Initial work has been conducted by short-term experts to assist selected AMSs (Indonesia, Myanmar, Thailand and Viet Nam) in the process of identification, classification, '*packaging*' and web-linkage of their NTMs in the ATR sub-category on SPS (4.1). This work is currently ongoing, but

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)
	as an example, over 200 NTMs have already been codified for upload on the ATR for Indonesia alone under the sub-category SPS.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 2	24
SNKE	42
JNKE	0
Incidentals	€ 14.885,61

Activity 1.1.2 Identifying, Classifying and Notifying NTMs

Activity 1.1.2	Identifying, Classifying and Notifying NTMs
Main Beneficiaries Engaged	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN
Tasks progress	• **Conducted**, in each of the 10 AMSs, a quantitative and qualitative '*mapping exercise*' of the current status of the individual NTRs and their linkages to the ATR in terms of: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, correct categorization, completeness, comprehensiveness and reliability of the information so far uploaded on the ATR; and apparent areas of deficiency. This activity was conducted in combination with Activity 1.1.1 and had an important focus on Category 4 of the ATR on NTMs. A detailed '*Mapping Exercise Report*' was produced for each AMS and circulated only to the respective AMS and the ASEC for information. **Status:** completed • **Given** a detailed presentation at the 27th Meeting of the Coordinating Committee on the Implementation of the ATIGA (CCA) on 1-2 June 2018 in Vientiane, Lao PDR to assess the state-of-play of the NTRs/ATR system on the basis of the '*mapping exercise*' conducted, with particular regard to and focus on the category of NTMs. This included a joint coordination meeting with ERIA before CCA, in order to agree to a process that would avoid duplication and foster synergies. **Status:** completed • **Participated** in a regional '*validation workshop*' organized by ERIA/UNCTAD in Hanoi, Viet Nam on 18-20 September 2018 on NTMs classification and collection in order to avoid duplication, build synergies among ASEAN development partners' efforts on regulatory transparency of NTMs, and inform the deployment of the ARISE Plus

Activity 1.1.2	Identifying, Classifying and Notifying NTMs
	short-term expertise to assist AMSs on NTMs for NTR/ATR improvement. **Status**: completed • **Deployed** specialised teams of experts (working alone or in teams of two, depending on the language needs and/or on the AMSs' NTR/ATR state-of-play) to engage with the AMSs' authorities in charge of the respective NTRs and of web-linkages to the ATR. The initial objective is to gather all relevant NTMs in the ATR sub-category of SPS and properly classify them, '*package*' them for upload on the ATR, web-link them to the NTR and/or to the available national online databases. Work has commenced in Indonesia, Myanmar, Thailand and Viet Nam. **Status:** ongoing
Outputs	• Reports on the quantitative and qualitative '*mapping exercise*' of the current status of the individual AMSs' NTRs and their linkages to the ATR produced and submitted to each AMS and ASEC, with large coverage of the state-of-play in relation to NTMs. The individual '*mapping exercise*' reports are available as ***Annexes 10 to 19***, but should not be made public as AMSs have requested that they remain confidential. • PowerPoint presentation prepared and delivered at the 27th Meeting of the Coordinating Committee on the Implementation of the ATIGA (CCA) on 1-2 June 2018 in Vientiane, Lao PDR in order to assess the state-of-play of the NTRs/ATR system on the basis of the '*mapping exercise*' conducted. The following issues were covered: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, the correct categorization, the completeness, the comprehensiveness and the reliability of the information so far uploaded on the ATR. • Initial work has been conducted by short-term experts to assist selected AMSs (Indonesia, Myanmar, Thailand and Viet Nam) in the process of identification, classification, '*packaging*' and web-linkage of their NTMs in the ATR sub-category on SPS (4.1). This work is currently ongoing, but as an example, over 200 NTMs have already been codified for upload on the ATR for Indonesia alone under the sub-category SPS.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 2	2
SNKE	34
JNKE	0
Incidentals	€ 6.652,89

Activity 1.1.3 Supporting the Coordinating Committee on the Implementation of the ATIGA

<table>
<tr><th>Activity 1.1.3</th><th>Supporting the Coordinating Committee on the Implementation of the ATIGA</th></tr>
<tr><td>Main Beneficiaries Engaged</td><td>CCA and ASEC's TFD</td></tr>
<tr><td>Tasks progress</td><td>

Assisted, on ASEC's TFD and CCA's demand, with the negotiation, legal drafting, improvement and finalization of the ASEAN NTMs Guidelines.

Status: completed
Held a regional workshop on the ASEAN NTMs Guidelines in Vientiane, Lao PDR, on 31 May 2018, back-to-back to the CCA Meeting held there on 1-2 June 2018. As part of the preparatory work, ARISE Plus also drafted the 'speaking points' for EU Ambassador Leo Faber's opening remarks at the workshop.

Status: completed
Prepared and delivered a PowerPoint Presentation on "Addressing NTBs/NTMs in ASEAN: The Applicable Obligations" at the workshop on the ASEAN NTMs Guidelines.

Status: completed

</td></tr>
<tr><td>Outputs</td><td>

The ASEAN NTMs Guidelines were negotiated by AMSs, legally drafted, finalized and finally adopted by the AEM 32nd/AFTA Council in August 2018. The final document is available as Annex 21.
A regional workshop on the ASEAN NTMs Guidelines was held in Vientiane, Lao PDR, on 31 May 2018. The Final Programme of the workshop is available as Annex 22. The 'speaking points' for EU Ambassador Leo Faber's opening remarks at the workshop are available as Annex 23.
The PowerPoint Presentation delivered at the workshop on "Addressing NTBs/NTMs in ASEAN: The Applicable Obligations" is available as Annex 24.

</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table>
<tr><th>Experts</th><th>Work Days</th></tr>
<tr><td>KE 2</td><td>5</td></tr>
<tr><td>SNKE</td><td>0</td></tr>
<tr><td>JNKE</td><td>0</td></tr>
<tr><td>Incidentals</td><td>€ 608,31</td></tr>
</table>
</td></tr>
</table>

Sub-Component 1.2 Trade Facilitation and Private Sector Engagement

Actual Resources Used

Sub Component 1.2	KE	S-NKE	J-NKE	Incidentals
Total	49	46	0	€ 49.783,10

Outcome

Private sector engagement is enhanced through increased participation in the activities of ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors
Main Beneficiaries Engaged	SEOM, ATF-JCC, CCA, CCA, CCI, AMSs' ASSIST Focal Points, ASEC's TFD and Private Sector
Tasks progress	• **Conceptualized** the new IT and procedural features of ASSIST for Trade in Services, coordinated them among all relevant stakeholders (*i.e.*, ASEC's Services and Investment Division (SID), the Coordinating Committee on Services (CCS) and individual AMSs), and supervised the work by the STEs to upgrade the ASSIST website, and adjust the ASSIST templates and related procedures. **Status**: ongoing • **Conceptualized** the new features of '*anonymity*' when filing ASSIST complaints (*i.e.*, either through an ASEAN-based representative trade association, chamber of commerce, business council, business federation, or through the use an ASEAN-based lawyer or law firm), coordinated them among all relevant stakeholders (*i.e.*, SID, CCA, CCS and individual AMSs), and supervised the work by the STEs to upgrade the ASSIST website, and adjust the ASSIST templates and related procedures. **Status**: ongoing • **Conceptualized** 5 Tutorials to enable potential users to understand the mechanics of ASSIST in relation to 5 hypothetical scenarios, and

Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

coordinated and supervised the STE work to draft them for upload on the website, following endorsement by CCA and CCS.

Status: ongoing

- **Presented** at the90th Meeting of the ASEAN Coordinating Committee on Services (CCS) on 21-22 May 2018 in Singapore on "*ASSIST for Services: Conceptualization and 'Soft Launch' at ABIS in November 2018*", in order to commence the discussions on the extension of ASSIST to Trade in Services.

  Status: completed

- **Circulated** to CCS, SID and AMSs a *Memorandum* on the "*Outstanding Issues for CCS Decision on the Conceptualization of ASSIST for Trade in Services*" on30 May 2018, in order to seek endorsement of the proposed improvements to the ASSIST website and procedures. Most AMSs replied with their comments and endorsement of the proposed approach and technical solutions.

  Status: completed

- **Conceptualized**, coordinated and held a Regional Workshop on ASSIST for Trade in Services on 28 September 2018 in Koh Samui, Thailand to the benefit of CCS, SID and AMSs, in order to review in detail, the structure, roles and responsibilities, website, procedures and back-office features of ASSIST in general and, in particular, of ASSIST for Trade in Services. The Workshop also featured presentations by ARISE Plus on the ASSIST system, on the improvements brought in relation to the '*anonymity features*' and on selected simulations to illustrate the mechanisms and possible scenarios.

  Status: completed

- **Prepared** and circulated a *Memorandum* on 10 October 2018 on "*Seeking AMSs' Feedback on the Improvements Brought by ARISE Plus to ASSIST: Extension to Trade in Services and Enhancement of the 'Anonymity' Features*", in order to seek final endorsement from CCA and CCS on the new features of ASSIST.

  Status: ongoing

- Presented at the 91st Meeting of the ASEAN Coordinating Committee on Services (CCS) held on 8–-9 November 2018 in Nay Pyi Taw, Myanmar on the latest design of the ASSIST for Services webpage ready for soft launch at ABIS on 13 November 2018, with request for AMS to provide further inputs if any to the design within 2018.

  Status: completed

<table>
<tr><th>Activity 1.2.1</th><th>Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors</th></tr>
<tr><td>Outputs</td><td>

The new ASSIST website and related templates/procedures were developed and are being reviewed for endorsement by CCA, CCS, AMSs, and ASEC's TFD and SID (currently available on the 'parallel ASSIST website' at http://assist.arsenadevelopment.space/,which is not accessible to the public at large).
A PowerPoint Presentation was prepared and presented at the 90th Meeting of the ASEAN Coordinating Committee on Services (CCS) on 21-22 May 2018 in Singapore. The presentation on "ASSIST for Services: Conceptualization and 'Soft Launch' at ABIS in November 2018" is available as Annex 25.
A Memorandum on the "Outstanding Issues for CCS Decision on the Conceptualization of ASSIST for Trade in Services" was circulated to CCS, SID and AMSs on 30 May 2018 and is available as Annex 26.
A Regional Workshop on ASSIST for Trade in Services was held in Koh Samui, Thailand, on 28 September 2018. The Final Programme of the regional workshop is available as Annex 27.
A Memorandum on "Seeking AMSs' Feedback on the Improvements Brought by ARISE Plus to ASSIST: Extension to Trade in Services and Enhancement of the 'Anonymity' Features" was circulated to CCA, CCS and AMSs on 10 October 2018 and is available as Annex 28.

