ARISE+
Funded by the European Union

First Six-Monthly Progress Report

ASEAN Regional Integration Support by the European Union - (ARISE) Plus

Project No. ACA/2016/389774

Service Contract No. EUROPEAID/138416/DH/SER/MULTI

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ARISE PLUS FACT SHEET

Project Title	ASEAN Regional Integration Support by EU (ARISE Plus)
Project Number	ACA/2016/389774
Service Contract	EUROPEAID/138416/DH/SER/MULTI
Service Contractor	AETS, in consortium with FratiniVergano, AECOM and ARTEMIS
Project Location	ASEAN Secretariat Jakarta (with activities in ASEAN and EU)
Contracting Authority	EU Delegation to Indonesia & Brunei Darussalam
EUD Task Manager	Celine Prudhomme (Celine.PRUDHOMME@eeas.europa.eu)
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Project Dates	8th November 2017 to 7th November 2020
Project Budget	€13,833,125.00
Working Days available	KE 2508, SNKE 6,765, JNKE 400.
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PART 1 INCEPTION REPORT

EXECUTIVE SUMMARY

ARISE Plus started operations on the 8th November 2017 and will run for 36 months until 7th October 2020. The inception period activities commenced with the mobilisation of the Key Experts (KE) as follows;

Team Leader/KE 1	Mr. Paul Mandl	8th November 2017
KE2 Trade Facilitation Expert	Mr. Paolo Vergano	9th November 2017
KE3 Standards and Conformance Expert	Mr. Rajinder Raj	13th November 2017
KE4 Customs and Transport Expert	Mr. Glyn Evans	13th November 2017

In addition, Six Senior Non-Key Experts (SNKE) were mobilised to provide support to the Key Experts in defining the future interventions of the OWP/WP1

The activities carried out during the inception period led to 5 key deliverables:

1. Establishment of the Project
2. Preparation and Approval of Initial Action Plan
3. Drafting of OWP/WP1 and associated budgets
4. Holding of the 1st Project Steering Committee Meeting
5. Approval of OWP/WP1

During the inception period the KEs participated in a series of meetings with different Technical Working Groups (TWGs) and Coordinating Committees to facilitate: awareness raising of ARISE Plus, collection of data for the preparation of OWP/WP1 and endorsement of the Plans. In the table below the list of meetings attended is provided. The incidental expenditure associated with this participation is shown in Section 3 of the report and only covers activities implemented up to the 7th April 2018.

OUTPUTS BY COMPONENTS

Component 0 Management and Outreach

Sub Component 0.1 Project Management and Coordination

Establishment of Project

The **Team Leader (Key Expert 1)** Mr. Paul Mandl arrived at the base of operations in Jakarta on 8th November 2017. The move back into the EU/ASEAN project office went seamlessly even though there were slight complications due to need of accommodating the increasing number of EU project Staff, however this was resolved. The procurement of the necessary office equipment was carried out. Two office assistants were recruited during the period. The local AETS office provided administrative support for the interventions under this activity.

Meetings were held with the EUD, including the staff from Finance, Contract and Services (FCS) to ensure common understanding of approval procedures for missions, working on National Holidays, and travelling on weekends. This resulted in the creation of a new joint monitoring system of approval. Internal administration procedures were agreed following consultation with the FCS, and financial management/ reporting procedures were finalised after the contracting of the Auditor for the expenditure verification. A manual of procedures has been developed for accounting purposes.

Preparation and Approval of Initial Plan of Action

The Team Leader (TL) together with the KE's prepared the Initial Plan of Action (IPA) after extensive consultation with the ASEAN Secretariat (ASEC) and the EUD Jakarta. The IPA was structured on the basis of the ARISE Plus ToRs and the Technical Offer from the AETS led Consortium. Notification of official approval of IPA was received by ARISE Plus on the 4th December 2017.

The approximate use of resources for the inception period is shown in Section 4, and forms part of the first 6 monthly invoice will be covered by the 1st expenditure verification report.

Drafting OWP/WP1 and Budget, and Inception Report

The third key output of the inception phase has been the drafting of the Overall Work Plan (OWP) and a first Work Plan (WP1) and associated budgets. The Team leader took the lead in this process, with the inputs from the KEs and SNKE. The process for arriving at an endorsed OWP and WP1 was long and complex. Consultations were held with 17 different ASEAN bodies including the relevant ASEAN Secretariat Directorates, ASEAN TWGs, Coordinating Committees and the EUD. The details of these consultations are found in both the OWP/WP 1.

After the consultations, both internally and externally, the ordering and grouping of activities for each component were modified. Those changes are reflected and described in the narrative text of the Components in the subsequent sections of this inception report. The revised Log Frame, included in the OWP, reflects the revised structure.

Project Steering Committee

The First Project Steering Committee (PSC) meeting of the ARISE Plus was held on 19th March 2018 at the ASEAN Secretariat, Jakarta. The Meeting was co-chaired by Ms. Julia Puspadewi Tijaja, Director of ASEAN Integration Monitoring Directorate (AIMD) of the ASEAN Secretariat and Mr. Hans Farnhammer, Head of Cooperation, Delegation of the European Union to Indonesia, Brunei Darussalam and ASEAN to represent the EU. The was also attended by the Thai Mission as the ASEAN Country Coordinator for ASEAN-EU Dialogue Relations, representatives from the Permanent Missions to ASEAN, relevant ASEAN Secretariat staff and ARISE Plus Technical Assistance Team as well as representatives from European Aviation Safety Agency (EASA) and European Union Intellectual Property Office (EUIPO).

The PSC endorsed the OWP and WP1 of the ARISE Plus project.

Sub Component 0.2 Demand Driven Contingency

A new subcomponent was created to support the demand driven nature of the ARISE Plus project. This sub component is established to address new activities proposed by ASEAN/ASEC that are complimentary to the overall objective of the project, which are not included in the Work Plans

Sub Component 0.3 Visibility Communications and Outreach (VCO)

Key tasks
The following tasks were implemented:

- Consultations with relevant stakeholders including: ARISE Plus Team Leader, Key Experts and experts; ASEAN Integration Monitoring Directorate; EU Delegation Task Manager; Communication SNKE of E-READI project;
- Desk review of documentation and communication channels;
- Analysis of communication, visibility and outreach approaches in other projects including ARISE regional project (2012 to 2017), the European Union Support to Higher Education in ASEAN Region (EU SHARE) project, Regional EU ASEAN Dialogue Instrument Human Rights Facility (2015-2017) "READI-HRF", Enhanced Regional EU ASEAN Dialogue Instrument (E-READI), EU GATEWAY Programme, EU Public Diplomacy and Outreach (PDO) Thailand project;
- Review of communication priorities in the ASEAN Communication Master Plan (for ASEAN integration in general and AEC in particular) and in the ASEAN Economic Community Blueprint (AECB);
- Identification of key target groups for ARISE Plus VCO efforts;
- Analysis of VCO tools to be used for target groups;
- Analysis of target groups including their suitability to be VCO multipliers for ARISE Plus;
- Draft recommendations for a VCO plan;
- Drafting of OWP and WP1 for activity 0.3.1 "Visibility, Communication and Outreach";
- Feedback to OWP and WP1 for activity 0.3.1 from ARISE Plus team leader.

Outputs

The assignment resulted in the following major outputs:

- A review of the VCO approach carried out during ARISE regional project (2012 to 2017);
- A review of communication priorities in the ASEAN Communication Master Plan (for ASEAN integration in general and AEC in particular) and in the ASEAN Economic Community Blueprint (AECB) provided;
- Recommendations for an ARISE Plus VCO Plan including objectives and expected results, target groups, core VCO strategies, a communication matrix, a plan of VCO tasks for WP1 and indicators/monitoring;
- Alternative logo concepts for ARISE Plus, prior to the development of the existing ARISE Plus logo;
- Draft ARISE Plus brochure design studies OWP and WP1 for Activity 0.3.1 visibility, communication and outreach;
- Input to OWP and WP1 for Activity 4.1.3: demand-driven support for research, capacity building and outreach activities to assist the implementation of the AEC Blueprint 2025 which was led by the M&E SNKE.

The VCO mission report which includes the VCO plan is attached as **Annex 1**.

The inception period enabled a clear definition of the outcome for VCO activities which is to provide visibility to ARISE Plus as a flagship programme of the EU and the strategic nature of the EU's support whilst achieving the communication priorities of the AECB and of the ASEAN Communication Master Plan. A detailed VCO plan was developed to support the achievement of the outcome. The recommendations for the VCO Plan include: VCO objectives and expected results, a list of priority target groups, core VCO strategies and a communication matrix, plan of VCO tasks for -WP1 and indicators for achievement and monitoring.

Component 1.0 Trade Facilitation and Transparency

Following extensive consultations with the ASEAN Secretariat, the relevant trade facilitation sectoral bodies (i.e., SEOM, the ATF-JCC and the CCA), Singapore's 2018 ASEAN Chair and other stakeholders, Component 1 on Trade Facilitation has been organized on the basis of three Sub-Components of activities, in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support, in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held during the inception phase, and leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus. In particular, Sub-Component 1.1 supports ATIGA implementation with a focus on transparency and NTMs, Sub-Component 1.2 caters to trade facilitation and private sector engagement, and Sub-Component 1.3 provides the facility and flexibility for a number of 'on-demand' activities to be implemented when requested.

More specifically, Sub-Component 1.1 groups together Activity 1.1.1 on "Supporting the Effective Implementation of the ATIGA (ATR and NTRs)", Activity 1.1.2 on "Identifying, Classifying and Notifying NTMs", and Activity 1.1.3 on "Supporting the Coordinating Committee for the Implementation of the ATIGA". The key objective of this cluster of activities is the effective implementation of the ATIGA, particularly its commitments on trade-related regulatory transparency and the operationalization of the ASEAN Trade Repository (ATR) and the network of ASEAN Member States' (AMS) National Trade Repositories (NTRs). A critical 'by-product' of this transparency effort will be the identification, classification and upload on the NTRs/ATR of the AMSs' non-tariff measures (NTMs) and the updating of the 'NTMs Database' mandated under Articles 11, 13 and 40 of the ATIGA, which looks poised to enhance trade facilitation within the region and allow for progressive NTMs' streamlining, where need be, and for the NTMs that amount to non-tariff barriers (NTBs) to be removed. 'On demand' assistance will be provided to the ASEAN Coordinating Committee on the implementation of ATIGA (CCA) (e.g., vis-à-vis the 'NTMs Guidelines' being developed) and coordination will be ensured between the activities implemented to effectively implement the ATIGA and those focusing on trade facilitation, private sector engagement, as well as with the ARISE Plus National Projects and the other development partners, as relevant. In general terms, the intended outcome of this sub-component is enhanced trade-related regulatory transparency within ASEAN and progressive NTMs' streamlining through the effective operationalization of the ATR and the network of AMSs' NTRs.

