



Work Plan 1





Work Plan 1

ASEAN Regional Integration Support by the European Union - (ARISE) Plus

Project No. ACA/2016/389774

Service Contract No. EUROPEAID/138416/DH/SER/MULTI



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ARISE PLUS FACT SHEET

Project Title	ASEAN Regional Integration Supported by EU (ARISE Plus)
Project Number	ACA/2016/389774
Service Contract	EUROPEAID/138416/DH/SER/MULTI
Service Contractor	AETS, in consortium with FratiniVergano, AECOM and ARTEMIS
Project Location	ASEAN Secretariat Jakarta (with activities in ASEAN and EU)
Contracting Authority	EU Delegation to Indonesia & Brunei Darussalam
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Project Dates	8 th November 2017 to 7 th November 2020
Project Budget	€13,833,125.00
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LIST OF ABBREVIATIONS

ABAC	ASEAN Business Advisory Council
ACCSQ	ASEAN Consultative Committee on Standards and Quality
ACCC	ASEAN Connectivity Coordinating Committee
ACCP	ASEAN Committee on Consumer Protection
ACD	ASEAN Cosmetic Directive
AC-SPS	ASEAN Committee on Sanitary and Phytosanitary Measures
ACSS	ASEAN Community Statistical System
ACTD	ASEAN Common Technical Dossier
ACTR	ASEAN Common Technical Requirements
ACTS	ASEAN Customs Transit System
ACTS-IP	ACTS Information Portal management
AEC	ASEAN Economic Community
AECB	ASEAN Economic Community Blueprint
AEGFS	ASEAN Expert Group on Food Safety
AEO	Authorised Economic Operators
AFAFGIT	ASEAN Framework Agreement on the Facilitation of Goods in Transit
AFAFIST	ASEAN Framework Agreement on the Facilitation of Inter-State Transport
AFAMT	ASEAN Framework Agreement on Multimodal Transport
AFFA	ASEAN Federation of Forwarders Associations
AFS-CC	ASEAN Food Safety Coordinating Committee
AHEEERR	ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime
AIMD	ASEAN Integration Monitoring Division
AMS	ASEAN Member State
API	Active Pharmaceutical Ingredient(s)
APLAC	Asia Pacific Laboratory Accreditation Cooperation
ARAC	ASEAN Risk Assessment Centre for Food Safety
ARASFF	ASEAN Rapid Alert System for Food and Feed
ARISE	ASEAN Regional Integration Support by the EU
ASEAN	Association of South East Asian Nations
ASEAN-CBTP	ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle
ASEC	ASEAN Secretariat
ASI-CT	ASEAN Statistical Indicators-Consolidated Template
ASOA	ASEAN Standard for Organic Agriculture
ASPSC	ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance
ASSIST	ASEAN Solutions for Services, Investment and Trade
ATFJCC	ASEAN Trade Facilitation Joint Consultative Committee
ATFW	ASEAN Trade Facilitation Work Plans
ATT	Authorised Transit Trader
ASW-SC	ASEAN Single Window Steering Committee
ATIGA	ASEAN Trade in Goods Agreement
ATR	ASEAN Trade Repository
AWP	Annual Work Plan
BE	Bio-equivalence
BFSDDAS	Broad Framework for the Sustainable Development of ASEAN Statistics
CCA	ASEAN Coordinating Committee on the Implementation of ATIGA
CCI	ASEAN Coordinating Committee on Investment



CCBWG	Customs Capacity Building Working Group
CCC	ASEAN Customs Coordinating Committee
CCS	ASEAN Coordinating Committee on Services
CECWG	Customs Enforcement and Compliance Working Group
CEN-CENELEC	European Committee on Standardization – European Committee for Electrotechnical Standardisation
CIFOCoss	Chronic Individual Food Consumption Database – summary statistics
CLMV	Cambodia, Lao PDR, Myanmar, Viet Nam
COB	Council of ASEAN Insurance Bureaux
COST	Committee on Science and Technology
CPTFWG	Customs Procedures and Trade Facilitation Working Group
DSG	Deputy Secretary General
DG-DEVCO	Directorate-General for International Cooperation and Development
EC	European Commission
EDSM	Enhanced Dispute Settlement Mechanism
EEE	Electrical and Electronic Equipment
EU	European Union
EUABC	EU-ASEAN Business Council
FA	Financing Agreement
FAO	Food and Agriculture Organization
GIFT	Global Individual Food Consumption Data Tool
GMP	Good Manufacturing Practice
ICH	International Council for Harmonization
IGDRP	International Generic Drug Regulators Program
KE	Key Expert(s)
KLTSP	Kuala Lumpur Transport Strategic Plan
JNKE	Junior Non-Key Expert
LSAD	Legal Services and Agreements Directorate
MIS	Management Information System
MPAC	Master Plan on ASEAN Connectivity
MRA	Mutual Recognition Arrangement
MSME	Micro-, Small-, and Medium-Sized Enterprises
MST	Malaysia, Singapore, Thailand
NKE	Non-Key Expert
NAB	National Accreditation Body
NFAB	National Focal Points for Accreditation
NTM	Non-Tariff Measure(s)
NTR	National Trade Repository
NTTCC	National Transit Transport Coordinating Committees
OWP	Overall Work Plan
PFPWG	Prepared Foodstuffs Product Working Group
PIC/S	Pharmaceutical Inspection Co-operation Scheme
PPWG	ACCSQ Pharmaceutical Product Working Group
PSC	Project Steering Committee
PWG	Product Working Group(s)
SC-AROO	Sub Committee on ATIGA Rules of Origin
SNKE	Senior Non-Key Expert
SPCD	Strategic Plans for Customs Development
STOM	ASEAN Senior Transport Officials
SOM AMAF	Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry
TA	Technical Assistance



TAT	Technical Assistance Team
TBT	Technical Barriers to Trade
TFD	Trade Facilitation Division
TFWG	ASEAN Transport Facilitation Working Group
TRIM	Trade-related Investment Measure
TTCB	Transit Transport Coordinating Board
UNCTAD	United Nations Conference on Trade and Development
VCO	Visibility, Communication and Outreach
WGDSA	Working Group on Data Sharing, Analysis, Dissemination and Communication
WHO	World Health Organization
WTO	World Trade Organization



EXECUTIVE SUMMARY

This document constitutes the First Work Plan of the ASEAN Regional Integration Support by the EU (ARISE) Plus. The Technical Assistance provided under ARISE Plus will further contribute to the on-going Regional Economic Integration, with a particular perspective to the commitments made under the AEC Blueprint 2025 by Member States.

This Work Programme, planned for the period 8th April 2018 to 7th May 2019 is the first under ARISE Plus, with the planned activities continuing to build on the previous successes of the ARISE project. It should be noted that there is high ongoing demand for ARISE Plus support.

Following the extensive consultation process with: the ASEAN Secretariat, relevant sectoral working groups, committees and the EUD Jakarta, the activities planned for Work Plan 1 have been finalised. The activities planned for this period are derived from both the ToR, and the OWP. As such they have been structured along the following lines: 5 high-level components, 15 sub-components, and 51 activities. This represents an ambitious work programme and is reflective of the high demand for ARISE plus services. The expected results of the activities for WP1 will contribute to the outcomes as shown below.

Project Structure and Outcomes

Component 0.0 Management and Outreach	Outcomes
Sub-component 0.1 Project Management and Coordination	<i>Project resources managed to ensure the efficient and effective realisation of outcomes at all levels.</i>
Sub-component 0.2 Demand Driven Contingency	<i>Demand-driven activities facilitated to support the implementation of the AEC Blueprint 2025.</i>
Sub-component 0.3 Visibility, Communication and Outreach	<i>Communication objectives of the AEC is achieved whilst providing visibility to ARISE Plus as a flagship programme of the EU and the strategic nature of the EU's support.</i>
Component 1.0 Trade Facilitation and Transparency	Outcomes
Sub-component 1.1 ATIGA Implementation with Focus on Transparency and NTMs	<i>Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).</i>
Sub-component 1.2 Trade Facilitation and Private Sector Engagement	<i>Private sector engagement is enhanced through increased participation in the activities of ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.</i>



Sub-component 1.3 'On-Demand' Activities	<i>Legal certainty, commercial predictability and trade facilitation are enhanced through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.</i>
Component 2.0 Standards and Conformity Assessment in Particular Healthcare and Agro- based products	Outcomes
Sub-component 2.1 Supporting the Development Quality Infrastructure and Related Policies in ASEAN	<i>Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.</i>
Sub-component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards	<i>Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry.</i>
Sub-component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	<i>Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.</i>
Sub-component 2.4 Support to the Pharmaceutical Sector	<i>The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.</i>
Component 3.0 Customs, Transport and ACTS	Outcomes
Sub-component 3.1 Customs Measures	<i>Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.</i>
Sub-component 3.2 Transport Measures	<i>An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.</i>
Sub-component 3.3 ACTS Implementation	<i>the ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the ASEAN north-south and east-west transit transport corridors.</i>



Component 4.0 ASEAN Economic Integration Monitoring and Statistics	Outcomes
Sub-component 4.1 ASEAN Economic Integration Monitoring	<i>Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.</i>
Sub-component 4.2 ASEAN Statistics Capacity Building	<i>Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all ASM (and particularly but not limited to CLMV countries).</i>

Implementation of activities will be carried by the technical assistance team, a total of 909 key expert day (KE), 2683 senior non-key expert days (SNKE), 110 junior non-key expert days (JNKE) and €1.422.747 of incidentals are expected to be utilised.

The allocation of resources for WP1 is shown below at the component level aggregation, with full breakdown provided in the body of the report. The individual activity sheets are the basis for the aggregation and it is at this level that financial management reporting and monitoring will occur.

Work Plan 1 Resource Allocation

Component	KE days	Senior NKE days	Junior NKE days	Incidentals
0.0 Management and Outreach	370	367	0	€154.297
1.0 Trade Facilitation and Transparency	151	490	50	€340.520
2.0 Standards and Conformity Assessment in particular Healthcare and Agro-based products	194	460	40	€390.934
3.0 Customs, Transport and ACTS	194	1002	0	€460.996
4.0 ASEAN Economic Integration Monitoring and Statistics	0	364	20	€76.000
Total	909	2683	110	€1.422.747



PROJECT OVERVIEW

The ASEAN Regional Integration Support by the EU (ARISE) Plus, service contract number EUROPEAID/138416/DH/SER/MULTI, started officially on 8th November 2017 by means of an administrative order from the Delegation of the European Union in Jakarta. The initial closing date of the project is 7th November 2020, after 36 months. Additional services related to the activities may be contracted through a negotiated procedure for an additional 24 months for an estimated additional cost of up to €7,400,000 subject to the decision of the EU Delegation in conjunction with the Project Steering Committee and their relevant parties.

The project builds on the achievements of the predecessor EU funded projects, most notable ARISE, which is recognised as a highly successful project and finished in June 2017. The short gap between ARISE and ARISE Plus, means the momentum generated under ARISE has not been lost and the foundations for ARISE Plus are firmly in place and it is upon this which the WP1 is built.

This Work Plan (WP) outlines the activities as well as the operational modalities of the project. The implementation phases covered by WP 1 covers the period 8th April 2018 to 7th May 2019.

ARISE PLUS has two types of resources at its disposal: expert working days and funds for incidental expenditures. Out of the total 9673 expert working days, 2508 days are assigned to key experts 1-4, 6765 expert working days are available for senior non-key experts (SNKE) and 400 days for junior non-key experts (JNKE). A total of €3,500,000 is available to cover incidental expenditures as defined in the ToR.

This represents a significant increase on the resources available under the predecessor project, and the challenge of mobilising the resources cannot be underestimated, however drawing on previous experience of ARISE project, the Key experts of the TA team are well equipped to meet the challenge. The TA team will be supported by the consortium comprising AETS (lead partner) Artemis, AECOM and FratiniVergano, with Intrasoft being the nominated Subcontractor for ACTS.

Guiding Project Documents

The Guiding documents of the project are:

- The Financing Agreement (FA) between the Association of Southeast Asian Nations (ASEAN) and the European Union (EU).
- The Terms of Reference (ToR), which are the basis for the service contract between the EU and AETS Consortium.

Governance

Project Steering Committee

The Project Steering Committee (PSC) is the highest body governing ARISE plus.

The EU Delegation and the ASEAN will set up a regional Project Steering Committee (PSC) and act collectively as Co-Chairs for the overall implementation of ARISE Plus. It will include representatives

from the AMS, the relevant ASEAN sectoral bodies and the ASEAN Secretariat and shall meet annually and will:

- supervise and ensure that the programme is implemented in accordance with sound verifiable and transparent reporting and auditing standards;
- review plans of operations, Annual Work Plans, including technical assistance requirements, taking into account the recommendation of the Reference Groups;
- review monitoring and progress reports and participating in all monitoring and evaluation processes based on a single integrated monitoring framework;
- provide guidance and facilitate overall programme implementation.

The implementation of this TA contract will have its separate sub-PSC meeting organised prior to ARISE Plus PSC annual meeting, and it shall provide strategic guidance to ARISE, review and endorse all work plans and reports, and facilitate contacts and networking.

Project Structure

The Component and activity structure as defined in the ToR has been adjusted and aligned for coherence with the ASEAN bodies, their respective work plans and ARISE Plus reporting. The table below summarises the alignment.

Project Component Structure

OWP Numbering	Title	ToR numbering
Component 0.0	Management and Outreach	n/a
Component 1.0	Trade Facilitation and Transparency	Component 1.1
Component 2.0	Standards and Conformity Assessment in particular Healthcare and Agro-based Products	Component 1.2
Component 3.0	Customs, Transport and ACTS	Component 1.3
Component 4.0	ASEAN Economic Integration Monitoring	Component 4.1
Component 4.0	ASEAN Statistics Capacity	Component 4.2

The components are further subdivided into Sub Components (as shown below) and it is at this level which outcome monitoring will occur. The sub-components comprise of a series of interlinked activities with defined outputs and results which contribute to the realisation of the outcomes.

Project Sub-Component Structure

Component 0.0	Management and Outreach
Sub-component 0.1	Project Management and Coordination
Sub-component 0.2	Demand Driven Contingency
Sub-component 0.3	Visibility, Communication and Outreach
Component 1.0	Trade Facilitation and Transparency
Sub-component 1.1	ATIGA Implementation with Focus on Transparency and NTMs



Sub-component 1.2	Trade Facilitation and Private Sector Engagement
Sub-component 1.3	'On-Demand' Activities
Component 2.0	Standards and Conformity Assessment in particular Healthcare and Agro-based products
Sub-component 2.1	Supporting the Development Quality Infrastructure and Related Policies in ASEAN
Sub-component 2.2	Supporting the Harmonisation of Standards and Compliance to International Standards
Sub-component 2.3	Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector
Sub-component 2.4	Support to the Pharmaceutical Sector
Component 3.0	Customs and Transport and ACTS
Sub-component 3.1	Customs Measures
Sub-component 3.2	Transport Measures
Sub-component 3.3	ACTS Implementation
Component 4.0	ASEAN Economic Integration Monitoring and Statistics
Sub-component 4.1	ASEAN Economic Integration Monitoring
Sub-component 4.2	ASEAN Statistics Capacity Building

The ToR of ARISE Plus specify the overall Objective, Purpose and Results, which form the basis of the activities as detailed in this OWP.

Overall objective

The ASEAN Regional Integration Supported by the EU (**ARISE**) plus, has the overall **objective** “To support greater economic integration in ASEAN through the implementation of the ASEAN Economic Community Blue Print 2025 (AECBP)”.

Purpose

The purposes of this contract are as follows:

- To improve customs, transport facilitation, trade facilitation, and standards with a view to achieve a highly integrated cohesive economy;
- To strengthen institutional capacities through, in particular, managing the integration process with an emphasis on strengthening compliance, outcomes monitoring, and impact assessment including statistics, coordination and management and improved capacity among ASEAN bodies and the ASEAN Secretariat (ASEC).

Results

The results to be achieved by the project follow the component-based structure, which is further elaborated upon under the activities for each component and related subcomponent.



Component 1 Trade Facilitation and Transparency

Implementation of the ASEAN Trade Facilitation Framework (ATFF) and implementation of the ASEAN Trade in Goods Agreement (ATIGA) through addressing non- tariff barriers (NTB's) in particular through the ASEAN Trade Repository (ATR)/ National Trade Repositories (NTR's), ASSIST, an ASEAN wide self-Certification of product origin scheme and maintaining an NTM database.

Component 2 Standards and Conformity Assessment in particular Healthcare and Agro-based Products

Implementation of harmonised Standards and Mutual Recognition Arrangements (MRA's) in Specific sectors.

Component 3 Customs, Transport and ACTS

Harmonisation of Customs and Transport facilitation procedure; development of an implementation framework for the ASEAN Framework Agreement on Multimodal Transport (AFAMT) and Implementing Guidelines of the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (CBTP) and implementation of the ASEAN Customs Transit System (ACTS).

Component 4 ASEAN Economic Integration Monitoring and Statistics Capacity

Enhancement of the ASEAN Economic Community Integration Monitoring Framework through better monitoring of the progress and outcomes / impact of regional integration to facilitate the implementation of measures and enhanced outreach and reporting.

Consultation Process

To facilitate the preparation and approval of WP 1, one of the key tasks carried out during the Inception period was the consultation with the beneficiaries and stakeholders of the project. The ARISE Plus project has many different stakeholders within ASEAN Secretariat (ASEC), ASEAN Member States and the EU. This adds an additional level of complexity to both the management of the project and the delivery of the results. The detail of those consulted with for each sub component is listed below. Those consulted are also the direct beneficiaries.

Sub Component	Bodies Consulted/Beneficiaries
1.1 ATIGA Implementation with Focus on Transparency and NTMs	ASEAN Secretariat's Trade Facilitation Division, Senior Economic Officials Meeting (SEOM), ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC), ASEAN Coordinating Committee on the Implementation of ATIGA (CCA), ASEAN Trade Repository/National Trade Repository (ATR/NTR) Focal Points in AMSs and Private Sector in ASEAN.
1.2 Trade Facilitation and Private Sector Engagement	SEOM, ATF-JCC, CCA, ASEAN Coordinating Committee on Services (CCS), ASEAN Coordinating Committee on Investment (CCI), AMSs' ASSIST Focal Points, ASEAN DSG for AEC, ASEAN Secretariat Trade Facilitation Division, ASEAN Transport Facilitation Working Group (TFWG), ASEAN Single Window-



	Steering Committee (ASW-SC), ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS), ASEAN Member States and Private Sector in ASEAN.
1.3 'On-Demand' Activities	CCA, Sub Committee on ATIGA Rules of Origin (SC-AROO), ASEAN Connectivity Coordinating Committee (ACCC), SEOM, ACCSQ, AMSs' Regulators, ASEAN Secretariat's Legal Service and Agreement Directorate, ASEAN Secretariat's Trade Facilitation Division, SEOM, ASEAN Member States and Private Sector in ASEAN.
2.1 Supporting the Development of the Quality Infrastructure and Related Policies in ASEAN	ACCSQ , Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN, ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, ACCSQ Working Group 2, ACCSQ Working Group 3, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST) and its Experts' Group on Metrology, Agriculture Working Groups for Crops, Fisheries and Livestock, Prepared Foodstuffs Product Working Group for Food Safety , the Pharmaceutical Products Working Group, Health Cluster 3, Health Cluster 4.
2.2 Supporting the Harmonisation of Standards and Compliance to International Standards	Working Group 1 of the ACCSQ, Product Working Groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States.
2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	Health Cluster 4, Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators, ASEAN Risk Assessment Centre for Food Safety (ARAC), ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee, Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM-AMAF), the Task Force ASEAN Standards on Organic Agriculture, the Agriculture Working Groups for Crops, Fisheries and Livestock, ACCSQ Working Group 2, ASEAN Consumers.
2.4 Support to the Pharmaceutical Sector	ACCSQ Pharmaceutical Product Working Group (PPWG), Health Cluster 2 and 3 under the ASEAN Health Ministers.
3.1 Customs Measures	ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB), the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group, and ASEAN Secretariat.



3.2 Transport Measures	TTCB, NTTCC, STOM, ASEAN Transport Facilitation Working AFFA, ASEAN Secretariat.
3.3 Implementation of ACTS	ASEAN Customs Directors-General, STOM, TTCB, CCC and its Working Groups, AFFA, NTTCC, ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
4.1 ASEAN Economic Integration Monitoring	ARISE Plus TAT regional and bilateral projects, EU Mission to ASEAN, EUD to AMS, ASEAN Integration Monitoring Directorate (AIMD), Relevant ASEAN Bodies, ASEAN Member States.
4.2 ASEAN Statistics Capacity Building	ASEAN Integration Monitoring Directorate (AIMD) and Statistic Division (ASEANstats), ASEAN Community Statistical System (ACSS), Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA), Working Groups on relevant domains.

It should also be noted that the team has been involved in extensive discussions with other Dialogue Partners to ensure that there is a complementarity between the activities proposed by ARISE and other donor supports to ASEAN.

Planned Overall Resource Use

The tables below show the overall resource allocation for WP1 and is based on the aggregation of the indicative resources allocated to each activity. It reflects an ambitious period of activity. The table also shows the committed resources in relation to the overall project budget.

Work Plan 1 Resource Allocation in relation to Project's Overall Budget

	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Planned resources use WP1	240	189	240	240	2683	110	€1.422.747
Cumulative use to 7 th April 2018	89	57	82	76	116	0	€80.000
Overall ARISE Budget	660	528	660	660	6765	400	€3.500.000
Balance	331	282	338	344	3966	290	€1.877.253



Work Plan 1 Resource Allocation by Component

Component	KE days	Senior NKE days	Junior NKE days	Incidentals
0.0 Management and Outreach	370	367	0	€154.297
1.0 Trade facilitation and Transparency	151	490	50	€340.520
2.0 Standards and Conformity Assessment in Particular Healthcare and Agro-based Products	194	460	40	€390.934
3.0 Customs, Transport and ACTS	194	1002	0	€460.996
4.0 ASEAN Economic Integration Monitoring and Statistics	0	364	20	€76.000
Total	909	2683	110	€1.422.747



2.0 PLANNED PROJECT ACTIVITIES IN THE PERIOD APRIL 2018 TO MAY 2019

COMPONENT 0.0 MANAGEMENT AND OUTREACH

Planned Resource Component 0.0

Component 0.0	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Sub Component 0.1	240	38	46	46	0	0	€30.856
Sub Component 0.2	0	0	0	0	267	0	€83.411
Sub Component 0.3	0	0	0	0	100	0	€ 40.000
Total Component 0.0	240	38	46	46	367	0	€154.297

Sub-Component 0.1 Project Management and Coordination

Outcome

Project resources managed to ensure the efficient and effective realisation of outcomes at all levels.

Activity 0.1.1 Project Management and Coordination

The Team Leader, the Key Experts and the Project Assistants in Jakarta, will coordinate the implementation of WP1. They will coordinate the activities in Components 1-4 which are defined and detailed in the relevant chapters of this WP. The ARISE Team Leader will promote the project to key stakeholders in the ASEAN and the EU with a strong focus on the Private Sector. In addition, he will ensure the coordination between the ARISE Plus 'Single Market' project and the EU funded bilateral projects. This will be supported by integrated monitoring framework developed under ARISE Plus and rolled out to the national projects as and when they commence.

The tasks of the Team leader under this activity include but are not limited to:

- Consult all relevant stakeholders, including high level officials in ASEAN and the EU Delegation, representing the Contractor;
- Provide high-level policy / technical / strategic inputs to the ASEAN Secretariat;
- Identify, in cooperation with ASEC and AMSs, areas for further cooperation when needed to achieve the project results;



- Manage the team of Key and non-Key Experts, including the detailed definition of their ToRs and monitoring their performance;
- Report regularly to the EU Delegation on progress achieved in implementing the project;
- Participate actively in M&E activities, with specific focus on developing and implementing an integrated monitoring framework across ARISE Plus regional and national components;
- Insure coordination across all ARISE Plus' components, including those implemented at the national level.

Planning & Reporting

The Technical Assistance Team will submit the following reports during the period of ARISE Plus Work Plan 1 implementation:

Period	Reports
8 th November 2017 – 7 th May 2018	1 st Six-monthly Report
8 th May 2018 – 7 th November 2018	2 nd Six-monthly Report
8 th November 2018 – 7 th May 2019	3 rd Six-monthly Report

The narrative reports referred to above will be accompanied on a six months basis by the project invoice and associated expenditure verification report.



Sub-Component 0.2. Demand Driven Contingency

Outcome

Demand-driven activities facilitated to support the implementation of the AEC Blueprint 2025.