</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table>
<tr><th>Experts</th><th>Work Days</th></tr>
<tr><td>KE 2</td><td>29</td></tr>
<tr><td>SNKE</td><td>35</td></tr>
<tr><td>JNKE</td><td>0</td></tr>
<tr><td>Incidentals</td><td>€ 25.323,86</td></tr>
</table>
</td></tr>
</table>

Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee

Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee
Main Beneficiaries Engaged	ATF-JCC; ASEC's DSG for AEC; ASEC's TFD; SEOM; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; AMSs and Private Sector
Tasks progress	• **Participated** at the 12th meeting of the ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC) in Singapore on 4-5 July 2018, with a PowerPoint Presentation given on the "*ARISE Plus Technical Cooperation Project*", in order to illustrate the results of the "*ATR/NTRs Mapping Exercise and Report*", the ongoing work on ASSIST for Trade in Services, the workshop held to the benefit of CCA on the ASEAN NTMs

Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee
	Guidelines, and the results of the 1st ARISE Plus Roundtable Discussion on Trade Facilitation. **Status**: completed • **Participated** at the meeting of SEOM 3/49 on 7-8 July 2018 in Singapore, with a PowerPoint Presentation given on "*An Update on Key Activities*", in order to report on the key activities supported by ARISE Plus (*i.e.*, ATR/NTRs Mapping Exercise and Report, as well as proposed approach and upcoming steps, and ASSIST for Trade in Services, as well as the improvements related to '*anonymity*' and accessibility) and to seek endorsement of the next steps and initiatives. **Status**: completed • **Held** a meeting, in Bangkok, Thailand on 2 October 2018, with the upcoming ATF-JCC and SEOM Chair of Thailand, to discuss the priorities of the 2019 Thai Chair of ASEAN and the possible support of ARISE Plus within the various Components of its technical assistance programme. This meeting also discussed the programme of the 2nd Roundtable Discussion on Trade Facilitation to be held in Bangkok, Thailand on 28 November 2018, back-to-back with the SEOM Retreat of 29-30 November 2018. **Status**: completed
Outputs	• The PowerPoint Presentation on "*ARISE Plus Technical Cooperation Project*", given at ATF-JCC on 4-5 July 2018, is available as ***Annex 29***. • The PowerPoint Presentation on "*An Update on Key Activities*", given at SEOM on 7-8 July 2018, is available as ***Annex 30***.
Resources Mobilised	**Expert days**: **Experts** / **Work Days** KE 2 / 9 SNKE / 0 JNKE / 0 Incidentals / € 23.397,10

Activity 1.2.3 Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation

Activity 1.2.3	Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation
Main Beneficiaries Engaged	ATF-JCC, SEOM, ASEC's TFD
Tasks progress	• No specific request was made by ASEAN with respect to this '*on demand*' activity.

Activity 1.2.3	Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation
Outputs	N/A
Resources Mobilised	N/A

Activity 1.2.4 Assisting ASEC and AMSs in TF-Related Policies and Dialogue

Activity 1.2.4	Assisting ASEC and AMSs in TF-Related Policies and Dialogue
Main Beneficiaries Engaged	SEOM, ATF-JCC, ASEC, AMSs
Tasks progress	• No specific request was made by ASEAN with respect to this '*on demand*' activity.
Outputs	• N.A.
Resources Mobilised	• N.A.

Activity 1.2.5 Strengthening Public-Private Cooperation

Activity 1.2.5	Strengthening Public-Private Cooperation
Main Beneficiaries Engaged	ABAC, EABC, ATFJCC, ASEC
Tasks progress	• **Coordinated** with ASEAN's private sector, particularly within the ASEAN Business Advisory Council (ABAC), the EU-ASEAN Business Advisory Council (EABC), and the ASEC's Enterprise & Stakeholders Engagement Division in order to advance private sector engagement with ASEAN institutions. **Status:** ongoing • **Gave** a presentation on ARISE Plus in Singapore on 6 June 2018 to ABAC and its various +1 Councils. Particular focus was placed on the activities under the ARISE Plus Component on Trade Facilitation and Transparency, focussing on ATR/NTRs operationalization, regulatory transparency and streamlining of NTMs, and the extension of ASSIST to Trade in Services, as well as the improvement of the '*anonymity features*' and accessibility of ASSIST in all ASEAN languages. **Status:** completed • **Conceptualized**, organized, coordinated and held the 1st ARISE Plus Roundtable Discussionon Trade Facilitation in Singapore on3 July 2018

<table>
<tr><th>Activity 1.2.5</th><th>Strengthening Public-Private Cooperation</th></tr>
<tr><td rowspan="2">Outputs</td><td>on the issue of “Private Sector Engagement with ASEAN: the Rules and Modalities”. A total of 50 participants from the ASEAN private sector, AMSs and relevant ASEAN sectoral bodies participated to the lively discussions, which lasted half a day, back-to-back to the ATF-JCC meeting. As part of the preparatory work, ARISE Plus also drafted the ‘speaking points’ for EU Ambassador Barbara Plinkert’s opening remarks at the Roundtable Discussion.

Status: completed</td></tr>
<tr><td>• The 1st ARISE Plus Roundtable Discussion on Trade Facilitation was successfully held in Singapore on 3 July 2018, back-to-back to the ATF-JCC meeting. The Final Programme of the Roundtable Discussion is available as Annex 31. The ‘speaking points’ for EU Ambassador Plinkert’s opening remarks at the Roundtable Discussion are available as Annex 32.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 2</td><td>11</td></tr><tr><td>SNKE</td><td>11</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 1.062,14</td></tr></table></td></tr>
</table>

Sub-Component 1.3 'On-Demand' Activities

Actual Resources Used

Sub Component 1.3	KE1	S-NKE	J-NKE	Incidentals
Total	0	0	0	0

Outcome

Legal certainty, commercial predictability and trade facilitation are enhanced through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.

Activity 1.3.1 Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme

Activity 1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme
Main Beneficiaries Engaged	CCA, SCA-ROO, AMSs, Private Sector.
Tasks progress	• No specific request was made by ASEAN with respect to this '*on demand*' activity.
Outputs	• N.A.
Resources Mobilised	• N.A.

Activity 1.3.2 Supporting the ASEAN Connectivity Coordinating Committee (ACCC)

Activity 1.3.2	Supporting the ASEAN Connectivity Coordinating Committee (ACCC)
Main Beneficiaries Engaged	ACCC, SEOM, ACCSQ, AMSs' Regulators
Tasks progress	• No specific request was made by ASEAN with respect to this '*on demand*' activity.
Outputs	• N.A.
Resources Mobilised	• N.A.

Activity 1.3.3 Supporting the Transposition of Regional Agreements at National Level

Activity 1.3.3	Supporting the Transposition of Regional Agreements at National Level
Main Beneficiaries Engaged	ASEC's LSAD and AIMD, TFD, SEOM, CCA, ACCSQ and AMSs
Tasks progress	• No specific request was made by ASEAN with respect to this '*on demand*' activity.
Outputs	• N.A.
Resources Mobilised	• N.A.

Component 2.0: Standards and Conformity Assessment In Particular Healthcare and Agro-based Product

Component 2.0 comprises of 4 Sub-Components, each with a set of activities. The main counterparts are in the Market Integration Directorate in the ASEAN Secretariat, the ASEAN Consultative Committee for Standards and Quality and its relevant working groups, i.e ACCSQ Pharmaceutical Product Working Group (PPWG), ACCSQ Prepared Foodstuff Product Working Group (PFPWG); Heath Cluster 3 (Strengthening health system and access to care) Cluster 4 (Ensuring food safety). Additionally, this component will provide support in horizontal issues under the purview of ACCSQ Working Group 1 (Standards) and Working Group 2 (Conformity Assessment and Accreditation). Component 2.0 activities will focus on providing support to the specific regional institutions and sectoral bodies serviced by the Market Integration Directorate and that are responsible for the formulation and implementation of integration policies in the specific areas.

Sub-Component 2.1Supporting the Development of Quality Infrastructure and Related Policies in ASEAN

Actual Resources Used

Sub Component 2.1	KE	S-NKE	J-NKE	Incidentals
Total	36	17	0	€ 18.190,56

Outcome

Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.

Activity 2.1.1 Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance

Activity 2.1.1	**Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance**
Main Beneficiaries Engaged	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Tasks progress	• **Commenced** the development of the ASEAN ***General Principles for Harmonisation of Regulatory Regimes***, a preliminary draft was circulated to ACCSQ representatives in ASEAN Member States for review and comment. Following the comments received, the draft was updated. A workshop was organised for ACCSQ on the margins of its 49th meeting on 25thJune 2018. This has resulted in a second draft which has been circulated to ACCSQ Members for consultation. This draft will be reviewed at a workshop back to back with the 50th ACCSQ meeting in December 2018. **Status**: ongoing • **Initiated** and facilitated dialogue between ACCSQ and CEN/CENELEC. A representative from the CEB/CENELEC Management Centre (Ashok Ganesh, Director, Marketing and Innovation) was invited to a dialogue held on 29th June 2019 in conjunction with the 49th Meeting of the ACCSQ. This was an exploratory meeting to discuss future cooperation and a set of recommendations for future actions developed. **Status:** Completed • **Developed** a proposal for a monitoring system for the ASEAN Standards and Conformance Strategic Plan 2016-2025 and presented this to the ASEAN Secretariat. ARISE Plus will continue to develop the monitoring system in consultation with and inputs from the ASEAN Integration Monitoring Directorate (AIMD) to ensure alignment with the operationalisation of the AEC 2025 M&E Framework. **Status:** On going
Outputs	• Revised draft of the *ASEAN General Principles for Harmonisation of Regulatory Regimes* (Draft July 19, 2018) and a follow up plan to finalise the document ***Annex 33***. • Report for Dialogue between ACCSQ and CEN-CENELEC ***Annex 34***. • Proposed Monitoring Mechanism for the *ASEAN Strategic Plan on Standards and Conformance 2016 - 2025 and the proposed development schedule.* ***Annex 35***.
Resources Mobilised	**Expert days**: **Experts** | **Work Days** KE 3 | 16

Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance	
	SNKE	0
	JNKE	0
	Incidentals	€ 10.975,39

Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations

Activity 2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations
Main Beneficiaries Engaged	ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points.
Tasks progress	Assisted the ACCSQ in the review of the 1998 *ASEAN Framework Agreement on MRA*s. Developed a comparison matrix, between the original version and the proposed revised version, reviewed comments from ACCSQ Members on the draft version and proposed changes in response to comments. The review will be continued at the next ACCSQ Meeting in December 2018. **Status**: On going.
Outputs	• *ASEAN Framework Agreement on MRAs* Revised Draft of Sept 25, 2018 ***Annex 36***.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 3	8
SNKE	0
JNKE	0
Incidentals	0

Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

Activity 2.1.3	Strengthening Conformity Assessment and Accreditation
Main Beneficiaries Engaged	National Accreditation Bodies and National Accreditation Focal Points in Member States, Working Group 2.
Tasks progress	• **Developed** a Concept Paper on enhancing collaboration among NABs and NAFPs of ASEAN Member States and circulated this to Working Group 2 Members. Status: Completed • **Organised** and conducted a Workshop on the margins of the 35th Working Group 2 meeting on October 3rd 2018 to discuss the proposals in detail. These proposals are being reviewed by WG 2 Members and implementation of selected initiatives will commence upon receipt of confirmation from WG 2 of the selected initiatives for collaboration between National Accreditation Bodies. Status: On-going • **Proposed** a Joint Development of an ASEAN Harmonised Scheme for Accreditation of Organic Agriculture Products with Experts Working Group on Organic Agriculture to develop. The concept and rationale was explained to Working Group at its 35th Meeting held in October 2018. A workshop is planned to be held upon the confirmation of the Experts Working Group on Organic Agriculture. ***Annex 50***. Status: On-going
Outputs	• Concept Paper on enhancing collaboration among NABs and NAFPs of ASEAN Member States. ***Annex 37.*** • Proposal for Joint Development of an ASEAN Harmonised Scheme for Accreditation of Organic Agriculture Products**.** ***Annex 38***. • Acceptance of ARISE Plus proposals for Organic Accreditation of Agriculture WG 2 Meeting of October 2018. ***Annex 52***.
Resources Mobilised	**Expert days**: **Experts** / **Work Days** KE 3 / 7 SNKE / 17 JNKE / 0 Incidentals / € 7.215,18