Sub-Component 1.2 groups together Activity 1.2.1 on the "Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors", Activity 1.2.2 on "Supporting the ASEAN Trade Facilitation Joint Consultative Committee", Activity 1.2.3 on "Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation", Activity 1.2.4 on "Assisting ASEC and AMSs in TF-Related Policies and Dialogue", and Activity 1.2.5 on "Strengthening Public-Private Cooperation". The key objective of this cluster of activities is the enhancement of trade facilitation within the region and the support and coordination of private sector engagement, particularly MSMEs and primarily thorough the ASEAN Business Advisory Council (ABAC), in line with the objectives and drivers laid out in the AEC Blueprint 2025. The broader context of this cluster of activities is ASEAN trade facilitation, which will be assisted by ARISE Plus mainly through on-demand support of the ATF-JCC, of ASEAN-EU dialogue on trade facilitation, and of the setting and implementation of ASEAN trade facilitation policies. Considerable efforts will be made to fully operationalize ASSIST, as the preeminent tool of public-private cooperation and trade facilitation, including its roll-out for addressing trade in services and trade-related investment measures. A stronger interface between ASEAN institutions and the private sector

must, therefore, be seen within the parallel contexts of the efforts being made by ASEAN to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs, and to implement and improve other key ASEAN trade facilitation instruments adopted to the primary benefit of the private sector (e.g., ACTS, ASW, Tariff Finder, MRAs, etc.). This cluster of activities will have an important 'on demand' dimension, particularly in Activities 1.2.3, 1.2.4 and 1.2.5. For instance, reference has been made during the coordination meetings held with AMSs within the ATF-JCC, during the inception period of ARISE Plus, to the wish that ARISE Plus should minimize or even abandon work on self-certification of origin scheme and rather assist AMSs in adopting solid legal frameworks regionally and nationally on e-commerce, which is considered a powerful enabler of trade facilitation. In general terms, the intended outcome of this sub-component is the enhancement of private sector engagement through increased participation in the activities of the ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by means of greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.

Sub-Component 1.3 groups together Activity 1.3.1 on "Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme", Activity 1.3.2 on "Supporting the ASEAN Consultative Committee on Connectivity", and Activity 1.3.3 on "Supporting the Transposition of Regional Agreements at National Level". The key objective of this cluster of activities is to provide specific on-demand support to ASEAN in areas that are intimately linked, conducive and relevant to the trade facilitation agenda of ASEAN. The specific tasks under these activities shall be defined and prioritized, on a rolling basis and on the basis of the requests by ASEAN during project implementation, in coordination with the relevant ASEAN sectoral bodies. This cluster, together with the 'on-demand' resources earmarked under Sub-Components 1.1 and 1.2, will ensure that ARISE Plus be flexible in nature and adaptable to the changing needs of ASEAN, while adhering to the broader objectives and outcomes mandated under the ToRs of ARISE Plus. In general terms, the intended outcome of this sub-component is the enhancement of legal certainty, commercial predictability and trade facilitation through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.

Sub Component 1.1 ATIGA Implementation with Focus on Transparency and NTMs

Key tasks:
Extensive consultations were held with all relevant trade facilitation sectoral bodies (*i.e.*, SEOM, the ATF-JCC and the CCA), the ASEAN Secretariat (*i.e.*, Trade Facilitation Division within the Market Integration Directorate), the Singapore's 2018 ASEAN Chair (*i.e.*, Ministry of Trade and Industry) and other key stakeholders (*i.e.*, particularly the private sector through discussions entertained with the ABAC and the EU-ASEAN Business Council at the margins of the 6th ASEAN-EU Business Summit held in Singapore on 1-4 March 2018). Various iterations of the Activities under Sub-Component 1.1 for the Overall Work-Plan (OWP) and the first Work-Plan (WP1) were drafted, submitted for review and comments to the stakeholders mentioned above and progressively improved and finalized for formal submission to the EUD and ASEC for purposes of adoption by the Project Steering Committee (PSC).

Outputs:
Presentations were given at the 10th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee and to the 1st ASEAN Senior Economic Officials Meeting for the 49th ASEAN Economic

Ministers' Meeting, both held in Singapore on 17-28 January 2018. Presentations were also given and discussions facilitated at the 26th Meeting of the Coordinating Committee on the Implementation of ATIGA (26th CCA Meeting held in Phnom Penh, Cambodia on 23-25 February 2018) and at the 11th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee (11th ATF-JCC held in Bali, Indonesia on 18-19 March 2018). Finally, the Activities planned under Component 1 were finalized, budgeted and adopted by the PSC in the Overall Work-Plan (OWP) and in the first WorkPlan (WP1).

No variations have been introduced vis-à-vis the ToRs provided for ARISE Plus and the approved methodology proposed in the offer. Implementation modalities have been endorsed by all relevant stakeholders. The support to CCA has been discussed and initially programmed (*e.g.*, ARISE Plus support towards the definition and adoption by CCA of the ASEAN Guidelines on NTMs, as mandated by the 49th AEM - 31st AFTA Council, fast-tracked under the first WP.

Sub Component 1.2 Trade Facilitation and Private Sector Engagement

Key tasks:
Extensive consultations were held with all relevant trade facilitation sectoral bodies (*i.e.*, SEOM, the ATF-JCC and the CCA), the ASEAN Secretariat (*i.e.*, Trade Facilitation Division within the Market Integration Directorate), the Singapore's 2018 ASEAN Chair (*i.e.*, Ministry of Trade and Industry) and other key stakeholders (*i.e.*, particularly the private sector through discussions entertained with the ABAC and the EU-ASEAN Business Council at the margins of the 6th ASEAN-EU Business Summit held in Singapore on 1-4 March 2018). Various iterations of the Activities under Sub-Component 1.2 for the Overall Work-Plan (OWP) and the first Work-Plan (WP1) were drafted, submitted for review and comments to the stakeholders mentioned above and progressively improved and finalized for formal submission to the EUD and ASEC for purposes of adoption by the Project Steering Committee (PSC).

Outputs:
Presentations were given at the 10th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee and to the 1st ASEAN Senior Economic Officials Meeting for the 49th ASEAN Economic Ministers' Meeting, both held in Singapore on 17-28 January 2018. Presentations were also given and discussions facilitated at the 26th Meeting of the Coordinating Committee on the Implementation of ATIGA (26th CCA Meeting held in Phnom Penh, Cambodia on 23-25 February 2018) and at the 11th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee (11th ATF-JCC held in Bali, Indonesia on 18-19 March 2018). Finally, the Activities planned under Component 1 were finalized, budgeted and adopted by the PSC in the Overall Work-Plan (OWP) and in the first Work Plan (WP1).

No significant variation has been vis-à-vis the ToRs provided for ARISE Plus and the approved methodology proposed in the offer. However, it should be noted that, with respect the possible intervention in the areas of competition law and policy, consumer protection, and ASEAN's linkages with global value chains, Activity 1.2.3 on "Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation" and Activity 1.2.4 on "Assisting ASEC and AMSs in TF-Related Policies and Dialogue" are largely 'on demand' in nature and may be subject to the changing nature of the assistance needed and requested by AMSs within the relevant sectoral bodies. In particular, with respect to consumer protection, it has been notionally agreed that, should any assistance be provided under this Sub-Component, close coordination with the work being done by ARISE Plus under Component 2 on Standards and Conformance and a thematic focus on the key sectors of food safety and pharmaceutical products would be maintained. In addition, in light of the specific requests that were

made by several AMSs within CCA, SEOM and ATF-JCC, it has been agreed that these activities will likely support ASEAN initiatives in other areas related to trade facilitation, for instance national and cross-border e-commerce regulation and facilitation, which may not have been originally contemplated in the ToRs of ARISE Plus, but that are increasingly seen within ASEAN as key drivers to achieve the broader goal of regional economic integration. The specific engagement by ARISE Plus will be demand-driven and the focus of its activities shall be primarily on identifying relevant international best practices by comparing ASEAN's needs, policies and mechanisms to those of the EU, always in coordination and avoiding duplication with e-READI and with the national ARISE Plus programmes, where relevant.

Sub Component 1.3 'On-Demand' Activities

Key tasks:
Extensive consultations were held with all relevant trade facilitation sectoral bodies (*i.e.*, SEOM, the ATF-JCC and the CCA), the ASEAN Secretariat (*i.e.*, Trade Facilitation Division within the Market Integration Directorate), the Singapore's 2018 ASEAN Chair (*i.e.*, Ministry of Trade and Industry) and other key stakeholders (*i.e.*, particularly the private sector through discussions entertained with the ABAC and the EU-ASEAN Business Council at the margins of the 6th ASEAN-EU Business Summit held in Singapore on 1-4 March 2018). Various iterations of the Activities under Sub-Component 1.3 for the Overall Work-Plan (OWP) and the first Work Plan (WP1) were drafted, submitted for review and comments to the stakeholders mentioned above and progressively improved and finalized for formal submission to the EUD and ASEC for purposes of adoption by the Project Steering Committee (PSC).

Outputs:
Presentations were given at the 10th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee and to the 1st ASEAN Senior Economic Officials Meeting for the 49th ASEAN Economic Ministers' Meeting, both held in Singapore on 17-28 January 2018. Presentations were also given and discussions facilitated at the 26th Meeting of the Coordinating Committee on the Implementation of ATIGA (26th CCA Meeting held in Phnom Penh, Cambodia on 23-25 February 2018) and at the 11th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee (11th ATF-JCC held in Bali, Indonesia on 18-19 March 2018). Finally, the Activities planned under Component 1 were finalized, budgeted and adopted by the PSC in the Overall Work-Plan (OWP) and in the first WorkPlan (WP1).

The only significant variation vis-à-vis the ToRs provided for ARISE Plus and the approved methodology proposed in the offer relates to the activity that had been requested for "*Assisting the creation of an ASEAN-wide self-certification of origin scheme*". In light of the evolved needs of AMSs, following the discussions entertained with the relevant sectoral bodies and the requests put forward by AMSs, Activity 1.3.1 has been re-tooled and re-labelled "*Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme*". In fact, the focus by ASEAN is now on the implementation of the scheme and on the awareness-creation at AMSs' level of the existence of this instrument and of the trade facilitation potential that it offers, particularly to the private sector trading across ASEAN.

Component 2.0 Standards and Conformity Assessment in Particular Healthcare and Agro-based Products

This component focusses on horizontal support for the ASEAN Committee on Standards and Quality (ACCSQ), continuing and advancing the progress made in ARISE. Additionally, specific and comprehensive assistance is provided for two sectors; the Agro-based sector and the Healthcare sector.

Component 2 is divided on 4 Sub-Components, each with a set of activities that reflect Technical Assistance mandated under the terms of reference of ARISE Plus for Component 2. Sub-component 2.1 provides support for horizontal issues under the purview of ACCSQ, focussing on policy development, development of Mutual Recognition Arrangements, Harmonisation of Regulatory Regimes, Conformity Assessment and Accreditation. The ASEAN Consultative Committee for Standards and Quality is the main counterpart of this component. Sub-component 2.2 groups the work on the harmonisation of standards and the work related to standards that supports the ASEAN integration into global value-chains and assisting the business community to adopt internationally recognised standards. Sub-component 3.3 is focussed on the agri-food sector, dealing with food safety, risk assessment and organic agriculture. The main counterparts are the ACCSQ Prepared Foodstuff Product Working Group (PFPWG), Health Cluster 4 (ensuring food safety) and the Agriculture Working Group on Crops that overseas Organic Agriculture. This subcomponent additionally incorporates training on food safety. Sub-component 2.4 is targeted at the pharmaceutical sector with the main counterparts being the ACCSQ Pharmaceutical Product Working Group (PPWG), Heath Cluster 3 (strengthening health system and access to care). This subcomponent will support the development of harmonised regulatory regime, address concerns on falsified medicines and support standardisation in this sector.

Sub Component 2.1 Supporting the Development Quality Infrastructure and Related Policies in ASEAN

Key tasks:
The work on developing this Sub component commenced with an analysis of the Strategic Plan for Standards and Conformance (2016 to 2025) adopted by the ASEAN Consultative Committee on Standards and Quality(ACCSQ). This was followed up by discussions with the Desk Officers and senior management in the ASEAN Secretariat to formulate the draft proposals. These were presented at the ACCSQ Meeting held on 6-8 December 2017 in Siem Reap, Cambodia. The proposals were additionally circulated to Working Groups under ACCSQ and plans finalised after a review of all comments received.