Activity 0.2.1 Demand Driven Contingency

A key element of the successful delivery of the results under the ARISE project was the creation of an unallocated pool of resources to meet the demand of activities which had not been planned under the respective WP's.

Discussions with SEOM and their associated bodies, during the inception period, have confirmed the need for this type of demand driven provision to be continued. Therefore, to meet this request, under ARISE Plus the allocation of resources made in this WP are considered as indicative, and under Activity 0.2.1 a pool of SNKE days and incidentals will be budgeted which will be used to respond to demand driven unforeseen activities.

The modalities of utilisation will follow the process which reflects the standard procedures agreed between ASEAN ASEC, EUD and ARISE Plus. It should be noted that the request for such activities will be initiated by the Coordinating Committees / sectoral bodies who are direct beneficiaries of the ARISE plus Project.



Sub-Component 0.3 Visibility, Communication and Outreach (VCO)

Outcome

Communication objectives of the AEC is achieved whilst providing visibility to ARISE Plus as a flagship programme of the EU and the strategic nature of the EU's support.

Activity 0.3.1 Visibility, Communication and Outreach (VCO)

Rationale:

The ASEAN Economic Community Blueprint 2025 clearly outlines the communications objectives of:

- Creating greater awareness of the AEC including its objectives, potential benefits and challenges in all ASEAN countries;
- Keeping all stakeholders informed of the progress of the AEC, and its contribution to economic development, sustainable and equitable growth and the well-being of people in the region;
- Developing a regional platform for dialogue and sharing information in implementing the AEC;
- Creating AEC communication channels to reach ASEAN communities on the AEC.

Activity 0.3 will contribute towards these communication objectives of the AEC whilst providing visibility to ARISE Plus as a flagship programme of ASEAN and the strategic nature of the EU's support.

The activity will enable the numerous technical outputs and outcomes generated by ARISE Plus activities under its four technical components to be packaged in an attractive, contextualised and consistent manner so as to create ownership and relevance amongst key target groups. The activity will also establish a number of communication channels and tools so as to effectively engage with ASEAN communities. Social media will be leveraged upon to enable quick, effective and real time communication and increased visibility of ARISE+ activities and the programme as a whole.

The activity also offers added value as it facilitates activities identified by the project components such as strengthening public-private partnership co-operation and promoting compliance to international standards among business.

Please note that there will be only very slight variation between the OWP and 3 AWP's for Activity 0.2 of Components 0 as it is a recursive exercise and not incremental in nature.

Activity 0.3.1	Visibility, Communication and Outreach
Main Beneficiaries	Relevant EU, ASEAN, AMS and national level beneficiaries which include government bodies, private sector, associations, media, other donor agencies and academia.
Planned Tasks	<ul style="list-style-type: none">• Develop communication products to communicate the project activities, outputs, results and outcomes and create buy in among stakeholders;



Activity 0.3.1

Visibility, Communication and Outreach

- **Develop** initial key messages matrix to aid in communication activities and to enable consistency of messages in the materials developed;
- **Develop** design theme and branding to ensure a coherent and recognisable visual identity which would aid in increasing the ARISE Plus, EU and ASEAN visibility;
- **Develop** a multiplier strategy to effectively engage with suitable multiplier organisations to maximise the dissemination and outreach potential of VCO activities through their networks, events and communication channels and establish feedback mechanisms. Multiplier partnerships would provide an opportunity to communicate, create buy in and most importantly receive feedback on ARISE Plus activities;
- **Develop** calendar of relevant ASEAN and AMS initiatives (e.g., AEC events, sectoral events) which can be leveraged to enhance VCO of ARISE Plus at programme and activity level. This would aid the project in planning back to back activities or even to participate in the ongoing planned activities if suitable and permitted to save cost and maximize the impact of the ARISE Plus VCO activity;
- **Finalise** communication and visibility plan (key messages, stakeholders, best communication tools, communication guidelines for TAT, visibility screening tool, and copyright screening tool) which would serve as a planning and reference document for continued and structured communication, outreach and visibility activities. This communication and visibility plan will be shared with the other ARISE + national projects to develop synergy and enhance the visibility quality of the ARISE + project as a whole;
- **Develop** content for print communication products e.g. posters, banners, leaflets, booklets, folders, policy studies, and supervise development (for service provider) to assist in content development to save time and cost by reducing trial and error time;
- **Develop** content and engagement strategies for social media platforms such as Facebook, Twitter and LinkedIn to engage with relevant stakeholders especially the private sector. The social media strategies will explore dedicated ARISE Plus programme social media channels as well as social media channels of multiplier organisations;
- **Develop** content for project website and supervise development to reduce trial and error time and to provide scaffolding and direction to the service provider to help develop an effective, relevant, practical and informative website based on the needs of the identified stakeholders;
- **Develop** content for animations and video and supervise development (for service provider) the content and design requirements could be extended to national ARISE Plus projects;
- **Develop** content for other communication products e.g. bookmarks, calendars etc. (for service provider) to diversify communication modalities



Activity 0.3.1	Visibility, Communication and Outreach
Outputs	<p>and to enhance visibility, buy in and outreach. The content and design requirements could be extended to national ARISE Plus projects;</p> <ul style="list-style-type: none">• Develop case studies to illustrate the success stories of the different ARISE PLUS activities with valid and reliable qualitative and quantitative data to create buy in and increase visibility of the ARISE + activities and project as a whole;• Organize and support outreach events targeting key stakeholders including the private sector at the project and activity level building on partnerships with multiplier organisations and sectoral events conducted by the project. This task would also serve as a networking exercise for future dissemination and engagement especially with the private sector. Such outreach activities will increase the private sector buy in and feedback obtained will help improve the effectiveness of the interventions. This would also increase the visibility of EU-ASEAN among the private sector and ensures that the activities and results of the project are not limited to the formal stakeholders of the project;• Provide inputs into suitable ASEAN publications to leverage on the existing ASEAN platform to keep all stake holders informed on ARISE PLUS especially on the project activities, outputs and outcomes;• Provide inputs for EU-ASEAN newsletter, updates for EU Ambassadors and DEVCO Heads to ensure the related EU personnel are kept updated on the ARISE PLUS program and its development;• Develop media linkages, produce press-releases and facilitate media publications to increase visibility, outreach and engage the broader ASEAN community to create awareness among the general public on the ARISE + activities and simultaneously increase EU-ASEAN visibility;• Maintain media library (event photos and other visuals) for ease of consumption for visibility and outreach activities and documentation purpose;• Undertake consultations with national components to coordinate and synergise communication efforts within the programme (via visits, email or skype) to ensure coherence, coordination and brand consistency in communication efforts within the national and regional projects of the ARISE Plus programme;• Develop communication, branding and design guidelines for ARISE Plus national projects to ensure coherence in messages and brand consistency within the Arise Plus programme but enable uniqueness in each country (e.g. for production of communication materials, identifying key messages, outreach events, visibility screening tools, copyright screening tools, communication monitoring tools etc.).
	Time of delivery



Activity 0.3.1	Visibility, Communication and Outreach	
	<ul style="list-style-type: none"> • Communication stake holder matrix for every AMS which include government bodies, private sector, associations, media, other donor agencies and academia; • Key messages matrix; • Master annual calendar of relevant ASEAN and AMS activities and events to leverage on for VCO activities of ARISE + activities; • Multiplier strategy; • Communication and visibility plan; • Social media content and platforms such as Facebook, Twitter and LinkedIn undertaking VCO of ARISE Plus regional project, components and activities; • Print communication products (e.g. posters, banners, leaflets, booklets, coffee table books, folders, policy studies); • Project website (enhanced and regular updates); • Other communication products (e.g. bookmarks, calendars etc.); • Case studies covering key cross-cutting themes or sectors of Arise Plus activities; • Multiplier partnerships with relevant organisations for VCO activities particularly ASEAN and AMS level business associations and chambers of commerce; • Outreach events for private sector engagement (launch events, media briefings, sectoral events, business events etc.); • News appearing in the press (print, online and broadcast media); • Articles in ASEAN publications based on project activities and results; • Articles in EUD-ASEAN newsletter (e.g. monthly or bi-monthly); • Quarterly updates for Project Steering Committee; • Six-monthly updates for EU Ambassadors and DEVCO Heads; • Consultations notes with national components. 	<p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p>



Activity 0.3.1	Visibility, Communication and Outreach	
	<ul style="list-style-type: none"> Awareness and updated information on the ARISE Plus project available to stake holders at the EU, ASEAN and AMS levels; 	Q1 – Q4
	<ul style="list-style-type: none"> Continued and enhanced buy in from stake holders especially from the private sector; 	Q1 – Q4
	<ul style="list-style-type: none"> Joint communication activities (events, communication tools) undertaken with other EU projects, other donors, ASEAN and AMS organisations, and private sector associations and chambers; 	Q1 – Q4
	<ul style="list-style-type: none"> Operational partnerships with multiplier organisations in the region enhancing VCO activities and providing feedback mechanisms; 	Q1 – Q4
	<ul style="list-style-type: none"> Enhanced EU and ARISE Plus Programme visibility within the region; 	Q1 – Q4
	<ul style="list-style-type: none"> Enhanced EU visibility within the region; 	Q1 – Q4
	<ul style="list-style-type: none"> Contribution towards the communication objectives of the ASEAN Economic Community Blueprint 2025. 	Q1 – Q4
NKE required	<ul style="list-style-type: none"> Senior expert in communication, outreach, awareness creation, advocacy and education of development initiatives in ASEAN or other regions in the world; Proven experience in editing technical and policy documents and highlighting key messages. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	SNKE	100
	Incidentals	€ 40.000

COMPONENT 1.0: TRADE FACILITATION AND TRANSPARENCY

Component 1 on Trade Facilitation is organized on the basis of three Sub-Components of activities in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held during the inception phase with the relevant sectoral bodies (*i.e.*, SEOM, ATF-JCC, CCA, Singapore's 2018 Chair, ASEC, etc.), and leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Planned Resource Used Component 1.0

Component 1.0	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Sub Component 1.1	0	93	0	0	282	50	€ 161.469
Sub Component 1.2	0	58	0	0	138	0	€ 72.493
Sub Component 1.3	0	0	0	0	70	0	€ 106.558
Total Component 1.0	0	151	0	0	490	50	€ 340.520

Sub-Component 1.1: ATIGA Implementation with Focus on Transparency and NTMs

This Sub-Component groups together Activity 1.1.1 on “*Supporting the Effective Implementation of the ATIGA (ATR and NTRs)*”, Activity 1.1.2 on “*Identifying, Classifying and Notifying NTMs*”, and Activity 1.1.3 on “*Supporting the Coordinating Committee for the Implementation of the ATIGA*”. The key objective of this cluster of activities is the effective implementation of the ATIGA, particularly its commitments on trade-related regulatory transparency and the operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs). A critical ‘*by-product*’ of this transparency effort will be the identification, classification and upload on the NTRs/ATR of the AMSs' non-tariff measures (NTMs) and the updating of the ‘*NTMs Database*’ mandated under Articles 11, 13 and 40 of the ATIGA, which looks poised to enhance trade facilitation within the region and allow for progressive NTMs' streamlining, where need be, and for the NTMs that amount to non-tariff barriers (NTBs) to be removed. ‘*On demand*’ assistance will be provided to CCA (*e.g.*, vis-à-vis the ‘*NTMs Guidelines*’ being developed) and coordination will be ensured between the activities implemented to effectively implement the ATIGA and those focussing on trade facilitation, private sector engagement, as well as with the ARISE Plus National Programmes and the other development partners, as relevant.

Outcome

Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs)

Rationale:

Enhanced trade-related regulatory transparency is critical for the effective implementation of the ATIGA and one of the key objectives of the AECB 2025. There is no better catalyst for effective regional economic integration, intra-ASEAN trade facilitation, and increased legal certainty and commercial predictability within ASEAN than transparency. The ATIGA requires that an ASEAN Trade Repository (ATR) be established and fed trade-related information from a network of National Trade Repositories (NTRs) located in the AMSs. The ATR has been established and is operational, but not all AMSs have completed the process of setting up NTRs (in some countries these are called trade portals) or online repositories, and much remains to be done for the ATR to become a comprehensive, reliable and ATIGA-compliant repository or trade-related information from all AMSs.

ARISE Plus aims at continuing to support the effective implementation of the ATIGA through improved transparency and predictability of trade rules and procedures, by assisting AMSs to complete the development of the ATR and the NTRs in all AMSs, improving the quantity and quality of the information uploaded on those electronic platform in line with the ATIGA Article 13 requirements and the UNCTAD 2012 NTMs classification structure, encouraging the use of more uniform content, and ensuring regular maintenance, with additional support to CLMV as required, and assisting with the updating of the '*NTMs Database*' mandated under Articles 11, 13 and 40 of the ATIGA. The ATR can only be considered fully operational insofar as information is available on the NTRs and properly web-linked to the ATR. Therefore, the first focus area is to ensure that AMSs' NTRs are sufficiently organized, populated and up to date; this in turn requires information to be properly collected, classified, packaged and uploaded on the NTRs throughout ASEAN.

Once a critical mass of properly '*packaged*' information is available, it will be progressively uploaded to the ATR, thereby increasing its search capability and the value to users. This process will unfold on a rolling basis. Implementation of the activity will need to factor in AMSs' needs, other donors' initiatives, and the ability of ARISE to co-ordinate with other EU technical assistance projects in the ASEAN region (notably in Cambodia, Indonesia, Lao PDR, Myanmar, the Philippines and Vietnam).

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs)	
Main Beneficiaries	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN.
Planned Tasks	<ul style="list-style-type: none">• Conduct a preliminary quantitative and qualitative '<i>mapping exercise</i>' of the current status of the individual NTRs and their linkages to the ATR in terms of: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, correct categorization, completeness, comprehensiveness and reliability of the information so far uploaded on the ATR; and the apparent areas of deficiency. Each AMS shall be visited for 2-3 WDs by a team of two ARISE Plus experts (<i>i.e.</i>, KE2 and the SNKE that will act as lead expert in the subsequent longer missions to gather detailed information);• Hold a regional workshop bringing together all the AMSs' NTR Focal Points or Coordinating Committees Leads to assess the state-of-play of the



Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)		
	<p>NTRs/ATR system on the basis of the '<i>mapping exercise</i>', plan the interventions and agree on each AMSs' needs and preparatory work, including in relation to the process of NTMs' notifications and updating of the '<i>NTMs Database</i>' under Articles 11, 13 and 40 of the ATIGA;</p> <ul style="list-style-type: none"> • Deploy specialised teams of three experts (<i>i.e.</i>, a team of two SNKEs and one local JNKE to each AMS) to engage with the authorities of each AMS in charge of the respective NTRs and of the web-linkages to the ATR. Each mission will last approximately 1-2 weeks and be conducted together with the tasks under Activity 1.1.2 (in relation to NTMs). The objective of the mission is to gather quality information under each of the 9 mandated categories of ATR transparency under Article 13 of the ATIGA (including NTMs, accomplished under Activity 1.1.2), properly '<i>package</i>' it, upload it on the respective NTRs, upload it on the ATR, and provide '<i>on the job</i>' training to AMSs' Government officials; • Hold, as part of the deployment of the teams of ARISE Plus experts and where necessary, specialised training and capacity building events in the AMSs, targeting small groups of beneficiaries from line agencies (<i>e.g.</i>, Customs, SPS, TBT), or outreach and awareness-creation initiatives to the benefit of the private sector; • Define (in cooperation and agreement with CCA), design the IT software and operationalize a new page on the ATR where users (<i>e.g.</i>, private sector) can interactively seek feedback from AMSs on transparency and obtain specific missing trade-related information, including on NTMs through a possible '<i>Report an NTM</i>' interactive feature; and • Provide '<i>on-demand</i>' assistance to AMSs, as required and on a '<i>rolling basis</i>', to address needs in relation to the processes of information gathering, proper '<i>packaging</i>', uploading on the respective NTRs, and web-linking to the ATR, and updating the '<i>NTMs Database</i>'. 		
Outputs		Time delivery	of
	<ul style="list-style-type: none"> • Complete a report on the preliminary quantitative and qualitative '<i>mapping exercise</i>' of the current status of the individual AMSs' NTRs and their linkages to the ATR in terms of: the amount of information uploaded so far by each AMS; the systematic web-linkage to the ATR of the information available on the respective AMSs' NTRs; the uniformity, correct categorization, completeness, comprehensiveness and reliability of the information so far uploaded on the ATR; and the apparent areas of deficiency; • One regional workshop is held to the benefit of all the AMSs' NTR Focal Points or ATR Coordinating 	<p>Q1</p> <p>Q1</p>	



Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)	
	<p>Committees' Leads to assess the state-of-play of the NTRs/ATR system on the basis of the '<i>mapping exercise</i>', plan the interventions and agree on each AMSs' needs and preparatory work, including in relation to the process of NTMs' notifications and updating of the '<i>NTMs Database</i>' under Articles 11, 13 and 40 of the ATIGA;</p> <ul style="list-style-type: none"> Quality information is gathered, in each AMS, under each of the 9 mandated categories of ATR transparency under Article 13 of the ATIGA (including NTMs, accomplished under Activity 1.1.2), properly '<i>package</i>' it, upload it on the respective NTRs, upload it on the ATR, and provide '<i>on the job</i>' training to AMSs' Government officials; Specialised training and capacity building events are conducted in the AMSs, targeting small groups of beneficiaries from line agencies (e.g., Customs, SPS, TBT), and/or outreach and awareness-creation initiatives (e.g., seminars, conferences, tutorials) are implemented to the benefit of the private sector; IT software is designed and a new page on the ATR is operationalized where users (e.g., private sector) can interactively seek feedback from AMSs on transparency and obtain specific missing trade-related information, including on NTMs through a possible '<i>Report an NTM</i>' interactive feature; and On-demand assistance is provided to AMSs, as required and on a '<i>rolling basis</i>', to address needs in relation to the processes of information gathering, proper '<i>packaging</i>', uploading on the respective NTRs, and web-linking to the ATR. 	<p>Q2-Q4</p> <p>Q2-Q4</p> <p>Q2-Q3</p> <p>Q1-Q4</p>
Expected Results	<ul style="list-style-type: none"> AMSs' NTR Focal Points or ATR Coordinating Committees' Leads, as well as the relevant authorities involved in the processes of information gathering, proper '<i>packaging</i>', uploading on the respective NTRs, web-linking to the ATR, and updating the '<i>NTMs Database</i>', are trained; All 9 categories of ATR transparency under Article 13 of the ATIGA and quantitatively and qualitatively improved both on the respective AMSs' NTRs and on the ATR; Greater awareness and utilization of the ATR by private sector and the public at large is achieved (to be measured by the monthly IT traffic data on the ATR); and 	<p>Q4</p> <p>Q4</p> <p>Q4</p>



Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs)		
NKE required	<ul style="list-style-type: none"> A new interactive page on the ATR is operationalized, thereby allowing users to request AMSs for trade-related information that is still missing on the NTRs/ATR or to '<i>report and NTM</i>' for greater transparency to be provided. 	Q3
	<ul style="list-style-type: none"> Two SNKEs with expertise in WTO/ASEAN transparency processes and academic/professional background in international trade law; One Senior IT Expert; and One JNKE proficient in the language of the respective AMS and able to assist the SNKEs with data collection. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	64
	SNKE	155
	JNKE	50
	Incidentals	€ 92.209

Activity 1.1.2 Identifying, Classifying and Notifying NTMs

Rationale:

One of the key objectives of the AECB 2025 is the progressive reduction or elimination of border and behind-the-border regulatory barriers that impede trade, in order to achieve the competitive, efficient, and seamless movement of goods within the region. The ATIGA contains important disciplines on NTMs in Articles 11, 13 and 40. In relevant part, AMSs must ensure the transparency of their NTMs by: 1) notifying new or modified NTMs to ASEC and SEOM; 2) having them incorporated by ASEC in a dedicated database (*i.e.*, the '*NTMs Database*'); and 3) reflecting the adopted NTMs on their respective NTRs and web-linking them to the ATR.

NTM notification procedures have been codified, with assistance from ARISE, on the basis of template forms aimed at facilitating AMSs' compliance vis-à-vis similar transparency obligations under the WTO. AMSs have endorsed the templates within CCA. However, reportedly, the process of systematic NTM notification by AMSs to ASEC and SEOM is not taking place, with no ASEC consolidation and maintenance/updating of the required '*NTMs Database*' (evidenced by the partial and outdated copied posted on the ASEAN website). The sole focus presently relates to NTBs and TBTs, largely through the efforts of other ASEAN bodies.

ARISE Plus aims at greatly advancing the process of identification, classification and notification of all AMSs' NTMs, reflected on their respective NTRs and via the ATR, and at assisting ASEC and AMSs to update the ASEAN '*NTMs Database*', which shall be maintained by the ASEC on the basis of data notified by AMSs. The focus of the activity shall be on assisting all AMSs to identify, classify, '*package*' and upload their NTMs onto their NTRs and the ATR. ARISE Plus shall do so by supporting AMSs to do their research and their '*packaging*' work domestically, in line with the guidelines endorsed by CAA in 2014 and the 2012 UNCTAD NTMs Classification. ARISE Plus shall also assist AMSs to '*validate*' and properly '*package*', for ATR-upload purposes, existing NTMs databases (*e.g.*, AMSs' online repositories, UNCTAD/ERIA NTMs database, WTO SPS/TBT notifications, etc.). The '*NTMs Database*', on the other hand, requires a willingness among AMSs to systematically notify their NTMs to the ASEC/SEOM for it to be constantly updated, which may not be seen as a priority.

Activity 1.1.2 Identifying, classifying and notifying NTMs	
Main Beneficiaries	ASEC's TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN
Planned Tasks	<ul style="list-style-type: none">• Undertake an average of one NTM training and capacity building mission in each AMS to engage with the authorities of each AMS competent for the adoption of NTMs, including small groups of beneficiaries from specialised line agencies (<i>e.g.</i>, Customs, SPS, TBT). The training modules shall focus on the process of NTM identification, classification, notification, '<i>packaging</i>' for NTR upload, and web-linking to the ATR, as well as on the regular notifications of NTMs and updating of the '<i>NTMs Database</i>'. <u>This task will be implemented in parallel to Activity 1.1.1;</u>• Collect, classify, '<i>package</i>' for upload on the NTRs around 200 NTMs for each AMS in the key areas of ASEAN trade relevance, upload on the respective AMSs' NTRs, and web-link the information to the ATR. <u>This task will be implemented in parallel to the tasks being conducted under</u>

Activity 1.1.2 Identifying, classifying and notifying NTMs		
Outputs	<p><u>Activity 1.1.1 for purposes of ATIGA transparency and ATR operationalization;</u></p> <ul style="list-style-type: none">• Harmonise the quality of the NTMs web-linked to the ATR across all AMSs and in line with the ATR’s operational guidelines endorsed by CCA in 2014 and the 2012 UNCTAD NTMs Classification. <u>This task will be progressive in nature and implemented in parallel to Activity 1.1.1;</u>• Assist for purposes of AMSs systematically notifying NTMs to ASEC and SEOM, in line with Articles 11, 13 and 40 of the ATIGA, with the ASEC consolidating and maintaining/updating the required ‘<i>NTMs Database</i>’. This task would be implemented by means of a regional workshop to train AMSs’ Focal Points in notifying NTMs and the ASEC is maintaining the NTMs Database; and• Pursue co-operation and synergies with other EU technical assistance projects in selected AMSs (<i>i.e.</i>, ARISE Plus national programmes in CLMV, plus Indonesia and Philippines) and/or with other donors active in the NTMs area (<i>e.g.</i>, World Bank, USAid, UNCTAD/ERIA, etc.). <u>This task will be implemented in parallel to Activity 1.1.1.</u>	
Expected Results	<ul style="list-style-type: none">• Reports and training materials are produced and delivered for NTMs training and capacity building missions in each AMS;	Q2 – Q4
	<ul style="list-style-type: none">• An average of 200 NTMs from each AMS are collected, classified, properly ‘<i>packaged</i>’ for upload on the NTRs, uploaded on the respective AMSs’ NTRs, web-linked to the ATR, and updated on the ‘<i>NTMs Database</i>’; and• Training is provided and capacity is built for AMSs to notify NTMs to the ASEC and for the ASEC to compile, consolidate and maintain/update the ‘<i>NTMs Database</i>’ mandated under Articles 11, 13 and 40 of the ATIGA, with one regional training workshop held.	Q2 – Q4 Q3 or Q4
	<ul style="list-style-type: none">• The AMSs’ competent authorities, tasked with NTMs adoption and notification for transparency purposes, are better able to gather NTMs, classify them, properly ‘<i>package</i>’ them, upload them on the respective NTRs, web-link them to the ATR, and update the ‘<i>NTMs Database</i>’, in line with ATIGA requirements;• Category 4 of the ATR on AMSs’ NTMs transparency is quantitatively and qualitatively improved, both on the respective AMSs’ NTRs and on the ATR; and• AMSs effectively comply with their ATIGA requirement to notify NTMs to the ASEC and the ASEC is able to	Q4 Q4 Q4



Activity 1.1.2 Identifying, classifying and notifying NTMs									
	compile, consolidate and maintain/update the ' <i>NTMs Database</i> ' mandated under Articles 11, 13 and 40 of the ATIGA.								
NKE required	<ul style="list-style-type: none"> Two SNKEs with expertise in WTO/ASEAN transparency processes and academic/professional background in international trade law (<u>the same experts retained for Activity 1.1.1</u>) 								
Resources (inputs)	Expert days <table> <tr> <th>Experts</th><th>Work Days</th></tr> <tr> <td>KE 2</td><td>14</td></tr> <tr> <td>SNKE</td><td>112</td></tr> <tr> <td>Incidentals</td><td>€ 54.565</td></tr> </table>	Experts	Work Days	KE 2	14	SNKE	112	Incidentals	€ 54.565
Experts	Work Days								
KE 2	14								
SNKE	112								
Incidentals	€ 54.565								

Activity 1.1.3 Supporting the Coordinating Committee on the Implementation of the ATIGA

Rationale:

As argued for Activities 1.1.1 and 1.1.2, transparency stands out as one of the most important drivers of trade facilitation and the attainment of TF objectives under both the ATIGA and the AEC Blueprint 2025. The ATR structure and reach, as mandated under Article 13 of the ATIGA, is one of the most ambitious ASEAN undertakings and tools for regulatory and trade-related transparency in the world. Transparency is systematically recalled in all the high-level legal instruments adopted by ASEAN and in all declarations made by ASEAN leaders. Therefore, the support of ASEAN and AMSs for the effective implementation of the ATIGA depends on constant co-ordination, support and engagement with the relevant ASEAN bodies: primarily the Senior Economic Officials Meeting (SEOM) and the Coordinating Committee on the Implementation of the ATIGA (CCA), but also vis-à-vis the ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC), and other ASEAN bodies, such as ACCSQ, and the Customs DGs.