Activity 2.1.5 Enhancing Co-ordination Across ASEAN Sectoral Working Groups

<table>
<tr><th>Activity 2.1.5</th><th>Enhancing Co-ordination Across ASEAN Sectoral Working Groups</th></tr>
<tr><td>Main Beneficiaries Engaged</td><td>ACCSQ, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST)and its Experts' Group on Metrology, Health Cluster 4, ASEAN Sectoral Working Groups on Crops, on Fisheries and on Livestock, Prepared Foodstuffs Product Working Group for Food Safety, the Pharmaceutical Products Working Group, Health Cluster 3.</td></tr>
<tr><td>Tasks progress</td><td>• Organised Workshop of the AFSRF Task Force held on 5-6 September with involvement of the PFPWG from the economic sector, Working Groups from the agriculture sector and representation from the health sector (cluster 4). (refer to Activity 2.3.1 for details). This cooperative approach will continue till the finalisation of the AFSRF Agreement.
Status: On going
• Developed a proposal for collaborative approach to accreditation for organic agriculture between Working Group 2 (Accreditation and Conformity Assessment) and Experts Working Group on Organic Agriculture (EWG-OA). (refer to Activity 2.1.2 and activity 2.3.6 for details). A Joint Workshop between WG 2 and EWG-OA is proposed as the first activity.
Status: On going</td></tr>
<tr><td>Outputs</td><td>• The AFSRF Task Force has reviewed draft and reached a consensus on a major part of the draft AFSRF Agreement.
• The ACCSQ, Working Group 2, the ASRAN Sectoral Working Group on Crops and the Experts Working Group on Organic Agriculture have agreed with the joint approach as proposed. Annex 49.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 3</td><td>5</td></tr><tr><td>SNKE</td><td>0</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>0</td></tr></table></td></tr>
</table>

Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

Actual Resources Used

Sub Component 2.2	KE	S-NKE	J-NKE	Incidentals
Total	4	22	0	€1.770,26

Outcome

Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry.

Activity 2.2.1Supporting Harmonisation of Standards

Activity 2.2.1	**Supporting Harmonisation of Standards**
Main Beneficiaries Engaged	Working Group 1 of the ACCSQ, Product working groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States
Tasks progress	• **Developed** and proposed a plan ***Enhancing the Harmonisation of Standards in ASEAN*.** This consisted of 6 initiatives that Working Group 1 could implement in order to enhance the harmonisation of standards through closer collaboration of National Standards Bodies from ASEAN Member States. The initial plan was presented at the 40th Meeting of the Working Group held on May 10 and 11th 2018. A workshop is planned to be organised in conjunction with the November 2018 meeting of Working Group 1 to discuss implementation of the proposed initiatives. Status: On going
Outputs	• Working Group (WG)1 considered the various initiatives proposed by ARISE Plus on enhancing harmonisation of standards in ASEAN. WG 1 agreed for ARISE Plus to proceed with the development of a concept paper on Issues of ASEAN Harmonised Standards. WG1 suggested that the other proposals were to be further deliberated in a workshop to ascertain priorities and implementation plans.". ***Annex 39.***
Resources Mobilised	**Expert days**: **Experts** | **Work Days** KE 3 | 4

Activity 2.2.1	Supporting Harmonisation of Standards	
	SNKE	22
	JNKE	0
	Incidentals	€ 1.770,26

Activity 2.2.2 Promoting compliance to international standards among business

Activity 2.2.2	Promoting compliance to international standards among business
Main Beneficiaries Engaged	WG1 of ACCSQ, National Standards Bodies in ASEAN, ABAC and the ASEAN Business Community
Tasks progress	• **Conducted** a mapping of the level of participation in international standards development by ASEAN National Standards Bodies (NSBs) and the level of adoption of international standards by AMS and for review by WG 1. • Assisted in the identification of relevant areas of international standardisation of interest and relevance to the ACCSQ Product working Groups. **Status:** On going
Outputs	• Report on the participation of Member States in ISO and IEC and identification of international standards committees of relevance to the ACCSQ Product Working Groups.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 3	2
SNKE	0
JNKE	0
Incidentals	0

Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

Actual Resources Used

Sub Component 2.3	KE	S-NKE	J-NKE	Incidentals
Total	47	48	5	€ 77.731,68

Outcome

Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.

Activity 2.3.1Developing and Implementing a Regulatory Framework for Food Safety

Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety
Main Beneficiaries Engaged	PFPWG, Health Cluster 4, ASEAN Sectoral Working Group on crops, on fisheries an on livestock
Tasks progress	• **Revised** the draft of the ***ASEAN Food Safety Regulatory Framework Agreement,*** incorporating the deliberation at the first workshop of the AFSRFA Task Force and subsequent comments received from Task Force Members. • **Developed** a matrix of the articles of the draft ASEAN Food Safety Regulatory Framework Agreement against the Articles of the ASEAN Food Safety Policy and the ASEAN Food Safety Regulatory Framework. • **Organised** and conducted a second workshop on 5th to 6th September 2018 to deliberate on the 2nd draft ASEAN Food Safety Regulatory Framework Agreement to resolve existing differences and discuss the establishment of the AFSRF Coordinating Committee. Followed up with the development of a new draft based on the deliberations at the workshop. • **Conducted** the 2nd Meeting of the Task Force on the development of the Instrument for the implementation of the ASEAN Food Safety Regulatory Framework (TF AFSRF) . **Status:** On going

Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety
Outputs	• Revised version of the ASEAN Food Safety Regulatory Framework Agreement and Draft Terms of Reference of the AFSRFA Coordinating Committee. (***Annex 40*** - records the results of the workshop).
Resources Mobilised	**Expert days**: **Experts** / **Work Days** KE 3 — 11 SNKE — 0 JNKE — 0 Incidentals — € 46.022,59

Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs
Main Beneficiaries Engaged	Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators.
Tasks progress	• **Developed** a proposal for the Prepared Foodstuffs Product Working Group for the *Implementation of the ASEAN Sectoral MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs.* and presented this to the PFPWG. **Status**: Completed • **Organized** and conducted a workshop for the PFPWG to deliberate on the approach and plan for the implementation of the ASEAN Sectoral MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs.ARISE Plus has commenced the development of implementation procedures based on the approach agreed at the PFPWG Workshop in August 2018. • **Status:** Completed
Outputs	• Proposed approach for the Implementation of the ASEAN Sectoral MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs. ***Annex 41*** • Workshop for the Implementation of the ASEAN Sectoral MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs. ***Annex 42***

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs
Resources Mobilised	• ARISE Plus has printed the *ASEAN Sectoral MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs* for distribution to PFPWG Representatives.
	Expert days:

Experts	Work Days
KE 3	15
SNKE	0
JNKE	0
Incidentals	€ 18.529,84

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety
Main Beneficiaries Engaged	ARAC, Health Cluster 4 (Ensuring Food Safety), ASEAN Food Safety Regulators.
Tasks progress	• **Supported** a meeting of the Scientific Panel of ARAC on 25-26 July 2018. This panel meeting deliberted on the finalisation of the first risk asssessment conducted by ARAC on Aflatoxinns in groundnuts and corn. **Status:** Completed • **Planned** and implemented a study to identify new areas for risk assessment and for development of ARAC's activities. Rpport submitted by ARISE PLus Expert (Mr Hubert Deluyker) which contains the two-step survey and the organisational capacity and capability assessment of the ARAC. **Status:** completed • **Supported** the updating and upgrading of the ARAC Website. • **Status**: Ongoing
Outputs	• Scientific Panel meeting conducted and deliberated on the Risk Assessment for Aflatoxins. ***Annex43*** • Survey to identify new areas of work for ARAC and review operating procedures conducted. ***Annex 44*** • Report of Mission of Mr Hubert Deluyker on new areas for Risk Assessment and review of ARAC's operations. ***Annex 51*** • ARAC Website updated.

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 3	8
SNKE	17
JNKE	0
Incidentals	€ 10.709,30

Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN

<table>
<tr><td>Activity 2.3.4</td><td>Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN</td></tr>
<tr><td>Main Beneficiaries Engaged</td><td>ASEAN Risk Assessment Centre, Health Cluster 4, Prepared Foodstuffs Product Working Group</td></tr>
<tr><td>Tasks progress</td><td>• Reviewed and proposed amendments to the Concept Note on the Operationalisation of ARAC under the Health Cluster 4. The changes made included a new approach to utilise the FAO/WHO data bases and for harmonisation of food consumption data in ASEAN. A revised version provides for a workshop for deliberating on the proposed approach for harmonisation in ASEAN.
• Status: Completed
• Proposed a programme of a workshop to discuss the importance of food consumption data harmonisation in ASEAN.
Status: Completed
• Discussed and updated the status of Vietnam, Philippines, Laos, Indonesia, Thailand and Malaysia on the mapping of their national food list with FoodEx2 to check compatibility of existing food consumption data with FAO/WHO CIFOCOss and GIFT platforms.
• Status: Completed
• Consulted FAO and WHO and Recommended on a coordinated approach for managing food consumption data in ASEAN, and assist in enabling AMS to integrate their data in the FAO/WHO CIFOCOss and GIFT systems.
Status: Completed</td></tr>
<tr><td>Outputs</td><td>• Revised Concept Note for Operationalisation of ARAC. Annex 45
• Draft programme of a workshop on harmonisation of food consumption data in ASEAN.
• Draft guideline to harmonise individual food consumption data in ASEAN Member States. Annex 46
• Updated status of ASEAN Member States on the 3 steps toward harmonisation of food consumption data.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 3</td><td>3</td></tr><tr><td>SNKE</td><td>6</td></tr><tr><td>JNKE</td><td>5</td></tr><tr><td>Incidentals</td><td>0</td></tr></table></td></tr>
</table>

Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)

<table>
<tr><td>Activity 2.3.5</td><td>Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)</td></tr>
<tr><td>Main Beneficiaries Engaged</td><td>ARASFF Steering Committee, PFPWG, Health Cluster 4 and the authorities responsible for food safety and agriculture in Member States.</td></tr>
<tr><td>Tasks progress</td><td>• Attended the 6th ARASFF National Contact Point (NCP) Meeting.
• Provided technical advice on the establishment and operation of national food safety alert systems.
• Discussed with the vice-chair of the ARASFF Steering Committee on the proposed technical assistance to validate the content and sequence of the activities to be supported by ARISE Plus.</td></tr>
<tr><td>Outputs</td><td>• Draft work plan to assist the strengthening of ARASFF:
a) Strengthening of the traceability systems for agri-food products in ASEAN, (Annex 47-Concept note for Traceability).
b) Strengthening of national food crisis management.
c) Establishment of National Rapid Alert Systems.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 3</td><td>5</td></tr><tr><td>SNKE</td><td>6</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 438,28</td></tr></table></td></tr>
</table>

Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture

<table>
<tr><td>Activity 2.3.6</td><td>Strengthening ASEAN Cooperation on Organic Agriculture</td></tr>
<tr><td>Main Beneficiaries Engaged</td><td>SOM AMAF, the Experts Working Group on Organic Agriculture, the ASAN Sectoral Working Groups on Crops, on Fisheries and on Livestock and Working Group 2 of the ACCSQ.</td></tr>
<tr><td>Tasks progress</td><td>• Developed a proposal to support the Experts Working Group on Organic Agriculture (EWG O-A) to implement its PLAN OF ACTION FOR THE ASEAN COOPERATION ON ORGANIC AGRICULTURE (2016-2020) in particular with regard to the harmonisation of requirements for certification and accreditation which was presented to the Experts Group.

Status: Completed.

• Assisted EWG-OA to obtain the approval of the Working Group on Crops, the ACCSQ on a collaborative approach to the development of harmonised accreditation requirements for accreditation for organic agricultural products.

Status: Completed

• Developed a proposal for a Joint workshop with Working Group 2 on harmonised accreditation for organic products.