Outputs:
The ACCSQ, at its December 2017 meeting, broadly accepted the proposed activities and approach. The work on the development of the Principles for ASEAN Harmonised Regulatory Regimes was prioritized as an early activity based on feedback from the ACCSQ and the work on the identification of new sectors for harmonisation was deferred.

No activities in the TOR were dropped. New work on supporting the ACCSQ in monitoring the implementation of the Strategic Plan for Standards and Conformance (2016 to 2025) was added.

Sub Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

Key tasks:

The development of the Overall Work Plan and Annual Work Plan I commenced with study on implementation of the ACCSQ Strategic Plan of ACCSQ for 2016-2025, the ASEAN Policy Guideline for Harmonised Standards, and the database for harmonised standards that was established under ARISE. This was followed by discussions with the responsible desk officer at ASEAN Secretariat. The review indicated that ACCSQ and Working Group 2 had resolved to enhance the scope and speed of harmonisation. Outline plans were established to develop new methods of identifying standards that should be harmonised in ASEAN and to provide support to the harmonisation processes. The draft plans were circulated to the desk officer and the Working Group members for comment prior to finalisation.

Outputs:

Discussions with the Desk Officers in the ASEAN secretariat indicated that work on the harmonisation of standards was receiving an increased focus. The scope of Working Group 1 that was previous responsible for Standards and additionally included the oversight of the development of Mutual Recognition Arrangements had been narrowed to solely focus on standards. This was reflected in its revised terms of reference of WG 1. The 2016-2025 Strategic Plans of ACCSQ guided the development of the work plans. The plans developed targeted the broadening and enhancement of standards harmonisation efforts in line with targets in the Strategic Plan. It is planned that new methodologies shall be developed for enhancing the development of harmonised standards. The work plans developed additionally incorporated the increased involvement of national standards bodies to identify standards needed in ASEAN and to ensure coordinated implementation of harmonised standard.

The part of the TOR dealing with ASEAN integration to global value chains was included in this subcomponent. This included proposals for support cooperation in ASEAN on international standardization and to support Cambodia, Laos PDR, Myanmar and Vietnam (CLMV) on enhancing their current limited involvement in international standardization.

Sub Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

Key tasks:

The development of work plans for this subcomponent was based on discussions with the desk officers in the ASEAN Secretariat from the Economic, Heath and Agricultural sectors. The draft plans for ASEAN Food Safety Regulatory Framework Agreement were developed and presented at a Meeting with the ASEAN Secretariat's Coordination Committee for food safety on February 15th 2018 for review and refinement. Technical and organisational support was provided to the Prepared Foodstuffs Product Working Group (PFPWG) for workshops on January 29 to 31 2018 for the development of standards on Food Contaminants and Food Contact Materials upon request of the PFPWG. A paper on proposed support by ARISE Plus was presented to the Experts Group the meeting of Organic Agriculture held on April 2-3, 2018.

A short-term expert was retained to investigate the progress made and develop inputs for the development of Rapid Alert systems for food and feed, developing plans for collection and harmonisation of food consumption data which is required by the ASEAN Risk Assessment Centre (ARAC), and to develop plans on training for food safety. Draft plans were developed utilising the inputs provided in the expert's report. The draft plans for the Health Sector (Rapid Alert Systems, Risk Assessment and Training on Food Safety) were presented to Health Cluster 4 meeting held in Bangkok February 27-29, 2018 for review and comment. Preliminary investigation on Organic Agriculture regulations and certification were conducted and this indicated that the activity was largely unregulated and plans were developed based on the findings.

Outputs:
The workshops on Food Contact Materials and Food Contaminants yielded two draft ASEAN standards that were presented to the PFPWG for endorsement (**Annex 2** and **Annex 3**). The participation in Experts Group on Organic Agriculture (2-3 April 2018) resulted in agreement on the plans and broad TOR for a study on future developments in ASEAN for this sector. The report from the Food Safety Expert (**Annex 4**) provided useful data for the implementation of activities on rapid alert and food consumption data.

The plans for the organic agriculture were modified to reflect that the development of harmonised regulations is a long-term objective and initial focus was on developing consensus on implementation of harmonised certification. None of the proposed activities were dropped.

Sub Component 2.4 Support to the Pharmaceutical Sector

Key tasks:
Discussions with the desk officer responsible for the Pharmaceuticals Product Working Group (PPWG) revealed the PPWG had developed a strategic plan for 2016-2025 based on the ACCSQ's Strategic Plan. A draft plan of activities for ARISE Plus was developed based on the PPWG plans and the ARISE Plus TOR. This was presented and discussed at the Special Heads of Delegation Meeting of the PPWG (Pharmaceutical Working Group), Bandar Seri Begawan, Brunei Darussalam 29-30th November 2017. This was followed by a series of discussions were held with ASEAN Secretariat officers responsible for the Health Sector Cluster 3 (Pharmaceutical Development). A workshop for the development of implementation procedures for the Bioequivalence MRA was supported on March 27 to 28, 2018.

The ASEAN Post-2015 Health Development Agenda was reviewed with the Officers from ASEC to ensure consistency with the proposed plans for ARISE Plus. A review of International Generic Drug Regulators Program (IGDRP) which aims at facilitating the timely authorization and availability of generic medicinal products based indicated that participation in this global development in pharmaceutical harmonisation should be included in the PPWG's agenda. It was noted that one ASEAN Member State (Singapore) currently participates in the IGDRP.

Outputs:
The finalised work programme that was developed was based on discussions at the PPWG Heads of Delegation meeting. The inputs from this revealed that the PPWG needed support for the ongoing development of the ASEAN Common Technical Requirements (ACTR) and also support for the development of a long-term market integration agenda for the sector. The workshop on the Bioequivalence MRA finalised procedures for the implementation of the MRA.

Based on discussions and a request from the PPWG, the support for the ongoing development of ACTRs was included in work plan 1.

Component 3.0 Customs, Transport and ACTS

The immediate task performed was a review of the ARISE Plus terms of reference in preparation for development of the OWP and WP1.

Extensive consultations were held on the draft OWP and WP1 with counterpart personnel in the ASEC, specifically in the Customs Integration Unit, the Transport Division and the Finance Division. Subsequently AMS were consulted via the relevant working groups, namely the Transport Facilitation Working Group (TFWG), the Customs Procedures and Trade Facilitation Working Group (CPTFWG) and its sub-group SWG-ACTS, the Customs Enforcement and Compliance Working Group (CECWG) and the Customs Capacity Building Working Group (CCBWG). Feedback and comments from AMS and ASEC were incorporated into the final versions of the OWP and WP1.

Sub Component 3.1 Customs Measures

Key tasks:
The key task was the development of the OWP and WP1 in consultation with ASEC and AMS, which was completed successfully.

Outputs:
The outputs under the inception Period of ARISE Plus are the endorsed OWP and WP11. KE4 attended the CPTFWG meeting held from 13-15 March 2018 in Singapore to discuss ARISE Plus support for Authorised Economic Operator (AEO) programmes and Mutual Recognition Arrangements (MRA) of AEO programmes within ASEAN.

Activities related to the ASEAN Single Window have been dropped, in view of the on-going support for this initiative by USAID, which is designed to continue under a follow-on project to ACTI, namely IGNITE.

In order to improve the clarity and relevance of ARISE Plus support activities, the decision was taken to separately identify the support provided to ASEAN for the implementation of the Strategic Plans of Customs Development (SPCD). Under the initial TOR of ARISE Plus this activity was described as 'Supporting selected Strategic Plans of Customs Development (SPCDs). This activity has now been separated into five (5) activities, as follows:

Activity 3.1.1 Supporting Enhancement of Customs Clearance Procedures (SPCD 04);

Activity 3.1.2 Supporting Partnership with Businesses and Trading Community (SPCD 06);

Activity 3.1.3 Supporting the Development of Standard Authorised Economic Operators (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07);

Activity 3.1.4 Supporting Customs Enforcement and Mutual Assistance processes (SPCD 09); and

Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13).

Sub Component 3.2 Transport Measures

Key tasks:
The key task was the development of the OWP and WP1 in consultation with ASEC and AMS, which was completed successfully.

Outputs:
The outputs under the Inception Period of ARISE Plus are the endorsed OWP and AWP1. Initial consultations were held with potential short-term transport experts to assess their availability and capabilities to perform the activities identified under WP1.

Under the ARISE TOR the activities designed to support the implementation of ASEAN transport facilitation agreements were covered by a blanket activity 'Supporting the ASEAN Transport Facilitation Agreements'.   in order to provide a clear structure for the pursuit of these objectives under the OWP and WP1, this activity has now been included in the existing separately-planned activities supporting the implementation of the ASEAN Framework Agreement on the Facilitation of Goods in Transit and the ASEAN Framework Agreement on the Facilitation of Inter- State Transport (AFAFGIT / AFAFIST), the ASEAN Framework Agreement on Multimodal Transport (AFAMT) and the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (ASEAN-CBTP).

To avoid duplication, references to transport-related functional upgrades of the ASEAN Customs Transit System (ACTS) were combined into a single activity for functional upgrades under sub-component 3.3 of the OWP and WP, covering ACTS implementation.

Sub Component 3.3 ACTS Implementation

Key tasks:
The key tasks under this sub-component were:

- To finalise the OWP / WP1 and obtain counterpart endorsement; and
- To carry out preparatory visits and workshops in countries along the east-west corridor of ASEAN, namely the CLMV countries in preparation for ACTS pilot activities.

Outputs:
The outputs under the inception Period of ARISE Plus are:

- The endorsed OWP and WP1;
- Four STE missions to CLMV in preparation for the ACTS pilot along the east-west corridor;
- Three preparatory workshops in CLV; and
- Two reports as annexed of STE mission activities (**Annex 5 and 6**)

The STE missions were carried out to CLMV in the period December 2017 to February 2018, with follow-up workshops held in Cambodia, Viet Nam and Lao PDR in March 2018. A follow-up mission to Myanmar will be carried out in May or June 2018.

The initial missions were designed to analyse the state of readiness of each CLMV country to install ACTS. The activities included an assessment of the availability of the hardware and software environments, the availability of National ACTS Project personnel and the provision of information on actions required by AMS to prepare effectively for ACTS.

These missions are being followed up by 4-days workshops held in each CLV country. The purpose of the workshops are to:

- analyse and finalize the infrastructure requirements of the two National ACTS ICT Environments; these are the Live Operations and Testing / Training environments;

- train CLMV Customs personnel in managing ACTS reference data collection and initiate the reference data collection activity in each CLMV country; and

- discuss and agree the planning of activities proposed in the ARISE Plus WP1 in preparation for the east-west ACTS Pilot.

The original ARISE Plus TOR showed activities related to ACTS functional upgrades in separate sub-components, that is potential transport-related upgrades were shown separately to Customs-related upgrades. For clarity and management of these upgrades, they have now been included under the ACTS implementation sub-component.

Component 4.0 ASEAN Economic Integration Monitoring and Statistics Capacity

Sub Component 4.1 ASEAN Economic Integration Monitoring

Key tasks:
The key tasks implemented under this subcomponent during the inception period were:
- Update to template for OWP and WP and provision of guidance notes, for use by all ARISE Plus experts in drafting their OWP and WP;
- Initial consultations with:
  - ARISE Plus team leader and experts
  - ASEAN Integration Monitoring Division (AIMD)
  - EU Delegation;
- Desk review of documentation;
- Assessment of current status of operationalisation of the AEC 2025 M&E framework;
- Identification of priorities for ARISE Plus regional project internal monitoring;
- Identification of priorities for M&E framework of ARISE Plus regional project and national projects to support coordination and coherence between these ARISE Plus projects as part of an overall ARISE Plus programme which contributes to AEC integration;
- Drafting of OWP and WP for activities 4.1.1, 4.1.2 and 4.1.3;
- Feedback from AIMD for OWP and WP1 of 4.1.2 and 4.1.3 and feedback from ARISE Plus team leader and experts for OWP and WP of 4.1.1 (between 4-5 feedback cycles);
- Finalisation of OWP and AWP for activities 4.1.1, 4.1.2 and 4.1.3;
- Input to support the development of outcome statements for each major sub-component;
- Updates and finalisation of ARISE Plus regional project logframe.