ARISE Plus aims at providing further institutional support to the relevant ASEAN bodies, primarily to CCA, and at systematically coordinating the technical assistance activities under ARISE Plus in light of AMSs' needs, priorities and objectives, particularly with respect to transparency (Activities 1.1.1 and 1.1.2). The focus of this activity should be on the regular engagement with CCA and the other relevant ASEAN bodies (*inter alia*, ASEC's DSG for AEC, ASEC's Trade Facilitation Division, SEOM, and ATF-JCC). This proximity, and the systematic participation (upon invitation) to meetings of these ASEAN bodies, will be instrumental to the success of ARISE Plus.

Activity 1.1.3	Supporting the Coordinating Committee on the Implementation of the ATIGA
Main Beneficiaries	CCA and ASEC's TFD
Planned Tasks	<ul style="list-style-type: none">• Participate (upon invitation) to all meetings of the CCA and (upon invitation) to meetings of the other ASEAN bodies relevant for purposes of ATIGA implementation (<i>i.e.</i>, SEOM and ATF-JCC, <i>inter alia</i>) for purposes of giving technical presentations and reports to AMSs on the ARISE Plus activities, on the continuing needs for ARISE Plus support towards ATIGA implementation, and on the proposals for cooperation and implementation to be endorsed by AMSs; and• Hold one '<i>on demand</i>' dedicated workshop on an issue of particular AMSs' interest (<i>e.g.</i>, the development and drafting of the '<i>NTMs Guidelines</i>'), back-to-back with a meeting of the relevant ASEAN body (<i>e.g.</i>, CCA) and thereby provide '<i>on demand</i>' assistance to AMSs on selected issues of ATIGA implementation.



Activity 1.1.3 Supporting the Coordinating Committee on the Implementation of the ATIGA		
Outputs		Time of delivery
	<ul style="list-style-type: none"> Regular PowerPoint Presentations and substantive reports given to CCA and AMSs on ARISE Plus activities to support ATIGA implementation; and One regional workshop organized '<i>on demand</i>' on a selected issue of relevance to ATIGA implementation and falling within the scope of CCA. 	<p>Q1 – Q4</p> <p>Q1 – Q4</p>
Expected Results	<ul style="list-style-type: none"> Continued enhancement of the institutional capacity of the relevant ASEAN bodies, and particularly of CCA, thereby ensuring that the objectives under the ATIGA, the AEC Blueprint 2025 and AEC 2025 CSAP are achieved; and Continued engagement by ARISE Plus as the primary technical assistance partner of ASEC and AMSs with respect to ATIGA implementation, particularly in the areas of ATR/NTRs transparency; NTMs identification, classification and notification; ASSIST operationalization; and trade facilitation. 	<p>Q4</p> <p>Q4</p>
NKE required	<ul style="list-style-type: none"> One SNKE with the technical expertise required to deliver the '<i>on demand</i>' assistance requested by CCA at the dedicated workshop. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	15
	SNKE	15
	Incidentals	€ 14.695

Sub-Component 1.2 Trade Facilitation and Private Sector Engagement

This Sub-Component groups together Activity 1.2.1 on the “*Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors*”, Activity 1.2.2 on “*Supporting the ASEAN Trade Facilitation Joint Consultative Committee*”, Activity 1.2.3 on “*Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation*”, Activity 1.2.4 on “*Assisting ASEC and AMSs in TF-Related Policies and Dialogue*”, and Activity 1.2.5 on “*Strengthening Public-Private Cooperation*”. The key objective of this cluster of activities is the enhancement of trade facilitation within the region and the support and coordination of private sector engagement, particularly MSMEs and primarily through ABAC, in line with the objectives and drivers laid out in the AEC Blueprint 2025.

The broader context of this cluster of activities is ASEAN trade facilitation, which will be assisted by ARISE Plus mainly through on-demand support of the ATF-JCC, of ASEAN-EU dialogue on trade facilitation, and of the setting and implementation of ASEAN trade facilitation policies. Considerable efforts will be made to fully operationalize ASSIST, as the preeminent tool of public-private cooperation and trade facilitation, including its roll-out for addressing trade in services and trade-related investment measures. A stronger interface between ASEAN institutions and the private sector must therefore be seen within the parallel contexts of the efforts being made by ASEAN to increase AMSs’ regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), and to implement and improve other key ASEAN trade facilitation instruments adopted to the primary benefit of the private sector (*e.g.*, ACTS, ASW, Tariff Finder, MRAs, etc.).

This cluster of activities will have an important ‘*on demand*’ dimension, particularly in Activities 1.2.3, 1.2.4 and 1.2.5. For instance, reference has been made during the coordination meetings held with AMSs within the ATF-JCC, during the inception period of ARISE Plus to the wish that ARISE Plus minimize or even abandon work on self-certification of origin scheme and rather assist AMSs in adopting solid legal frameworks regionally and nationally on e-commerce, which is considered a powerful enabler of trade facilitation.

Outcome

Private sector engagement is enhanced through increased participation in the activities of ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Rationale:

ASSIST is one of the key trade facilitation tools adopted by ASEAN to implement the ATIGA and allow the private sector (*i.e.*, ASEAN-based businesses, chambers of commerce and trade associations) to directly and autonomously engage with the AMSs with respect to the regional integration agenda and to address NTMs, NTBs and/or other operational issues affecting intra-ASEAN trade in goods, with a view to achieving trade facilitative solutions. Initially operationalized for trade in goods, installation of the system has been completed, and ASEC and competent AMS Focal Points and relevant authorities were trained, with awareness-raising events also held for the private sector. To date, however, only very few cases have been triggered through ASSIST. Discussions held within SEOM suggest the current low utilisation of ASSIST by the private sector is the result of a combination of factors, notably: 1) the complainants' fears of possible '*retaliation*' by AMSs; 2) a lack of trust in the current anonymity features; 3) the availability of ASSIST only in English; and 4) the relative lack of awareness by the private sector on the existence of ASSIST, its user-friendly features and mechanisms, and areas (other than tariffs) in which integration measures have been carried out.

ARISE Plus aims at supporting the full operationalization and management of ASSIST, including its roll-out vis-à-vis trade in services and trade-related investment measures (TRIMs), which is one of the deliverables for Singapore's 2018 ASEAN Chairmanship. This should provide ASEAN with an improved and more effective tool for trade facilitation and private sector engagement, in line with the ATIGA and the AEC Blueprint 2025.

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	
Main Beneficiaries Planned Tasks	SEOM, ATF-JCC, CCA, CCA, CCI, AMSs' ASSIST Focal Points, ASEC's TFD and Private Sector
	<ul style="list-style-type: none"> • Translate into all ASEAN languages of the website pages and operating manuals; • Define the technical options to increase anonymity, seek endorsement from AMSs within SEOM, and implement the chosen approach by adjusting the IT software and amending the operating manuals; • Cooperate with ASEC, SEOM and AMSs' respective Focal Points in order to conceptualize, define, structure and roll-out ASSIST in the additional area of trade in services. This task will include the necessary IT design and system upgrades, as well as training of ASSIST Services Focal Points in each AMS; and • Hold regular awareness creation events and initiatives, in each AMS and in regional contexts, in cooperation with relevant private sector stakeholders (<i>i.e.</i>, chambers of commerce, trade associations, law societies, academia, media), in all AMSs.
Outputs	Time of delivery



Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors		
	<ul style="list-style-type: none"> Website pages and operating manuals are translated into all ASEAN languages; 	Q1 – Q2
	<ul style="list-style-type: none"> The option of anonymity in lodging ASSIST complaints is improved and the related IT software and operating procedures are built into the system and updated; 	Q1 – Q2
	<ul style="list-style-type: none"> One regional training and capacity building workshop is held to update and retrain the AMSs' authorities involved in trade in goods (ASSIST Goods Focal Points) in light of the improvement brought to ASSIST; 	Q3
	<ul style="list-style-type: none"> The concept for ASSIST Services is defined, in cooperation and discussion with AMSs, and the related IT software design, adaptation of the operating manuals, and system roll-out are completed; 	Q1 – Q2
	<ul style="list-style-type: none"> ASSIST Services is 'soft launched' at the ABIS in November 2018 in Singapore; 	
	<ul style="list-style-type: none"> ASSIST Services is fully operationalized with the AMSs' ASSIST Services Focal Points trained and ready to receive possible complaints; and 	Q3
	<ul style="list-style-type: none"> One awareness-creation event on ASSIST is held in each AMS to the benefit of private sector stakeholders, reaching out to at least 10 business entities (e.g., trade associations, chambers of commerce, business councils, law societies, academia, media, etc.) in each AMS, broadly representative of several economic sectors. 	Q4 Q2 – Q4
Expected Results	<ul style="list-style-type: none"> ASSIST Goods is improved and ASSIST Services is conceptualized, structured and fully operationalized; and 	Q4
	<ul style="list-style-type: none"> A significant number of ASEAN private sector stakeholders becomes aware of ASSIST, with the concomitant increase in the number of complaints filed and addressed. 	Q4
NKE required	<ul style="list-style-type: none"> One SNKE with the IT skills to design and upgrade the ASSIST platform; and Several SNKEs proficient in alternative dispute settlement solutions or training of government officials or private sector. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	32



Activity 1.2.1 **Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors**

	SNKE	74	
	Incidentals	€ 40.747	

Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee

Rationale:

In view of the need to enhance cross-sectoral coordination, both the ATIGA and the AEC Blueprint 2025 call for a strengthened ATF-JCC and more effective interaction, respectively, with the relevant ASEAN bodies, private sector, and international institutions. Considerable amount of work needs to be conducted in order to meet the objectives of the Strategic Action Plan on Trade Facilitation (ATFSAP) for 2017 to 2025 and the biannual ASEAN Trade Facilitation Work Plans (ATFWPs) called for by ATIGA. Such ATFWPs will then need to be implemented, monitored, and reported to SEOM with support from the ATF-JCC. Institutional capacity building is needed, in particular, to support ATF-JCC's engagement with the private sector (*i.e.*, ABAC and other business councils) and its co-ordination with the relevant ASEAN sectoral bodies. The ATF-JCC is expected to meet 3-4 times per year, with ASEC's TFD be servicing the meetings. A critical step will be the operationalization of the ATF-JCC's engagement with the relevant ASEAN bodies in those areas where the bulk of work planning, monitoring and co-ordination activities are needed.

ARISE Plus aims at ensuring that the ATF-JCC is effectively supported in its engagement with the private sector and with other ASEAN bodies, delivering the results required of it, starting from the definition and delivery of its ATFWPs and meeting the objectives set in the ATFSAP, in line with the drivers of the AEC Blueprint 2025. The focus of the activity should be on the effective and regular engagement of all relevant ASEAN bodies involved in the process of trade facilitation: ASEC's DSG for AEC; ASEC's Trade Facilitation Division (TFD); SEOM; ATF-JCC; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; etc. Most importantly, the ATF-JCC needs to be supported in its engagement of the private sector, working closely with ABAC and other relevant ASEAN business councils and private sector organizations to develop, execute and monitor its ATFWPs and ATFSAP, as well as catering to the specific requests of the private sector.

Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee	
Main Beneficiaries	ATF-JCC; ASEC's DSG for AEC; ASEC's TFD; SEOM; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; AMSs and Private Sector
Planned Tasks	<ul style="list-style-type: none">• Support ATF-JCC by participating (upon invitation) in all ATF-JCC meetings, with assistance provided through technical presentations and reporting, especially where TF issues of interest to the private sector, and on issues where ARISE Plus is providing assistance to ASEAN, are being discussed;• Hold up to three half-a-day ARISE Plus Roundtable Discussions on Trade Facilitation, back-to-back to each meeting of the ATF-JCC or in stand-alone events between July 2017 and February 2018, in order to foster informal dialogue between ASEAN officials, private sector representatives and independent experts on specific trade facilitation issues;• Meet regularly with ASEAN-based private sector representatives (<i>i.e.</i>, ABAC and other relevant ASEAN business councils and private sector organizations) in order to facilitate their engagement with ASEAN institutions and relevant bodies within ATF-JCC; and• Provide 'on demand' institutional support and capacity building to the ATF-JCC and ASEC's TF Division, particularly in terms of discussing,



Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee		
	drafting, monitoring, evaluation and reporting on the implementation of the ATFWPs and ATFSAP.	
Outputs		Time delivery of
	<ul style="list-style-type: none">Power Point presentations and reports are delivered at ATF-JCC in order to brief ATF-JCC on the trade-facilitation support being provided by ARISE Plus and to build capacity on issues of relevance and need for AMSs;	Q1 – Q4
	<ul style="list-style-type: none">Up to three informal dialogues are held, within the ARISE Plus Roundtable Discussions on Trade Facilitation back-to-back to each meeting of the ATF-JCC, between ASEAN officials, private sector representatives and independent experts on specific trade facilitation issues; and	Q2 – Q4
	<ul style="list-style-type: none">‘On demand’ capacity building is provided on selected issues of trade facilitation, as requested by ATF-JCC and/or ASEC’s TFD, including through the provisions of monitoring and evaluation reports demonstrating progress in the area of trade facilitation vis-à-vis ATFF, ATFSAP and ATFWPs.	Q1 – Q4
Expected Results	<ul style="list-style-type: none">Greater institutional coordination and cooperation among ASEAN relevant bodies and AMSs within the ATF-JCC; and	Q4
	<ul style="list-style-type: none">Greater private sector engagement within ATF-JCC and ability of ASEAN to cater for private sector’s requests, particularly in terms of addressing trade irritants, facilitating trade, streamlining NTMs and removing NTBs.	Q4
NKE required	<ul style="list-style-type: none">SNKEs with the technical expertise required to assist ATF-JCC with the ‘on demand’ activities.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	12
	SNKE	18
	Incidentals	€ 18.246



Activity 1.2.3 Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation

Rationale:

Regular policy dialogue on trade facilitation between the EU and ASEAN can help ASEAN make informed policy choices and ensure that the results of its trade facilitation initiatives make ASEAN an easier place in which to trade intra-regionally, and also for the purposes of favouring international trade, foreign investment, and increased participation in global value chain activities. The specific initiatives for ASEAN-EU policy dialogue on trade facilitation issues will be largely demand-driven, as during implementation of the ARISE Programme.

Coordination will be needed vis-à-vis the activities implemented by the EU's e-READI project, especially its economic dimensions, in order to avoid duplication and overlaps. ARISE Plus is expected to focus its policy dialogue initiatives and take the lead on support to trade facilitation in areas of its core engagement with ASEAN, as well as in relation to transparency and engagement with the private sector (primarily MSMEs). ARISE Plus aims at fostering high-level policy dialogue between ASEAN and the EU, with a view to assisting ASEAN's decision-making processes (through increased awareness and understanding among decision makers of TF in both intra- and extra-regional contexts).

The focus of its demand-driven activities should be on identifying international best practices and comparing ASEAN's needs and possible solutions, to those of the EU. High-level policy dialogues in the areas of ARISE Plus involvement should enable relevant ASEAN sectoral bodies to better pursue the objectives mandated in their respective Strategic Action Plans and Work Programmes.

Activity 1.2.3 Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation		
Main Beneficiaries	ATF-JCC, SEOM, ASEC's TFD	
Planned Tasks	<ul style="list-style-type: none"> Support of demand-driven initiatives to foster high-level policy dialogue on trade facilitation through studies, conferences, seminars, study-tours and other meetings/events between EU and ASEAN officials in the areas supported by ARISE Plus (<i>e.g.</i>, e-commerce as a trade facilitation enabler). 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> At least one policy dialogue initiative is supported, as and when requested by ASEAN and/or the EU. 	Q1 – Q4
Expected Results	<ul style="list-style-type: none"> Capacity is built within ASEAN on key trade facilitation issues on the basis of the needs identified and indicated by ASEAN and in light of EU best practices and policy guidance. 	Q4
NKE required	<ul style="list-style-type: none"> One or more SNKEs with the technical expertise required to implement the 'on demand' activities. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	0
	SNKE	6
	Incidentals	0



Activity 1.2.4 Assisting ASEC and AMSs in TF-Related Policies and Dialogue

Rationale:

ASEAN has adopted, and expects to implement, several strategic measures in its bid to increase its competitiveness. In particular, ASEAN will establish effective competition regimes by agreeing and implementing competition policies (and legal frameworks for such policies) in all AMSs, based on international best practices and the agreed-upon ASEAN competition guidelines. The capacities of competition-related agencies in the region is being enhanced by establishing and implementing institutional mechanisms necessary for the effective enforcement of national competition laws, including comprehensive technical assistance and capacity building. Regional co-operation arrangements on competition policy and law should be reached through competition enforcement co-operation agreements to empower AMSs to deal with cross-border commercial transactions. Greater harmonisation of competition policy and law in ASEAN would be enhanced by the development of a regional strategy on convergence, and by further strengthening competition policy and law in ASEAN taking into consideration the relevant international best practices.

With respect to consumer protection, a common ASEAN Consumer Protection Framework is needed with higher levels of consumer protection legislation, improved enforcement and monitoring of consumer protection legislation, and establishing effective forms of redress, including alternative dispute resolution mechanisms. Higher consumer confidence and increased cross-border commercial transactions would result from strengthening product safety enforcement, particularly in the key sector of food safety and pharmaceutical products, stronger participation of consumer representatives, and promotion of sustainable consumption.

These and other areas related to trade facilitation, for instance national and cross-border e-commerce regulation and facilitation, are seen as key drivers to achieve the broader goal of regional economic integration and are policy areas where the EU has considerable experience and expertise.

The objective of this activity is to enhance competition law and policy, consumer protection, and other regulatory areas and sectoral initiative, for instance e-commerce, that are seen as key enablers of ASEAN trade facilitation. The specific engagement by ARISE Plus will be demand-driven and the focus of its activities shall be primarily on identifying relevant international best practices by comparing ASEAN's needs, policies and mechanisms to those of the EU, always in coordination with e-READI and with the national ARISE Plus programmes, where relevant.

Activity 1.2.4 Assisting ASEC and AMSs in TF-Related Policies and Dialogue		
Activity 1.2.4 Main Beneficiaries Planned Tasks Outputs	SEOM, ATF-JCC, ASEC, AMSs	
	<ul style="list-style-type: none">• Support of demand-driven initiatives to enhance ASEAN trade facilitation in related areas such as competition law and policy, consumer protection (in the sectors of food safety and pharmaceutical products), and e-commerce, <i>inter alia</i>, through conferences, seminars, study-tours, position papers and other initiatives, as necessary.	
		Time of delivery



Activity 1.2.4 Assisting ASEC and AMSs in TF-Related Policies and Dialogue		
	<ul style="list-style-type: none"> 1 ‘on demand’ event or initiative (e.g., conference, seminar, study-tour, position paper) is implemented in one of the areas of requested intervention (i.e., competition law and policy, consumer protection, and/or e-commerce), as and if requested by ASEAN. 	Q1 – Q4
Expected Results	<ul style="list-style-type: none"> The capacity of ASEAN officials to negotiate, define, draft, adopt, transpose and implement policies in the areas of requested intervention (i.e., competition law and policy, consumer protection, and/or e-commerce) is increased and based on the relevant international best practices and on the assessment and comparison of ASEAN’s needs, policies and mechanisms to those of the EU. 	Q4
NKE required	<ul style="list-style-type: none"> One or more SNKE with the technical expertise required to implement the ‘on demand’ activities. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	0
	SNKE	10
	Incidentals	0

Activity 1.2.5 Strengthening Public-Private Cooperation

Rationale:

The role of the private sector in ASEAN integration is important as a key stakeholder in the process. The AEC Blueprint 2025 recognises that greater involvement of the private sector and more structured participation will be beneficial to the achievement of all ASEAN goals. Private sector inputs and partnerships are essential not only in designing regional strategies and initiatives, but also in identifying impediments to realising deeper regional economic integration. Currently, the private sector only engages with some of the many ASEAN sectoral bodies and in diverse forms and with differing degrees of participation, sophistication and success. The AEC Blueprint 2025 calls for the redoubling of efforts to engage the business sector, particularly MSMEs, to provide easier access to official information on implementation, and obtain timely feedback on policies. A stronger interface between ASEAN institutions and the private sector must be seen within the parallel contexts of efforts being made by ASEAN to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), and other key trade facilitation instruments that ASEAN is implementing to the primary benefit of the private sector (*e.g.*, ACTS, ASW, Tariff Finder, MRAs, etc.).

The ABAC, as the primary private sector apex body channelling the positions and needs of nine ASEAN+1 business councils and of 66 business entities, is the institutionalised representative of the private sector before the ATF-JCC, SEOM and the other relevant ASEAN sectoral bodies. Its role should be strengthened to ensure that it can convey the needs, views and contributions of the ASEAN private sector at large. Besides the now institutionalized engagement of private sector within the ATF-JCC, on the basis of the Guidelines for Private Sector Engagement, which have been drafted and adopted in line with the Outline of the Rules of Procedures for Private Sector Engagement under the AEC, there is a need to support the activities of the ABAC and the other business councils and ASEAN-representative associations, particularly in the CLMV and vis-à-vis MSMEs, in order to enable their effective participation to ASEAN initiatives and dynamics.

The objective of this activity is to enable the private sector to improve its ability to coordinate and cooperate with the ATF-JCC and all other relevant ASEAN sectoral bodies, particularly in view of the applicable Guidelines for Engagement and the ATFWPs. ARISE Plus aims at being a catalyst for the ASEAN's regular engagement with ABAC and the other key ASEAN business councils. For instance, co-ordination, support and guidance shall be provided to the EU-ASEAN Business Council (EABC), which has been tasked by ABAC to take primary responsibility for trade facilitation issues. The implementation of this activity has a horizontal nature across the three Components of ARISE Plus and shall also benefit of the support and overall coordination by Key Expert 1 (Team Leader) through Activity 0.2.