Status: Completed

• Implemented a study to review the status of Organic certification, accreditation and regulation in ASEAN Member States.

• Status: Ongoing</td></tr>
<tr><td>Outputs</td><td>• Proposal to EWG OA on Support for ASEAN Cooperation on Organic Agriculture.Annex42

• Concept Note: Workshop on ASEAN Cooperation on Organic Agriculture and Accreditation of Organic Agriculture. Annex 38</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 3</td><td>5</td></tr><tr><td>SNKE</td><td>19</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 2.031,67</td></tr></table></td></tr>
</table>

Activity 2.3.7 Training in Food Safety

Activity 2.3.7	Training in Food Safety
Main Beneficiaries Engaged	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, Health Cluster 4, ASEAN Consumers.
Tasks progress	This activity will be launched during the next 6 months.
Outputs	N/A
Resources Mobilised	N/A

Sub-Component 2.4 Support to the Pharmaceutical Sector

Actual Resources Used

Sub Component 2.4	KE	S-NKE	J-NKE	Incidentals
Total	12	0	0	€ 25.203,04

Outcome

The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.

Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Activity 2.4.1	**Strengthening the Pharmaceuticals Regulatory Framework**
Main Beneficiaries Engaged	PPWG, Health Cluster (2 and)3 under the ASEAN Health Ministers
Tasks progress	• **Supported** a Workshop of the Technical Working Group on Biologics on the development of ASEAN Common Technical Requirements for Quality and the ASEAN Common Technical Requirements for Safety and Efficacy on 22-23 May 2018. • **Organised** and conducted a brainstorming session for PPWG discuss the development of a regulatory framework for the pharmaceutical sector that will further integrate the market and enable implementation of the (ACTRs), the ASEAN Common Technical Dossier (ACTD) in conjunction with the 25th meeting of the PPWG. ***Annex 44***
Outputs	• Revised version of the ASEAN Common Technical Requirements for Quality and the, ASEAN Common Technical Requirements for Safety and Efficacy. • Report of Brainstorming Session for PPWG (August 9, 2018). ***Annex48***.
Resources Mobilised	**Expert days**: **Experts** / **Work Days** KE 3 / 12 SNKE / 0 JNKE / 0 Incidentals / € 7.331,25

Activity 2.4.2 Combatting Falsified Medicines in ASEAN

Activity 2.4.2	Combatting Falsified Medicines in ASEAN
Main Beneficiaries Engaged	Health Cluster 3, PPWG, ASEC
Tasks progress	This activity will be launched in the next six months
Outputs	N/A
Resources Mobilised	N/A

Component 3.0: Customs, Transport and ACTS

Sub-component 3.1Customs Measures

Actual Resources Used

Sub Component 3.1	KE	S-NKE	J-NKE	Incidentals
Total	46	35	0	€44.438,79

Outcome

Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.

Activity 3.1.3 Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)

<table>
<tr><td>Activity 3.1.3</td><td>Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)</td></tr>
<tr><td>Main Beneficiaries Engaged</td><td>ASEAN Secretariat, ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups and the Transit Transport Coordinating Board (TTCB).</td></tr>
<tr><td>Tasks progress</td><td>• Conducted an analysis of the current status of AEO programmes in ASEAN, including the number of Mutual Recognition Arrangements in place and under negotiation; the table at Annex 53 refers.

Status: completed

• Held a specialised regional 5-day AEO capacity building and training workshop bringing together AEO Customs experts from all ASEAN Member States. The workshop was held from 24-28 September 2018 in Bangkok, Thailand, and was supported by the Thai Customs Department, by Royal Malaysia Customs Department as the Country Coordinator of the Strategic Plan of Customs Development (SPCD) 7 on AEO programmes and by the ASEAN Secretariat.

• The concept note for the workshop is attached at Annex54; this event relied solely on intra-ASEAN expertise, with professional Customs officers from countries where AEO systems are operations giving guidance and training to those countries where AEO programmes are under development; the countries where AEO systems are operational are Indonesia, Malaysia, Singapore, Thailand, Vietnam, and officers form these countries each gave presentations on specific topics, including MRA of AEO; the opportunity was taken during the workshop for the AEO Study Group to meet to discuss the progress of developing MRA of AEO programmes in ASEAN.

Status: completed</td></tr>
<tr><td>Outputs</td><td>• One regional workshop held in support of the SPCD 7 on development of national AEO programmes and Mutual Recognition Arrangements (MRA) of AEO internationally and within ASEAN; materials provided for the benefit of all the AMS, especially those where AEO programmes are under development; in all 35 people were trained during this event;

• A report on the outcomes of the AEO workshop and recommended next steps is attached at Annex 55.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 4</td><td>22</td></tr></table></td></tr>
</table>

Activity 3.1.3	Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)	
	SNKE	0
	JNKE	0
	Incidentals	€ 41.627,81

Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)
Main Beneficiaries Engaged	ASEAN Secretariat, ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB), the ASEAN Federation of Forwarders Associations (AFFA).
Tasks progress	• **Conducted** a preliminary quantitative and qualitative gap analysis of the capacity building and training needs of the Customs Authorities in Cambodia, Lao PDR and Myanmar, in order to ascertain the requirements for narrowing the development gap in the respective Customs Departments; the needs of Vietnam Customs Department will be addressed later, as the Customs Authority was unable to make provision for the analysis meetings before the end of 2018; the reports of these gap analysis meetings are attached at ***Annex 56*** *(for Cambodia),* ***Annex 57*** *(for Lao PDR) and* ***Annex 58*** *(for Myanmar).* • As part of these activities ARISE Plus produced a Training and Capacity Building Action Plan which was discussed and agreed by ASEAN member States, as at ***Annex 59*** to this report. **Status:** Completed • **Defined** the scope and content of a capacity-building event to be held from 4-7 December 2018 in Cambodia, for the benefit of Cambodia Customs Department; the concept note for this event is attached at ***Annex 60*** and the draft programme is at ***Annex 61***. **Status:** Ongoing
Outputs	• Missions completed to Cambodia, Lao PDR and Myanmar in order to carry out a gap analysis of the training and capacity building needs of the Customs Authorities. • Produced a Training and Capacity Building Action Plan designed to narrow the development gap in Customs. • Reports of gap analysis training and capacity building needs of the Customs Authorities in Cambodia, Lao PDR and Myanmar; these reports identified the prioritised training and capacity building needs of Customs Authorities in Cambodia, Lao DR and Myanmar both at the

<table>
<tr><td>Activity 3.1.5</td><td>Assistance to Narrowing the Development Gap in Customs (SPCD 13)</td></tr>
<tr><td></td><td>strategic and operational level; consideration was also given to the legal frameworks under which these Customs Authorities operate, with a view to modernisation as specified in the relevant SPCD 13.
• Concept note and programme developed and agreed by Cambodia Customs for a workshop to be held in Cambodia from 4-7 December 2018, in order to support the narrowing of the development gap in Customs, as specified in the SPCD 13.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 4</td><td>24</td></tr><tr><td>SNKE</td><td>35</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 2.810,99</td></tr></table></td></tr>
</table>

Sub-component 3.2 Transport Measures

Actual Resources Used

Sub Component 3.2	KE	S-NKE	J-NKE	Incidentals
Total	37	50	0	€3.796,22

Outcome

An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.

Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)
Main Beneficiaries Engaged	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA)
Tasks progress	• The AFAFGIT and its transport-related protocols have been signed and ratified; the relevant protocols are Protocol 1 (approved transit transport routes), Protocol 3 (number and type of road vehicle) and Protocol 4 (technical specification of road vehicles). • Protocol 5 (compulsory third party vehicle insurance) of AFAFGIT has been signed and ratified also; this is not regarded as a transport-related protocol as the Ministries of Finance or Trade and Industry is responsible for insurance matters in different ASEAN countries. • The ASEAN Customs Transit System (ACTS) acts as a catalyst for the operationalisation of the AFAFGIT and its protocols, and in this respect has been instrumental in the progress of ratification of Protocol 7 (Customs transit system) and protocol 2 (frontier posts and facilities) of AFAFGIT. • In the context of preparations for the ACTS pilots on the east-west and north-south transit transport corridors of ASEAN, all ASEAN Member States have signed and ratified Protocol 7 (ASEAN Customs Transit System) of AFAFGIT, with the exception of Indonesia where ratification

<table>
<tr><td>Activity 3.2.1</td><td>Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)</td></tr>
<tr><td></td><td>is awaited; all ASEAN Member States must ratify this protocol for it to come into force.
• The text of Protocol 2 (frontier posts and facilities) of AFAFGIT is complete; ratification by ASEAN Member States is awaited.
Status: Ongoing</td></tr>
<tr><td>Outputs</td><td>• Ratification by ASEAN Member States of Protocol 7 (ASEAN Customs Transit System) of AFAFGIT, with the exception of Indonesia.
• Completion by ASEAN Member States of the text and designated frontier posts under Protocol 2 (frontier posts and facilities) of AFAFGIT.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 4</td><td>10</td></tr><tr><td>SNKE</td><td>0</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 1.196,40</td></tr></table></td></tr>
</table>

Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

Activity 3.2.2	**Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)**
Main Beneficiaries Engaged	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA)
Tasks progress	• **Held** consultation meetings with the ASEAN Federation of Forwarders Associations (AFFA) and the Thai International Freight Forwarders Association (TIFFA), with a view to developing a capacity building and knowledge exchange programme on multi-modal transport in ASEAN; TIFFA is Chair of the AFFA Logistics Institute, and had assisted ASEAN Member States in the development of logistics capacity building material under an ASEAN project supported by other dialogue partner and delivered capacity building trainings; a summary of the outcome of the meetings with AFFA and TIFFA is attached at ***Annex 62***. • **Defined** a draft Memorandum of Understanding (MoU) between AFFA and ARISE Plus, in order to coordinate future activities in support of multi-modal transport in ASEAN; this draft document is at ***Annex 63*** to this report. • **Deployed** a team of specialist experts to carry out in-country missions in support of the development of national Action Plans and Implementation Framework for the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT), as set out under the ASEAN Kuala Lumpur Transport Strategic Plan (KLTSP) 2016-2025; for capacity building purposes, some of these missions are accompanied by counterpart personnel from the Transport Division in the ASEAN Secretariat, Jakarta. • These missions were carried out to Cambodia, Lao PDR and Vietnam; the meeting notes are attached **as *Annexes 64, 65, 66*** to this report. • ARISE Plus missions are planned before the end of 2018 to Malaysia, Myanmar and Philippines. **Status:** Ongoing
Outputs	• Updated Action Plans have been produced in support of the Implementation Framework for the operationalisation of the ASEAN Framework Agreement on Multi-modal Transport (AFAMT) by Cambodia, Lao PDR, Malaysia, Myanmar, Philippines, Singapore, Thailand and Vietnam; the latest Implementation Framework is at*annex67* to this report, and the updated Action Plans are attached at *annexes 68-75* to this report, for all ASEAN Member States except Brunei and Indonesia, where the preparatory work is still in progress.