Outputs:

The major outputs resulting from this sub-component were:
- Template for OWP and WP with guidance notes, for use by ARISE Plus experts in drafting their respective OWP and WP1;
- The main components of a Monitoring and Evaluation (M&E) framework for ARISE Plus including a conceptual model and an enhanced logframe (**Annex 7 and 8**);
- An Initiation of a review of the current status and gaps of the research, M&E capacity, communication and outreach activities for AEC integration which is on-going;
- OWP and WP for the following 3 activities of the ARISE Plus project:
  - Activity 4.1.1: developing an internal ARISE Plus monitoring system and an integrated monitoring framework for ARISE Plus regional and national projects
  - Activity 4.1.2: supporting implementation of AECB 2025 M&E framework
  - Activity 4.1.3: demand-driven support for research, capacity building and outreach activities to assist the implementation of the AECB2025.

For improved clarity and sequencing the M&E activities of the subcomponent were renumbered and greater definition provided as follows:

- Activity 4.1.1 describes the key tasks for establishing a robust internal monitoring system to support review, learning, management and decision making in the ARISE Plus regional project. It also describes the tasks needed to establish a monitoring framework that will enable coordination and coherence between the national and regional components of ARISE Plus;
- Activity 4.1.2 describes priority tasks, identified in conjunction with AIMD, to support the implementation of the AEC 2025 M&E Framework;
- Activity 4.1.3 describes priority tasks for demand-driven support for research, capacity building and outreach activities to assist the implementation of the AECBP 2025;
- Activities 4.1.2 and 4.1.3 include tasks dealing with stakeholder engagement, communications and outreach for the AEC integration progress. Thus, activities 4.1.2 and 4.1.3 are linked and synergized closely with communication and outreach (VCO) tasks of activity 0.3.1 to ensure the buy-in from stakeholders such AMS sectoral bodies and private sector and to effectively disseminate information on AEC implementation progress to stakeholders.

Sub Component 4.2 ASEAN Statistics Capacity

Key tasks

From the 22nd to 26th of January 2018, two Artemis experts carried out an inception mission in Jakarta to obtain technical inputs to establish its overall action plan and the first-year annual action plan. Both experts had a specific mandate:

- Philippe Petit, Artemis Managing Director, focused his mission on institutional building issues. He was in charge of collecting ASEANStat inputs on the activities aiming at supporting the ASEAN Community Statistical System (ACSS) particularly in the context of the AECB 2025; at expanding ACSS capacity in new domains, and at EU-ASEAN policy dialogue on M&E and statistics.
- Manuel Da Silva, Head of Business Intelligence and Statistical Production – Artemis, focused his mission on issues related to databases development, data processing and update. He was in charge of collecting AIMD inputs on the activities aiming at developing databases for compliance and outcomes monitoring and strengthening AMSs' statistical capacities.

During their missions, they had discussion with various key stakeholders: AIMD including ASEANStat, European Union Delegation, COMPASS team leader. They had the opportunity to extensively discuss the draft overall work plan (OWP) and first year work plan (WP) with ASEANStat representatives and collected their comments, which is in line with the ACSS Strategic Plan 2016-2025[1] and which takes into consideration existing available support from other relevant project(s) particularly COMPASS. Based on the comments collected, Artemis finalized the OWP and the AWP based on the assumption that COMPASS would end by September 2018.

On the 22nd of February 2018, Philippe Petit, Manuel Da Silva and Sandrine Beaujean (Head of the International cooperation department – Artemis) met with Dr Channa Gunawardena and Dr Rona Chandran to discuss the ARISE Plus communication and visibility aspects. The meeting was held in Luxembourg. During the meeting, the monitoring system of the project was also discussed, in particular the outcome statements and related indicators.

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[1] http://www.aseanstats.org/wp-content/uploads/2018/01/ACSS_Strategic_Plan_2016-2025.pdf

Artemis has regular contact with Expertise France to ensure a smooth transition from COMPASS to ARISE Plus. This transition depends on the closure date of the COMPASS project which is still unknown at the time of drafting this report.

The mission reports appear as **Annex 9** and **Annex 10.**

Outputs including from workshops, recommendations from STE reports, or STE missions
The main outputs delivered during this inception period is a contribution to the OWP and the first year WP for the following activities:

    4.2.1   Enhancing AEC monitoring databases
    4.2.2   Supporting the ACSS particularly in the context of the AECBP 2025
    4.2.3   Strengthening AMS' statistical capacities (particularly in but not limited to CLMV)
    4.2.4   Expanding ACSS capacity in new statistical domains
    4.2.5   Supporting ASEAN-EU Policy Dialogue on M&E and Statistics

With regard to the statistical component, the main variations from the original ToR concerns:

- The ToR foresees that the project will be split in two phases. The first phase, which is covered under this contract, will last 36 months. The second phase will last for additional 24 months. The statistical component will not be implemented along those lines as the kick-off of most ARISE Plus statistical activities depends on the closure date of the COMPASS programme;
- The activity 4.2.5 that concerns policy dialogue on M&E and statistics between the EU and ASEAN remains unclear after the inception phase. An extensive and in-depth consultation will be initiated to have a clearer understanding of the objectives and expected outcomes from ASEAN, including through consultations with the ASEAN Secretariat.

Table 1 External Meetings Held

Key Expert	Meetings	Dates
KE 1 – Paul Mandl	1. Inception period meeting with Singapore as ASEAN Chair and with private sector, Singapore	29 Nov – 2 Dec 2017
	2. 48th ASEAN Consultative Committee for Standards and Quality (ACCSQ) and its related meetings, Siem Reap, Cambodia	6-8 December 2017
	3. Preparation Meeting of 17th Sub Working Group on ASEAN Customs Transit System (SWG-ACTS), Singapore; 4. 17th Meeting of the SWG-ACTS, Singapore; 5. 1st ASEAN Senior Economic Officials Meeting for the 49th ASEAN Economic Ministers' Meeting, Singapore.	21 - 26 January 2018
	6. 26th Meeting of the Coordinating Committee on the Implementation of ATIGA (26th CCA Meeting)	23-25 February 2018
	7. 3rd Meeting of Health Cluster 4: Ensuring Food Safety, Bangkok, Thailand	27-29 February 2018
	8. 6th ASEAN-EU Business Summit, Singapore	1-3 March 2018
KE 2 – Paolo Vergano	1. Inception period meeting, Singapore	29 Nov – 2 Dec 2017
	2. 10th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee and Related Events, Singapore 3. 1st ASEAN Senior Economic Officials Meeting for the 49th ASEAN Economic Ministers' Meeting, Singapore	17-28 January 2018
	4. 26th Meeting of the Coordinating Committee on the Implementation of ATIGA (26th CCA Meeting), Phnom Penh, Cambodia	23-25 February 2018
	5. 6th ASEAN-EU Business Summit, Singapore	1-4 March 2018
	6. The 11th Meeting of the ASEAN Trade Facilitation Joint Consultative Committee (11th ATF-JCC) Bali, Indonesia, 19 - 20 March 2018	18-19 March 2018

KE 3 – Rajinder Raj Sud	1. Special Heads of Delegation Meeting of the PPWG (Pharmaceutical Working Group), Bandar Seri Begawan, Brunei Darussalam	29-30 November 2017
	2. 48th ASEAN Consultative Committee for Standards and Quality (ACCSQ) and its related meetings, Siem Reap, Cambodia	6-8 December 2017
	3. Workshops on Development of ASEAN Principles and Criteria for Establishment of ASEAN Maximum Levels for Food Additives and ASEAN General Guidelines on Food Contact Materials, 29-31 January 2018, Koh Samui, Thailand	28-32 January 2018
	4. 3rd Meeting of Health Cluster 4: Ensuring Food Safety, Bangkok, Thailand	27-29 February 2018
	5. 34th Meeting of the ACCSQ Working Group on Conformity Assessment, Putrajaya, Malaysia	4-7 March 2018
KE 4 - Glyn Evans	1. Preparation Meeting of 17th Sub Working Group on ASEAN Customs Transit System (SWG-ACTS), 22 January 2018, Singapore 2. 17th Meeting of the SWG-ACTS, 23 – 25 January 2018, Singapore	21 - 26 January 2018
	3. 24th ASEAN Meeting of the Customs Procedures and Trade Facilitation Working Group (CPTFWG) 13-15 March 2018, Singapore	13-15 March 2018
	4. 35th ASEAN Transport Facilitation Working Group Meeting (TFWG) 3-5 April 2018, Brunei	3-5 April 2018

Table 2 Events/Workshops

Event no.	Title	Date	Overall Assessment
1.	Workshops on Development of ASEAN Principles and Criteria for Establishment of ASEAN Maximum Levels for Food Additives and ASEAN General Guidelines on Food Contact Materials, Koh Samui, Thailand	29-31 January 2018	6.0
2.	Workshop on Implementation of Mutual Recognition Arrangement of Bioequivalence Study Reports of Generic Medicinal Products, Putrajaya, Malaysia	27-28 March 2018	6.1

Rating: 1 - Very Unsatisfactory; 7 - Highly Satisfactory

RESOURCES USED

The following tables show incidentals used and the man days mobilised during the first 5 months of the ARISE plus project. When budgeting for the OWP and WP1, the man days and incidentals used during the inception period were deducted from the overall contract amounts.

Table 2 Use of incidentals for events

Event no.	Title	Date	Location	Total Incidentals
1.	Workshops on Development of ASEAN Principles and Criteria for Establishment of ASEAN Maximum Levels for Food Additives and ASEAN General Guidelines on Food Contact Materials, 29-31 January 2018, Koh Samui, Thailand	29-31 January 2018	Koh Samui, Thailand	€ 29.920,30
2.	Workshop on Implementation of Mutual Recognition Arrangement of Bioequivalence Study Reports of Generic Medicinal Products	27-28 March 2018	Putrajaya, Malaysia	€ 11.004.62
	Total			**€ 40.924.92**

Table 3 Key Experts Man Days

Key Expert (KE)	No. of days
KE 1 – Paul Mandl	89
KE 2- Paolo Vergano	58
KE 3- Rajinder Raj	81
KE 4- Glyn Evans	76
Total	**304**

Table 4 Non-key Experts Man Days

Senior NKE	Days Approved	Ares Approval	Days Worked
Aivaras PIGAGA	35	Ares(2017)5747203	35
Channa Wimal GUNAWARDENA	20	Ares(2017)5966160	16
Rona CHANDRAN	20	Ares(2017)5966160	20
Vincent ANDRE	15	Ares(2017)6161237	15
Philippe Petit	7	Ares(2018)230382	7
Manuel Da Silva	7	Ares(2018)230382	7
Aivaras PIGAGA	20	Ares(2018)936683	20
Total			**120**

Table 5 Summary of Resource Use

KE days	304
STE Senior	120
Incidentals	€ 73.360,28

LIST OF ANNEXES

No	Annex
Annex 1	Visibility, Communication and Outreach Mission Report & Plan
Annex 2	ASEAN Standard for Food Additives
Annex 3	ASEAN General Guidelines on Food Contact Materials
Annex 4	Mission Report from Food Safety Expert
Annex 5	Mission Report to CLMW in preparation for the ACTS Pilot
Annex 6	Mission Report on Follow-up Workshops held in Cambodia, Viet Nam and Lao PDR
Annex 7	M&E mission report & framework for ARISE Plus
Annex 8	Enhanced Logframe for ARISE Plus
Annex 9	Mission Report on Statistics 1
Annex 10	Mission Report on Statistics 2

PART 2 FIRST MONTH PROGRESS REPORT

EXECUTIVE SUMMARY

This progress report covers the first month of implementation of Work Plan 1, this in conjunction with the inception period covers the first 6 months of ARISE Plus activities.
During the period 15 activities have started with tangible outputs as described in the relevant activity sheets of this report, with the remaining activities on track to start as planned.