Activity 1.2.5	Strengthening Public-Private Cooperation
Main Beneficiaries	ABAC, EABC, ATFJCC, ASEC
Planned Tasks	<ul style="list-style-type: none">• Support of and coordination with ABAC and EABC, as well as ASEC's TFD and ATF-JCC Chair, with preparatory meetings, technical presentations and <i>ad hoc</i> reports, as required, for purposes of their attendance at meetings of the ATF-JCC with the private sector and of the private sector



Activity 1.2.5		Strengthening Public-Private Cooperation	
Outputs	with the other relevant ASEAN sectoral bodies within (<i>i.e.</i> , SEOM; ATF-JCC; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; etc.); and		
	<ul style="list-style-type: none">• Hold dedicated national workshops on trade facilitation issues of particular interest to ABAC and selected business councils, chambers of commerce and/or trade associations to support their engagement with the ATF-JCC.		
			Time of delivery
	<ul style="list-style-type: none">• Regular coordination meetings with ABAC and selected ASEAN business councils are held in order to prepare ATF-JCC meetings; and• One workshop is held in 3-4 AMSs, in co-operation with ABAC and to the benefit of the local business sectors, to create awareness about the ATF-JCC, the ATFWP and the ASEAN mechanisms available to achieve greater trade facilitation across the region.		Q1 – Q4 Q2- Q4
Expected Results	<ul style="list-style-type: none">• ABAC, EABC and other business councils, as relevant, will be able to more effectively articulate and convey their requests and comments to the ATF-JCC and other ASEAN bodies, in line with the applicable ASEAN Guidelines for Engagement.		Q4
NKE required	<ul style="list-style-type: none">• One or more SNKEs with the technical expertise required to implement the ‘<i>on demand</i>’ activities.		
Resources (inputs)	Expert days		
	Experts	Work Days	
	KE 2	14	
	SNKE	30	
	Incidentals	€ 13.500	



Sub-Component 1.3 ‘On-Demand’ Activities

This Sub-Component groups together Activity 1.3.1 on “*Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme*”, Activity 1.3.2 on “*Supporting the ASEAN Consultative Committee on Connectivity*”, and Activity 1.3.3 on “*Supporting the Transposition of Regional Agreements at National Level*”. The key objective of this cluster of activities is to provide specific on-demand support to ASEAN in areas that are intimately linked, conducive and relevant to the trade facilitation agenda of ASEAN. The specific tasks under these activities shall be defined and prioritized, on a rolling basis and on the basis of the requests by ASEAN, in coordination with the relevant ASEAN sectoral bodies. This cluster, together with the on-demand resources earmarked under Sub-Components 1.1 and 1.2, will ensure that ARISE Plus be flexible in nature and adaptable to the changing needs of ASEAN, while adhering to the broader objectives and outcomes mandated in the ToRs of ARISE Plus.

Outcome

Legal certainty, commercial predictability and trade facilitation are enhanced through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.



Activity 1.3.1 Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme

Rationale:

Certification of product rules of origin falls under Article 38 of the ATIGA, which states simply that treatment shall be supported by a Certificate of Origin (Form D), as set out in Annex 7 of the ATIGA, issued by a Government authority designated by the exporting AMS and notified to the other AMSs in accordance with the Operational Certification Procedures, as set out in Annex 8 of the ATIGA. It was subsequently agreed by the Sub-Committee on Rules of Origin that self-certification could be undertaken by manufacturers and/or traders, subject to post-clearance audit. Self-certification offers a potentially significant benefit to intra-regional trade.

If required by AMSs, ARISE Plus would support AMSs in the implementation of a coordinated and common approach to the self-certification of goods of ASEAN origin, in order to achieve maximum opportunities for trade facilitation within the region. This intervention is subject to AMSs' request and is fully '*on demand*' in nature.

Activity 1.3.1 Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme		
Main Beneficiaries	CCA, SCA-ROO, AMSs, Private Sector.	
Planned Tasks	<ul style="list-style-type: none"> • Provide '<i>on demand</i>' training and advice on implementation issues such as Post Clearance Auditing (PCA) and/or the provision of technical support and assistance to AMSs to boost their current national systems, if and as required; and • Create awareness, if requested, among AMSs' officials and private sector about the trade facilitation potential and functioning of the adopted ASEAN-wide self-certification of origin scheme. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> • Training workshops and awareness creation events are held in each AMSs, if requested, with PowerPoint presentations given and information materials disseminated. 	Q1 – Q4
Expected Results	<ul style="list-style-type: none"> • Trade facilitation through the self-certification of goods of ASEAN origin and smoother customs procedures are achieved, by means of increased knowledge of competent authorities and traders. 	Q4
NKE required	<ul style="list-style-type: none"> • One or more SNKEs with the customs and rules of origin expertise required to implement the '<i>on demand</i>' activities and training workshops. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	0
	SNKE	35



Activity 1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme		
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Incidentals	0	
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Activity 1.3.2 Supporting the ASEAN Connectivity Coordinating Committee (ACCC)

Rationale:

The MPAC 2025 calls on AMSs to “*harmonise or mutually recognise standards, conformance, and technical regulations for products in key sectors*” and to “*reduce the number of trade-distorting non-tariff measures across ASEAN Member States*”. The Master Plan is meant to ensure the synchronisation of ongoing sectoral strategies and plans within the frameworks of ASEAN and its sub-regions. Through enhanced Connectivity production and distribution networks across ASEAN should be deepened, widened, and become more entrenched in the global economy. An ASEAN Connectivity Coordinating Committee (ACCC) has been established, comprised of the Permanent Representatives to ASEAN or special representatives appointed by the ASEAN Member States. The Committee is expected to report regularly to the ASEAN Coordinating Council and to the other ASEAN Community Councils on the progress of and challenges faced in the implementation of the Master Plan.

ARISE Plus aims at supporting the ACCC to liaise with other regional/relevant bodies supporting trade facilitation, including with respect to the MPAC 2025 disciplines of seamless logistics, and regulatory excellence, including NTMs and the harmonisation or mutual recognition of standards, conformance, and technical regulations for products in key sectors. The focus shall be on activities geared to regional economic integration (*i.e.*, trade facilitation, border management, mutual recognition, standardization, etc.) and on areas falling within the priority support given by ARISE Plus, notably sectors identified in coordination with ACCSQ (in conjunction with Activity 2.1.1 on “*Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance*” under Component 2 of ARISE Plus).

Activity 1.3.2					
Main Beneficiaries	Supporting the ASEAN Connectivity Coordinating Committee ACCC, SEOM, ACCSQ, AMSs’ Regulators				
Planned Tasks	<ul style="list-style-type: none"> • Identify, in cooperation with ACCC and ACCSQ, three prioritised product groupings for the harmonisation of standards, mutual recognition and technical regulations; and • Assess the role that the actions undertaken within ACCSQ, ATF-JCC and relevant AMSs’ regulators may have to enhance regional connectivity, address NTMs and facilitate trade within ASEAN. 				
Outputs	<table border="1"> <thead> <tr> <th></th><th>Time delivery of</th></tr> </thead> <tbody> <tr> <td> <ul style="list-style-type: none"> • A study is conducted and the related workshop is held, as agreed with ACCC and ACCSQ, on the choice of prioritised product groupings for the harmonisation of standards, mutual recognition and technical regulations; and the role that the actions undertaken within ACCSQ and ATF-JCC may have to enhance regional connectivity, facilitate trade and address NTMs within ASEAN. </td><td>Q1 – Q4</td></tr> </tbody> </table>		Time delivery of	<ul style="list-style-type: none"> • A study is conducted and the related workshop is held, as agreed with ACCC and ACCSQ, on the choice of prioritised product groupings for the harmonisation of standards, mutual recognition and technical regulations; and the role that the actions undertaken within ACCSQ and ATF-JCC may have to enhance regional connectivity, facilitate trade and address NTMs within ASEAN. 	Q1 – Q4
	Time delivery of				
<ul style="list-style-type: none"> • A study is conducted and the related workshop is held, as agreed with ACCC and ACCSQ, on the choice of prioritised product groupings for the harmonisation of standards, mutual recognition and technical regulations; and the role that the actions undertaken within ACCSQ and ATF-JCC may have to enhance regional connectivity, facilitate trade and address NTMs within ASEAN. 	Q1 – Q4				
Expected Results	<ul style="list-style-type: none"> • The ACCC, ACCSQ, SEOM and the relevant AMSs’ regulators have access to the necessary expertise, 				



Activity 1.3.2 Supporting the ASEAN Connectivity Coordinating Committee		
	<p>technical insight and information required to discharge their functions; and</p> <ul style="list-style-type: none"> Greater coordination is achieved at all levels between the MPAC 2025, AEC Blueprint 2025 and ATFF. 	Q4
NKE required	<ul style="list-style-type: none"> One or more SNKEs with the technical expertise required to implement the '<i>on demand</i>' activities and hold the related workshop. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	0
	SNKE	20
	Incidentals	0



Activity 1.3.3 Supporting the Transposition of Regional Agreements at National Level

Rationale:

Increased centrality of the rule of law, the legal certainty of international trade commitments and concessions made by AMSs, and the availability of mechanisms to find solutions to trade problems, or to resolve commercial disputes, are key drivers being pursued by ASEAN in line with the AEC Blueprint 2025. The legal services of the ASEC should be further empowered, in terms of staff levels and capacity of the Legal Services and Agreements Directorate (LSAD) to systematically assist AMSs in the negotiation, drafting, interpretation and implementation of regional economic agreements. The variety of ratification processes and procedures at the national AMS level (and of divergent implementation and interpretation paths following translation into national languages and domestic legal process) undermines the credibility of ASEAN, the reliability of its instruments and legal certainty required for mechanisms such as the EDSM and ASSIST to work.

The process of harmonising (or aligning equivalent) regulations and MRAs at regional level, through the adoption of regional agreements transposed into national law, depends on the availability, both regionally and at national level, of highly trained legal professionals that can contribute to the adoption, domestic transposition and interpretation of ASEAN legal instruments. ARISE Plus aims at improving the quality of the legal instruments adopted at ASEAN level and their transposition into AMSs' national legislation, while building legal capacity within key Divisions of ASEC. This should have a natural focus on the areas of core engagement by ARISE Plus, for the legal instruments adopted within the AEC, notably the MRAs in key sectors that need domestic transposition (*i.e.*, food safety and pharmaceutical sector, in conjunction with Activity 2.1.4 on “*Transposing Regional Commitments into National Legislation*” under Component 2 of ARISE Plus).

Activity 1.3.3 Main Beneficiaries		Supporting the Transposition of Regional Agreements at National Level	
Planned Tasks		ASEC's LSAD and TFD, SEOM, CCA, ACCSQ and AMSs	
Outputs		<ul style="list-style-type: none"> • Support the requests of ASEC (<i>i.e.</i>, LSAD and/or TFD) to assist in the negotiation, drafting and adoption by AMSs of ASEAN legal instruments and regional agreements, particularly those with an economic nature and falling within the areas of technical assistance by ARISE Plus; and • Hold 'on demand' capacity building events on the transposition at AMSs' domestic levels of regional MRAs adopted in key sectors of relevance to ASEAN regional integration (<i>e.g.</i>, food safety and pharmaceuticals), trade facilitation, and ARISE Plus engagement. 	
		<ul style="list-style-type: none"> • Up to two 'on demand' workshops and/or on-the-job-training modules are implemented to train ASEC officers or AMSs' officials at national level in order to build the relevant institutional capacity and improve the transposition of regional legal instruments at national level. 	Time of delivery Q1 – Q4



Activity 1.3.3 Supporting the Transposition of Regional Agreements at National Level		
Expected Results	<ul style="list-style-type: none"> The transposition of regional legal instruments at national level is supported and improved, particularly in the areas of MRAs and primarily in the areas of food safety and pharmaceutical regulation; and 	Q4
	<ul style="list-style-type: none"> The capacity of ASEAN officials to negotiate, draft, adopt, transpose and implement ASEAN legal instruments and regional agreements is increased, with positive benefits in terms of legal certainty, commercial predictability and trade facilitation. 	Q4
NKE required	<ul style="list-style-type: none"> One or more SNKEs with the technical expertise required to provide the ‘on demand’ assistance and hold the related workshop(s). 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 2	0
	SNKE	15
	Incidentals	0



COMPONENT 2.0 STANDARDS AND CONFORMITY ASSESSMENT IN PARTICULAR HEALTHCARE AND AGRO-BASED PRODUCT

This component focusses on horizontal support for the ASEAN Committee on Standards and Quality (ACCSQ), continuing and advancing the progress made in ARISE. Additionally, specific and comprehensive assistance is provided for two sectors; the Agro-based goods and Healthcare sectors. Component 2.0 comprises of 4 Sub-Components, each with a set of activities that reflect Technical Assistance mandated under the terms of reference of ARISE Plus for component 2.0. The main counterparts are counterparts in the Market Integration Directorate in the ASEAN Secretariat, the ASEAN Consultative Committee for Standards and Quality and its relevant working groups, i.e ACCSQ Pharmaceutical Product Working Group (PPWG), ACCSQ Prepared Foodstuff Product Working Group (PFPWG); Health Cluster 3 (Strengthening health system and access to care) Cluster 4 (Ensuring food safety). Additionally, this component will provide support in horizontal issues under the purview of ACCSQ Working Group 1 (Standards) and Working Group 2 (Conformity Assessment and Accreditation). Component 2.0 activities will focus on providing support to the specific regional institutions and sectoral bodies serviced by the Market Integration Directorate and that are responsible for the formulation and implementation of integration policies in the specific areas.

Planned Resource Used Component 2.0

Component 2.0	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Sub Component 2.1	0	0	74	0	50	0	€80.689
Sub Component 2.2	0	0	15	0	50	0	€4.256
Sub Component 2.3	0	0	80	0	290	40	€253.615
Sub Component 2.4	0	0	25	0	70	0	€52.374
Total Component 2.0	0	0	194	0	460	40	€390.934

Sub-Component 2.1 Supporting the Development of Quality Infrastructure and Related Policies in ASEAN

This Sub-Component groups together Activity 2.1.1 on “Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standard and Conformance”, Activity 2.1.2 on “Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations”, Activity 2.1.3 on “Strengthening Conformity Assessment and Accreditation”, Activity 2.1.4 on “Transposing Regional Commitments into National Legislation” and Activity 2.1.5 on “Enhancing Co-ordination Across ASEAN Sectoral Working Groups”.

The key objective of this cluster of activities is the effective implementation of the **2016-2025 ASEAN Strategic Plan for Standard and Conformance**. The activities include i) the establishment and implementation of an integrated reporting and monitoring system to manage the implementation of the 2016-2025 ASEAN Strategic Plan for Standards and Conformance; ii) the establishment and adoption of ASEAN principles for the harmonisation of technical regulations; and iii) identification of new areas and initiatives for market integration. Proposed actions are additionally targeted at advancing the development of the accreditation infrastructure across all ASEAN Member States (AMS) such that a desired range of accreditation services are available to support all the ASEAN mutual recognition arrangements, harmonised regulatory regimes in particular and industry in general. In parallel support is aimed accelerated implementation of market integration by promoting more effective enactment and transposition, with a focus on Cambodia, Myanmar and Lao PDR, by assisting in the development and/or amendment of national legislation as required.

Outcome

Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.



Activity 2.1.1 Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance

Rationale:

ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance (ASPSC), developed with the assistance of ARISE in 2016, provides direction for ACCSQ and its working groups in achieving the targets of the 2016-2025 ASEAN Economic Community Blueprint (AECB). ACCSQ has established key performance indicators (KPIs) for the 6 strategic thrusts identified in the Plan and these strategies have been referenced by the working groups to develop their respective action plans and expected outcomes. Additionally, ACCSQ has undertaken direct responsibility for critical initiatives for the establishment of ASEAN Principles for harmonisation of technical regulations and for identifying new sectors and initiatives for market integration. The identification of new sectors will be undertaken in coordination with *Activity 1.3.2: Supporting the ASEAN Connectivity Coordinating Committee (ACCC)*, as the ACC has also proposed to expand the scope of ASEAN market integration. Support from ARISE Plus to implement the 2016-2025 ASPSC will provide technical assistance needed to achieve these goals under the AECB 2025.

Key stakeholders are ACCSQ Members, ACCSQ Working Groups (WGs) and Product Working Groups (PWGs), Desk Officers in ASEAN Secretariat responsible for ACCSQ, ACCSQ Working Groups and Product Working Groups.

Activity 2.1.1 Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance	
Main Beneficiaries	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Planned Tasks	<ul style="list-style-type: none"> • Review relevant ASEAN agreements, policies and practices to establish a discussion paper elaborating a rationale, issues and proposed list of principles on <i>"Harmonised Regulatory Regimes"</i> for circulation to ACCSQ; • Organise and support a workshop for ACCSQ to discuss the development of the <i>ASEAN Principles for Harmonised Regulatory Regimes</i>; • Assist the ASEAN Secretariat to develop the first draft of the ASEAN Principles for the development and implementation harmonised regulatory regimes based on the outcome of the workshop for consolation of ACCSQ; • Support the ASEAN Secretariat in reviewing comments and refining the first draft of the. <i>"ASEAN Principles for the development and implementation harmonised regulatory regimes"</i>; • Discussions with ACCSQ on the development of a monitoring mechanism for the ASSCQ Strategic Plan for 2016-2025 and on the identification of new areas for market integration.
Outputs	<div>Time of delivery</div>



Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance		
	<ul style="list-style-type: none">• Discussion Paper on the ASEAN Principles for the development and implementation harmonised regulatory regimes;		Q1 – Q2
	<ul style="list-style-type: none">• Workshop for ACCSQ to discuss the development of the first draft of the “ASEAN Principles for the development and implementation harmonised regulatory regimes”;		Q2 – Q3
	<ul style="list-style-type: none">• Draft of the “ASEAN Principles for the development and implementation harmonised regulatory regimes” circulated for comments, and comments reviewed;		Q4
	<ul style="list-style-type: none">• Plans for establishing a monitoring system for the ASSCQ Strategic Plan for 2016-2025, and identification of new areas for market integration.		Q3-Q4
Expected Results	<ul style="list-style-type: none">• Consensus of ACCSQ on the rationale on the “ASEAN Principles for the development and implementation harmonised regulatory regimes”;		Q2
	<ul style="list-style-type: none">• Advanced draft of the “ASEAN Principles for the development and implementation harmonised regulatory regimes”;		Q4
	<ul style="list-style-type: none">• Plans for AWP 2 and 3 on monitoring system for the ASSCQ Strategic Plan for 2016-2025, and identification of new areas for market integration.		Q4
NKE required	Nil		
Resources (inputs)	Expert days		
	Experts	Work Days	
	KE 3	30	
	SNKE	0	
	Incidentals	€26,187	



Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations

Rationale:

ACCSQ has been leading the development of Mutual Recognition Arrangements (MRAs) and development of harmonised regulator regimes since the conclusion of the ASEAN Framework Agreement on Mutual Recognition Arrangements in 1998. MRA's have been implemented for the Electrical and Electronic Equipment (EEE), and for Good Manufacturing Practice (GMP) inspections for pharmaceuticals. Recently MRAs for Bio-equivalence (BE) Study Reports for Generic Pharmaceuticals, and for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products have been adopted and are planned to be implemented. The ACCSQ has additionally overseen the adoption of the harmonised regulatory regime for the Cosmetics sector of the ASEAN Cosmetic Directive (ACD) and the ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime (AHEEERR). The newly concluded ASEAN Medical Devices Directive is yet to be implemented. MRAs for Construction and Building Products and Automotive Products and an ASEAN Agreement on Traditional Medicines are under development. ACCSQ is currently in the process of updating its ASEAN Framework Agreement on Mutual Recognition Arrangements (MRAs) to ensure that it caters for the changing situation.

The MRAs and Harmonised Regulatory Regimes are the main instruments utilised by ACCSQ and its product working groups to remove specific technical barriers to intra ASEAN Trade. The interventions by ARISE Plus will focus on development of best practices and procedures for successful implementation.

Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations	
Main Beneficiaries	ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, Member States' regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points.
Planned Tasks	<ul style="list-style-type: none"> • Support the finalisation and adoption of the revised ASEAN Framework Agreement for MRAs (review of comments, finalisation of draft text, etc.); • Organise a workshop to support the development of implementation plans and procedures for recently concluded mutual recognition arrangements for Bio-equivalence (BE) Study Reports for Generic Pharmaceuticals and follow-up consultation and drafting as required. (implemented under activity 2.3.1).
Outputs	<div></div> <div>Time of delivery</div>



Activity 2.1.2		Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations	
Expected Results	<ul style="list-style-type: none"> • Revised Framework Agreement for MRAs endorsed by the ACCSQ; 		Q1
	<ul style="list-style-type: none"> • Workshop for ACCSQ and the Product Working Groups to study and plan the implementation of the revised Framework Agreement for MRAs. 		Q2 – Q4
	<ul style="list-style-type: none"> • Revised Framework Agreement for MRAs is submitted to ASEAN Economic Ministers for signing; 		Q2
	<ul style="list-style-type: none"> • ACCSQ and Product Working Groups review implementation of the Revised Framework Agreement for MRAs and develop plans; • Procedures and plans for the implementation of the BE MRA are developed and adopted by the Joint Sectorial Committee for the BE MRA. (implemented under activity 2.3.1) 		Q4 Q2
NKE required	Nil		
Resources (inputs)	Expert days		
	Experts	Work Days	
	KE 3	12	
	SNKE	0	
	Incidentals	€24.059	



Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

Rationale:

ASEAN market integration initiatives depend on the mutual recognition of accredited conformity assessment. Seven Member States have established national accreditation bodies (NABs). The remaining 3 are in the process of establishing NABs and, in the interim, have established national focal points for accreditation (NFABs). ASEAN has limited direct co-operation mechanism or recognition arrangement between NABs through Working Group 2, and relies on the recognition arrangements administered by Asia Pacific regional organisations, APLAC and PAC. The ACCSQ's 2016-2025 Strategic Plan in its Strategic Thrust 1 recognises the need for structured co-operation between National Accreditation Bodies (NAB) and National Accreditation Focal Points (NAFP). Much deeper co-operation would facilitate mutual recognition of conformity assessment between countries, especially in AMSs that do not yet have NABs. This would also enable the sharing of scarce resources available to many of the NABs and NAFPs.

A Guideline for ASEAN Laboratory Networks was developed by WG2 in 2016. This guideline was developed to better define the role of laboratory networks in supporting market integration but is non-binding. Networks have been established in the Cosmetics and Food sectors and networks are proposed for other sectors such as Rubber products. This guideline is yet to be utilised for reviewing and upgrading the laboratory networks.

Support to WG 2 in developing and implementing co-ordinated plans and programmes for capacity building, development of accreditation schemes, and delivery of services and sharing of personnel and resources would help achieve the targets of ACCSQ's 2016-2025 Strategic Plan.

Activity 2.1.3		Strengthening Conformity Assessment and Accreditation	
Main Beneficiaries	National Accreditation Bodies and National Accreditation Focal Points in Member States, Working Group 2.		
Planned Tasks	<ul style="list-style-type: none">• Develop adopt mid/long range plans and targets for increased cooperation on accreditation that will, inter alia, facilitate the sharing of resources, mutual recognition of accreditation by regulators and development of harmonised accreditation schemes for ASEAN;• Workshop to initiate development of common “ASEAN Accreditation Guidelines for Certification of Organic agriculture” in cooperation with the Organic Agriculture Task Force under SOM-AMAF;• Assist WG 2 in identifying arrangements and tools for the sharing of resources (assessors, technical experts, and training courses).		
Outputs		Time delivery	of



Activity 2.1.3 Strengthening Conformity Assessment and Accreditation		
	<ul style="list-style-type: none"> • Plans and targets for cooperation between Accreditation Bodies to support mutual recognition of certifications and facilitate intra-ASEAN Trade; 	Q1 – Q2
	<ul style="list-style-type: none"> • Workshop on the development of “ASEAN Accreditation Guidelines for Certification of Organic agriculture”; 	Q3 – Q4
	<ul style="list-style-type: none"> • Identification of arrangements and tools for ASEAN NABs for the sharing of resources (assessors, technical experts, training courses). 	Q4
Expected Results	<ul style="list-style-type: none"> • Medium/long term cooperation plan and targets for ASEAN Accreditation; 	Q1 – Q2
	<ul style="list-style-type: none"> • Plans and outline for ASEAN Guidelines for Accreditation of Organic Agriculture; 	Q3 – Q4
	<ul style="list-style-type: none"> • List, description and development schedule for arrangements and tools for cooperation on accreditation. 	Q4
NKE required	Senior conformity assessment/accreditation expert <ul style="list-style-type: none"> • Senior expert in conformity assessment/accreditation; • Knowledge of Regional and International requirements for conformity assessment and accreditation by direct involvement for at least 3 years; • Presentation, communication, writing, planning skills at expert level in English. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	15
	SNKE	40
	Incidentals	€28.315



Activity 2.1.5 Enhancing Co-ordination Across ASEAN Sectoral Working Groups

Rationale:

The ASEAN Secretariat provides support to the range of ASEAN Ministerial groupings under the *economic, social and cultural*, and the *political and security* pillars in ASEAN. Each Ministerial has established its respective independent organisational structures, working groups and task forces. This creates difficulty for the implementation of a number of market integration initiatives which require co-ordinated action under the authority of more than one Ministerial grouping. In the case of food safety, ASEAN bodies responsible for Health, Trade, Industry and Agriculture all have a direct interest and need to be engaged with arrangements to facilitate co-ordination. Similarly for organic agriculture certification, consumer, trade, environmental issues are additionally included and need to be addressed. The development of mechanisms for regular communications between the sectors is required in advancing such crosscutting issues. The Secretariat has not established a formal arrangement for regular communication and coordination between Committees and Working Groups established under different Ministerial Groups and also between ASEC Divisions within the same Ministerial Groups. A concerted effort is needed to enable and support the development and maintenance of such communication and coordination mechanisms and the need to address this concern has been recognised in the ACCSQ's 2016-2025 Strategic Plan (5.3).