Activity 3.2.2	Supporting implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)
	• Strategic agreement reached with AFFA and TIFFA on coordinated capacity building activities in support of the operationalisation of AFAMT in ASEAN in accordance with the KLTSP 2016-2025. • Plans have been made for a capacity building workshop to be held in Ho Chi Minh City University of Transport from 18th – 22nd Feb 2019, with a view to finalising the Implementation Framework and Action Plans to support the operationalisation of AFAMT. • Reports have been produced on country missions to Cambodia, Lao PDR and Vietnam.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 4	20
SNKE	33
JNKE	0
Incidentals	€ 1.988,08

Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)

<table>
<tr><td>Activity 3.2.3</td><td>Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)</td></tr>
<tr><td>Main Beneficiaries Engaged</td><td>ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).</td></tr>
<tr><td>Tasks progress</td><td>• Deployed a specialist expert to provide more detailed and comprehensive Implementing Guidelines to the CBTP agreement, with a view to finalisation; the updated guidelines are at Annex76 to this report.
• This expert was also contracted to develop an action plan for the practical operation of the provisions of the CBTP agreement, which is in the process of development.
• In addition, the expert is working on the production of an outline framework for the procedural manuals required for public and private sector stakeholders of the CBTP agreement.</td></tr>
<tr><td>Outputs</td><td>• Updated Implementing Guidelines to the CBTP agreement, providing a more detailed and comprehensive document.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 4</td><td>7</td></tr><tr><td>SNKE</td><td>17</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 611,74</td></tr></table></td></tr>
</table>

Sub-component 3.3 ACTS Implementation

Actual Resources Used

Sub Component 3.3	KE	S-NKE	J-NKE	Incidentals
Total	32	220	0	€ 10.195,84

Outcome

The ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the ASEAN north-south and east-west transit transport corridors.

Activity 3.3.1 Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework

Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework
Main Beneficiaries Engaged	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Tasks progress	• The ASEAN Customs Transit System (ACTS) acts as a catalyst for the ratification of the relevant Customs-related protocols of AFAFGIT. • In the context of preparations for the ACTS pilots on the east-west and north-south transit transport corridors of ASEAN, all ASEAN Member States have signed and ratified Protocol 7 (ASEAN Customs Transit System) of AFAFGIT, with the exception of Indonesia where ratification is awaited. • The text of Protocol 2 (frontier posts and facilities) of AFAFGIT is complete; ratification by ASEAN Member States is awaited. **Status:** Ongoing
Outputs	• The legal framework of ACTS is nearing completion, and is expected to be in place in time for the east-west and north-south ACTS Pilot exercises planned for 2019.

Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 4	10
SNKE	31
JNKE	0
Incidentals	€ 7.146,31

Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)

Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)
Main Beneficiaries Engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Tasks progress	• Defined and agreed with ASEAN Member States the plans for a live-run Pilot phase for the north-south transit transport corridor of ASEAN, involving Malaysia, Singapore and Thailand (MST); this plan is set out in the attached presentation at ***Annex 77.*** **Status:** Ongoing
Outputs	• Strategy for running ACTS MST pilot developed and agreed, along with refresher training needs and planned release dates for software updates. • Significant progress in collection of updated ACTS reference data in ACTS Customs Office List and ASEAN Harmonised Tariff Nomenclature 2017. • A checklist of preparatory actions for the MST Pilot is attached at ***annex 78***, including liaison required with other stakeholders and the transport and insurance sectors, in addition to private sector actors.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 4	0
SNKE	15
JNKE	0
Incidentals	€937,17

Activity 3.3.3 Rolling Out of ACTS in CLMV countries

Activity 3.3.3	Rolling Out of ACTS in CLMV countries
Main Beneficiaries Engaged	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group and ASEAN Secretariat

Tasks progress	• **Held** a 4-day workshop in Myanmar for the Customs & Excise Department staff involved in the preparation for the implementation of ACTS in Myanmar; a report of this event is at ***Annex 79*** to this report. This event took place from 28-31 May 2018, with the following objectives:
	  • analyse and finalize the infrastructure requirements of the two National ACTS ICT Environments:
	  i. Live Operations (Production) and
	  ii. Testing / Training / Staging environments;
	  • train the Myanmar Customs service in relation to the reference data collection and kick off of the reference data collection activity;
	  • discuss and agree on the planning of the activities as proposed by the ARISE Plus programme.
	  Status: Complete. (NB similar workshop events were held for Cambodia, Lao PDR and Vietnam during the inception period and the first reporting month of ARISE Plus to 7 May 2018).
	• **Defined** the East-West Governance, Management and reporting Structures for Cambodia, Lao PDR, Myanmar and Vietnam (CLMV); this document is attached at ***Annex 80*** to this report and has been discussed and approved by ASEAN Member States.
	• This document is to be read in conjunction with the checklist on ACTS pilot preparation and management, as attached at ***Annex 78*** under prior activity 3.3.2 to this report.
	• This document provides in-depth guidance for ASEAN Member States on the following elements of the ACTS Pilot phase:
	  • The structure and responsibilities of the National ACTS Project Team to be set up in each country;
	  • The expertise and availability of each member of the national team, namely ACTS Project Manager, Customs Procedures Expert, Training Expert and IT specialist;
	  • The planned project phases, including responsibilities per member per phase;
	  • The coordination required with other stakeholders in Government and the private sector in order to ensure project success.

Activity 3.3.3	Rolling Out of ACTS in CLMV countries
	Status: Ongoing • **Held** a two-week ACTS training course for Vietnam Customs, to include management and operational use of the ACTS system and associated Customs procedures; the training programme is attached at *annex 81.* • This event was followed by a week of testing of ACTS applications, which supported the ACTS capacity building effort.
Outputs	• Definition of hardware allocation, installation and configuration for ACTS ICT environments in Cambodia, Lao PDR and Vietnam. • Finalisation of Myanmar ACTS ICT environment specifications (IT Architecture, hardware and system software). • Finalisation of initial planning of preparation activities of ACTS roll-out in CLMV. • Significant progress in collection of updated ACTS reference data in ACTS Customs Office List and ASEAN Harmonised Tariff Nomenclature 2017. • Guidance on the preparatory activities required by all participants in the ACTS East-West Pilot phase, including training, testing and pilot management. • A 2-week ACTS training programme developed and delivered for Vietnam Customs Department personnel; this includes ACTS procedures and IT system training (Train-the-Trainer) and hands-on exercises in Vietnam Customs; 24 officers were trained, as per the attached attendance list at ***Annex 82***. • Kick off ACTS testing activities in Vietnam Customs (kicked off, total duration 4 weeks).
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 4	15
SNKE	138
JNKE	0
Incidentals	€ 2.112,36

Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)
Main Beneficiaries Engaged	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Tasks progress	• ARISE Plus has carried out a number of training events for the ACTS central Management Team (CMT) based in the ASEAN Secretariat, Jakarta, Indonesia; these events took place between May and September 2018 in the ASEAN Secretariat. The training presentations related to general ACTS project processes and Central Services administration procedures; two report of the CMT training processes are attached at ***Annexes 83 and 84*** to this report. • ARISE Plus has produced an ACTS Operations Guide for the guidance of the CMT, as attached at ***Annex 85***. • ARISE Plus has initiated the process of setting up an ACTS training and testing environment to be hosted in the ASEAN region. The applications will be managed by the ACTS Central Management Team (CMT) based in the ASEAN Secretariat in Jakarta, Indonesia, with technical and managerial support as needed from ARISE Plus. This will ensure that maintenance of the bespoke ACTS applications is not reliant on any one vendor. This strategy will also help to ensure future ACTS system resilience by the transfer of knowledge, capacity and skills to ASEAN counterpart staff in the ACTS CMT. **Status:** Ongoing

Outputs	• Training and capacity building events designed, developed and delivered, including reference material for CMT in how to operate the ACTS system and host the ACTS Central Services; specifically:
	  • Training in ACTS project organisation and Project Management and Quality Assurance (PM & QA) procedures for CMT in ASEAN Secretariat;
	  • Training in ACTS ICT Environment daily ICT upkeep procedures for CMT in ASEAN Secretariat.
	• CMT Central Services ICT environment capacity extension specifications finalised along with the Terms of Reference for the procurement of additional capacity that includes complete ACTS ICT environments for training, testing, staging and other purposes necessary to support further ACTS development. This significantly contributes to future ACTS sustainability and ASEAN capacity to perform ACTS enhancements and

<table>
<tr><th>Activity 3.3.4</th><th>Developing the Capacity of the ACTS Central Management Team (CMT)</th></tr>
<tr><td></td><td>ACTS maintenance without necessity to engage any software development contractors.
• An ASEAN-based ACTS testing and training environment with 2 simulated AMS, including capacity building for the CMT in management of this environment.</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 4</td><td>2</td></tr><tr><td>SNKE</td><td>17</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>0</td></tr></table></td></tr>
</table>

Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Main Beneficiaries Engaged	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.

Tasks progress	• The needs have been identified for specific new functional requirements for ACTS; these are summarised in the attached presentation at ***Annex 86*** to this report.
	• ARISE Plus has assessed the priority, impact and initial implementation approach and plans of system change requests (CRs).
	• ARISE Plus has prepared formal change requests for the following issues:
	  • Introduction of an electronic message to notify all office of departure, transit and destination in the event that a consignment has been stopped or turned back at an office of transit;
	  • Provision of facility for Customs officers to calculate the guarantee amount in the national transit Application (NTA);
	  • Development of a Home Page in the NTA to allow monitoring by Customs officers of messages, similar to the Home Page feature in the Trader Portal (TP);
	  • Relocation within the ACTS declaration structure of ASEAN Goods vehicle Cross Border Permits (AGVCBP) from 'item' level to 'general' level;
	  • Online validation by ACTS at departure of ASEAN Goods Vehicle Cross Border Permits (AGVCBP);
	  • Introduce a 'copy goods item' feature for traders at declaration stage, where different consignments with similar data features are captured to ACTS.

Outputs	• An initial analysis and assessment have been completed of initial list of 10 Change Requests, including the validation and control of AGVCB permits in ACTS. • Change Requests have been completed for a number of issues, and have been discussed and agreed by the SWG-ACTS meeting held from 3-5 July in Vietnam. • Proposed 3 additional ACTS IT subsystems with initial description of tentative scope of such system that include transport (truck) movement monitoring (Transport Monitoring System, TMS), organisation and

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
	management of goods inspection activities and self-registration of traders in ACTS.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 4	5
SNKE	19
JNKE	0
Incidentals	0

Component 4.0: ASEAN Economic Integration Monitoring and Statistics

Sub-component 4.1 ASEAN Economic Integration Monitoring

Actual Resources Used

Sub Component 4.1	KE	S-NKE	J-NKE	Incidentals
Total	0	44	0	€ 662,71

Outcome

Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.

Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects
Main Beneficiaries Engaged	ARISE Plus TAT of regional project, EU Mission To ASEAN, ASEAN Integration Monitoring Division (AIMD)
Tasks progress	• **Developed** indicators for ARISE Plus regional project covering relevance (relevance to AEC and ASCC Blueprints 2025, ASEAN-EU Plan of Action 2018-2022), ASEC and ASEAN bodies), efficiency (of implementation mechanisms and delivery of outputs), effectiveness (results and outputs generated, coherence), impact (specific objectives and overall objectives). **Status:** ongoing • **Mapped** ARISE Plus regional project indicators for outcomes, specific **objectives** and overall objective with AEC indicators for compliance monitoring targeting 153 strategic measures across 35 elements. **Status:** completed • **Developed** detailed monitoring framework for ARISE Plus regional project including reporting intervals at six-monthly, annually and every 3 years. **Status:** ongoing

Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

	• **Developed** updated feedback mechanism for monitoring of ARISE Plus regional project events aligned to monitoring framework for ARISE Plus
	Status: ongoing
	• **Analysed** and reported on monitoring findings of ARISE Plus regional project for first six-monthly period of AWP1 (annex to six-monthly report).
	Status: completed
	
	ARISE Plus regional and national projects monitoring and coordination
	• **Undertook** consultations and discussions with TAT of ARISE Plus regional, EUD Indonesia and relevant AMS EUDs;
	Status: ongoing
	• **Developed a draft integrated monitoring framework for** ARISE Plus regional and national projects. The proposed monitoring framework is structured around **5 levels**:
	  • Relevance to the AEC Blueprint 2025;
	  • Contribution towards implementation of strategic measures in AEC Blueprint 2025;
	  • Headline indicators (number of activities implemented, number of events conducted, number of participants trained, number of publications);
	  • Contribution to the implementation of the ASEAN-EU Plan of Action (2018-2022);
	  • Relevance to cross-cutting measures such as DG Trade priorities and SDGs.
	A template for the annual monitoring report for the ARISE Plus programme was developed in the form of an infographics highlighting the progress made across each of the monitoring levels for dissemination amongst high-level stakeholders including EUD ambassadors, EUD Heads of Development Cooperation sections, EUD Heads of Trade, DG DEVCO and DG Trade.
	Status: ongoing

Outputs	**ARISE Plus regional project internal monitoring** • Indicators of ARISE Plus regional project covering relevance, efficiency, effectiveness and impact. • Mapping document linking ARISE Plus regional project indicators for outcomes, specific objectives and overall objective with AEC indicators for compliance monitoring across 153 strategic measures and 35 elements.

Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects
	• Detailed monitoring framework for ARISE Plus regional project including reporting intervals. • Updated data collection tool for monitoring of ARISE Plus regional project events. • Monitoring annex for ARISE Plus regional project six-monthly report. ***Annex 87*** **ARISE Plus regional and national programme monitoring and coordination** • Draft Monitoring framework linking ARISE Plus regional projects with national projects. ***Annex 88*** • Template for annual monitoring report of ARISE Plus programme. ***Annex 87***
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 1	0
SNKEs	21
JNKE	0
Incidentals	0

Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework

Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework
Main Beneficiaries Engaged	Monitoring, Surveillance and Coordination Division (MSCD) and Statistics Division (ASEANstats) of the ASEAN Integration Monitoring Directorate (AIMD), Standards and Conformance Division (SCD), Science and Technology Division (S&T), ASEAN Consultative Committee for Standards and Quality (ACCSQ) Indonesia, ASEAN Smart Cities Network (ASCN)

Tasks progress	**Compliance Monitoring:**
	• Supported AIMD to **enhance** the Country Visit process in AEC Compliance monitoring through the conduct of a 2-day workshop for AIMD staff in July 2018 covering:
	  • Improvement in the process of **preparation for the AEC M&E country visits** including: structured **s**election of sectors and respondents/interviewees (in line with the TOR of the Country Visit), engagement with the desk officers, engagement with the AMS, development of core questions;
	  • Improvement in the process of the **conduct of the country visits including** development of core questions, conduct of interviews, interview of private sectors/stakeholders, conduct of field visits to supplement the interviews, conduct of debriefing sessions with AMS;
	  • Improvement in the process of the **preparation of reports including** Identification of core chapters/sections, organisation of chapters/sections, analysis of findings from the interviews/discussions, recommendations – general and specific, development of findings for outreach purposes, development of linkages between country visit process and outcome monitoring.
	At the request of AIMD, the workshop was followed up by the development of a Country Visit Brief template and a Protocol for the collection of qualitative data from in-depth interviews which is described further under progress made for activity 4.1.3.
	The capacity building provided and documents developed have been presented to and accepted by AIMD and have been operationalised as appropriate starting with the country visit that was conducted in Brunei in September-2018 by AIMD.
	Status: ongoing
	• **Enhanced** the synergy of monitoring and evaluation (M&E) and reporting across regional, national and sectoral level and concrete follow up to the broader AEC M&E monitoring efforts through:
	  • Provision of inputs to the development of a M&E mechanism for the ASEAN Smart Cities Network (ASCN) across three levels: ASEAN/ASCN level, Cities level and Projects level. Also developed indicative indicator areas, sources for information, and reporting

Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework
	mechanism in-line with the AEC 2025 M&E Framework and the ASEAN Smart Cities Framework (ASCF). • The Development of a draft M&E mechanism for the ASEAN Standards and Conformance Strategic Plan 2016-2025 of the ACCSQ. The mechanism includes: objectives, scope, an overall framework covering 3 levels of M&E (impact evaluation, outcome monitoring and compliance monitoring), an indicator map conceptually linking compliance measures (output indicators), outcome indicators and impact indicators. This will be further developed during November and early December 2018 and presented to the next ACCSQ Committee meeting to be held in December 2018. • Conduct of a workshop in October 2018 for the development of M&E capacity (for staff from the SCD, S&T division, Statistics division, ACCSQ Indonesia with inputs from AIMD). The workshop resulted in: ▪ A common understanding of M&E from fundamentals (based on best practices from EU PCM Guidelines and a range of donors); ▪ An understanding of the AEC 2025 M&E Framework; ▪ Agreement on proposed Objective, Scope and Framework for the M&E mechanism for the ASEAN Standards and Conformance Strategic Plan 2016-2025. **Status:** ongoing **Outcome Monitoring** • Supported SCD and AIMD to develop a credible outcome monitoring mechanism with minor deviations from what is in the Strategic Plan and fully in line with the AEC 2025 M&E framework during workshop. The workshop resulted in the Work through of outcome monitoring mechanism for one Strategic Thrust (development of outcome statement and selection of the most appropriate existing KPIs (from the plan) as outcome indicators as an example. This will be further developed during November and early December 2018 and presented to the next ACCSQ Committee meeting to be held in December 2018. **Status:** ongoing • Supported AIMD in developing the mechanism for monitoring and evaluation of the ASCN. Draft indicators have been developed for the 3 strategic outcomes of the ASCN for consideration by the AIMD. **Status:** ongoing
Outputs	**Compliance Monitoring** • Workshop training materials to enhance the preparation, conduct and reporting of the Country Visits. ***Annex 89***

Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework
	• Inputs to the development of the M&E mechanism for the ASCN across three levels: ASEAN/ASCN level, Cities level and Projects level, including indicative indicator areas, sources for information, and reporting mechanism in-line with the AEC 2025 M&E and the ASCF for consideration by the AIMD. • Draft M&E mechanism for the ASEAN Standards and Conformance Strategic Plan 2016-2025 of the ACCSQ. ***Annex 90*** • Workshop materials for the development of M&E capacity (for staff from the SCD, S&T division, Statistics division, ACCSQ Indonesia with inputs from AIMD) and agreement on M&E mechanism for the ASEAN Standards and Conformance Strategic Plan 2016-2025 of the ACCSQ. ***Annex 91*** **Outcome Monitoring** • Draft outcome monitoring mechanism for the ASEAN Standards and Conformance Strategic Plan 2016-2025 of the ACCSQ fully in line with the AEC M&E framework. Detailed outcome monitoring mechanism for one Strategic Thrust (development of outcome statement and selection of the most appropriate existing KPIs (from the plan) as outcome indicators. This will be further developed during November and early December 2018 and presented to the next ACCSQ Committee meeting to be held in December 2018. • Inputs to the development of M&E mechanism for outcome monitoring of ASCN, including draft indicators for the 3 strategic outcomes of the ASCF for consideration by AIMD.
Resources Mobilised	**Expert days**:

Experts	Work Days
KE 1	0
SNKE	23
JNKE	0
Incidentals	€ 662,71

Activity 4.1.3 Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025

<table>
<tr><td>Activity 4.1.3</td><td>Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025</td></tr>
<tr><td>Main Beneficiaries Engaged</td><td>The ASEAN Secretariat, particularly the ASEAN Integration Monitoring Directorate (AIMD)</td></tr>
<tr><td>Tasks progress</td><td>• Demand driven support for AIMD for the development of research approach and methodology for the conduct of country visits. At the request of AIMD, the workshop conducted under activity 4.1.2in July 2018 was followed up by the development of a Country Visit Brief template which, guided by the TOR for AEC M&E Country Visits (endorsed by the Senior Economic Officials Meeting),outlines the research approach and methodology for country visits including specific objectives of the country visits, benefits for AMS from the Country Visit, sectors selected based on the TOR's selection criteria, selection of informants for Country Visit, format of meetings, programme of activities, and core questions. The Brief is meant to be given by ASEC to the national focal points of AMS to guide the preparations for their respective Country Visit.
• Demand driven support for AIMD for the collection of qualitative data from country visits. At the request of AIMD, following the workshop conducted in July 2018, ARISE Plus developed a Protocol (guideline) for In-depth Interviews (IDIs) to be conducted during the Country Visits including a guide to collecting qualitative data, an interview script and draft core questions.

Status: completed</td></tr>
<tr><td>Outputs</td><td>• Country Visit Brief and Protocol for In-depth Interviews (IDIs). These documents have been accepted by AIMD and used in the Country Visit that was conducted in Brunei in September 2018. Annexes 92,93</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:
<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 1</td><td>0</td></tr><tr><td>SNKE</td><td>0</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>0</td></tr></table></td></tr>
</table>

Sub-Component 4.2ASEAN Statistics Capacity Building

Actual Resources Used

Sub Component 4.2	KE	S-NKE	J-NKE	Incidentals
Total	0	10	0	€ 1.642,13

Outcome

Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all ASM (and particularly but not limited to CLMV countries).

Preliminary remark: The start-up of sub-component 4.2 coincides with the end of the Compass. With Compass ending on 7/11/2018, the implementation of sub-component 4.2 can only effectively start from 8/11/2018.

As a consequence, the tasks implemented during the second reporting period correspond mainly to management, follow-up and planning activities, and do not relate to the following specific activities

- 4.2.1 Enhancing AEC monitoring databases
- 4.2.2 Supporting the ACSS in the context of the AECB 2025
- 4.2.3 Strengthening AMSs' statistical capacities (particularly in but not limited to CLMV)
- 4.2.4 Expanding ACSS capacity in new statistical domains
- 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics

Task 1: Mobilisation of a statistical coordinator
During a courtesy mission carried by ARTEMIS in May 2018, the need to appoint a statistical coordinator was largely accepted by all stakeholders (EUD, ASEANstats, project team). ARTEMIS developed comprehensive terms of reference defining the tasks and responsibilities of the statistical coordinator. The terms of reference were validated in July 2018. Since then, ARTEMIS is looking for a suitable candidate located in the ASEAN Region who would spend one week per month in Jakarta.
Status: Ongoing

Task 2: Planning of the first statistical activities
A planning mission was carried out by ARTEMIS to identify the first tasks to be implemented under sub-component 4.2. A consultation was made at the level of ASEANstats and with the COMPASS project team to identify the activities to be implemented between November 2018 and May 2019. A list of activities was developed for further discussion at the last COMPASS PSC meeting.
Status: Completed

Task 3: Communication on the first statistical activities
ARTEMIS attended the ACSS meeting from 10 to 11/10/2018 and presented to ASCSS the opportunities open by ARISE Plus through its statistical component. On 12/10/2018, ARTEMIS

attended the last Compass PSC meeting and presented into more details the immediate activities that would be carried out in the framework of ARISE Plus.

Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025

<table>
<tr><th>Activity 4.2.2</th><th>Supporting the ACSS in the Context of the AECB 2025</th></tr>
<tr><td>Main Beneficiaries Engaged</td><td>ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA).</td></tr>
<tr><td>Tasks progress</td><td>• Mobilisation of a statistical coordinator
Status: Ongoing
• Planning of the first statistical activities
Status: Completed
• Communication on the first statistical activities
Status: Completed</td></tr>
<tr><td>Outputs</td><td>• Comprehensive terms of reference defining the tasks and responsibilities of the statistical coordinator.
• A list of activities to be implemented between November 2018 and May 2019 for further discussion. Annex 94
• A presentation to ACSS Committee and to COMPASS PSC of the ARISE Plus statistical sub-component. Annexes 95,96,97</td></tr>
<tr><td>Resources Mobilised</td><td>Expert days:<table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 1</td><td>0</td></tr><tr><td>SNKE</td><td>10</td></tr><tr><td>JNKE</td><td>0</td></tr><tr><td>Incidentals</td><td>€ 1,642.13</td></tr></table></td></tr>
</table>

SECTION 2 OVERVIEW OF USE OF RESOURCES

Cumulative Resources							
Reporting Period	**KE1**	**KE2**	**KE3**	**KE4**	**Senior Non-Key**	**Junior Non-Key**	**Incidentals**
1st 6 Monthly Report covering Inception period and 1stMonth of AWP1 (8th November 2017 - 7thMay 2018)	113	74	101	96	166	0	€ 103.723,63
2nd Six Monthly Report (8th May 2018 – 7th November 2018)	98	97	103	115	616	5	€ 276.859,19
Actual to Date	**211**	**171**	**204**	**211**	**782**	**5**	**€ 380.582,82**
Project Budget	**660**	**528**	**660**	**660**	**6765**	**400**	**€ 3.500.000**
Balance Remaining	**449**	**357**	**456**	**449**	**5983**	**395**	**€ 3.119.417,18**

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
COMPONENT 0.0	**Management and Outreach**							
Sub-component 0.1	**Project Management and Coordination**	**98**	**17**	**2**	**0**	**0**	**0**	**11.831,68**
Activity 0.1.1	Project Management and Coordination	98	17	2	0	0	0	11.831,68
Sub Component 0.2	**Demand Driven Contingency**							
Activity 0.2.1	Demand Driven Contingency	0	0	0	0	0	0	0
Sub-Component 0.3	**Visibility, Communication and Outreach**	**0**	**0**	**0**	**0**	**48**	**0**	**6.791,96**
Activity 0.3.1	Visibility, Communication and Outreach	0	0	0	0	48	0	6.791,96
COMPONENT 1.0	**Trade Facilitation and Transparency**							
Sub Component 1.1	**ATIGA Implementation with Focus on Transparency and NTMs**	**0**	**31**	**0**	**0**	**76**	**0**	**22.146,81**
Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)	0	24	0	0	42	0	14.885,61
Activity 1.1.2	Identifying, Classifying and Notifying NTMs	0	2	0	0	34	0	6.652,89
Activity 1.1.3	Supporting the Coordinating Committee for the Implementation of the ATIGA	0	5	0	0	0	0	608,31
Sub Component 1.2	**Trade Facilitation and Private Sector Engagement**	**0**	**49**	**0**	**0**	**46**	**0**	**49.783,10**

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	0	29	0	0	35	0	25.323,86
Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee	0	9	0	0	0	0	23.397,10
Activity 1.2.3	Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation	0	0	0	0	0	0	0
Activity 1.2.4	Assisting ASEC and AMSs in TF-Related Policies and Dialogue	0	0	0	0	0	0	0
Activity 1.2.5	Strengthening Public-Private Cooperation	0	11	0	0	11	0	1.062,14
Sub-component 1.3	**On-demand Activities**	**0**	**0**	**0**	**0**	**0**	**0**	**0**
Activity 1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme	0	0	0	0	0	0	0
Activity 1.3.2	Supporting the ASEAN Connectivity Coordinating Committee	0	0	0	0	0	0	0
Activity 1.3.3	Supporting the Transposition of Regional Agreements at National Level	0	0	0	0	0	0	0
COMPONENT 2.0	**Standards and Conformity Assessment in Particular Healthcare and Agro-based products**							
Sub component 2.1	**Supporting the Development of Quality Infrastructure and Related Policies in ASEAN**	**0**	**0**	**36**	**0**	**17**	**0**	**18.190,56**

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
Activity 2.1.1	Supporting ACCSQ to Implement the2016-2025 ASEAN Strategic Plan for Standards and Conformance	0	0	16	0	0	0	10.975,39
Activity 2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations	0	0	8	0	0	0	0
Activity 2.1.3	Strengthening Conformity Assessment and Accreditation	0	0	7	0	17	0	7.215,18
Activity 2.1.5	Enhancing Co-ordination across ASEAN Sectoral Working Groups	0	0	5	0	0	0	0
Sub-component 2.2	**Supporting the Harmonisation of Standards and Compliance to International Standards**	**0**	**0**	**4**	**0**	**22**	**0**	**1.770,26**
Activity 2.2.1	Supporting Harmonisation of Standard	0	0	4	0	22	0	1.770,26
Activity 2.2.2	Promoting Compliance to International Standards in the Business	0	0	2	0	0	0	0
Sub-component 2.3	**Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector**	**0**	**0**	**47**	**0**	**48**	**5**	**77.731,68**
Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety	0	0	11	0	0	0	46.022,59
Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	0	0	15	0	0	0	18.529,84
Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety	0	0	8	0	17	0	10.709,30

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
Activity 2.3.4	Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN	0	0	3	0	6	5	0
Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF	0	0	5	0	6	0	438,28
Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture	0	0	5	0	19	0	2.031,67
Activity 2.3.7	Training in Food Safety	0	0	0	0	0	0	0
Sub-component 2.4	**Support to the Pharmaceutical Sector**	**0**	**0**	**12**	**0**	**0**	**0**	**25.203,04**
Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory framework	0	0	12	0	0	0	25.203,04
Activity 2.4.2	Combatting Falsified Medicines in ASEAN	0	0	0	0	0	0	0
Activity 2.4.3	Enhancing Pharmaceuticals Standardization in ASEAN	0	0	0	0	0	0	0
COMPONENT 3.0	**Customs, Transport and ACTS**							
Subcomponent 3.1	**Customs Measures**	**0**	**0**	**0**	**46**	**35**	**0**	**44.438,79**
Activity 3.1.3	Supporting Development of Standard Authorised Economic Operator (AEO)	0	0	0	22	0	0	41.627,81

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
	Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07							
Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)	0	0	0	24	35	0	2.810,99
Sub-component 3.2	**Transport Measures**	**0**	**0**	**0**	**37**	**50**	**0**	**3.796,22**
Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)	0	0	0	10	0	0	1.196,40
Activity 3.2.2	Supporting Implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multi Modal Transport (AFAMT)	0	0	0	20	33	0	1.988,08
Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)	0	0	0	7	17	0	611,74
Sub component 3.3	**ACTS Implementation**	**0**	**0**	**0**	**32**	**220**	**0**	**10.195,84**
Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS) legal framework	0	0	0	10	31	0	7.146,31

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)	0	0	0	0	15	0	937,17
Activity 3.3.3	Rolling Out of ACTS in CLMV Countries	0	0	0	15	138	0	2.112,36
Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)	0	0	0	2	17	0	0
Activity 3.3.5	Carrying out a Functional Upgrade of the ACTS	0	0	0	5	19	0	0
Component 4.0	**ASEAN Economic Integration Monitoring and Statistics**							
Sub-component 4.1	**ASEAN Economic Integration Monitoring**	**0**	**0**	**0**	**0**	**44**	**0**	**662,71**
Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects	0	0	0	0	21	0	0
Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework	0	0	0	0	23	0	662,71
Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025	0	0	0	0	0	0	0
Sub component 4.2	**ASEAN Statistics Capacity Building**	**0**	**0**	**0**	**0**	**10**	**0**	**1.642,13**
Activity 4.2.1	Enhancing AEC Monitoring Databases	0	0	0	0	0	0	0

Resources at the Activity Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
Activity 4.2.2	Supporting the ACSS in the Context of the AECB 2025	0	0	0	0	10	0	1.642,13
Activity 4.2.3	Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV)	0	0	0	0	0	0	0
Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains	0	0	0	0	0	0	0
Activity 4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics	0	0	0	0	0	0	0
Carried over from Inception Period (Activity 2.11)	Strengthening Risk Assessment in ASEAN	0	0	0	0	0	0	624,34
Carried over from Inception Period (Activity 2.12)	Establishing the RASFF in AMSs and Integrating them in ARASFF	0	0	0	0	0	0	640,00
Bank Charge		0	0	0	0	0	0	**1.410,06**
TOTAL		**98**	**97**	**103**	**115**	**616**	**5**	**277.380,92**

SECTION 3 LIST OF MEETINGS AND WORKSHOPS

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Paul Mandl		21 – 22 May 2018	Singapore	90th Coordinating Committee on Services (90th CCS) for discussion on ASSIST
		31 May 2018	Vientiane, Lao PDR	Workshop on the Non-Tariff Measures (NTMs) under the ASEAN Trade in Goods Agreement (ATIGA)
		31 May 2018 – 2 June 2018	Vientiane, Lao PDR	27th Meeting of the Coordinating Committee on the Implementation of ATIGA (CCA)
		4 June 2018	Bangkok, Thailand	Meeting with DG SANTE/EUD
		6 June 2018	Singapore	75th ASEAN-BAC Meeting
		25 June 2018	Bali, Indonesia	Workshop on the ASEAN Principles forHarmonisation of Regulatory Regime
		26 – 29 June 2018	Bali, Indonesia	49th Meeting of the ASEAN Consultative Committee for Standards and Quality (ACCSQ) and its related meetings
		18 June 2018,	Singapore	5th EU-ASEAN Business Council Annual General Meeting
		3 July 2018	Singapore	First ARISE Plus Roundtable Discussion on Trade Facilitation, Private Sector Engagement with ASEAN: The Rules and Modalities
		4-5 July 2018	Singapore	Attending the 12th Meeting of the ASEAN Trade Facilitation – Joint Consultative Committee (12th ATF JCC)
		7 July 2018	Singapore	3rd Meeting of the ASEAN Senior Economic Officials for the 49th ASEAN Economic Ministers Meeting (SEOM)
		19 – 20 August 2018	Bangkok, Thailand	Workshop on the Implementation of the ASEAN Sectoral MRA for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
		5-7 September 2018	Bali, Indonesia	2nd Workshop on Development of Instrument for Implementation of the ASEAN Food Safety Regulatory Framework (AFSRF)
		28 September 2018	Koh Samui,Thailand	Regional Workshop on ASSIST for Trade in Services
		2 October 2018	Nonthaburi, Thailand	Meeting with SEOM Member
		9-12 October 2018	Singapore	The 8th Session of the ASEAN Community Statistical System (ACSS) Committee, 9-11 October, back-to-back with the 8th COMPASS Project Steering Committee meeting on 12 October 2018.