The ARISE Plus Visual Identity has been created and endorsed which has facilitated the start of visibility materials for the project.

Twenty Terms of Reference (ToR) have been prepared for 1,174 days of Non-Key Experts, with endorsement by the ASEC and EUD for 987 of those days (15 ToR). For the remaining days, experts are still being identified.

During this reporting period, ARISE Plus has mobilised the resources shown in the table below.

	Mobilised	Budget WP 1	Balance WP 1
KE Days	80	909	829
Senior NKE Days	46	2683	2637
Junior NKE Days	0	110	110
Incidental Expenditure	€ 30.363,36	€ 1.422.747	1.392.383,64

*The amount for Incidental Expenditure is subject to the final financial expenditure verification report

SECTION 1: PROJECT ACTIVITIES

Component 0 Management and outreach

Sub Component 0.1 Project Management and Coordination

This report covers an implementation period of one month namely 8th April 2018 to 7th May 2018, this together with the inception report, aligns the 6 monthly technical and financial reporting cycle, meeting the contractual obligations. All future progress reporting will cover a full 6 monthly period. As the reporting period covers a single month, not all the planned activities have been started, those where resources have been used are included in this report.

The Team Leader, together with the Key Experts and Project Officers, coordinated and implemented the activities of ARISE Plus as defined and detailed in the subsequent parts of this report. The ARISE Plus Team Leader promoted the project with key stakeholders in ASEAN and EU. Close relations have been maintained with the ASEAN Secretariat and the Project Team continues to benefit from a strong presence in the Secretariat offices.

A major part of the work carried out during the 1st month of implementation of Work Plan 1 was the drafting of the ToRs for the mobilisation of NKE. In total the KE team prepared 20 sets of ToR's for 1174 NKE working days. Fifteen ToRs and experts' CVs have been officially approved by the ASEC and EUD. The mobilisation of the experts has commenced well, and activities are underway.

Sub Component 0.2 Demand Driven Activities

No formal request for new activities were received from ASEAN, and as such no resources were used.

Sub Component 0.3 Visibility Communication and Outreach

Activities commenced under this subcomponent, with good progress made in the design and content of the ARISE Plus website. The first version will go live on the 5th June at the domain ariseplus.asean.org.   The website will be highly interactive and will have links to all ARISE plus initiatives such as the ATR, ASSIST, ACTS, ARAC, and the bilateral ARISE Plus projects as they come on stream.

In support of the Europe Day celebrations, the team produced new visibility materials including, ARISE Plus information sheets, notepads, and a reprint of the ASSIST brochure. Also on display were the brochures produced under ARISE, namely ARAC and ACTS.

The visual identity of ARISE Plus was finalised and approved by the EUD on 16th April 2018 . The visual identity has the flexibility to cater for the ARISE Plus bilateral projects as illustrated below.

Component 0 Resource Use

Sub Component	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
01 Project Management and Coordination	20	5	5	0	0	0	€ 5.533,43
03 Visibility, Communication and Outreach	4	0	0	0	3	0	0
Total	**24**	**5**	**5**	**0**	**3**	**0**	**€ 5.533,43**

Component 0 Resource Use

Component 1 ASEAN Trade Facilitation

Component 1 on Trade Facilitation is organized on the basis of three Sub-Components of activities in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held during the inception phase with the relevant sectoral bodies (*i.e.*, SEOM, ATF-JCC, CCA, Singapore's 2018 Chair, ASEC, etc.), and leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Sub Component 1.1 ATIGA Implementation with Focus on Transparency and NTMS

This Sub-Component groups together Activity 1.1.1 on "*Supporting the Effective Implementation of the ATIGA (ATR and NTRs)*", Activity 1.1.2 on "*Identifying, Classifying and Notifying NTMs*", and Activity 1.1.3 on "*Supporting the Coordinating Committee for the Implementation of the ATIGA*". The key objective of this cluster of activities is the effective implementation of the ATIGA, particularly its commitments on trade-related regulatory transparency and the operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).

'*On demand*' assistance will be provided to CCA (*e.g.*, vis-à-vis the '*NTMs Guidelines*' being developed) and coordination will be ensured between the activities implemented to effectively implement the ATIGA and those focusing on trade facilitation, private sector engagement, as well as with the ARISE Plus National Programmes and the other development partners, as relevant.

Actual Resource Use

Sub Component 1.1	KE 2	Senior NKE
Total	9	8

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs)

Rationale:
Enhanced trade-related regulatory transparency is critical for the effective implementation of the ATIGA and one of the key objectives of the AECB 2025. There is no better catalyst for effective regional economic integration, intra-ASEAN trade facilitation, and increased legal certainty and commercial predictability within ASEAN than transparency. The ATIGA requires that an ASEAN Trade Repository (ATR) be established and fed trade-related information from a network of National Trade Repositories (NTRs) located in the AMSs. The ATR has been established and is operational, but not all AMSs have completed the process of setting up NTRs (in some countries these are called trade portals) or online repositories, and much remains to be done for the ATR to become a comprehensive, reliable and ATIGA-compliant repository or trade-related information from all AMSs.

ARISE Plus aims at continuing to support the effective implementation of the ATIGA through improved transparency and predictability of trade rules and procedures, by assisting AMSs to complete the development of the ATR and the NTRs in all AMSs, improving the quantity and quality of the information uploaded on those electronic platform in line with the ATIGA Article 13 requirements and the UNCTAD 2012 NTMs classification structure, encouraging the use of more

uniform content, and ensuring regular maintenance, with additional support to CLMV as required, and assisting with the updating of the '*NTMs Database*' mandated under Articles 11, 13 and 40 of the ATIGA. The ATR can only be considered fully operational insofar as information is available on the NTRs and properly web-linked to the ATR. Therefore, the first focus area is to ensure that AMSs' NTRs are sufficiently organized, populated and up to date; this in turn requires information to be properly collected, classified, packaged and uploaded on the NTRs throughout ASEAN.

Once a critical mass of properly '*packaged*' information is available, it will be progressively uploaded to the ATR, thereby increasing its search capability and the value to users. This process will unfold on a rolling basis. Implementation of the activity will need to factor in AMSs' needs, other donors' initiatives, and the ability of ARISE to co-ordinate with other EU technical assistance projects in the ASEAN region (notably in Cambodia, Indonesia, Lao PDR, Myanmar, the Philippines and Vietnam).

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)
Main Beneficiaries	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN
Implemented Tasks	• The preliminary quantitative and qualitative '*mapping exercise*' of the current status of the individual NTRs and their linkages to the ATR was commenced in terms of: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, correct categorization, completeness, comprehensiveness and reliability of the information so far uploaded on the ATR; and the apparent areas of deficiency. The first AMS was visited on 3-4 May 2018 by a team of two ARISE Plus experts (*i.e.*, KE2 Paolo Vergano and SNKE Dr. Tobias Dolle). Meetings were held with Myanmar's NTR Focal Point. The other two SNKEs (Prof. Michelle Limenta and Mr. David Martin) have also been contracted and have commenced the preparatory work for their respective missions.
Outputs	• The methodological guidelines for the SNKEs to conduct the '*mapping exercise*' have been drafted by KE2 and circulated for early guidance (see **Annex 1**); • Meetings were held on 3-4 May 2018 in Nay Pyi Taw, Myanmar with Myanmar NTR Focal Point at the Ministry of Commerce; and • A draft report on the preliminary quantitative and qualitative '*mapping exercise*' of the current status of the Myanmar's NTR and web-linkages to the ATR has been produced by SNKE Dr. Tobias Dolle and finalized by KE2 for circulation to the other SNKEs for guidance (see **Annex 2**).
Resources (Inputs)	**Expert days**

Experts	Work Days
KE 2	9
SNKE	8

Sub-Component 1.2 Trade Facilitation and Private Sector Engagement

This Sub-Component groups together Activity 1.2.1 on the "*Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors*", Activity 1.2.2 on "*Supporting the ASEAN Trade Facilitation Joint Consultative Committee*", Activity 1.2.3 on "*Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation*", Activity 1.2.4 on "*Assisting ASEC and AMSs in TF-Related Policies and Dialogue*", and Activity 1.2.5 on "*Strengthening Public-Private Cooperation*". The key objective of this cluster of activities is the enhancement of trade facilitation within the region and the support and coordination of private sector engagement, particularly MSMEs and primarily thought ABAC, in line with the objectives and drivers laid out in the AEC Blueprint 2025.

The broader context of this cluster of activities is ASEAN trade facilitation, which will be assisted by ARISE Plus mainly through on-demand support of the ATF-JCC, of ASEAN-EU dialogue on trade facilitation, and of the setting and implementation of ASEAN trade facilitation policies. Considerable efforts will be made to fully operationalize ASSIST, as the preeminent tool of public-private cooperation and trade facilitation, including its roll-out for addressing trade in services and trade-related investment measures. A stronger interface between ASEAN institutions and the private sector must therefore be seen within the parallel contexts of the efforts being made by ASEAN to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), and to implement and improve other key ASEAN trade facilitation instruments adopted to the primary benefit of the private sector (*e.g.*, ACTS, ASW, Tariff Finder, MRAs, etc.).

This cluster of activities will have an important '*on demand*' dimension, particularly in Activities 1.2.3, 1.2.4 and 1.2.5. For instance, reference has been made during the coordination meetings held with AMSs within the ATF-JCC, during the inception period of ARISE Plus to the wish that ARISE Plus minimize or even abandon work on self-certification of origin scheme and rather assist AMSs in adopting solid legal frameworks regionally and nationally on e-commerce, which is considered a powerful enabler of trade facilitation.

Actual Resource Use

Sub Component 1.2	KE 2
Total	2

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Rationale:
ASSIST is one of the key trade facilitation tools adopted by ASEAN to implement the ATIGA and allow the private sector (*i.e.*, ASEAN-based businesses, chambers of commerce and trade associations) to directly and autonomously engage with the AMSs with respect to the regional integration agenda and to address NTMs, NTBs and/or other operational issues affecting intra-ASEAN trade in goods, with a view to achieving trade facilitative solutions. Initially operationalized for trade in goods, installation of the system has been completed, and ASEC and competent AMS Focal Points and relevant authorities were trained, with awareness-raising events also held for the private sector. To date, however, only very few cases have been triggered through ASSIST. Discussions held within SEOM suggest the current low utilisation of ASSIST by the private sector is the result of a combination

of factors, notably: 1) the complainants' fears of possible '*retaliation*' by AMSs; 2) a lack of trust in the current anonymity features; 3) the availability of ASSIST only in English; and 4) the relative lack of awareness by the private sector on the existence of ASSIST, its user-friendly features and mechanisms, and areas (other than tariffs) in which integration measures have been carried out.

ARISE Plus aims at supporting the full operationalization and management of ASSIST, including its roll-out vis-à-vis trade in services and trade-related investment measures (TRIMs), which is one of the deliverables for Singapore's 2018 ASEAN Chairmanship. This should provide ASEAN with an improved and more effective tool for trade facilitation and private sector engagement, in line with the ATIGA and the AEC Blueprint 2025.

Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors
Main Beneficiaries	SEOM, ATF-JCC, CCA, CCS, CCI, AMSs' ASSIST Focal Points, ASEC's TFD and Private Sector
Implemented Tasks	• Cooperation and coordination took place between KE2 and ASEC/CCS in order to conceptualize the possible structure, services taxonomy and modalities of operation of the ASSIST Services platform, for initial proposal at CCS in Singapore on 21-22 May 2018.
Outputs	• Various meetings were held between ARISE Plus and the Trade in Services Division at ASEC.
Resources (inputs)	**Expert days**

Experts	Work Days
KE 2	2

Component 2 Standards and Conformity Assessment in Particular Healthcare and Agro-Based Product

This component focusses on horizontal support for the ASEAN Committee on Standards and Quality (ACCSQ), continuing and advancing the progress made in ARISE. Support will be provided for horizontal issues under the purview of ACCSQ Working Group 1 (Standards) and Working Group 2 (Conformity Assessment and Accreditation). Additionally, specific and comprehensive assistance is provided for two sectors; the Agro-based goods and Healthcare sectors. The main counterparts are in the Market Integration Directorate in the ASEAN Secretariat, the ASEAN Consultative Committee for Standards and Quality and its relevant working groups, i. e ACCSQ Pharmaceutical Product Working Group (PPWG), ACCSQ Prepared Foodstuff Product Working Group (PFPWG); Heath Cluster 3 (Strengthening health system and access to care) Cluster 4 (Ensuring food safety).

Sub Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

The two activities in this subcomponent are to assist ASEAN to ensure that there are sufficient relevant harmonised standards to support planned market integration initiatives. This will be achieved by reviewing the methods for the identification of standards needed and the process of harmonisation that are adopted. Together with this, it is intended that the dissemination of information on harmonised standards to stakeholders will be improved and result in increased use of international standards in ASEAN. The harmonisation of Member States' Standards when undertaken on the basis of international standards will in turn, facilitate the strengthening of global value chains.

Actual Resource Use

Sub Component 2.2	KE3	Senior NKE
Total	3	2

Activity 2.2.1 Supporting Harmonisation of Standards

Rationale:

Since the 1980s, efforts have been made to harmonise selected product standards in ASEAN. ACCSQ's current policy provides that international standards should serve as a basis for harmonisation and the development of unique ASEAN standards is not pursued. ARISE supported Working Group 1 to clarify and document the broad principles for the harmonisation of Member States' standards in the "A*SEAN Guidelines for Harmonisation of Standards"*. Progress on the harmonisation process, however, has been slow with approximately 300 standards currently listed as 'harmonised'. The processes for harmonisation relies on ACCSQ's Product Working Groups and are implemented on what amounts to a voluntary basis. The approach adopted has focussed on a few Product Working Groups that have established MRAs and harmonised regulatory regimes. Given the significance of harmonised standards in enabling market integration, there is a strong need for a more ambitious approach, with greater involvement of the standards committees within national standards bodies and the pro-active identification of the most relevant standards to be harmonised.

Technical assistance being provided to support the efforts of Working Group 1 of the ACCSQ, that underpins the development of harmonised standards, Harmonised Regulatory Regimes, Mutual Recognition Arrangements.

Activity 2.2.1	Supporting Harmonisation of Standards
Main Beneficiaries	Working Group 1 of the ACCSQ, Product working groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States.
Implemented Tasks	• A comprehensive investigation of the current state of progress of the efforts undertaken by ACCSQ and its Working Group 1 was undertaken. This included the number of harmonised standards by sector, the methods used for the identification of standards to be harmonised, the processes and the monitoring mechanisms. A proposal was developed for enhancing the rate and scope of harmonisation of standards for WG 1. This proposal identified 6 new initiatives for identifying priority standards for harmonisation. and outline proposals were developed in preparation for presentation at the Working Group 1 meeting to be held on 10 and 11 May in Singapore. These proposals, upon the acceptance by WG 1, are intended to be implemented during Annual Plan 1. A short-term expert, Mr Parama Subramaniam, was contracted to implement the work proposed for WG 1.
Outputs	• Proposal for Working Group I to enhance the harmonisation of ASEAN standards, identifying 6 new initiatives (**Annex 3** contains the Paper for Working Group 1); • A short-term Non-key Expert Mr. Parama Subramaniam was identified and appointed and briefed on the work required and the expected results;
Resources (Inputs)	**Expert days**

Experts	Work Days
KE 3	3
SNKE	2

Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

In the agro-based sector, the seven activities provide support to the relevant ASEAN Working groups in the agriculture, economic and health sectors that are expected to lead to an integrated approach to food safety with the establishment an overall regulatory framework on food safety through the development of a legal instrument for the *'ASEAN Food Safety Regulatory Framework'* that ensures that food safety is implemented across the food chain. This in turn will be supported assistance to the PFPWG in implementing the MRA for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products that has been recently signed by all Member states and the ongoing institutional arrangements for the ASEAN Risk Assessment Centre for Food Safety (based in Malaysia) the Rapid Alert System for Food and Feed (RASF). The support to the agriculture sector aims to develop a credible, verifiable common approach to certification of organic agricultural produce in ASEAN in order that the acceptance of certified products is enhanced and producers are, encouraged to adopt organic agricultural practices. Specifically, it is intended that an integrated regulatory framework is established that is supported by regional arrangements for risk assessment, a food and feed alerts and that provide training services to regulators improving the robustness and effectiveness of the competent authorities of the ASEAN Member States in discharging their regulatory functions in the food sector.

Actual Resource Use

Sub Component 2.3	KE3	Incidentals
Total	9	€ 23.582,21

Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

Rationale:
Several ASEAN Bodies under the Economic, Health, and Agriculture Ministerial Groups are pursuing initiatives related to removing technical trade barriers and addressing accompanying food safety concerns. Considerable progress has been made on harmonising specific requirements, however the adoption of these harmonised requirements into technical regulations is uneven and remains voluntary. In 2016, ASEAN Member States committed themselves to establishing a binding ASEAN Food Safety Regulatory Framework (AFSRF). This is designed to facilitate improved co-ordination among the different bodies involved in food safety risk management in ASEAN and enable the transposition and implementation of harmonised technical requirements in each Member State. This is expected to be a complex undertaking, given the multitude of ASEAN bodies involved in the process and the far-reaching impact.

The realisation of the objective commenced with the development of a preliminary draft document that is being reviewed by officials in all Member States. ARISE Plus will support the process for the development of the framework agreement, its protocols and implementing mechanisms working in cooperation with the ASEC Food Safety Coordinating Committee, which comprises of ASEC Desk Officers from the Agriculture Health and Trade Divisions of the Secretariat.

Activity 2.3.1	Developing and implementing a regulatory framework for food safety
Main Beneficiaries	PFPWG, Health Cluster 4, Agriculture Working Group on crops, fisheries, livestock
Implemented Tasks	• A preliminary draft of the ASEAN Food Safety Agreement had been prepared and circulated to the Task Force established to develop the Agreement. During this period the comments received were reviewed and a revised version was prepared. • Preparations were made to support a two and half day workshop (April 24 to 26) for the Task Force for the ASEAN Food Safety Agreement to resolve outstanding differences and towards reaching agreement on the text. This workshop was successfully held and substantial agreement was achieved in about 50 percent of the articles in the draft agreement. • On completion of the Workshop and upon the request their request, a revised draft of the Agreement was developed. Additionally, a matrix identifying the 10 principles of the ASEAN Food Safety Policy against the Article sin the Draft Agreement was developed. • The follow up plan for progressing was discussed and agreed. The tentative dates for the next workshop of the Task Force was proposed for September 4-7, 2018 and continued support from ARISE confirmed. (**Annex 4**)
Outputs	• Review of the comments received on the preliminary draft of the ASEAN Food Safety Framework Agreement and development of a revised version. • Preparation and conduct of a workshop for the Task Force for ASEAN Food Safety Framework held on April 24 to 26 in Langkawi, Malaysia. • Development of the revised draft of the ASEAN Food Safety Framework Agreement based on the discussion at the April 2018 workshop. • Preparation of a matrix linking the draft ASEAN Framework Agreement for Food Safety with the 10 principles of the ASEAN Food Safety Policy.
Resources (inputs)	**Expert days** | Experts	Work Days | |---|---| | KE 3 | 7 | | Incidentals | 23.262,94 |

Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

Rationale:

The Prepared Foodstuffs Product Working Group (PFPWG) finalised a Mutual Recognition Arrangement of inspection and certification systems on food hygiene for prepared foodstuffs in 2016. This MRA enables food regulators in AMSs to accept the results of tests, inspections and certifications that are conducted by their counterparts in other Member States upon implementation of the agreement.

The conditions of recognition and the methods for sharing results are broadly defined in the provisions of MRA by reference to the ASEAN Common Food Control Requirements (ACFCRS) adopted by the PFPWG. In order to operationalise the MRA, the details must be defined and agreed by the Joint Sector Committee established under the MRA. This Committee is expected to start work upon the formal entry into force of the MRA. Common administrative processes and procedures enabling mutual recognition also need to be agreed. A comprehensive plan will be required to map the details to be defined and established.

Activity 2.3.2	Implementing the MRA on inspection and certification systems of food hygiene for prepared foodstuffs
Main Beneficiaries	Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators.
Implemented Tasks	• Preparations were made to support the PFPWG in commencing the development of implementation procedures for the *MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs.* A proposal was prepared and presented to the Meeting Task Force for the MRA held on the 28th of April in Langkawi Malaysia. **(Annex 5**)
Outputs	• Outline plan for implementation of the *MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuff* developed and presented to the Task Force meeting. • Acceptance of the Outline plan and agreement for ARISE Plus to support a first workshop for the implementation of the MRA to be held on August 19 to 20th 2018.
Resources (inputs)	**Expert days**

Experts	Work Days
KE 3	1

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

Rationale:

The ASEAN Risk Assessment Centre was established with ARISE support to the ASEAN Experts Group on Food Safety (AEGFS) under the authority of the AMSs' Health Ministers i. Following the development of the concept and consensus of Food Safety Regulators in ASEAN, the AEGFS proposal to establish an ASEAN Risk Assessment Centre (ARAC) in Kuala Lumpur received endorsement from the ASEAN Health Ministers Meeting in August 2014.

ARAC is a key element in the ASEAN Regulatory Framework for Safety. The analysis that it will conduct is designed to provide a basis for the development off harmonised standards and food safety measures undertaken to mitigate risks. With support from the Ministry of Health of Malaysia which provides a Secretariat, ARAC began by establishing a Scientific Committee to oversee its technical work and a first panel to evaluate risks with respect to aflatoxins. The potential role of ARAC is vast as ASEAN seeks to harmonise its food safety standards and measures. The current operations should serve as a nucleus and provide lessons for future expansion to cover a comprehensive range of risks, such as from food additives, food contaminants, food contact materials and the agricultural working groups dealing with pesticide residues and drug residues.

AS ASEAN accelerates its harmonisation of food standards and food safety measures, there is a need for risk assessments to be undertaken to provide data and results to risk managers, to ASEAN Bodies undertaking harmonisation, and to AMSs. Support for ARAC will underpin the assistance ARISE Plus will provide in the development of an ASEAN Food Safety Regulatory Framework by supporting the scientific basis for harmonisation in ASEAN.