Activity 2.1.5 Enhancing Co-ordination Across ASEAN Sectoral Working Groups		
Main Beneficiaries	ACCSQ, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST)and its Experts' Group on Metrology, Health Cluster 4, Agriculture Working Groups for Crops, Fisheries and Livestock, Prepared Foodstuffs Product Working Group for Food Safety , the Pharmaceutical Products Working Group, Health Cluster 3	
	<ul style="list-style-type: none"> • Support the ongoing coordination between the health, agriculture and economic sectors by supporting the ASEAN Food Safety Coordination Committee; • Review operations and governance of the ASEAN Food Safety Network (AFSN) and propose enhancements to enhance its utilisation as an information exchange and communication tool between relevant ASEAN Bodies involved for ongoing initiatives on food safety; • Develop a proposal for a coordination mechanism on pharmaceutical sector for the Pharmaceutical Product Working Group, the Health Cluster 3 Grouping and the ASEAN Committee on Consumer Protection; • Establish cooperation between WG 2 and the Agriculture WG (Crops) and Task Force on Organic Agriculture on Cooperation on Accreditation of Organic Agricultural Product Certification. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> • Arrangements for coordination on pharmaceuticals between the Health Cluster 3 and PPWG defined for ongoing initiatives; 	Q2 -Q4



Activity 2.1.5 Enhancing Co-ordination Across ASEAN Sectoral Working Groups		
Expected Results	<ul style="list-style-type: none"> • Accreditation of harmonised organic agriculture initiated through coordination between Working Group 2 and the Experts Group on Organic Agriculture. 	Q1 – Q3
	<ul style="list-style-type: none"> • Coordinated development of the ASEAN Food Safety Framework; • Plans for the coordinated approach to develop a Regulatory Framework for the Pharmaceutical sector adopted by Health Cluster 3 and PPWG; • Coordination between WG 2 and Experts Group on Organic Agriculture WG is formalised. 	Q1 – Q4
		Q3
NKE required	Senior Food Safety Expert <ul style="list-style-type: none"> • Senior expert on Food Safety including that on regulation, training and information systems. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	17
	SNKE	10
	Incidentals	€2.128



Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

The two activities in this subcomponent are to assist ASEAN to ensure that there are sufficient relevant harmonised standards to support planned market integration initiatives by reviewing the methods for the identification standards needed and the process of harmonisation that are adopted. Together with this, it is intended that the dissemination of information on harmonised standards to stakeholders will be improved and result in increased use of international standards in ASEAN. The harmonisation of Member States' Standards when undertaken on the basis of international standards will in turn facilitate the strengthening of global value chains.

Outcome

Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry.



Activity 2.2.1 Supporting Harmonisation of Standards

Rationale:

Since the 1980s, efforts have been made to harmonise selected product standards in ASEAN. ACCSQ's current policy provides that international standards should serve as a basis for harmonisation and the development of unique ASEAN standards is not pursued. ARISE supported Working Group 1 to clarify and document the broad principles for the harmonisation of Member States' standards in the "ASEAN Guidelines for Harmonisation of Standards". Progress on the harmonisation process, however, has been slow with approximately 300 standards currently listed as 'harmonised'. The processes for harmonisation relies on ACCSQ's Product Working Groups and are implemented on what amounts to a voluntary basis. The approach adopted has focussed on a few Product Working Groups that have established MRAs and harmonised regulatory regimes. Given the significance of harmonised standards in enabling market integration, there is a strong need for a more ambitious approach, with greater involvement of the standards committees within national standards bodies and the pro-active identification of the most relevant standards to be harmonised.

Technical assistance is needed to support the efforts of Working Group 1 of the ACCSQ that underpins the development of harmonised standards, Harmonised Regulatory Regimes, Mutual Recognition Arrangements

Activity 2.2.1 Supporting Harmonisation of Standards					
Main Beneficiaries	Working Group 1 of the ACCSQ, Product working groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States.				
Planned Tasks	<ul style="list-style-type: none"> • Develop a methodology for identification of standards that should be selected for harmonisation in ASEAN, identifying criteria for selection and prioritisation of standards, consultation and investigation needed, and processes for harmonisation; • Consult with WG 1 to confirm methodology; • Identify provisional lists and targets for standards to be harmonised for acceptance by WG 1 and National Standards Bodies (NSBs) in selected sectors as prioritised by WG 1; • Review the "ASEAN Guidelines for the Harmonisation of Standards" to update and address special cases such as where unique ASEAN Standards have been developed by Working Groups and approaches adopted by ASEAN Bodies external to ACCSQ and implementation in Member States. 				
Outputs	<table border="1"> <thead> <tr> <th>Time delivery</th><th>of</th></tr> </thead> <tbody> <tr> <td></td><td></td></tr> </tbody> </table>	Time delivery	of		
Time delivery	of				



Activity 2.2.1 Supporting Harmonisation of Standards		
	<ul style="list-style-type: none"> Methodology for the identifying standards and targets for harmonisation adopted by WG 1; 	Q1 – Q3
	<ul style="list-style-type: none"> Lists of Standards to be harmonised in selected sectors and target dates adopted by WG1 and the relevant Product Working Groups; 	Q3 – Q4
	<ul style="list-style-type: none"> Recommendations for updating the ASEAN Guidelines for Harmonisation of Standard. 	Q4
Expected Results	<ul style="list-style-type: none"> Working Group 1 establishes a plan for accelerated harmonisation of Standards in ASEAN; 	Q3
	<ul style="list-style-type: none"> Updating and enhancement of the ASEAN Guidelines for the harmonisation of Standards enhances harmonisation processes. 	Q4
NKE required	Senior standards expert <ul style="list-style-type: none"> Senior expert with experience in national standardisation supported with experience in international standardisation. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	15
	SNKE	50
	Incidentals	€4,256



Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

In the agro-based sector, the seven activities provide support to the relevant ASEAN Working groups in the agriculture, economic and health sectors that are expected to lead to an integrated approach to food safety with the establishment of an overall regulatory framework on food safety through the development of a legal instrument for the ‘*ASEAN Food Safety Regulatory Framework*’ that ensures that food safety is implemented across the food chain. This in turn will be supported assistance to the PFPWG in implementing the MRA for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Product that has been recently agreed by all Member states and the ongoing institutional arrangements for the ASEAN Risk Assessment Centre for Food Safety (based in Malaysia) the Rapid Alert System for Food and Feed (RASFF). The support to the agriculture sector aims to develop a credible, verifiable common approach to certification of organic agricultural produce in ASEAN in order that the acceptance of certified products is enhanced and producers are encouraged to adopt organic agricultural practices. Specifically, it is intended that an integrated regulatory framework is established that is supported by regional arrangements for risk assessment, a food and feed alerts and that provide training services to regulators improving the robustness and effectiveness of the competent authorities of the ASEAN Member States in discharging their regulatory functions in the food sector.

Outcome

Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.



Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

Rationale:

Several ASEAN Bodies under the Economic, Health, and Agriculture Ministerial Groups are pursuing initiatives related to removing technical trade barriers and addressing accompanying food safety concerns. Considerable progress has been made on harmonising specific requirements, however the adoption of these harmonised requirements into technical regulations is uneven and remains voluntary. In 2016, ASEAN Member States committed themselves to establishing a binding ASEAN Food Safety Regulatory Framework (AFSRF). This is designed to facilitate improved co-ordination among the different bodies involved in food safety risk management in ASEAN, and enable the transposition and implementation of harmonised technical requirements in each Member State. This is expected to be a complex undertaking, given the multitude of ASEAN bodies involved in the process and the far-reaching impact.

The realisation of the objective commenced with the development of a preliminary draft document that is being reviewed by officials in all Member States. ARISE Plus will support the process for the development of the framework agreement, its protocols and implementing mechanisms working in cooperation with the ASEC Food Safety Coordinating Committee, which comprises of ASEC Desk Officers from the Agriculture Health and Trade Divisions of the Secretariat.

Activity 2.3.1	
Main Beneficiaries Planned Tasks	Developing and Implementing a Regulatory Framework for Food Safety
	PFPWG, Health Cluster 4, Agriculture Working Group on crops, fisheries, livestock
Outputs	<ul style="list-style-type: none"> • Review of comments from Member States' on Preliminary Draft AFSRF Agreement and develop first draft for consultation by Task Force for the AFSRF; • Support meetings for internal ASEC coordination on the AFSRF with regard to the development of the AFSRF Agreement; • Organise and conduct a workshop for the Task Force for the AFSRF to discuss the drafts of the AFSRF Agreement; • Develop a revised draft (2nd) of the AFSRF agreement- incorporating comments and decisions of Task Force members at the workshop for consultation with relevant ASEAN bodies; • Develop a draft of the Terms of Reference for the ASEAN Food Safety Committee for consideration by the AFSRF Task Force; • Support to the PFPWG Task Force on the Harmonisation of Standards for the finalisation of the ASEAN Standard for Food Additives and its implementation; • Support to the PFPWG Task Force on the Harmonisation of Standards for the development ASEAN Guidelines for Food Contact Materials.
	Time delivery of



Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety		
	<ul style="list-style-type: none"> Review of the comments on the preliminary draft of the AFSRF; 	Q1
	<ul style="list-style-type: none"> First Draft of the AFSRF Agreement; 	Q1
	<ul style="list-style-type: none"> Workshops (2) for the Task Force on the AFSRF Agreement; 	Q1-Q4
	<ul style="list-style-type: none"> Second draft of the AFSRF 	Q2 – Q3
	<ul style="list-style-type: none"> Terms of Reference (draft) for the ASEAN Food Safety Committee 	Q3
	<ul style="list-style-type: none"> ASEAN Standard for Food Additives endorsed by the PFPWG 	Q4
	<ul style="list-style-type: none"> ASEAN Guidelines for Food Contact Materials advanced draft developed for consultation with other relevant ASEAN Bodies 	Q4
Expected Results	<ul style="list-style-type: none"> Consensus of the Task Force on the main issues contained in the AFSRF Agreement and circulation to Member States for Consultation; 	Q3
	<ul style="list-style-type: none"> Consensus of the Task Force on the TOR for the ASEAN Food Safety Committee for circulation for consultation; 	Q3
	<ul style="list-style-type: none"> Listing of Harmonised maximum levels for food additives based on ASEAN Standard for Food Additives commences. 	Q4
NKE required	Senior Food Safety Expert	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	25
	SNKE	30
	Incidentals	€58,492



Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

Rationale:

The Prepared Foodstuffs Product Working Group (PFPWG) finalised a Mutual Recognition Arrangement of inspection and certification systems on food hygiene for prepared foodstuffs in 2016. This MRA enables food regulators in AMSs to accept the results of tests, inspections and certifications that are conducted by their counterparts in other Member States upon implementation of the agreement.

The conditions of recognition and the methods for sharing results are broadly defined in the provisions of MRA by reference to the ASEAN Common Food Control Requirements (ACFCRS) adopted by the PFPWG. In order to operationalise the MRA, the details must be defined and agreed by the Joint Sector Committee established under the MRA. This Committee is expected to start work upon the formal entry into force of the MRA. Common administrative processes and procedures enabling mutual recognition also need to be agreed. A comprehensive plan will be required to map the details to be defined and established.

Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs		
Main Beneficiaries Planned Tasks	Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators.	
	<ul style="list-style-type: none"> • Consult with the Prepared Foodstuffs Working Group to assist in the development of a plan for the implementation of the MRA on inspection and certification systems of food hygiene for prepared foodstuffs; • Support the PFPWG to identify the infrastructure and legislation required to be in place in Member States for the implementation of the MRA; • Conduct a review of the legislation and institutional structure in Cambodia, Lao PDR, and Myanmar to in preparation for the implementation of the MRA on inspection and certification systems of food hygiene for prepared foodstuffs; • Conduct a workshop for the Joint Sector Committee for developing implementation procedures. 	
Outputs		Time of delivery



Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs		
	<ul style="list-style-type: none"> Plan and schedule for the implementation of the MRA on inspection and certification systems of food hygiene for prepared foodstuffs; 	Q1 – Q3
	<ul style="list-style-type: none"> Identification of the infrastructure and legislation required for the implementation of The MRA on inspection and certification systems of food hygiene for prepared foodstuffs by Member States; 	Q1 – Q3
	<ul style="list-style-type: none"> Report on the review of legislation and institutional structure in Cambodia, Lao PDR, Myanmar and recommendations for ensuring availability for timely implementation; 	Q1 – Q3
	<ul style="list-style-type: none"> Workshop on the development of implementation procedures for the MRA held. 	Q4
Expected Results	<ul style="list-style-type: none"> PFWG completes its preparations for implementation of the MRA on inspection and certification systems of food hygiene for prepared foodstuffs and starts to implementation; 	Q4
	<ul style="list-style-type: none"> PFWG establishes a reference on infrastructure and legislation requirements for Regulatory Agencies for facilitating implementation of the MRA; 	Q4
	<ul style="list-style-type: none"> Cambodia, Lao PDR Myanmar and Vietnam are assisted in commencing preparations for implementing the MRA in a timely manner; 	Q2 – Q4
	<ul style="list-style-type: none"> Implementation procedures for the MRA needed identified and development work in progress. 	Q4
NKE required	Senior food safety expert with knowledge of regulations and infrastructure for certification and inspection systems.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	15
	SNKE	60
	Incidentals	€33,327

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

Rationale:

The ASEAN Risk Assessment Centre was established with ARISE support to the ASEAN Experts Group on Food Safety (AEGFS) under the authority of the AMSs' Health Ministers. Following the development of the concept and consensus of Food Safety Regulators in ASEAN, the AEGFS proposal to establish an ASEAN Risk Assessment Centre (ARAC) in Kuala Lumpur received endorsement from the ASEAN Health Ministers Meeting in August 2014.

ARAC is a key element in the ASEAN Regulatory Framework for Safety. The analysis that it will conduct is designed to provide a basis for the development of harmonised standards and food safety measures undertaken to mitigate risks. With support from the Ministry of Health of Malaysia which provides a Secretariat, ARAC began by establishing a Scientific Committee to oversee its technical work and a first panel to evaluate risks with respect to aflatoxins. The potential role of ARAC is vast as ASEAN seeks to harmonise its food safety standards and measures. The current operations should serve as a nucleus and provide lessons for future expansion to cover a comprehensive range of risks, such as from food additives, food contaminants, food contact materials and the agricultural working groups dealing with pesticide residues and drug residues.

As ASEAN accelerates its harmonisation of food standards and food safety measures, there is a need for risk assessments to be undertaken to provide data and results to risk managers, to ASEAN Bodies undertaking harmonisation, and to AMSs. Support for ARAC will underpin the assistance ARISE Plus will provide in the development of an ASEAN Food Safety Regulatory Framework by supporting the scientific basis for harmonisation in ASEAN.

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety		
Main Beneficiaries	ARAC, Health Cluster 4 (Ensuring Food Safety), ASEAN Food Safety Regulators.	
	Planned Tasks	
Outputs	<ul style="list-style-type: none"> • Organise a Study tour to EFSA for the Scientific Committee and ARAC secretariat to gain direct knowledge of conducting risk assessments and enhance communications for future technical collaboration; • Support awareness and outreach programmes with other relevant ASEAN Bodies to inform of ARAC's operations and identify risk assessment needs; • Assist in the identification and initiation of new a risk assessment undertaking for food safety; • Support the review of terms of reference and procedures of ARAC with a view of strengthening its operations and role in the ASEAN Food Safety Regulatory Framework. 	
		Time of delivery
	<ul style="list-style-type: none"> • Study tour to EFSA for the Scientific Committee and ARAC secretariat; • Terms of Reference and operating procedures of ARAC updated and optimised; 	<p>Q2</p> <p>Q1 – Q4</p>



Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety		
Expected Results	<ul style="list-style-type: none"> Awareness of ARAC's role and working methods enhanced within relevant ASEAN Bodies responsible for risk management; 	Q4
	<ul style="list-style-type: none"> New risk assessments identified and undertaken. 	Q4
	<ul style="list-style-type: none"> Knowledge of ARAC Scientific Committee Members and secretariat enhanced; 	Q3
	<ul style="list-style-type: none"> Operations of ARAC improved and expanded based on the adoption of the updated terms of reference with new risk assessments initiated; 	Q3
	<ul style="list-style-type: none"> Awareness of ARAC's role among relevant ASEAN bodies improved resulting increased requests for risk assessments to be conducted; Role of ARAC is formally embedded in the ASEAN Food Safety Framework. 	Q4 Q4
NKE required	Senior Food Safety Expert	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	10
	SNKE	50
	Incidentals	€75,720



Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN

Rationale:

Food consumption data is a key requirement for assessing dietary exposure to chemical and biological contaminants in food. As such, it is an essential tool in the process of risk assessment, which itself is a prerequisite to risk management calls made to set food safety standards (MRLs, ML and microbiological criteria).

National food consumption data can be used as such by national food safety authorities to assess the risk and ensure the protection of consumers. However, this data cannot be combined with similar surveys from other ASEAN Member States without a significant effort of harmonisation in food classification. In the absence of such harmonisation, the national data cannot be used to support regional risk assessment. Harmonised food consumption data will therefore support ASEAN's food safety strategy through contributing to the work of the ASEAN Risk Assessment Centre (ARAC). Harmonised classification will greatly facilitate discussions between ASEAN countries and the international community and improve access to international trade market for food commodities produced in the region. However, progress has been made in coming to an agreement on the food category template (i.e. only 2 levels consisting of Food Group and Food Subgroup) to be used for the compilation of food consumption data, which was based on the FAO/WHO format, while retaining regional specificity. Currently, existing food consumption data in ASEAN are mostly collected for nutrition purposes and not available to risk assessors to do exposure assessments.

Improvement of the current situation for an equal, usable, and powerful collection of microdata is undertaken through global initiatives are described below. Food consumption data is stored in the Chronic Individual Food Consumption Database – Summary Statistics (CIFOCoss), using the standardized Food Classification and Description System (FoodEx2). Data will be collected to enable the future completion of the Global Individual Food Consumption Data Tool (GIFT).

- FAO/WHO CIFOCoss currently includes summary statistics from 37 food consumption surveys conducted in 26 countries (including 17 EU countries) and presenting mean, standard deviation, high and low percentiles (P5, P10, P50, P90, P95) in total population and consumers only at a refined level of food categorization (about 500 items).
- FAO/WHO GIFT consists of a global database, containing individual quantitative food consumption data from any country disregarding their level of income, made freely accessible online through an interactive web platform. FAO/WHO GIFT aims to be a multipurpose tool, providing information on specific indicators in the field of nutrition, food safety and environment, in addition to data on food consumption.

The interventions by ARISE Plus are aimed at integrating ASEAN data into the global database and hence ASEAN to utilise the tools that these global initiatives provide for analysis and with this essential tools and data, it is foreseen that ARAC will contribute to enhancing food safety in the ASEAN region.



Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN		
Main Beneficiaries	ASEAN Risk Assessment Centre, Health Cluster 4, Prepared Foodstuffs Product Working Group	
Planned Tasks	<ul style="list-style-type: none"> • Develop and deliberate a proposal for a coordinated and harmonised approach for the management of food consumption data and seeking endorsement of Member States and stakeholders including Member State food consumption data owners at a joint event; • Provide training to data managers and develop guidelines to support the ASEAN Member States in addressing the issues faced in the harmonization of their individual food consumption data in order that compatibility with the FAO/WHO CIFOCCoss and FAO/WHO GIFT platforms; • Assist Member States in implementing plans to integrate the individual food consumption data from recent surveys in ASEAN into FAO/WHO CIFOCCoss and GIFT. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> • Proposal for harmonised and coordinated approach for managing food consumption data in ASEAN developed and elaborated to the Health Cluster 4 and PPWG members; 	Q1 – Q3
	<ul style="list-style-type: none"> • Recommendations for on an ASEAN approach for the Integration of food consumption data from ASEAN Member States into the FAO/WHO CIFOCCoss and GIFT; 	Q3
	<ul style="list-style-type: none"> • Guidelines and user manuals to harmonise individual food consumption data in ASEAN Member States; 	Q3
	<ul style="list-style-type: none"> • Protocols for national food individual consumption surveys compatible with FAO/WHO CIFOCCoss and GIFT platforms. 	Q4
Expected Results	<ul style="list-style-type: none"> • ASEAN Member States, through the Health Cluster 4 and PPWG adopt a coordinated and harmonised approach on managing food consumption data based on the FAO initiatives; 	Q2
	<ul style="list-style-type: none"> • Increased harmonisation of individual food consumption data and exposure assessment in selected AMS; 	Q3
	<ul style="list-style-type: none"> • Trained officials and local experts are able to use FoodEx2 classification system, FAO/WHO CIFOCCoss and GIFT platforms. 	Q4
NKE required	<ul style="list-style-type: none"> • Senior Food Safety expert with experience of survey of Food Consumption Data; • Senior Nutrition expert with experience of survey of Food Consumption Data; 	



Activity 2.3.4

Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN

- Junior Nutrition expert with relevant experience in harmonisation of Food Consumption Data in ASEAN.

Resources (inputs)

Expert days

Experts	Work Days
KE 3	8
SNKE	30
JNKE	10
Incidentals	€29,819



Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)

Rationale:

Growing intra ASEAN food trade is increasing the risk of having a major food safety crisis hit the region in the future, and food safety authorities have to face the challenge. In case of such food safety crisis, communicating on related risks is key in implementing corrective measures, including identifying the source and sites where contaminated products have been distributed to.

Article 77 of the ASEAN Trade in Goods Agreement (ATIGA) has identified this potential problem by specifying that *“effective post market surveillance systems shall be further enhanced through the establishment of Alert Systems among Member States”*.

In response, the ASEAN Rapid Alert System for Food and Feed (ARASFF) mechanism was developed in 2005-2007 and was endorsed by the 34th Special SOM – AMAF, held on August 2013. This decision resulted in the creation of a Steering Committee and appointment of National Contact Points by the national agencies in charge of food safety. It is implemented on a voluntary understanding between Member States.

ARASFF is a web-based application of regional scope enables Competent Authorities in Food Safety and Public Health of all ASEAN Member States to rapidly notify and exchange information on direct or indirect risks to human deriving from food or feed being traded in ASEAN, and measures taken to prevent them entering the food chain. The ARASFF application was developed with support from the EU and resides in a server provided by Thailand. Over the last 10 years efforts have been placed on the development of regional tools and processes to operate the ARASFF, but only a limited number of notifications into ARASFF have been observed to date. Insufficient food safety alert systems at national level do not allow concerned authorities to generate the information which is needed to tackle risks on their domestic markets and to be shared with other AMS in case of food safety crisis at regional level.

Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)		
Main Beneficiaries Planned Tasks	ARASFF Steering Committee, (AMAF), PFPWG, Health Cluster 4 and food safety authorities and agriculture in Member States		
	<ul style="list-style-type: none">• Support a review of the governance, terms of reference and management of the ARASFF through discussions at the ASEAN Food Safety Coordinating Committee and the ARASFF Steering Committee with a view to integrate with the ASEAN Food Safety Framework;• Provide training & technical advice on the establishment of national food safety alert systems and notification mechanism to other Member States;• Increase awareness of industry in reporting of food safety problem information to public health authorities linked to ARASFF on food and feed related in Member States.		
Outputs		Time delivery	of



Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)		
Expected Results	<ul style="list-style-type: none"> Updated terms of reference of ARASFF, action plans and operations based on a review conducted; 	Q1 – Q3
	<ul style="list-style-type: none"> Relevant authorities responsible for food safety and agriculture trained in Member States and training material and technical guides made available to Member States; 	Q1 – Q4
	<ul style="list-style-type: none"> Awareness sessions organised in Member States on the importance and benefits of rapid alerts for stakeholders, including industry and consumer groups. 	Q1 – Q4
	<ul style="list-style-type: none"> Operations and Management of ARASFF enhanced, the ARASFF steering committee plans integration into the proposed ASEAN Food Safety Regulatory Framework enabled; 	Q4
	<ul style="list-style-type: none"> Trained Government officials and local experts are able to implement the necessary activities for Rapid Alert Systems in the medium/long-term; Increased awareness of the food and feed rapidly alert systems by stakeholders strengthening implementation at national level. 	Q4 Q4 Q4
NKE required	Senior Food Safety expert with experience of Rapid Alert System Junior Food Safety expert with experience of Rapid Alert System in ASEAN	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	5
	SNKE	20
	JNKE	20
	Incidentals	€7.888



Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture

Rationale:

The availability of standards and credible certification services enable producers to provide the confidence to consumers on the organic nature of their products and essential for the adoption of organic practices by producers. ASEAN initiated regional co-operation for Organic Agriculture with the development of the ASEAN Standard for Organic Agriculture (ASOA) which was endorsed by Senior Officials responsible for Agriculture and Forestry (the SOM-AMAF) in 2014.

The ASEAN Standard is voluntary and the Task Force of SOM-AMAF (TF ASOA) that developed the ASOA has recommended that Member States adopt this Standard or align their national standards with the ASOA. The task force is currently developing the “*ASEAN Guide for Organic Certification*.” TF ASOA has held discussions on potential future co-operation. Co-operation in organic agriculture is at an early stage in ASEAN. Representatives of Member States have explored in furthering co-operation beyond the development of the ASEAN Standard on Organic Agriculture. The co-operation proposals include activities such as promotion of Organic Agriculture, and harmonisation of regulations and mutually recognising certification systems. In this context, it is noted that there are several private and public organic certification programmes operating in ASEAN with significant differences in regulatory requirements in Member States, with some Member States not regulating organic certification. The interventions that are planned have the objective enhancing the development of credible and harmonised organic certification services in ASEAN Member States that will in turn provide support and stimulate adoption of organic agricultural practices by producers.

Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture	
Main Beneficiaries	SOM AMAF, the Task Force ASEAN Standards on Organic Agriculture, the Agriculture Working Groups for Crops, Fisheries and Livestock and Working Group 2
Planned Tasks	<ul style="list-style-type: none"> • Conduct a study of organic agriculture regulations, standards, certification in Member States and gaps identified towards establishment of credible and comprehensive organic certification and labelling systems; • Coordinate with Working Group 2 (see Activity 2.1.3) to deliberate on adoption of the ASEAN Guide for organic certification for reference by the National Accreditation Bodies in ASEAN prescribing common requirements for certification bodies for Organic agricultural products; • Conduct workshop to review findings of the investigations of the baseline in ASEAN with view of identifying and adopting cooperation objectives and plans and for coordination with the development of a harmonised accreditation scheme for organic agricultural products in ASEAN.
Outputs	<div></div> <div>Time of delivery</div>



Activity 2.3.6 Strengthening ASEAN Cooperation on Organic Agriculture		
	<ul style="list-style-type: none"> Baseline data and recommendations on organic certification, accreditation, trade and regulation in ASEAN Member States established; 	Q1 – Q2
	<ul style="list-style-type: none"> Workshop to deliberate on coordinated approach for the development of accredited and harmonised organic agricultural product certification in ASEAN; 	Q3 – Q4
	<ul style="list-style-type: none"> Review of the coverage and feasibility to extend to crops and livestock. 	Q4
Expected Results	<ul style="list-style-type: none"> Recommendations on the development of Organic Certification in particular impact on organic agriculture; 	Q1 – Q2
	<ul style="list-style-type: none"> The Experts Working Group on Organic Agriculture establish a plan for the development of harmonised certification systems in ASEAN based on baseline data and workshop discussions; 	Q3 – Q 4
	<ul style="list-style-type: none"> The Experts Working Group on Organic Agriculture coordinates with Working Group 2 (Conformity Assessment and Accreditation) on the development of harmonised accreditation systems for Organic Agriculture certification. 	Q3 – Q4
NKE required	Senior Expert on Conformity Assessment <ul style="list-style-type: none"> experience in management of certification systems; Knowledge of international standards and guidelines for conformity assessment and accreditation; Knowledge of Organic Agriculture standards and Certification Systems. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	12
	SNKE	80
	Incidentals	€43.989



Activity 2.3.7 Training in Food Safety

Rationale:

The capacity and capability of the food safety authorities to conduct their activities in a competent, effective and responsible manner is a critical factor for the success of food safety strategies. Insufficient capacity and inadequate capability leads to sub-standard enforcement of requirements and compromises public health and consumer protection.

Training of staff ensures that technical expertise of staff and in turn the capability of regulatory authorities.

In addition, lack of specialized education and of experience in – or exposure to – international processes and discussions undermines the quality of regional cooperation and inhibits progress on harmonization of technical requirements. Even more importantly, it seriously and systematically compromises the actual implementation of harmonized standards at Member States level, a critical factor that affects the development of a coherent and sustainable food safety system in ASEAN.

Several ASEAN Member States have established or are establishing training academies in the food sector which could be used as centres of excellence to develop a network at the ASEAN level, in cooperation with similar initiatives in the EU, notably the Better Training for Safer Food (BTSF) programme of the European Commission – DG SANTE. These include – Malaysia: International Food Safety Training Centre Malaysia (under Ministry of Health), - Singapore: Agri-Food and Veterinary Authority (AVA), and Thailand: Food and Drug Administration (Thai FDA). The establish of a network and structured training modules will lead to much improved situation in ASEAN.

Activity 2.3.7						
Main Beneficiaries	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, Health Cluster 4, ASEAN Consumers.					
Planned Tasks	<ul style="list-style-type: none"> • Organise discussions with identified experts and institutions and academia on food safety in ASEAN to develop a coordinated approach for training on food safety and nutrition; • Develop a standard curriculum on the general principles and practices for assuring food safety, regulation and on the international standards and guidelines governing the food sector in consultation with the identified experts and institutions; • Identify/compile/develop education and training modules, including E-learning modules that can be made available to regulatory agencies and relevant parties in ASEAN Member States with the cooperation of the identified experts and institutions. 					
Outputs						
	<table border="1"> <thead> <tr> <th></th><th>Time of delivery</th></tr> </thead> <tbody> <tr> <td>• Training Platform/Network with identified experts and institutions for Food Safety and Nutrition established;</td><td>Q3</td></tr> <tr> <td>• Education and Training Curriculum on Food Safety and Nutrition for ASEAN established;</td><td>Q3</td></tr> </tbody> </table>		Time of delivery	• Training Platform/Network with identified experts and institutions for Food Safety and Nutrition established;	Q3	• Education and Training Curriculum on Food Safety and Nutrition for ASEAN established;
	Time of delivery					
• Training Platform/Network with identified experts and institutions for Food Safety and Nutrition established;	Q3					
• Education and Training Curriculum on Food Safety and Nutrition for ASEAN established;	Q3					



Activity 2.3.7 Training in Food Safety		
	<ul style="list-style-type: none"> Education and training modules, including E-learning modules developed/compiled for ASEAN Member States. 	Q3 – Q4
Expected Results	<ul style="list-style-type: none"> Cooperation on training between ASEAN Food Safety Authorities established enhancing availability of training resources for ASEAN; 	Q3
	<ul style="list-style-type: none"> Education and training modules, including E-learning modules available for ASEAN Member States. 	Q3 – Q4
NKE required	<ul style="list-style-type: none"> Senior Food Safety Specialist with experience on the setting up of curriculum; Senior Nutrition Specialist with experience on the setting up of curriculum; Junior Food Safety and Nutrition specialist. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	5
	SNKE	20
	JNKE	10
	Incidentals	€4.380



Sub-Component 2.4 Support to the Pharmaceutical Sector

The activities under this Sub-component are targeted at facilitating the development of a regulatory framework to support trade and investment in line with the ACCSQ 2025 strategic plan and, the implementation of the components of the ASEAN Post 2015 Health Development Agenda that deal with access to health care. A major target is the development of an overall framework for pharmaceuticals regulation that will incorporate and strengthen the several ongoing initiatives of the Pharmaceutical Product Working Group on mutual recognition and harmonisation of requirements. It is intended that the assistance provided will contribute towards the adoption of an agenda for the development of a regulatory framework for ASEAN. The support provided is expected additionally to:

- i. Enhance implementation institutional capacity building for pharmaceutical regulation in Cambodia, Lao PDR, and Myanmar;
- ii. Enhance information sharing and development of concerted strategies in control falsified medicines, including the distribution and use of Active Pharmaceutical Ingredients (APIs); and
- iii. Increase involvement of ASEAN and its Member States in international regulatory initiatives in the pharmaceutical sector and equipping the ASEAN with a mechanism of improved participation at the International Council on Harmonisation (ICH), European Pharmacopeia (Ph. EU) and the International Generic Drug Regulators Program (IGDRP).

The combined impact of the initiatives is targeted at establishing enhancing the regulatory systems that will directly facilitate the production of pharmaceuticals and improve access to safe medicines in ASEAN.

Outcome

The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.



Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Rationale:

A robust regulatory framework is essential to ensure the availability of safe, effective and high quality medicinal products and is part of a modern healthcare system. This involves a range of regulatory processes including pre-marketing authorization of products; controls on manufacturing, importation, distribution and retail; post-marketing surveillance; and promotion of the rational use of medicines. These actions will be undertaken in support of the parts of ASEAN Post-2015 Health Development Agenda that is coordinated by Health Cluster 3.

Regulatory cooperation and indeed international harmonization is a necessity for all regulatory authorities with pharmaceutical research, development and production getting increasingly global. On the other hand, medical treatments are getting more and more complex, as evidenced by the emergence of advanced therapies (gene therapy, cell therapy, tissue engineering, etc.). New drug reviews require the consideration of massive amounts of information, and the understanding of a host of highly complex disciplines.

ASEAN Member States, in addition to substantial domestic production, are significantly dependent on imported medicinal products, in particular highly innovative ones, to satisfy their health needs. Substantial progress, mainly driven by the ACCSQ-Pharmaceutical Product Working Group, has been made towards cooperation and harmonization within ASEAN in the pharmaceutical sector. The main achievements include the ICH-derived ASEAN Common Technical Dossier (ACTD), ASEAN Common Technical Requirements (ACTR) the ASEAN Sectoral MRA on GMP Inspection, the newly completed ASEAN MRA on the acceptance of Bioequivalence Reports for Generic Medicines and in post-marketing information sharing.

Implementation of the ACTD and ACTR remains voluntary and there are significant differences in, regulations, technical capabilities and institutional capacity between ASEAN Member States.

Activity 2.4.1 Strengthening the pharmaceuticals regulatory framework.			
Main Beneficiaries	PPWG, Health Cluster (2 and)3 under the ASEAN Health Ministers		
Planned Tasks	<ul style="list-style-type: none"> • Review the adoption of existing PFPWG initiatives by Member States (ACTD, ACTR, GMP MRA.) through a survey of Member States; • Undertake a baseline assessment of regulations for the pharmaceutical sector in CLM and identify priorities for capacity development; • Support the finalisation of the Procedures for the Implementation of the BE MRA; • Support a workshop on the development of the ASEAN Common Technical Requirements (ACTR) for pharmaceuticals; • Organise and support discussions on the development of an agenda for the development of a regulatory framework for ASEAN. 		
Outputs	<table> <tr> <th>Time delivery</th><th>of</th></tr> </table>	Time delivery	of
Time delivery	of		



Activity 2.4.1 Strengthening the pharmaceuticals regulatory framework.		
Expected Results	<ul style="list-style-type: none"> Report on the implementation of the GMP MRA, ACTD, and ACTR by Member States; 	Q1 – Q2
	<ul style="list-style-type: none"> Workshop on the development of implementation procedures for the BE MRA; 	Q1 – Q2
	<ul style="list-style-type: none"> Workshop on the development and updating of existing ACTRs; 	Q1 – Q2
	<ul style="list-style-type: none"> Report containing baseline detailed information on the regulations and infrastructure for regulation of pharmaceuticals in CLM; 	Q3 – Q4
	<ul style="list-style-type: none"> Recommendations arising from PPWG discussions on the development of an agenda for the development of a regulatory framework for ASEAN. 	Q3 – Q4
	<ul style="list-style-type: none"> PPWG establishes updated plans and targets for the implementation of the GMP MRA, BE MRA, ACTD, ACTR across all Member states based on the reports generated by the survey and baseline investigations. 	Q4
	<ul style="list-style-type: none"> Procedures for the implementation of the BE MRA agreed for adoption 	Q2
	<ul style="list-style-type: none"> Scope of ACTRs expanded/existing ACTRs updated. 	Q3
	<ul style="list-style-type: none"> Development of a regulatory framework for the pharmaceutical sector commences based on the outcome of discussions in the PPWG. 	Q4
NKE required	Senior Expert on Pharmaceutical Regulation	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	15
	SNKE	50
	Incidentals	€50.246

Activity 2.4.2 Combatting Falsified Medicines in ASEAN

Rationale:

Falsified medicines are fake medicines that pass themselves off as real, authorized medicines. They are not the same as counterfeit medicines, which are medicines that do not comply with intellectual-property rights or that infringe trademark law, although counterfeited medicines are almost always also falsified medicines.

The phenomenon of falsified medicines is on the increase. The World Health Organization (WHO) estimates that a significant part of the world supply of medicinal products is counterfeited and falsified. Falsified medicines are an even bigger threat in Asia in general, and ASEAN in particular. On the one hand, they are even more widely spread than in the EU. On the other hand, in ASEAN, falsified medicines include medicines used to treat life-threatening conditions such as malaria, tuberculosis and HIV/AIDS.

The EU strengthened the protection of patients and consumers by adopting Directive 2011/62/EU on falsified medicines for human use. This Directive aims to prevent falsified medicines from entering the legal supply chain and reaching patients. It introduces harmonised safety and strengthened control measures across Europe, including: safety features (barcodes will be printed on or attached to every single pack of medicines, which will be checked into a database by the manufacturer and when dispensed by a pharmacy) and control on internet sales of medicines (an obligatory logo that will be placed on the websites of legally operating online pharmacies).

This activity will seek to reinforce ASEAN Member States' controls over the medicinal products on their market, through a coordinated approach at ASEAN level notably in respect of the production and importation of Active Pharmaceutical Ingredients (APIs) and in respect of internet sales of finished pharmaceuticals, and increased international cooperation, notably with the EU.

Activity 2.4.2		Combatting Falsified Medicines in ASEAN	
Main Beneficiaries	Health Cluster 3, PPWG		
Planned Tasks	<ul style="list-style-type: none">• Develop proposals for discussion with Health Cluster 3 and the PPWG meetings on initiatives for combatting the importation, production and distribution of falsified medicines in ASEAN.		
Outputs		Time delivery	of
	<ul style="list-style-type: none">• Proposals developed and discussed at the PPWG and Cluster 3 meetings and recommendations for a programme developed.	Q4	
Expected Results	<ul style="list-style-type: none">• Programme for addressing the concerns of falsified medicines in ASEAN developed and adopted by Health Cluster 3 PPWG.	Q4	



Activity 2.4.2		Combatting Falsified Medicines in ASEAN	
NKE required		Senior Pharmaceutical Regulations Expert	
Resources (inputs)		Expert days	
		Experts	Work Days
		KE 3	5
		SNKE	10
		Incidentals	€1.064



Activity 2.4.3 Enhancing Pharmaceuticals Standardization in ASEAN

Rationale:

As pharmaceutical research, development and production is getting more and more global, pharmaceutical regulators have not only increased cooperation at the international level, but have also undertaken harmonization of technical requirements.

Whilst it was initially (1990) meant to provide harmonization between the EU, the U.S. and Japan, ICH (the International Council for Harmonization) has gradually evolved to respond to the increasingly global challenge of drug development. The recent (2015) reform of ICH, to become a truly global forum not only means that more countries around the world now participate in the formulation of ICH Guidelines, but also that more countries make a commitment to implement the ICH Guidelines. ASEAN has long been an observer in ICH, and a series of ASEAN Common Technical Requirements (ACTR) as well as the ASEAN Common Technical Dossier (ACTD) are directly derived from the corresponding ICH products.

In the area of quality control, the Pharmaceutical Inspection Co-operation Scheme (PIC/S) aims at harmonizing inspection procedures worldwide by developing common standards in the field of GMP and by providing training opportunities to inspectors. Indonesia, Malaysia, Singapore and Thailand, amongst ASEAN Member States, are members of PIC/S. The European Pharmacopoeia (Ph. Eur.) provides common quality standards (in the form of monographs) to control the quality of medicines, and the substances used to manufacture them. The EU itself, and 37 European countries are members of the Ph. Eur., which also counts 26 observer countries, including Singapore and WHO. The ICDRP (International Generic Drug Regulators Program), of which Singapore is a member, aims at facilitating the timely authorization and availability of generic medicinal products.

Greater involvement of ASEAN and its Member States in international regulatory initiatives in the pharmaceutical sector will provide an impetus for regional harmonization, greater convergence with international requirements, and new opportunities for cooperation with regulatory authorities on the global scene. It will further result in strengthening the institutional set up and contribute to the development of pools of qualified and experienced staff in all ASEAN Member States.

Activity 2.4.3 Enhancing pharmaceuticals standardization in ASEAN		
Main Beneficiaries Planned Tasks	PPWG, Health Cluster 3	
	<ul style="list-style-type: none"> Develop proposals for discussion with Health Cluster 3 and the PPWG meetings on initiatives enhancing participation of ASEAN in international pharmaceutical standardisation initiatives for discussion at Health Cluster3 meetings and PPWG meetings. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> Proposals developed and discussed at the PPWG and Cluster 3 meetings and recommendations for a programme developed. 	Q1 – Q4



Activity 2.4.3 Enhancing pharmaceuticals standardization in ASEAN		
Expected Results	<ul style="list-style-type: none"> Programme for enhancing ASEAN participation in international standardisation developed and adopted by Health Cluster 3 PPWG. 	Q4
NKE required	Senior Pharmaceutical Regulations Expert	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 3	5
	SNKE	10
	Incidentals	€1.064



COMPONENT 3.0 CUSTOMS, TRANSPORT AND ACTS

Planned Resources Used Component 3.0

Component 3.0	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Sub Component 3.1	0	0	0	49	120	0	€ 145.756
Sub Component 3.2	0	0	0	80	120	0	€ 103.843
Sub Component 3.3	0	0	0	65	762	0	€ 211.397
Total Component 3.0	0	0	0	194	1002	0	€ 460.996

Sub-component 3.1 Customs Measures

ASEAN has developed a new set of Strategic Plans of Customs Development (SPCD) for the years 2016-2020, and has requested the support of ARISE Plus for the implementation of key aspects of these plans. Under this first Annual Work Plan of ARISE Plus, the SPCDs involved are Authorised Economic Operator (AEO) Programmes (SPCD 07) and narrowing the Development Gap in Customs (SPCD 13). ARISE plus will support the implementation of national AEO programmes where required, and will also promote the mutual recognition of AEO programmes within ASEAN.

Consultations have been carried out with ASEAN Customs sectoral groups and the Senior Officer, Customs Integration in the ASEAN Secretariat. The sectoral groups are represented by the Customs Procedures and Trade Facilitation Working Group (CPTFWG) and the Customs capacity Building Working Group (CCBWG).

Outcome

Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.



Activity 3.1.3 Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)

Rationale:

The following SPCD has been selected for priority action in year 1 of ARISE Plus programme:

- Authorised Economic Operator (AEO) Programmes (Strategic Plan of Customs Development 07).

The intention is also to promote the use of AEO Mutual Recognition Agreements in order to facilitate regional trade. This activity supports implementation of the ASEAN Strategic Plan of Customs Development (SPCD), which aims to enhance the security of the global supply chain and facilitate trade by supporting ASEAN Member States in the implementation of their national AEO programmes and by promoting mutual recognition of AEO programmes amongst ASEAN Member States.

Activity 3.1.3 Supporting the Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)	
Main Beneficiaries	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA).
Planned Tasks	<ul style="list-style-type: none"> • Perform an assessment of the current status of SPCD 07 on Authorised Economic Operator (AEO) programmes; • Study the current operational arrangements of AEO in ASEAN Member States, including national legislative and regulatory frameworks; • Develop an action plan for the implementation of this SPCD in co-operation with the ASEAN Customs working groups on trade facilitation and capacity building, namely the Customs Procedures and Trade Facilitation Working Group (CPTFWG); • Prepare harmonised guidelines and standard texts for the mutual recognition of Authorised Economic Operator (AEO) programmes within ASEAN; • Agree with AMS practical steps for implementation of mutual recognition of AEO programmes within ASEAN; and • Implement detailed programmes of support for the application of mutual recognition of AEO.
Outputs	<div></div> <div>Time of delivery</div>



Activity 3.1.3 Supporting the Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)		
	<ul style="list-style-type: none"> Analysis of the status of implementation of the Strategic Plan of Customs Development (SPCD), covering Authorised Economic Operator (AEO) Programmes in ASEAN and Mutual Recognition of AEO programmes in ASEAN (SPCD 07); 	Q1
	<ul style="list-style-type: none"> Production of an action plan including harmonised requirements for the development of mutual recognition by Customs Authorities of AEO programmes within ASEAN; 	Q2
	<ul style="list-style-type: none"> Formulation and implementation of a detailed programme of capacity building and training of Customs officials in AEO programmes, and in technical and managerial capacity of Customs officers; 	Q2
	<ul style="list-style-type: none"> Delivery of a regional training and capacity building event in support of implementation of national AEO programmes; and 	Q3 – Q4
	<ul style="list-style-type: none"> A regional workshop of all AMS to be conducted, in order to discuss and agree practical steps for the implementation of mutual recognition of AEO programmes within ASEAN. 	Q3 – Q4
Expected Results	<ul style="list-style-type: none"> Trained Customs officials able to implement a system of mutual recognition of AEO programmes within ASEAN; 	Q4
	<ul style="list-style-type: none"> A system implemented for the mutual recognition of AEO programmes within ASEAN. 	Q4
NKE required	<ul style="list-style-type: none"> Senior Customs expert with training expertise and experience of AEO programmes and mutual regional recognition of AEO programmes. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE4	25
	SNKE	60
	Incidental	€ 71.234



Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

Rationale:

The following ASEAN Strategic Plan of Customs Development (SPCD) has been selected for priority action in year 1 of ARISE Plus programme:

- Narrowing the Development Gap in Customs (SPCD 13).

The objective is to enhance the technical and managerial capacity of CLMV Customs Services. This activity will support the implementation of the SPCD, which aims to assist the Customs Administrations of Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV) in catching up with new developments in Customs techniques and strengthening their capability to conduct national programmes in a more sustainable manner, through the following:

- Enhancing the technical and managerial capability of Customs officers and institutions of Customs Administrations of CLMV; and
- Modernise legislative frameworks relevant to Customs.

Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs	
Main Beneficiaries	ASEAN Secretariat, ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB), the ASEAN Federation of Forwarders Associations (AFFA).
Planned Tasks	<ul style="list-style-type: none"> • Perform an assessment of the current status of the selected SPCD: <ul style="list-style-type: none"> ○ Narrowing the Development Gap in Customs (SPCD 13); • Develop an action plan for the implementation of this SPCD in co-operation with the ASEAN Customs Capacity Building Working Group (CCBWG); and • Conduct training and capacity building of Customs officials where required.
Outputs	
	Time of delivery
• An assessment performed of the current status of implementation of activities supporting narrowing of the development gap in Customs;	Q1 – Q2
• A gap analysis completed of the training and capacity-building needs of CLMV Customs Administrations;	Q1 – Q2
• An analysis of the status of the legislative frameworks relevant to CLMV Customs services;	Q2
• An action plan developed for the implementation of this SPCD in co-operation with the ASEAN Customs Capacity Building Working Group (CCBWG), to include: <ul style="list-style-type: none"> ○ Capacity building to enhance the technical and managerial capability of CLMV Customs officers and institutions; and 	Q2



Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs		
Expected Results	<ul style="list-style-type: none">○ Recommendations for the modernisation of these legislative frameworks.• Detailed programmes of support implemented for the application of the SPCD; and Training and capacity building conducted of Customs officials where required, involving the delivery of 2 training and capacity building workshops to Customs officials Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV), to enhance technical and managerial capability.	Q3 – Q4 Q3 – Q4
	<ul style="list-style-type: none">• Increased capacity within CLMV Customs services to conduct national programmes in a more sustainable manner;	Q4
	<ul style="list-style-type: none">• New developments in Customs techniques adopted in CLMV Customs services;	Q4
	<ul style="list-style-type: none">• Enhanced technical and managerial capacity within CLMV ASEAN Customs services and institutions, supported by training and awareness programme; and	Q4
	<ul style="list-style-type: none">Proposals accepted by CLMV Customs services for the modernisation of legislative frameworks relevant to Customs.	Q4
NKE required	Senior Customs expert with technical, managerial and training expertise.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	24
	SNKE	60
	Incidentals	€ 74.522



Sub-component 3.2 Transport Measures

Progress has been made over the years by ASEAN Member States (AMS) in signing and ratifying the ASEAN framework transport facilitation agreements and their related protocols. These agreements are the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST) and the ASEAN Framework Agreement on Multimodal Transport (AFAMT). Also included is the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (CBTP), which has been signed by all ASEAN Member States.