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Paolo Vergano		3-4 May 2018	Nay Pyi Taw	ATR / NTR Mapping Exercise for Myanmar
		7-8 May 2018	Bangkok	ATR / NTR Mapping Exercise for Thailand
		14-15 May 2018	Ha Noi	ATR / NTR Mapping Exercise for Viet Nam,
		17-18 May 2018	Singapore	ATR / NTR Mapping Exercise for Singapore,
		21-22 May 2018	Singapore	CCS Meeting for ASSIST
		31 May 2018	Vientiane, Lao PDR	Workshop on the Non-Tariff Measures (NTMs) under the ASEAN Trade in Goods Agreement (ATIGA)
		31 May 2018 – 2 June 2018	Vientiane, Lao PDR	27th Meeting of the Coordinating Committee on the Implementation of ATIGA (CCA)
		4 June 2018	Bangkok, Thailand	Meeting with DG SANTE/EUD
		6 June 2018	Singapore	75th ASEAN-BAC Meeting
		18 June 2018	Singapore	5th EU-ASEAN Business Council Annual General Meeting
		3 July 2018	Singapore	First ARISE Plus Roundtable Discussion on Trade Facilitation, Private Sector Engagement with ASEAN: The Rules and Modalities
		4-5 July 2018	Singapore	Attending the 12th Meeting of the ASEAN Trade Facilitation – Joint Consultative Committee (12th ATF JCC)
		7 July 2018	Singapore	3rd Meeting of the ASEAN Senior Economic Officials for the 49th ASEAN Economic Ministers Meeting (SEOM)
		18-20 September 2018	Ha Noi, Viet Nam	Attending the ERIA-UNCTAD technical validation workshop for NTM database
		28 September 2018	Koh Samui,Thailand	Regional Workshop on ASSIST for Trade in Services
		2 October 2018	Nonthaburi, Thailand	Meeting with SEOM Member

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Rajinder Raj		10 May 2018	Singapore	40th Meeting of ACCSQ WG 1 on Standards
		25 June 2018	Bali, Indonesia	Workshop on the ASEAN Principles forHarmonisation of Regulatory Regime
		26 – 29 June 2018	Bali, Indonesia	49th Meeting of the ASEAN Consultative Committee for Standards and Quality (ACCSQ) and its related meetings
		25-26 July 2018	Putrajaya, Malaysia	2nd Scientific Panel Meeting of ASEAN Risk Assessment for Food Safety
		9 - 10 August 2018	Da Nang, Viet Nam	25th ASEAN Consultative Committee for Standards and Quality Pharmaceutical Product Working Group (ACCSQ - PPWG)
		9 August 2018	Da Nang, Viet Nam	Brainstorming Session: Enabling Market Integration in the ASEAN Pharmaceutical Sector
		19 – 20 August 2018	Bangkok, Thailand	Workshop on the Implementation of the ASEAN Sectoral MRA for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products
		5-7 September 2018	Bali, Indonesia	2nd Workshop on Development of Instrument for Implementation of the ASEAN Food Safety Regulatory Framework (AFSRF)
		2-5 October 2018	Vientiane, Lao PDR	35th ACCSQ-WG 2 and Its Related Meetings
		3 October 2018	Vientiane, Lao PDR	Workshop on Enhancing Collaboration among National Accreditation Bodies and Accreditation Focal Points of ASEAN Member States
		12 October 2018	Jakarta, Indonesia	Establishment of a Monitoring and Evaluation (M&E) Mechanism for the ASEAN Standards and Conformance Strategic Plan 2016 – 2025

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Glyn Evans		25-27 June 2018	Phnom Penh	Training analysis meetings with Cambodia Customs and private sector under activity 3.1.5 narrowing the development gap in Customs
		3-5 July 2018	Nha Trang City, Viet Nam	18th Meeting of the CPTFWGSub-Working Group on ASEAN Customs Transit System (SWG-ACTS)
		11-12 July 2018	Bali, Indonesia	Meeting of the Council of Insurance Bureaux (COB) to discuss arrangements for implementing protocol 5, compulsory third-party vehicle insurance
		21-22 August 2018	Bangkok, Thailand	Preparatory Meeting with the ASEAN Federations of Forwarders Association (AFFA) and Thai International Freight Forwarders Association
		24-28 September 2018	Bangkok, Thailand	ASEAN Authorised Economic Operator (AEO) Capacity Building Workshop
		3 October 2018	Vientiane, Lao PDR	Workshop on Enhancing Collaboration among National Accreditation Bodies and Accreditation Focal Points of ASEAN Member States
		8-11 October 2018	Bandar Seri Begawan, Brunei Darussalam	The 36th ASEAN Transport Facilitating Working Group (TFWG) Meeting and the 10th ASEAN Transit Coordinating Board (TTCB) Meeting
		16-18 October 2018	Phnom Penh, Cambodia	19th Meeting of the CPTFWG Sub-Working Group on ASEAN Customs Transit System (SWG-ACTS)
		24-25 October 2018	Hanoi, Viet Nam	Training (ACTS System and Procedures) Session I for CustomsService of Viet Nam

SECTION 4 LIST OF ANNEXES

Annex	Activity	Annexes
Annex 1	0.3.1	50th ASEAN Economic Ministers' (AEM) Meeting Acknowledges the Contributions of ARISE Plus to the ASEAN Region
Annex 2	0.3.1	Achieving the ASEAN Economic Community Vision- Enhancing Monitoring and Evaluation Mechanism on Standards and Conformance
Annex 3	0.3.1	ARISE PLUS Supports Development of the ASEAN Standard for Food Additives and ASEAN General Guidelines on Food Contact Materials (FCM)
Annex 4	0.3.1	ASEAN Agrees on Common Requirements of Biological Products
Annex 5	0.3.1	ASEAN Integration Monitoring Division Further Enhances Country Visit Process
Annex 6	0.3.1	ASEAN Member States Sign Mutual Recognition Agreement (MRA) of Bioequivalence Study Reports of Generic Medicinal Products
Annex 7	0.3.1	The ASEAN Pharmaceutical Product Working Group (PPWG) Develops ASEAN Common Technical Requirements (ACTR) for Biotechnological Products
Annex 8	0.3.1	ARISE Plus Organises AEO workshop in Bangkok
Annex 9	0.3.1	Website Event Brief
Annex 10	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Malaysia)
Annex 11	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Myanmar)
Annex 12	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Thailand)
Annex 13	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Cambodia)
Annex 14	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Brunei)
Annex 15	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Indonesia)
Annex 16	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Lao PDR)
Annex 17	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Philippines)
Annex 18	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Singapore)
Annex 19	1.1.1	ATR-NTRs 'Mapping Exercise' under Activity 1.1.1 by ARISE Plus (Viet Nam)
Annex 20	1.1.2	PPP on ATR-NTR 'Mapping Exercise' by ARISE Plus (All 10 AMSs)
Annex 21	1.1.3	Guidelines for the Implementation of ASEAN Commitments on NTMs - Draft May 2018 - FINAL (for CCA WS)
Annex 22	1.1.3	Final Programme for the Workshop on NTMs Guidelines in Lao PDR (31 May 2018)
Annex 23	1.1.3	Speaking Points for Amb. Faber at ASEAN Workshop on NTMs Guidelines
Annex 24	1.1.3	Presentation by ARISE Plus at NTMs Guidelines Workshop

Annex 25	1.2.1	Presentation on ASSIST for Services by ARISE Plus
Annex 26	1.2.1	Memorandum to CCS for Conceptualization of ASSIST Trade in Services by ARISE Plus
Annex 27	1.2.1	Agenda - ARISE Plus Regional Workshop on ASSIST Trade in Services and Website Improvements
Annex 28	1.2.1	Memorandum to AMSs on ASSIST Improvements by ARISE Plus
Annex 29	1.2.2	ARISE Plus Presentation at ATF-JCC in Singapore
Annex 30	1.2.2	Presentation by ARISE Plus at the 3-49 SEOM
Annex 31	1.2.5	Draft Programme for the 1st ARISE Plus Roundtable Discussion on TF (3 July 2018)
Annex 32	1.2.5	1st ARISE Plus Roundtable Discussion on TF- Speaking Points for Amb. Plinkert
Annex 33	2.1.1	ASEAN Guidelines on Assessment of NTMs - Draft Feb 2018
Annex 34	2.1.1	Report Dialogue ACCSQ - CEN CENELEC
Annex 35	2.1.1	ASEC Discussion Paper - Monitoring Mechanism
Annex 36	2.1.2	Frame work MRA Agreement Rev 2018 Sept 25 clean copy
Annex 37	2.1.3	Concept Paper - Enhancing collaboration among NABs
Annex 38	2.1.3; 2.3.6	Concept Note for Organic Agiic Accreditations
Annex 39	2.2.1	Concept Paper for WG 1 Workshop
Annex 40	2.3.1	Recommendations 2nd Workshop on AFSRF
Annex 41	2.3.2	ASEAN SECTORAL MRA for Prepared Foodstuff Products_ Proposed Implementation August 2018
Annex 42	2.3.2; 2.3.6	PFPWG workshop results _Aug 19 & 20 2018
Annex 43	2.3.3	2nd Scientific Panel Meeting
Annex 44	2.3.3; 2.4.1	ARAC survey questionnaire
Annex 45	2.3.4	Concept Note on development regional system for collection of data
Annex 46	2.3.4	Guidelines of Data transfer to FAO-WHO GIFT(draft)
Annex 47	2.3.5	Concept Note for traceability guidelines development
Annex 48	2.4.1	Report of Brainstorming Session
Annex 49	2.1.5	EXCERPTS of the 49th meeting of ACCSQ - Organic Agric
Annex 50	2.1.3	Paras on EU ARISE Plus - Rajinder Raj Sud
Annex 51	2.3.3	ARAC report version 25oct18
Annex 52	2.1.3	WG 2 Meeting Results
Annex 53	3.1.3	Status AEO programmes
Annex 54	3.1.3	AEO Concept note
Annex 55	3.1.3	Report of Regional AEO Workshop
Annex 56	3.1.5	Gap analysis report Cambodia
Annex 57	3.1.5	Gap analysis report Lao PDR

Annex 58	3.1.5	Gap analysis report Myanmar
Annex 59	3.1.5	NDG_Action plan_1
Annex 60	3.1.5	Concept note NDG_Training_ Intelligence and Investigation workshop
Annex 61	3.1.5	Draft Programme NDG CII_Cambodia
Annex 62	3.2.2	Meeting Notes from AFFA and TIFFA Meetings (20-22 Aug 2018)
Annex 63	3.2.2	AFFA MoU 14 Sept 2018
Annex 64	3.2.2	Meeting Notes_Viet Nam Mission 11-12 Sept 2018
Annex 65	3.2.2.	Meeting Notes_Cambodia Mission 13-14 Sept 2018
Annex 66	3.2.2	Lao PDR_Meeting Questions
Annex 67	3.2.2	Draft Implementation Framework AFAMT
Annex 68	3.2.2	Lao AFAMT Action Plan
Annex 69	3.2.2	Myanmar's Action Plan for AFAMT
Annex 70	3.2.2	PH AFAMT Action Plan
Annex 71	3.2.2	Cambodia Action Plan for the Implementation of AFAMT
Annex 72	3.2.2	Singapore AFAMT National Action Plan
Annex 73	3.2.2	AFAMT Action Plan Thailand
Annex 74	3.2.2	Malaysia ACTION PLAN
Annex 75	3.2.2	ACTION PLAN VIET NAM
Annex 76	3.2.3	Implementation Guidelines CBTP
Annex 77	3.2.2	ACTS MST plans October 2018
Annex 78	3.3.2	ACTS Pilot Preparation Checklist
Annex 79	3.3.3	ACTS Workshop Report Myanmar May 2018
Annex 80	3.3.3	ACTS East-West Pilot management and governance
Annex 81	3.3.3	ACTS Training Programme - Viet Nam
Annex 82	3.3.3	List of Vietnam ACTS training participants
Annex 83	3.3.4	CMT-ACTSProjectTrainingReport
Annex 84	3.3.4	CMT-CS Admin Training Report
Annex 85	3.3.4	ACTS-CS-Operation Guide
Annex 86	3.3.5	ACTS Change Requests Presentation
Annex 87	4.1.1	Monitoring Annex
Annex 88	4.1.1	monitoring framework for ARISE+ Regional and national projectsv2_
Annex 89	4.1.2	Workshop training materials to enhance the preparation, conduct and reporting of the Country Visits
Annex 90	4.1.2	Presentation Country Visit Workshop - Day1 CG-RC v6

Annex 91	4.1.2	M&E ACCSQ SP V4
Annex 92	4.1.2	Country Visit Brief v2 CG-RC
Annex 93	4.1.3	In-Depth Interview Protocol_AIMD_Country Visit
Annex 94	4.2.2	PSC ARISE Plus Presentation-FINAL v2
Annex 95	4.2.2	Minutes PSC8_20181126
Annex 96	4.2.2	ACSS8-Joint-Media-Statement
Annex 97	4.2.2	ACSS8 Presentation ARISE Plus v2

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