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety
Main Beneficiaries	ARAC, Health Cluster 4 (Ensuring Food Safety), ASEAN Food Safety Regulators.
Implemented Tasks	• Discussions were held with ARAC Secretariat in Putra Jaya Malaysia on the 23rd April 2018 on the implementation plan for ARAC. The discussions with Madam Noraini Othman from the Ministry of Health Malaysia, continued on morning of April 29th in Langkawi on the margins of the PFPWG Meeting. The plan that was presented to the Health Sector 4 meeting by ARAC Secretariat was discussed (**Annex 6**).
Outputs	• As proposed by ARISE Plus, ARAC secretariat agreed that new work items for risk assessment will need to be identified as a priority in preparation for a planned study tour to EFSA which will include collaboration with EFSA on selected risk assessments. • ARAC secretariat agreed in principle the proposed plans for a review of the operating procedures for ARAC to increase efficiency and facilitate more risk assessments to be undertaken and work completed speedily.
Resources (inputs)	**Expert days** <table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 3</td><td>1</td></tr><tr><td>Incidentals</td><td>319,28</td></tr></table>

Component 3 Customs, Transit and ACTS

Component 3 covers three sub-components: Customs, Transport and support to the ASEAN Customs Transit System (ACTS). These three sub-components reflect the integrated structure of the planned activities of ARISE Plus, designed to deliver a facilitated trading environment to regional transport operators in ASEAN. These plans reflect the existing ASEAN framework agreements that provide the legal environment for the transport facilitation and Customs integration initiatives that have been agreed as cooperation priorities between ASEAN and the European Union. The main framework agreements are the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), the ASEAN Framework Agreement on the Facilitation of Inter-States Transport (AFAFIST), the ASEAN Framework Agreement on Multi-Modal Transport (AFAMT) and the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP). The layout of the activity sheets below shows the progress of activities and delivery of related outputs as agreed between ARISE Plus and the counterpart groups in the ASEAN Secretariat and ASEAN Member States (AMS). The AMS are formally represented in the ASEAN Working Groups and other official ASEAN meetings, namely the Sub Working Group on ACTS (SWG-ACTS), the Customs Procedures and trade Facilitation Working Group (CPTFWG), the Customs Capacity Building Working Group (CCBWG), the Transport Facilitation Working Group (TFWG), the Transit Transport Coordinating Board (TTCB) and the Coordinating Committee on Customs (CCC).

Sub Component 3.1 Customs Measures

ASEAN has developed a new set of Strategic Plans of Customs Development (SPCD) for the years 2016-2020 and has requested the support of ARISE Plus for the implementation of key aspects of these plans. Under this first Annual Work Plan of ARISE Plus, the SPCDs involved are Authorised Economic Operator (AEO) Programmes (SPCD 07) and narrowing the Development Gap in Customs (SPCD 13). ARISE plus will support the implementation of national AEO programmes where required and will also promote the mutual recognition of AEO programmes within ASEAN.

In this first month of implementation of ARISE Plus activities, contacts were made with the counterpart ASEAN Customs Working Groups, in order for ARISE Plus to attend the CCBWG, the CCC and the Customs Directors-General meetings. The objective is to present the planned activities of ARISE Plus in more detail and to establish a firm basis for future cooperation work. ASEAN Customs have requested the EU to make formal arrangements to gain Dialogue Partner status with ASEAN Customs, which will enable future ARISE Plus attendance at the CCBWG, CCC and Customs DGs meetings.

Actual Resource Use

Sub Component 3.1	KE 4
Total	6

Activity 3.1.3 Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)

Rationale:

The following SPCD has been selected for priority action in year 1 of ARISE Plus programme:

- Authorised Economic Operator (AEO) Programmes (Strategic Plan of Customs Development 07).

The intention is also to promote the use of AEO Mutual Recognition Agreements in order to facilitate regional trade. This activity supports implementation of the ASEAN Strategic Plan of Customs Development (SPCD), which aims to enhance the security of the global supply chain and facilitate trade by supporting ASEAN Member States in the implementation of their national AEO programmes and by promoting mutual recognition of AEO programmes amongst ASEAN Member States.

Activity 3.1.3	Supporting the Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)
Main Beneficiaries	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA).
Implemented Tasks	• Discussions have been held with Counterpart Customs personnel in the ASEAN Secretariat to agree the way forward for the delivery of ARISE Plus support to this activity; these discussions are on-going; • An agreement with AMS has been made for ARISE Plus fund a regional workshop in quarter 4 of the first annual work plan of ARISE Plus (AWP1). The objective of the workshop is to establish AEO Programme or enhance the existing AEO Programme in ASEAN Member States; develop mechanism of cooperation amongst ASEAN Member States with a view to conclude bilateral Mutual Recognition of AEO Programme; the activity has been endorsed by the CPTFWG and the CCC. **Annex 7** gives the details of this activity; • AMS have agreed to form a Study Group to carry out a Feasibility Study into the development of a multilateral ASEAN-AEO-MRA; ARISE Plus has proposed to fund this initiative, and discussions have been held with ASEC counterpart staff to agree the way forward; **Annex 8** gives the background; • An initial assessment has been performed of the current status of SPCD 07 on Authorised Economic Operator (AEO) programmes; the table attached at **Annex 9** gives the details.
Outputs	• Analysis of the status of implementation of the Strategic Plan of Customs Development (SPCD), covering Authorised Economic Operator (AEO) Programmes in ASEAN and Mutual Recognition of AEO programmes in ASEAN (SPCD 07); • Agreement with AMS for ARISE Plus to fund a regional training and capacity building event in support of implementation of national AEO programmes; and

Activity 3.1.3	Supporting the Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)
	• Agreement with AMS that this regional capacity building workshop will discuss and agree practical steps for the implementation of bilateral mutual recognition of AEO programmes within ASEAN.
Resources (inputs)	**Expert days**

Experts	Work Days
KE 4	3

Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

Rationale:
The following ASEAN Strategic Plan of Customs Development (SPCD) has been selected for priority action in year 1 of ARISE Plus programme:

- Narrowing the Development Gap in Customs (SPCD 13).

The objective is to enhance the technical and managerial capacity of CLMV Customs Services. This activity will support the implementation of the SPCD, which aims to assist the Customs Administrations of Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV) in catching up with new developments in Customs techniques and strengthening their capability to conduct national programmes in a more sustainable manner, through the following:

- a. Enhancing the technical and managerial capability of Customs officers and institutions of Customs Administrations of CLMV; and
- b. Modernise legislative frameworks relevant to Customs.

Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs
Main Beneficiaries	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and Customs Capacity Building Working Group (CCBWG), particularly the members from Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV)
Implemented Tasks	• Meetings were held with counterpart staff in the ASEAN Secretariat, and official approval obtained from the CCBWG and the CCC for ARISE Plus plans; the practical way forward was agreed, that is, for ARISE Plus to engage an expert to carry out the planned activities designed to narrow the development gap in Customs (principally in CLMV countries) and to recommend a modernised legislative framework to support Customs reform.
Outputs	• An outline plan to be developed in more detail by a SNKE, to include gap analysis, development and delivery of capacity building events and proposals for the development of the reformed legal environment to support Customs modernisation.
Resources (inputs)	**Expert days**

Experts	Work Days
KE 4	3

Sub-component 3.2 Transport Measures

Progress has been made over the years by ASEAN Member States (AMS) in signing and ratifying the ASEAN framework transport facilitation agreements and their related protocols. These agreements are the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST) and the ASEAN Framework Agreement on Multimodal Transport (AFAMT).   Also included is the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (CBTP), which has been signed by all ASEAN Member States.

Support is now required to assist AMS in implementing these agreements, in particular the relevant protocols of the AFAFGIT.   Consultations have been carried out with the Senior Officer and Assistant Director, Transport. In addition, the leaders of the Transport Facilitation Working Group have been consulted.

Actual Resource Use

Sub Component 3.2	KE4
Total	6

Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multi Modal Transport (AFAMT)

Rationale:

The objective is to support the ratification of the AFAMT by all AMS, and to develop and implement an ASEAN-wide approach to the legal, regulatory and procedural framework of this multi-modal transport agreement, with a view to implementing a harmonised multi-modal transport environment for the benefit of the business community.

Following the meeting of the TFWG in April 2018, two ASEAN Member States, Cambodia and Malaysia, have requested the support of ARISE Plus in the development of national multi-modal laws and regulations.

Activity 3.2.2	Supporting implementation of the Action Plan for AFAMT
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).

Implemented Tasks	• Meetings were held with counterpart staff in the ASEAN Secretariat, and official approval obtained from the TFWG for ARISE Plus plans; the practical way forward was agreed, that is, for ARISE Plus to engage short-term expertise to:
	
	    ○ **Develop** a 'Going Multimodal' support programme;
	
	    ○ **Produce** an Implementation Framework for the operationalisation of the AFAMT;
	
	    ○ **Develop and deliver**, in co-operation with AFFA, a capacity building and knowledge exchange programme, including performance assessment case studies; and
	
	    ○ **Develop** agreed multi-modal transport regulations and procedures.

Outputs	• An outline plan has been produced, to be developed in more detail by STE, to include development of a 'Going Multimodal' programme, production of an Implementation Framework for the operationalisation of the AFAMT, the development and delivery of a capacity building programme on AFAMT and the development of multi-modal transport regulations and procedures.
Resources (inputs)	**Expert days** | Experts	Work Days
KE 4	3	

Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)

Rationale:

The objective is to support the signature and implementation of the ASEAN-CBTP agreement and the development and adoption of detailed Implementing Guidelines. This will contribute to the facilitation of road transport passenger services within ASEAN, and enhance tourism, trade and cultural exchanges between Contracting Parties.

Activity 3.2.3	Supporting Implementation of the AFA-CBTP by road vehicles
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).
Implemented Tasks	• Meetings were held with counterpart staff in the ASEAN Secretariat, and official approval obtained from the TFWG for an expert to be engaged to develop more comprehensive Implementing Guidelines for the ASEAN-CBTP and outlines for procedural guides for the public and private sector stakeholders involved in passenger transport on the ASEAN region.
Outputs	• An outline plan has been produced, to be developed in more detail by STE, to include development of more comprehensive Implementing Guidelines to the ASEAN-CBTP.
Resources (inputs)	**Expert days**

Experts	Work Days
KE 4	3

Sub-component 3.3 ACTS Implementation

The ASEAN Customs Transit System (ACTS) is defined under Protocol 7 of the AFAFGIT and is one of the main drivers for facilitating trade in goods in ASEAN. Under ARISE, and predecessor programme to ARISE Plus, ACTS was successfully piloted along north-south transport corridor of ASEAN involving Malaysia, Singapore and Thailand (MST). This sub-component will support the piloting of ACTS along the east-west corridor of ASEAN, involving Cambodia, Lao PDR, Myanmar and Viet Nam. The full roll-out of ACTS in MST will also be supported. Further capacity building will be supplied to the ACTS Central Management Team (CMT) based in the ASEAN Secretariat in Jakarta, Indonesia. Training programmes will be delivered to all stakeholders in CLMV countries, supported by procedural manuals for official and private sector operatives.

ARISE Plus will also support the full implementation of the AFAFGIT and its protocols. This will ensure that the full benefits of the ASEAN Customs Transit System are realized, in support of the free movement of goods foreseen under the ASEAN Economic Community Blueprint 2025.

Actual Resource Use

Sub Component 3.3	KE4	Senior NKE	Incidental
Total	8	30	€ 1.005,58

Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)

Rationale:

The objective is to make preparations for the roll-out of ACTS to MST, with additional training for Customs Authorities and the private sector before, during and after the system goes live. All technical solutions have been previously defined and comprehensively tested. Follow-up technical advice and training may be required for ICT support staff and end-users in the AMSs. The full scope of this activity will depend on the advent of the legal framework.

Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Implemented Tasks	• An agreed plan has been developed and agreed by counterparts in ASEAN for the roll-out of ACTS to MST; • The ACTS reference data is being updated where required, including updated ASEAN Harmonised Tariff Nomenclature (AHTN), additional Customs Offices, traders and routes; that is, base documents have been developed by ARISE Plus in cooperation with ASEC counterpart Customs personnel for MST to complete for AHTN and Customs Office Lists.
Outputs	• A plan has been developed and agreed by AMS for the roll-out of ACTS to MST; this plan is attached at **Annex 10**; • Additional reference data is being added by MST based on documents prepared by ARISE Plus in conjunction with ASEC counterpart staff in the Customs Integration Unit in preparation for full roll-out, namely AHTN, Customs Office Codes, traders and routes.
Resources (inputs)	**Expert days** | Experts	Work Days
SNKE	2	

Activity 3.3.3 Rolling Out of ACTS in CLMV countries

Rationale:
The objective is to support the roll-out of ACTS to CLMV, including specification, procurement and installation of the necessary hardware and software at national level, associated training of stakeholders in the public and private sector, national level testing, and pilot operations of the ACTS in CLMV.

Activity 3.3.3	Rolling Out of ACTS in CLMV countries
Main Beneficiaries	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA),National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Implemented Tasks	• The hardware and system software procurement by each CLV country has been supported by detailed analysis of existing hardware and software, and a series of in-country analysis meetings during which and advice was provided as to how this can be utilised to install ACTS at national level; these workshops are summarised at **Annex 11**; • The set-up and configuration of ACTS for CLV countries at the national level has been supported by detailed technical advice, including the setup and configuration of system software and network components, such as firewalls; and setup and configuration of ACTS software components; Myanmar is to be visited in the near future; a summary of the hardware and software advice provided to CLV is at **Annexes 12, 13 & 14**; • New reference data from CLMV is being collected and configured: AHTN, Customs Office Codes, traders, routes.
Outputs	• An agreed plan has been developed for the roll-out of ACTS to CLMV, as at **Annex 15**; • Specifications have been developed for the national-level hardware and system software required to run ACTS; • Reports from the three meetings have been finalised for each of the Customs service of CLV; • Preparations have been made by ARISE Plus in conjunction with ASEC counterpart staff in the Customs Integration Unit for the collection of new reference data from CLMV to be added to ACTS: AHTN, Customs Office Codes, routes and other reference data.
Resources (inputs)	**Expert days** | Experts	Work Days | |---|---| | KE 4 | 2 | | SNKE | 8 | | Incidentals | 1.005,58 |

Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

Rationale:

The ACTS Central Management Team (CMT) has been established in the Trade Facilitation Division of the ASEAN Secretariat, and initial training has been provided. The CMT is responsible for the management of on-going operations of ACTS. This team will require additional training and know-how to manage ACTS from the technical and procedural perspectives.

Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
Implemented Tasks	• Training, advice and support has been provided to CMT, to enable them to discharge their responsibilities in relation to the management of on-going ACTS operations, system and support activities; • The set-up of the Change Management Board (CMB) has been supported, along with definition of the TOR of the board, including its responsibilities and activities.
Outputs	• Training has been carried out of the CMT on the technical, procedural and documentary aspects of the ACTS, providing them with the necessary skills, knowledge and confidence to manage all regional aspects of on-going ACTS operations; The materials developed and delivered by ARISE Plus; at A**nnex 16** are the training slides and at A**nnex 17** the ACTS Central Services Operations Guide; and • Advice and support has been provided for the initial period of CMT operations, including ad-hoc support for any issues encountered within the time span of the ARISE Plus programme; and • A Change Management Board has been set up with a definition of its terms of reference have been agreed by the SWG-ACTS and the CPTFWG. The TOR for the CMB are at **Annex 18**.
Resources (inputs)	**Expert days** <table><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE 4</td><td>2</td></tr><tr><td>SNKE</td><td>10</td></tr></table>

Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS

Rationale:

The objective is to assess and implement changes identified by Customs, Transport, and private sector according to a controlled and orderly change management procedure. The Technical Assistance Team will support the establishment of a Change Management Board (CMB) to ensure the effective management of all agreed changes to the ACTS.

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
Main Beneficiaries	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.

Implemented Tasks	• The needs have been identified for specific new functional requirements for ACTS;
	
	• ARISE Pus has assessed the priority, impact and initial implementation approach and plans of system change requests (CRs);
	
	• Detailed analysis has been performed of change requests;
	
	• A note to file has been produced for the potential ways in which ACTS could be enhanced to manage transport-related requirements by the development of a Transport Management System in ACTS; this note is attached at **Annex 19**;
	
	• ARISE Plus has prepared formal change requests for the following issues:
	    o Introduction of an electronic message to notify all office of departure, transit and destination in the event that a consignment has been stopped or turned back at an office of transit;
	    o Provision of facility for Customs officers to calculate the guarantee amount in the national transit Application (NTA);
	    o Development of a Home Page in the NTA to allow monitoring by Customs officers of messages, similar to the Home Page feature in the Trader Portal (TP);
	    o Relocation within the ACTS declaration structure of ASEAN Goods vehicle Cross Border Permits (AGVCBP) from 'item' level to 'general' level;
	    o Online validation by ACTS at departure of ASEAN Goods Vehicle Cross Border Permits (AGVCBP);
	    o Introduce a 'copy goods item' feature for traders at declaration stage, where different consignments with similar data features are captured to ACTS.

Outputs	• The change management procedure and CMB has been established and is in operation; • An initial analysis and assessment has been completed of initial list of CRs, including the validation and control of AGVCB permits in ACTS; • Change Requests have been completed for a number of issues, to be discussed at the next SWG-ACTS meeting and considered by the CMB;

Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS
	• Initial proposals have been made for the future development of ACTS to handle transport-related requirements by development of a Transport Management System (TMS) in ACTS.
Resources (inputs)	**Expert days**

Experts	Work Days
KE 4	4
SNKE	9

Component 4.0 ASEAN Economic Integration Monitoring and Statistics Capacity

No activities were carried out during the period due to an unforeseen delay in mobilisation.

SECTION 2 OVERVIEW OF USE OF RESOURCES

The following table provides the detailed breakdown of the resources mobilised at the Sub-component level from 8th April 2018 to 7th May 2018

Resources Mobilised at Sub-component Level								
	Title	**KE1**	**KE2**	**KE3**	**KE4**	**SNKE**	**JNKE**	**Incidentals (€)**
COMPONENT 0.0	**Management and Outreach**							
Sub-component 0.1	**Project Management and Coordination**	20	5	5	0	0	0	5.533,43
Sub-component 0.3	**Visibility, Communication and Outreach**	4	0	0	0	3	0	
COMPONENT 1.0	**Trade Facilitation and Transparency**							
Sub Component 1.1	**ATIGA Implementation with Focus on Transparency and NTMs**	0	9	0	0	8	0	0
Sub Component 1.2	**Trade Facilitation and Private Sector Engagement**	0	2	0	0	0	0	0
COMPONENT 2.0	**Standards and Conformity Assessment in Particular Healthcare and Agro-based products**							
Sub-component 2.1	**Supporting the Development of Quality Infrastructure and Related Policies in ASEAN**	0	0	3	0	3	0	0
Sub-component 2.2	**Supporting the Harmonisation of Standards and Compliance to International Standards**	0	0	3	0	2	0	0

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Resources Mobilised at Sub-component Level								
	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub-component 2.3	Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	0	0	9	0	0	0	23.582,21
COMPONENT 3.0	Customs, Transport and ACTS							
Sub component 3.1	Customs Measures	0	0	0	6	0	0	
Sub-component 3.2	Transport Measures				6			
Sub component 3.3	ACTS Implementation	0	0	0	8	30	0	1.005,28
Taxi								132.89
Bank charges								109.25
TOTAL		24	16	20	20	46	0	30.363,36

This table shows the cumulative use of resources namely from the start of the project on the 8st November 2017 until 7th May 2018.

Cumulative Resources							
Reporting Period	KE1	KE2	KE3	KE4	Senior Non-Key	Junior Non-Key	Incidentals
Inception Period (8th November 2018- 7th April 2018)	89	58	81	76	120	0	€ 73.360,28
First Month Implementation (8th April – 7th May 2018)	24	16	20	20	46	0	€ 30.363,36
Actual to Date	**113**	**74**	**101**	**96**	**166**	**0**	**€ 103.723,64**
Project Budget	**660**	**528**	**660**	**660**	**6765**	**400**	**€ 3.500.000**
Balance Remaining	**547**	**454**	**559**	**564**	**6599**	**400**	**€ 3.396.276,36**

*The amount for Incidental Expenditure is subject to the financial expenditure verification report

SECTION 3 LIST OF MEETINGS AND WORKSHOPS

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Paul Mandl	1	23 April 2018	Putrajaya, Malaysia	Meeting with the ARAC Secretariat,
	2	24 – 26 April 2018	Langkawi Island, Malaysia	Workshop on Development of Instrument for Implementation of the ASEAN Food Safety Regulatory Framework (AFSRF)
	3	27 – 29 April 2018	Langkawi Island, Malaysia	Meeting of the ACCSQ-PFPWG and its related meetings

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Paolo Vergano	1	3-4 May 2018	Nay Pyi Taw, Myanmar	ATR / NTR Mapping Exercise for Myanmar
	2	7-8 May 2018	Bangkok, Thailand	ATR / NTR Mapping Exercise for Thailand

Name	No.	Dates	Place	Meetings/Workshops/Study tours/Trainings
Rajinder Raj	1	23 April 2018	Putrajaya, Malaysia	Meeting with the ARAC Secretariat,
	2	24 – 26 April 2018	Langkawi Island, Malaysia	Workshop on Development of Instrument for Implementation of the ASEAN Food Safety Regulatory Framework (AFSRF)
	3	27 – 29 April 2018	Langkawi Island, Malaysia	Meeting of the ACCSQ-PFPWG and its related meetings

SECTION 5 LIST OF ANNEXES

No	Activity	Annex
Annex 1	1.1.1	The methodological guidelines for the 'NTR mapping exercise'
Annex 2	1.1.1	Draft report on the preliminary quantitative and qualitative 'mapping exercise' of the current status of the Myanmar's NTR and web-linkages to the ATR
Annex 3	2.2.1	Proposal for Working Group I to enhance the harmonisation of ASEAN standards
Annex 4	2.3.1	Follow Up from ASEAN Food Safety Regulatory Framework Workshop, 24-26 April 2018, Langkawi, Malaysia
Annex 5	2.3.2	Proposal for the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs
Annex 6	2.3.3	Plan for supporting the ASEAN Risks Assessment Centre for Food Safety (ARAC) presented to the Health Sector 4 meeting
Annex 7	3.1.3	Details of regional workshop to enhance the capacity of senior Customs officials in ASEAN to implement AEO programmes, and to make the necessary arrangements for the mutual recognition of these programmes between AMS by means of an intra-ASEAN AEO Mutual Recognition Arrangement / Agreement (ASEAN-AEO-MRA)
Annex 8	3.1.3	Background of Feasibility Study into the development of a multilateral ASEAN-AEO-MRA
Annex 9	3.1.3	Initial assessment of the current status of SPCD 07 on Authorised Economic Operator (AEO) programmes
Annex 10	3.3.2	Plan for the roll-out of ACTS to MST
Annex 11	3.3.3	Detailed analysis of existing hardware and software ACTS at CLV Countries
Annex 12	3.3.3	Summary of the hardware and software advice provided to Cambodia
Annex 13	3.3.3	Summary of the hardware and software advice provided to Lao PDR
Annex 14	3.3.3	Summary of the hardware and software advice provided to Viet Nam
Annex 15	3.3.3	Agreed plan for the roll-out of ACTS to CLMV,
Annex 16	3.3.4	Training materials for ACTS Central Management Team on the technical, procedural and documentary aspects of the ACTS
Annex 17	3.3.4	ACTS Central Services Operations Guide
Annex 18	3.3.4	TOR of the Change Management Board
Annex 19	3.3.5	Note to file for the potential ways in which ACTS could be enhanced to manage transport-related requirements by the development of a Transport Management System in ACTS