Support is now required to assist AMS in implementing these agreements, in particular the relevant protocols of the AFAFGIT. Consultations have been carried out with the Senior Officer and Assistant Director, Transport. In addition, the leaders of the Transport Facilitation Working Group have been consulted.

Outcome

An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.



Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

Rationale:

The objective is for both the AFAFGIT and the AFAFIST to be implemented, with the support of EU-ARISE Plus. Specifically, support is required for the development and implementation of practices, processes and procedures for the operationalisation of these agreement across the range of areas covered by key Protocols 1, 2, 3, 4, 5 and 7.

Activity 3.2.1 Operationalising the AFAFGIT and AFAFIST									
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).								
Planned Tasks	<ul style="list-style-type: none"> • Analyse the obstacles that exist to full operationalisation of AFAFGIT and AFAFIST; • Support the development and implementation of transport facilitation–related procedures and documentation required for practical operationalisation of the agreements (with updating of current texts as required); • Perform training and capacity-building events for public and private sector stakeholders that will participate in these agreements; and <p>Ensure live the running of trucks across ASEAN borders.</p>								
Outputs	<table border="1"> <thead> <tr> <th></th><th>Time delivery of</th></tr> </thead> <tbody> <tr> <td>• Analysis of the obstacles that exist to full operationalisation of AFAFGIT and AFAFIST;</td><td>Q1</td></tr> <tr> <td>• Support the development and implementation of transport facilitation–related procedures and documentation required for practical operationalisation of the agreements;</td><td>Q2</td></tr> <tr> <td>Perform a training and capacity-building event for public and private sector stakeholders that will participate in these agreements.</td><td>Q3</td></tr> </tbody> </table>		Time delivery of	• Analysis of the obstacles that exist to full operationalisation of AFAFGIT and AFAFIST;	Q1	• Support the development and implementation of transport facilitation–related procedures and documentation required for practical operationalisation of the agreements;	Q2	Perform a training and capacity-building event for public and private sector stakeholders that will participate in these agreements.	Q3
	Time delivery of								
• Analysis of the obstacles that exist to full operationalisation of AFAFGIT and AFAFIST;	Q1								
• Support the development and implementation of transport facilitation–related procedures and documentation required for practical operationalisation of the agreements;	Q2								
Perform a training and capacity-building event for public and private sector stakeholders that will participate in these agreements.	Q3								



Activity 3.2.1 Operationalising the AFAFGIT and AFAFIST		
Expected Results	<ul style="list-style-type: none"> Analysis produced of the obstacles that exist to full operationalisation of AFAFGIT and AFAFIST; 	Q2
	<ul style="list-style-type: none"> Transport facilitation–related procedures and documentation required developed and implemented for practical operationalisation of the agreements; 	Q4
	<ul style="list-style-type: none"> Extra capacity to operate these agreements in the public and private sector stakeholders that will participate in implementing these agreements; and 	Q4
	The running of trucks across ASEAN borders.	Q4
NKE required	Senior Transport Expert with knowledge and capacity on international transport facilitation.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	20
	SNKE	40
	Incidentals	€ 36.010

Activity 3.2.2 Supporting implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multi Modal Transport (AFAMT)

Rationale:

The objective is to support the ratification of the AFAMT by all AMS, and to develop and implement an ASEAN-wide approach to the legal, regulatory and procedural framework of this multi-modal transport agreement, with a view to implementing a harmonised multi-modal transport environment for the benefit of the business community.

Activity 3.2.2 Supporting implementation of the Action Plan for AFAMT		
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).	
Planned Tasks	<ul style="list-style-type: none"> • Develop a ‘Going Multimodal’ support programme; • Produce an Implementation Framework for the operationalisation of the AFAMT; • Develop and deliver, in co-operation with AFFA, a capacity building and knowledge exchange programme, including performance assessment case studies; and <p>Develop agreed multi-modal transport regulations and procedures.</p>	
Outputs		Time delivery of
	• A ‘Going Multimodal’ support programme developed;	Q2-Q3
	• An Implementation Framework for the operationalisation of the AFAMT produced;	Q3
	• In co-operation with AFFA, a capacity building and knowledge exchange programme developed;	Q3
	• In co-operation with AFFA, a capacity building and knowledge exchange programme delivered, including performance assessment case studies;	Q4
	• One regional capacity building workshop delivered on multi-modal logistics;	Q4
	• Existing logistics performance in ASEAN benchmarked; Proposals for harmonised multi-modal transport regulations and procedures developed.	Q4
Expected Results	• A ‘Going Multimodal’ support programme developed;	Q4
	• An Implementation Framework for the operationalisation of the AFAMT produced;	Q4
	In co-operation with AFFA a capacity building and knowledge exchange programme developed and	Q4



Activity 3.2.2 Supporting implementation of the Action Plan for AFAMT		
	delivered, including performance assessment case studies.	
NKE required	Senior Transport Expert with knowledge and capacity on international transport facilitation.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	30
	SNKE	50
	Incidentals	€ 60.253

Activity 3.2.3 Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)

Rationale:

The objective is to support the signature and implementation of the ASEAN-CBTP agreement and the development and adoption of detailed Implementing Guidelines. This will contribute to the facilitation of road transport passenger services within ASEAN, and enhance tourism, trade and cultural exchanges between Contracting Parties.

Activity 3.2.3 Supporting Implementation of the AFA-CBTP by road vehicles		
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).	
Planned Tasks	<ul style="list-style-type: none"> • Support the implementation of the ASEAN-CBTP agreement by Contracting Parties; • Develop more detailed and comprehensive Implementing Guidelines to the agreement; • Develop and implement procedural guides for public and private sector operatives; and <p>Develop and implement an action plan for the practical operation of the provisions of the agreement.</p>	
Outputs		Time delivery of
	• Development of more detailed and comprehensive Implementing Guidelines to the agreement;	Q2
	• Develop an action plan for the practical operation of the provisions of the ASEAN-CBTP agreement;	Q2-Q3
	• Deliver an action plan for the practical operation of the provisions of the agreement;	Q3-Q4
	Develop and implement procedural guides for public and private sector operatives.	Q3
Expected Results	• More detailed and comprehensive Implementing Guidelines to the agreement developed;	Q3
	• An action plan for the practical operation of the provisions of the ASEAN-CBTP agreement developed;	Q4
	• An action plan for the practical operation of the provisions of the agreement delivered;	Q4
	• Procedural guides for public and private sector operatives developed and implemented; and	Q4
	Implementation of the ASEAN-CBTP agreement by Contracting Parties supported.	Q4



Activity 3.2.3	Supporting Implementation of the AFA-CBTP by road vehicles	
NKE required	Senior Transport Expert with knowledge and capacity on international transport facilitation.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	30
	SNKE	30
	Incidentals	€ 7.580



Sub-component 3.3 ACTS Implementation

The ASEAN Customs Transit System (ACTS) is defined under Protocol 7 of the AFAFGIT and is one of the main drivers for facilitating trade in goods in ASEAN. Under ARISE, and predecessor programme to ARISE Plus, ACTS was successfully piloted along north-south transport corridor of ASEAN involving Malaysia, Singapore and Thailand (MST). This sub-component will support the piloting of ACTS along the east-west corridor of ASEAN, involving Cambodia, Lao PDR, Myanmar and Viet Nam. The full roll-out of ACTS in MST will also be supported. Further capacity building will be supplied to the ACTS Central Management Team (CMT) based in the ASEAN Secretariat in Jakarta, Indonesia. Training programmes will be delivered to all stakeholders in CLMV countries, supported by procedural manuals for official and private sector operatives.

ARISE Plus will also support the full implementation of the AFAFGIT and its protocols. This will ensure that the full benefits of the ASEAN Customs Transit System are realized, in support of the free movement of goods foreseen under the ASEAN Economic Community Blueprint 2025.

Consultations have been carried out with the Senior Officer, Customs Integration in the ASEAN Secretariat and ASEAN Customs sectoral groups.

Outcome

The ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the ASEAN north-south and east-west transit transport corridors.



Activity 3.3.1 Supporting Implementation of the ASEAN Customs Transit System (ACTS) Legal Framework

The objective is to establish the ACTS legal framework so that the ACTS can become operational in all AMS and transit movements commence. This legal framework will enter into force with the ratification by all AMS of Protocols 2 and 7. All AMS have ratified the AFAFGIT and the other Protocols relevant to ACTS implementation (Protocols 3, 4 and 5). Protocol 3 covers the types and quantity of road vehicles, Protocol 4 the technical requirements of vehicles, and Protocol 5 the compulsory vehicle insurance provisions for goods vehicles performing transit operations in ASEAN.

Activity 3.3.1 Supporting Implementation of the ACTS Legal Framework		
Main Beneficiaries	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group and ASEAN Secretariat.	
	Planned Tasks <ul style="list-style-type: none"> • Briefing AMS at Senior Level on commitments that have already been made by participating ASEAN Member States, being approximately \$250,000 invested per pilot AMS in terms of computer equipment at national level; the total investment in ACTS is estimated to amount to €6 million; and • Briefing for remaining AMS to ratify Protocols 2 and 7 soonest. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> • Support provided to ASEC on the need for the ACTS legal framework to be put in place by signature and ratification of the relevant Protocols 2 and 7; 	Q2
Expected Results	<ul style="list-style-type: none"> • The legal environment in place to allow ACTS to be fully implemented. This environment is provided by the signature, ratification and implementation by AMS of the relevant protocols of AFAFGIT. 	Q4
NKE required	Senior Legal Expert with knowledge and capacity of international transport facilitation.	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	10
	SNKE	30
	Incidentals	€ 13.217



Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)

The objective is to make preparations for the roll-out of ACTS to MST, with additional training for Customs Authorities and the private sector before, during and after the system goes live. All technical solutions have been previously defined and comprehensively tested. Follow-up technical advice and training may be required for ICT support staff and end-users in the AMSs. The full scope of this activity will depend on the advent of the legal framework.

Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand		
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.	
	<ul style="list-style-type: none"> • Develop an agreed plan for the roll-out of ACTS to MST; • Update the ACTS reference data where required, including updated AHTN, additional Customs Offices, traders and routes; • Deploy new version of ACTS in MST; • Deliver training for: <ul style="list-style-type: none"> ○ Customs Authorities in ACTS procedures as more Customs offices are added to ACTS; ○ Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS; and ○ IT support staff as scope of ACTS IT operations increases. <p>Support other full roll-out activities in MST.</p>	
Outputs		Time of delivery
	<ul style="list-style-type: none"> • An agreed plan developed for the roll-out of ACTS to MST; • Additional reference data added for full roll-out, AHTN, Customs Office Codes, traders, routes; • New version of ACTS deployed and tested in MST; three on-site missions, one in each Customs service of MST; • Training delivered providing enhanced capacity to: <ul style="list-style-type: none"> ○ Customs Authorities in ACTS system and procedures as more Customs offices are added to ACTS; one joint MST training event; ○ Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS; one joint MST training event; and 	<p>Q2-Q3 Q1-Q2</p> <p>Q3-Q4</p> <p>Q4</p> <p>Q4</p> <p>Q4</p>



Activity 3.3.2 Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand		
	IT support staff as scope of ACTS IT operations increases; three training events, one for each Customs service of MST	
Expected Results	• Enhanced capacity among all stakeholders in MST in the public and private sector to operate ACTS;	Q4
	• Updated reference data added to ACTS;	Q3-Q4
	• New version of ACTS deployed and tested in MST.	Q3
NKE required	<ul style="list-style-type: none"> • Lead ACTS IT Implementation Expert • ACTS IT Application Expert 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	15
	SNKE	77
	Incidentals	€ 59.976



Activity 3.3.3 Rolling Out of ACTS in CLMV countries

The objective is to support the roll-out of ACTS to CLMV, including specification, procurement and installation of the necessary hardware and software at national level, associated training of stakeholders in the public and private sector, national level testing, and pilot operations of the ACTS in CLMV.

Activity 3.3.3 Rolling Out of ACTS in CLMV countries					
Main Beneficiaries	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group and ASEAN Secretariat.				
Planned Tasks	<ul style="list-style-type: none"> • Support the hardware and system software procurement by each CLMV countries; • Setup and configure the ACTS at the national level, including the setup and configuration of system software and network components, such as firewalls; and setup and configuration of ACTS software components; • Collect and configure new reference data from CLMV: AHTN, Customs Office Codes, traders, routes; • Develop ACTS to be operational in CLMV (changes in source code); • Train Customs Authorities; • Test ACTS using User Acceptance Testing (UAT) and Conformance Testing (CT) procedures; • Commence deployment of ACTS in CLV; <p>Support other roll-out activities in CLMV.</p>				
Outputs	<table border="1"> <thead> <tr> <th>Time delivery</th><th>of</th></tr> </thead> <tbody> <tr> <td> </td><td> </td></tr> </tbody> </table>	Time delivery	of		
Time delivery	of				



Activity 3.3.3 Rolling Out of ACTS in CLMV countries		
	<ul style="list-style-type: none"> An agreed plan developed for the roll-out of ACTS to CLMV; 	Q1
	<ul style="list-style-type: none"> Specifications developed for the national-level hardware and system software required to run ACTS – four workshops, one for each Customs service of CLMV, follow-up workshops to be run upon demand in each Customs services of CLMV. 	Q1-Q2
	<ul style="list-style-type: none"> New reference data from CLMV collected and added to ACTS: AHTN, Customs Office Codes, routes and other reference data; 	Q2-Q3
	<ul style="list-style-type: none"> ACTS adapted to be operational in CLMV (changes in source code); 	Q3-Q4
	<ul style="list-style-type: none"> Training provided to stakeholders in the public and private sectors, consisting of Customs Authorities, Government transport agencies and the private sector; three training events, each for two weeks, one for each CLV country; 	Q3-Q4
	<ul style="list-style-type: none"> Testing of ACTS completed using User Acceptance Testing (UAT) and Conformance Testing (CT) procedures in CLV. Three testing events, each for one month, one for each CLV country; and 	Q4
	<p>ACTS deployment commenced in CLV, three on-site missions, one in each Customs service of CLV.</p>	Q4
Expected Results	<ul style="list-style-type: none"> Successful preparatory activities for ACTS Pilot in CLMV countries, such as hardware, reference data and planning workshops; training events in CLV; 	Q4
	<ul style="list-style-type: none"> ACTS adapted to be operational in CLMV and new Reference Data added to ACTS; 	Q3-Q4
	<ul style="list-style-type: none"> Enhanced capacity in Customs in CLV countries to operate ACTS; 	Q4
	<p>ACTS deployment commenced in CLV.</p>	Q4
NKE required	<ul style="list-style-type: none"> Lead ACTS IT Implementation Expert ACTS IT Application Expert Senior IT Architect Senior Software Analyst Senior Software Developer Senior Software Tester 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	20
	SNKE	470



Activity 3.3.3

Rolling Out of ACTS in CLMV countries

Incidentals	€ 138.204
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Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

The ACTS Central Management Team (CMT) has been established in the Trade Facilitation Division of the ASEAN Secretariat, and initial training has been provided. The CMT is responsible for the management of on-going operations of ACTS. This team will require additional training and know-how to manage ACTS from the technical and procedural perspectives.

Activity 3.3.4		Developing the Capacity of the ACTS Central Management Team (CMT)						
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB); Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA); National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.							
	Planned Tasks							
Outputs	• Provide training, advice and support to CMT, to enable them to discharge their responsibilities in relation to the management of on-going ACTS operations, system and support activities; and							
	• Support the set-up of the Change Management Board (CMB), along with definition of the TOR of the board, including its responsibilities and activities.							
Expected Results	• Training carried out of the CMT on the technical, procedural and documentary aspects of the ACTS, providing them with the necessary skills, knowledge and confidence to manage all regional aspects of on-going ACTS operations;		Q1-Q2					
	• Advice and support provided for the initial period of CMT operations, including ad-hoc support for any issues encountered within the time span of the ARISE Plus programme; and		Q1-Q4					
	• A Change Management Board set up with a definition of its terms of reference.		Q2					
	• CMT in place with the capacity to manage ongoing ACTS operations effectively; and		Q2					
NKE required	• CMB set up and operating efficiently to handle ACTS system change requests.		Q2					
	NKE required							
Resources (inputs)	• Lead ACTS IT Implementation Expert							
	• ACTS IT Application Expert							
	Expert days							
	<table><thead><tr><th>Experts</th><th>Work Days</th></tr></thead><tbody><tr><td>KE 4</td><td>10</td></tr><tr><td>SNKE</td><td>45</td></tr></tbody></table>			Experts	Work Days	KE 4	10	SNKE
Experts	Work Days							
KE 4	10							
SNKE	45							



Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

Incidentals	0
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Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS

The objective is to assess and implement changes identified by Customs, Transport, and private sector according to a controlled and orderly change management procedure. The Technical Assistance Team will support the establishment of a Change Management Board (CMB) to ensure the effective management of all agreed changes to the ACTS.

Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS									
Main Beneficiaries	ASEAN Customs Directors-General, ASEAN Senior Transport Officials (STOM); the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups; the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC); ASEAN Transport Facilitation Working Group and ASEAN Secretariat.								
Planned Tasks	<ul style="list-style-type: none"> • Identify any needs for specific new functional requirements for ACTS; • Analyse the priority, impact and initial implementation approach and plans of system change requests (CRs) under the purview of the Change Management Board (CMB); • Perform detailed analysis of change requests after assessment and implementation approval by SWG-ACTS, including the online validation of AGVCB permits at departure of transit movements in ASEAN; • Implement the approved CRs based on detailed analysis performed; • Deploy the enhanced with implemented CRs ACTS software/systems; • Test the newly deployed ACTS software/systems; <p>Assess the implementation of the CRs – to be performed by CMB and reported to the SWG-ACTS.</p>								
Outputs									
	<ul style="list-style-type: none"> • The change management procedure and CMB established and in operation; 								
	<ul style="list-style-type: none"> • An initial analysis and assessment completed of initial list of CRs, including the control of AGVCB permits in ACTS; and <p>Subject to approval of Change Management Board, additional relevant functionality installed in ACTS and implemented in ASEAN participating countries.</p>								
	<table> <tr> <th>Time delivery</th><th>of</th></tr> <tr> <td>Q1</td><td></td></tr> <tr> <td>Q2</td><td></td></tr> <tr> <td>Q3 – Q4</td><td></td></tr> </table>	Time delivery	of	Q1		Q2		Q3 – Q4	
Time delivery	of								
Q1									
Q2									
Q3 – Q4									



Activity 3.3.5 Carrying Out a Functional Upgrade of the ACTS		
Expected Results	<ul style="list-style-type: none"> CMT in place with the capacity to manage ongoing ACTS operations effectively; 	Q4
	<ul style="list-style-type: none"> A system in place for ACTS to validate AGVCB permits utilised at departure by ASEAN transit traders; 	Q4
	<ul style="list-style-type: none"> Enhanced confidence that the numerical limits of valid AGVCB permits issued by each participating Member State is being respected; 	Q4
	CMB set up and operating efficiently to handle ACTS system change requests.	Q2
NKE required	<ul style="list-style-type: none"> Lead ACTS IT Implementation Expert ACTS IT Application Expert Senior IT Architect Senior Software Analyst Senior Software Developer Senior Software Tester	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE 4	10
	SNKE	140
	Incidentals	0



COMPONENT 4.0 ASEAN ECONOMIC INTEGRATION MONITORING AND STATISTICS

Planned Resources Used Component 4.0

Component 4.0	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Sub-Component 4.1	0	0	0	0	160	0	€ 31.000
Sub-Component 4.2	0	0	0	0	204	20	€ 45.000
Total Component 4.0	0	0	0	0	364	20	€ 76.000

Sub-component 4.1 ASEAN Economic Integration Monitoring

Outcome

Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.



Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

Rationale:

The main objective of the *ARISE Plus* programme is to improve connectivity between the AMSs through sustainable, inclusive economic integration and trade facilitation. It is also tasked to continuing the EU's economic and development assistance to ASEAN and individual AMSs in consolidating the establishment of the AEC, comprised of a Single Market and Production Base and Competitive Economic Region, with Equitable Economic Development and enhanced Integration into the Global Economy. It should also provide further support for improved intra-regional connectivity in relation to these goals, progress towards which must be monitored. ARISE Plus is therefore a comprehensive package of trade related technical assistance (TRTA) operating at both regional and national levels.

A robust monitoring system will ensure the ARISE Plus regional project is monitored against expected results, outcomes and impact and changes adopted as necessary during implementation and planning cycles. An appropriate internal monitoring system is an important pre-requisite to guide management and decision making in the ARISE Plus regional project.

To ensure coherence and synergy within the ARISE Plus programme it is essential that a monitoring framework is established to link national and regional components of ARISE Plus. The framework should establish linkages with regional AEC integration monitoring activities in particular AEC priorities for compliance monitoring. The framework can also consider AEC outcome monitoring and impact evaluation priorities. The framework should also consider indicators supporting AEC integration such as communication, outreach, feedback, advocacy, M&E and research. The activity will build on best practices and learning from the previous phase of ARISE and from the monitoring of other EU-ASEAN projects.

This activity focusses on two core areas of monitoring: firstly, an internal monitoring system of the ARISE Plus regional project; and secondly on an integrated monitoring framework for the ARISE Plus regional and national projects as a key mechanism for coherence and coordination within the ARISE Plus Programme.

Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects
Main Beneficiaries	ARISE Plus TAT of regional project, ARISE Plus TAT regional and bilateral projects, EU MISSION TO ASEAN, EUD to AMS, ASEAN Integration Monitoring Division (AIMD), Relevant ASEAN Bodies.
Planned Tasks	ARISE Plus regional project internal monitoring: <ul style="list-style-type: none">• Develop and update indicators for ARISE Plus regional project covering efficiency, effectiveness (results and outcomes), relevance, impact (specific objectives and overall objectives) and sustainability including communications/outreach/visibility;

Activity 4.1.1**Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects**

- **Map** ARISE Plus regional project indicators for results, outcomes, specific **objectives** and overall objective with AEC indicators for compliance monitoring and AEC outcome monitoring;
- **Update** ARISE Plus regional project log frame at annual intervals
- **Develop** detailed monitoring framework for ARISE Plus regional project including reporting intervals;
- **Develop** tools for monitoring of ARISE Plus regional project for specific events (tasks) and at six-monthly and annual intervals;
- **Gather** data for monitoring of efficiency and results of ARISE Plus regional project at six-monthly intervals and strengthen feedback mechanisms in conjunction with communication and outreach activities;
- **Gather** and analyse quantitative and qualitative data for monitoring of relevance, sustainability, outcomes and specific objectives of ARISE Plus regional project at annual intervals and strengthen feedback mechanisms in conjunction with communication and outreach activities. This activity may align with the Country Visit process in activity 4.1.2 if appropriate for data collection and feedback mechanisms;
- **Analyse** and report on monitoring findings of ARISE Plus regional project at quarterly, six-monthly and annual intervals including lessons learnt to support decision making, planning, management and communication/outreach.

ARISE Plus regional and national projects monitoring and coordination

- **Undertake** consultations and discussions with TAT of ARISE Plus regional and national projects and relevant AMS EUDs;
- **Expand** ARISE Plus regional project monitoring framework to create indicators for coherence and coordination between ARISE Plus regional and national projects;
- **Support** annual gathering of data for monitoring of regional and national projects, through tools and joint country level data; collection where appropriate in line with monitoring framework
- **Analyse, consolidate and triangulate** data gathered from ARISE Plus national and regional projects;
- **Develop** an annual ARISE Plus Programme Monitoring brief to support decision making, planning, management, communication/outreach and programming at the programme level;
- **Undertake** regular review workshops with ARISE Plus national and regional projects to assess monitoring arrangements, systems and outreach so as to enhance coherence and coordination at the programme level.



Activity 4.1.1

Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

Outputs

	Time of delivery
ARISE Plus regional project internal monitoring	
<ul style="list-style-type: none"> Indicators of ARISE Plus regional project covering efficiency, effectiveness (results and outcomes), relevance, impact (specific objectives and overall objectives) and sustainability including communications/ outreach/ visibility; 	Q1
<ul style="list-style-type: none"> Mapping document linking ARISE Plus regional project indicators for results, outcomes, specific objectives and overall objective with AEC indicators for compliance monitoring and AEC outcome monitoring; 	Q1
<ul style="list-style-type: none"> Updated ARISE Plus regional project log frame; 	Q1
<ul style="list-style-type: none"> Detailed monitoring framework for ARISE Plus regional project including reporting intervals; 	Q1
<ul style="list-style-type: none"> Data collection and analysis tools for monitoring of ARISE Plus regional project including feedback mechanisms; 	Q2
<ul style="list-style-type: none"> Qualitative data for monitoring of efficiency and results of ARISE Plus regional project at six-monthly intervals; 	Q2 and Q4
<ul style="list-style-type: none"> Quantitative and qualitative data for monitoring of relevance, sustainability, outcomes and specific objectives of ARISE Plus regional project for year 1; 	Q2 – Q4
<ul style="list-style-type: none"> Monitoring chapter for ARISE Plus regional project six-monthly reports; 	Q2 – Q4
<ul style="list-style-type: none"> Monitoring documents, highlighting ARISE Plus regional project achievements, for communications and outreach activities at quarterly or six-monthly intervals. 	Q2 – Q4
ARISE Plus regional and national programme monitoring and coordination	
<ul style="list-style-type: none"> Monitoring framework linking ARISE Plus regional project with national projects; 	Q2 – Q4
<ul style="list-style-type: none"> Data for monitoring of national ARISE Plus projects in line with monitoring framework at annual interval; 	Q3 – Q4
<ul style="list-style-type: none"> ARISE Plus Programme Monitoring brief covering achievements and learning of regional and national projects and as a programme as a whole at annual and three-year intervals; 	Q4



Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects		
	<ul style="list-style-type: none"> Workshop working notes between the ARISE Plus regional and national programmes to review monitoring and to ensure consistency and coherence at the programme level. 	Q2 – Q4
Expected Results	<ul style="list-style-type: none"> Operationalisation of an integrated monitoring framework for ARISE Plus regional project; 	Q1-Q4
	<ul style="list-style-type: none"> Operationalisation of an integrated monitoring framework for ARISE Plus regional and national projects, as a key co-ordination tool linking regional and national activities; 	Q1-Q4
	<ul style="list-style-type: none"> Regular monitoring and reporting of the ARISE Plus programme supporting decision making, planning, management, communication/outreach and programming at the programme level. 	Q1-Q4
STE Profiles	<ul style="list-style-type: none"> Senior NKE M&E Senior NKE outreach, communications & visibility 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE	0
	SNKE	50
	Incidentals	0

Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework

Rationale

The development of an enhanced monitoring framework for the ASEAN Economic Community (AEC) is envisaged in the AEC Blueprint (AECB) 2025. The AEC 2025 Monitoring and Evaluation (M&E) Framework was endorsed by the ASEAN Economic Ministers and AEC Council in 2016 and has been disseminated to the AEC sectoral bodies. The AEC 2025 M&E Framework consists of four components:

- Compliance monitoring (based on the Consolidated Strategic Action Plan (CSAP) and Annual Priorities drawn from sectoral work plans with reporting taking place every year);
- Outcomes monitoring (based on sectoral key performance indicators, with reporting every 2-3 years);
- Impact evaluation (based on socio-economic indicators, mid-term and end-term evaluations);
- Reporting, verification and review.

The Framework guides AEC M&E processes and initiatives and facilitates the reporting on both compliance and outcomes at the sector implementation level as well as overall outcomes of the AECB 2025. Its operationalisation is expected to result in the enhancement of M&E processes at AMS, sectoral bodies' and broad AEC levels. The Framework introduces new M&E tools such as annual prioritisation and country visits.

AEC monitoring is coordinated by the ASEAN Integration Monitoring Directorate (AIMD) of the ASEAN Secretariat. One of the challenges ASEAN and AIMD face is to co-ordinate monitoring activities based on AMS submissions at the level required to identify what initiatives have been completed and where further efforts are required. Another key challenge is to keep the M&E process technical, robust and evidence based as it has the risk of being politicised, especially when M&E reporting is taken as synonymous with public relations.

Following its regularisation in 2016, as part of the exercise of strengthening the ASEAN Secretariat to support the newly established ASEAN Community, and with excellent staff, AIMD is now in a position, to more impactfully monitor and report on ASEAN economic integration, including in many of the areas supported via ARISE Plus.

Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework	
Main Beneficiaries	ASEAN Integration Monitoring Division (AIMD), ASEAN Sectoral Bodies, AMS
Planned Tasks	Compliance Monitoring Support AIMD to: <ul style="list-style-type: none">• Enhance the Country Visit process and other technical compliance verification processes;• Enhance synergy of monitoring and evaluation (M&E) and reporting across regional, national and sectoral level and concrete follow up to the broader AEC M&E monitoring efforts.• Develop a strategy for engaging the private sector under the AEC 2025 M&E Framework.



Activity 4.1.2 Supporting Implementation of AEC 2025 M&E Framework		
	Outcome Monitoring Support AIMD to: <ul style="list-style-type: none"> • Assess progress of sectoral bodies' outcome-level Key Performance Indicators (KPIs) (on-demand). 	
Outputs		Time of delivery ¹
	Compliance Monitoring <ul style="list-style-type: none"> • Improved conduct and reporting of the Country Visits; • Mapping of sectoral and/or national M&E efforts, and identification of synergies and concrete follow up to the broader AEC M&E monitoring efforts; • Concept note on private sector engagement strategy. Outcome Monitoring <ul style="list-style-type: none"> • Written outputs (e.g. section or chapter) on technical analysis of outcomes-level KPIs for AEC Monitoring reports (on-demand). 	Q2-Q4 Q2-Q4 Q2-Q4 Q2-Q4
Expected Results	• Operationalisation of the AEC 2025 M&E Framework;	Q1-Q4
	• Regular compliance monitoring of implementation of the AECB 2025 and its corresponding sectoral work plans;	Q1-Q4
	• Practical and timely measurement and reporting of the sector outcomes-level KPIs;	Q1-Q4
	• Enhanced synergy of monitoring and evaluation (M&E) and reporting across regional, national and sectoral level stakeholders;	Q1-Q4
	• Enhanced private sector engagement.	Q1-Q4
STE Profiles	<ul style="list-style-type: none"> • Senior NKE M&E with ASEAN experience 	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE	0
	SNKE	50
	Incidentals	€ 10.300

¹ Timing to be aligned with AIMD

Activity 4.1.3 Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025

Rationale:

As stipulated in the AEC Blueprint 2025, in addition to its role in monitoring and evaluating the progress and the impact of the AEC Blueprint 2025 measures, the ASEAN Secretariat also continues to assume an active role in the dissemination of information to all stakeholders, and in the conduct of research or other initiative that are relevant to, and supportive of, the AEC Blueprint 2025 (Paragraph 85 of the AEC Blueprint 2025). To implement the agreed measures, the ASEAN would continue to facilitate the mobilisation of resources from ASEAN Member States, Dialogue Partners and international institutions, in terms of, but not limited to, funding, expertise, and capacity building support (Paragraph 87 of the AEC Blueprint 2025).

The ASEAN Integration Monitoring Directorate (AIMD) at the ASEAN Secretariat is responsible for: (i) spearheading the implementation of regional surveillance and economic integration monitoring in ASEAN; (ii) providing high level policy and technical advice and recommendations to support ASEAN economic integration initiatives; (iii) shaping the economic research and policy analysis programme of ASEAN Secretariat; (iv) ensuring effective information dissemination, communication and reporting on ASEAN economic integration; and (v) providing in-house capacity development for economic integration monitoring, analysis and research.

Existing publications include AIMD's flagship publication the ASEAN Integration Report 2015 (the next issue is planned for 2018); the bi-annual ASEAN Economic Integration Briefs, along with internal reports including regular sectoral surveillance reports such as the annual ASEAN Investment Surveillance Reports and the annual ASEAN Financial Integration Monitoring Reports. In addition to periodic internal and public research outputs, the AIMD also responds to the research needs of the AEC, at times in co-ordination with leading regional and international research institutions.

Given its resource constraints, increased demand for analytical work highlights the increased needs for resources including those required to articulate and disseminate results from the monitoring and evaluation of the AEC Blueprint 2025 as well as other ASEAN-related research outputs conducted by the ASEC to key regional stakeholders including relevant non-state actors to promote their support and participation in ASEAN regional economic integration efforts. To this end, the proposed activity 4.1.3 would provide on-demand support for the ASEAN Secretariat's particularly AIMD's relevant research, capacity building, and outreach activities to assist the implementation of the AEC Blueprint 2025.

Activity 4.1.3	Demand-driven Research, Capacity Building and Outreach to Support AECB Implementation
Main Beneficiaries	The ASEAN Secretariat, particularly the ASEAN Integration Monitoring Directorate (AIMD), ASEAN Sectoral Bodies, ASEAN Member States.
Planned Tasks	<ul style="list-style-type: none">• Provide on-demand assistance to develop research approach and methodology;• Provide on-demand assistance to gather information and data from key stakeholders at ASEAN regional and AMS individual levels leveraging on

Activity 4.1.3 Demand-driven Research, Capacity Building and Outreach to Support AECB Implementation		
	<p>existing tools and mechanisms as appropriate e.g. country visits, online mechanisms, etc. and on-demand support for access secondary datasets;</p> <ul style="list-style-type: none"> • Provide on-demand assistance to data analysis; • Provide on-demand assistance to develop research publications such as the ASEAN Integration Report (AIR); • Provide on-demand assistance to develop outreach activities and communication materials based on research findings leveraging on existing events and mechanisms; • Enhance the AEC Monitoring Website to optimise dissemination potential; • Provide on-demand assistance to develop the capacity of the ASEC staff in the implementation of the above activities. 	
Expected outputs		Time of delivery ²
	<ul style="list-style-type: none"> • Appropriate research approach, methodology and feedback mechanisms; 	Q1
	<ul style="list-style-type: none"> • Primary data from key stakeholders through country visits, online mechanisms etc. and provision of access to secondary data; 	Q2 – Q4
	<ul style="list-style-type: none"> • Data analysis outputs; 	Q4
	<ul style="list-style-type: none"> • Research publications such as the ASEAN Integration Report (AIR); 	Q4
	<ul style="list-style-type: none"> • Completed internal reports; 	Q2 – Q4
	<ul style="list-style-type: none"> • An outreach plan for disseminating research findings; 	Q3
	<ul style="list-style-type: none"> • Communication materials (printed, online, social media, multimedia) on the results of AEC integration monitoring and other efforts; 	Q2 – Q4
	<ul style="list-style-type: none"> • Enhanced AEC Monitoring Website; 	Q3
	<ul style="list-style-type: none"> • Capacitated ASEC staff. 	Q2 – Q4
Expected results		
	<ul style="list-style-type: none"> • Strengthened research processes at ASEC to support implementation of AEB; 	Q1-Q4
	<ul style="list-style-type: none"> • Enhanced outreach mechanisms and materials based on research findings; 	Q1-Q4
	<ul style="list-style-type: none"> • Improved awareness on ASEAN economic integration amongst AMS and sectoral bodies, and the public; 	Q1-Q4
	<ul style="list-style-type: none"> • Research activities and outputs sustained through capacitated ASEC staff. 	Q1-Q4

² Subject to changes based on AIMD priorities and work-plan



Activity 4.1.3 Demand-driven Research, Capacity Building and Outreach to Support AECB Implementation											
STE Profiles	<ul style="list-style-type: none">• Senior expert with knowledge of ASEAN Integration Report;• Senior expert with outreach experience in ASEAN;• Senior M/E Expert with ASEAN experience.										
Resources (inputs)	<table><tr><th colspan="2">Expert days</th></tr><tr><th>Experts</th><th>Work Days</th></tr><tr><td>KE</td><td>0</td></tr><tr><td>SNKE</td><td>60</td></tr><tr><td>Incidentals</td><td>€ 20.700</td></tr></table>	Expert days		Experts	Work Days	KE	0	SNKE	60	Incidentals	€ 20.700
Expert days											
Experts	Work Days										
KE	0										
SNKE	60										
Incidentals	€ 20.700										



Sub-Component 4.2 ASEAN Statistics Capacity Building

Outcome

Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all ASM (and particularly but not limited to CLMV countries).

Activity 4.2.1 Enhancing AEC Monitoring Databases

Rationale:

In 2017, AIMD developed two internal databases, namely the “AEC 2025 Compliance Monitoring Database” (CM Database), and the “AEC 2025 Integration Monitoring Database” (IM Database) to help operationalise compliance and outcomes monitoring, respectively, for the AEC. The main features of the databases are as follows:

CM Database: tracks the implementation of AEC 2025 sectoral work plans at different tiers/levels of implementation; will be updated by the AIMD and AEC desk officers based on the progress of activities, meeting reports, and other official documents of AEC sectoral bodies.

IM Database: consolidates statistical data from ASEANstats and third-party sources; includes historical data for Key Performance Indicators (KPIs) adopted in AEC sectoral work plans, as well as other relevant statistical data.

Both databases, whose development has been supported by the COMPASS project, will contribute to a robust operationalisation of the AEC 2025 M&E Framework and will be utilised starting 2018 to systematically generate inputs to the preparation of internal and external reports on implementation of the AEC Blueprint 2025.

While the immediate priority is to fully utilise these databases, there is a need to continuously enhance the technical features/functions of the databases to respond to the challenges or additional requirements that may arise from the first year/s of operationalisation of the databases.

Activity 4.2.1	Enhancing AEC monitoring databases	
Main Beneficiaries	ASEAN Integration Monitoring Directorate (AIMD) and Statistic Division (ASEANstats).	
Planned Tasks	<p><i>Note: All activities planned in AWP1 under component 4.2 ASEAN Statistics are planned based on the assumption of Compass finishing September and will be readjusted following the assessment of COMPASS achievements</i></p> <p>No activity is likely to be required for this first yearly period.</p>	
Outputs		Time of delivery
	N/A	



Activity 4.2.1 Enhancing AEC monitoring databases		
		N/A
Expected Results	N/A	
NKE required	N/A	
Resources (inputs)	Expert days	
	Experts	Work Days
	KE	0
	SNKE	0
	Incidentals	0

Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025

Rationale:

The ASEAN Community Statistical System (ACSS) is still a relatively new entity, as it was formally established only in 2011. Its vision to be “A responsive ASEAN Community Statistical System providing high quality statistics” is bringing about great challenge to AMS NSOs and ASEANstats. Being the apex entity of the ASEAN statistical co-operation framework, the ACSS institutional strengthening is of crucial importance for ensuring the implementation of the ACSS work programmes. Over the past 6 years, the ACSS has made significant progress in strengthening of its institutional framework. ACSS Committee is the highest policy-making and co-ordinating body on statistical matters in the ASEAN region, and is the unique ASEAN body dealing with ASEAN statistics. An ACSS Code of Practice was adopted in 2012. The ACSS operates according to the ACSS Strategic Plan 2016-2025 and its annual work plans (AWPs).

The ACSS Strategic Plan 2016-2025 clearly identifies the outstanding challenges to be met. Among these are the further strengthening of the role of the ACSS Committee as the highest regional body in statistical policy-making needs. It includes supports to the ACSS in the implementation of ACSS Strategic Plan 2016-2025 and its AWP; in the establishment of the ACSS Strategic Plan Monitoring and Evaluation (M&E) system based on its KPIs; in further improvements to the response to greater ASEAN data need; in enhancing appropriate IT tools to further improve the ASEANstats data production and dissemination/communication system; in the alignment of regional and national statistical development strategies; and in the enforcement of an operational statistics quality framework.

Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA).
Planned Tasks	<p><i>Note: All activities planned in AWP1 under component 4.2 ASEAN Statistics are planned based on the assumption of Compass finishing September and will be readjusted following the assessment of COMPASS achievements.</i></p> <ul style="list-style-type: none">• Assess the state of progress at the end of COMPASS project and readjust activities;• Support the ACSS in the implementation of ACSS Strategic Plan 2016-2025 and AWP, including further development of data user-producer partnerships of ASEAN statistics, effective implementation of ACSS work programs, M&E of the ACSS Strategic Plans, strengthening the statistical capacity of ASEANstats staff thru trainings and participations in global statistical agenda’s activities and events;• Contribute to greater ASEAN data needs by further strengthening methodology for improved provision for timely, comparable and reliable key data for supporting the ASEAN Integration, particularly on SNA

Activity 4.2.2 Supporting the ACSS in the Context of the AECB 2025		
	<p>statistics and SDG indicators, as well as further improvements in the quality of existing databases on IMTS, FDIS, SITS, and other social-economic indicators in the ASEAN Statistical Indicators-Consolidated Template (ASI-CT);</p> <ul style="list-style-type: none"> • Contribute to the enhancement of appropriate IT tools to further improve the ASEANstats statistical database production and maintenance system, as well as the data dissemination system, the implementation of Data Transmission Protocol and Tool, and ASEANstats websites; • Organise regional events (trainings, workshops) on communication for statistics (including online data visualization, infographics, social media, press releases, press conference); • Support further development of ASEAN Statistical Training Agenda; continued conducts of ACSS cascading forums in AMSs; review of the implementation of Code of Practices (CoP) through self-assessment and peer reviews; further promoting co-operation and joint initiatives in AMSs thru ASEAN-Help-ASEAN initiatives, staff attachment, and lead country approach, as appropriate; • Contribute to the overall coordination of statistical supports and technical assistance, as appropriate – to address training and capacity building needs of the ACSS. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> • Updated list of activities and work plan for Q3-Q4; 	Q2
	<ul style="list-style-type: none"> • Regional workshops on IMTS and SITS; 	Q3/Q4
	<ul style="list-style-type: none"> • Working documents, concept notes, guidelines, reports, training materials and publications to support the ACSS committee, SCPC, WGS DGI, WGSNA and WGSNA on relevant issues; 	Q3/Q4
	<ul style="list-style-type: none"> • Workshop on the data user-producer engagement with ASEC relevant divisions; 	Q3-Q4
	<ul style="list-style-type: none"> • Two trainings on relevant statistical areas for 2 ASEANstats staff; 	Q3-Q4
	<ul style="list-style-type: none"> • Consolidated and integrated of ASEANstats statistical database production, maintenance and dissemination system. 	Q3-Q4
Expected Results	<ul style="list-style-type: none"> • ACSS AWP are effectively implemented according to the ACSS Strategic Plan 2016-2025; 	Q3-Q4
	<ul style="list-style-type: none"> • ASEANstats statistical databases system is able to provide timely and reliable data for supporting policy analysis, decision making and ASEAN Integration; 	Q3-Q4
	<ul style="list-style-type: none"> • The visibility of the ASEAN statistical cooperation, especially ACSS and ASEANstats works, is improved. 	Q3-Q4



Activity 4.2.2

Supporting the ACSS in the Context of the AECB 2025

NKE required

- Senior Statisticians with experience in ASEAN and in relevant statistical domains;
- Senior ITD expert with experience of Statistics Database Management at regional level;
- Junior Database Manager with knowledge of official statistics.

Resources (inputs)

Expert days

Experts	Work Days
SNKE	77
JNKE	20
Incidentals	€ 15.000



Activity 4.2.3 Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV)

Rationale:

The ACSS supports the monitoring of the implementation of ASEAN integration, notably the AEC 2025 M&E Framework. In this regard, there is an increasing need for robust, quality data. Over the last 5 years, working groups were established first for International Merchandise Trade Statistics (IMTS), Foreign Direct Investment Statistics (FDIS), Statistics of International Trade in Services (SITS), and more recently for Sustainable Development Goals Indicators (SDGI) and System of National Account (SNA). The existence of formally constituted working groups reflects their permanent status within the decision-making framework of the ACSS Committee. This is already a first key step from an institutional perspective. In the fields of IMTS, FDIS and SITS, progress in harmonisation is ongoing. The work of SDG and SNA is more recent and is still at the initial stage.

Despite progress made since 2012, the statistical development of CLMV countries in these key areas lags behind those of the ASEAN-6 countries. There is a need for those countries to catch up and benefit from the ASEAN-6 experience and practices. At the same time, some ASEAN-6 may have also issues within these key areas that require specific support for further improvements.

Activity 4.2.3 Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV)		
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), Working Groups on relevant domains.	
Planned Tasks	<p><i>Note: All activities planned in AWP1 under component 4.2 ASEAN Statistics are planned based on the assumption of Compass finishing September and will be readjusted following the assessment of COMPASS achievements.</i></p> <ul style="list-style-type: none"> Assess the state of progress at the end of COMPASS project and readjust activities; Continue the initiatives of WG on FDIS, IMTS, SITS, SNA and SDGI to comply with international standards and in the harmonisation of ASEAN Statistics, particularly in but not limited to CLMV; Contribute to the improvement ICT supports for CLMV for providing regularly, timely, comparable and reliable regular statistical data to ASEANstats; Provide technical assistance and trainings particularly in but not limited to CLMV on specific statistical related subjects; Organise regional study visits, exchange programmes, staff attachment and internships particularly in but not limited to CLMV. 	
Outputs		Time of delivery
	<ul style="list-style-type: none"> Updated list of activities and work plan for Q3-Q4; 	Q2



Activity 4.2.3 Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV)		
	<ul style="list-style-type: none"> Task to CLMV and other AMS as appropriate in SNA, SDG, IMTS, FDIS, and SITS; 	Q3-Q4
	<ul style="list-style-type: none"> Two national trainings/workshops on specific statistical related subjects, particularly in but not limited to CLMV, following where possible ASEAN-help-ASEAN approach. 	Q3-Q4
Expected Results	All AMSs, including CLMV, is able to provide timely, comparable and reliable statistical data for supporting ASEAN Integration on regular basis to ASEANStats.	Q3-Q4
NKE required	<ul style="list-style-type: none"> Senior Statisticians with experience in ASEAN and in relevant statistical domains; Senior ITD expert with experience of Statistics Database Management at national level. 	
Resources (inputs)	Expert days	
	Experts	Work Days
	SNKE	100
	Incidentals	€ 30.000

Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains

Rationale:

The ACSS shall be responsive to new statistical needs emerging from major ASEAN initiatives and global agenda. Beyond the ASEAN monitoring initiatives under AEC, there are initiatives under other ASEAN Community pillars and global initiatives on statistics. The ACSS aims to improve its response to initiatives launched by the ASEAN Community pillars and the respective ASEAN sectoral bodies as well as international organisations (UNSC, ILO, UNESCAP, EUROSTAT), as appropriate.

As part of their outcomes monitoring effort, several ASEAN sectoral bodies are currently requesting ACSS/ASEANstats to consider including new data/indicators in the ASEAN Statistical Indicators-Consolidated Templates (ASI-CT) within the Broad Framework for Sustainable Development of ASEAN Statistics (BFSDAS). These newly requested data/indicators include SME, ASEAN connectivity, investment, minerals, agriculture and forestry, disaster and transport statistics. In following up these new data request, there is an urgent need for the ACSS to have a formal clearing mechanism for ensuring that the newly requested data is feasibly collected, and most importantly, these must be statistical data which is directly under AMSs NSOs' mandate. Hence, ACSS/ASEANstats is currently preparing "Protocol for New Data Request by ASEAN Sectoral Bodies".

New statistical needs from the global statistical initiatives include among others on SDG, open and big data, as well as the use of administrative records for official statistics, and – increasingly also of interest to ASEAN – digital economy and/or e-commerce statistics.

The key stakeholder of this activity is ACSS i.e. ASEANstats and AMS NSOs, as well as AIMD, other analysis and monitoring division/directorate in the ASEAN Secretariat, and the ASEAN Community more broadly.

Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains	
Main Beneficiaries	ASEAN Member States (AMSs), ASEAN Integration Monitoring Directorate (AIMD), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC).
Planned Tasks	<p><i>Note: All activities planned in AWP1 under component 4.2 ASEAN Statistics are planned based on the assumption of Compass finishing September and will be readjusted following the assessment of COMPASS achievements</i></p> <ul style="list-style-type: none"> Identifying the ongoing initiatives, pilot exercises and most advanced countries in the relevant new statistical domains; Support the preparation of relevant concept notes on the implementation plan for acquiring the new statistical data and developing the new statistical domains.
Outputs	Time of delivery
	<p>Concept note on identifying the ongoing initiatives and pilot exercises in most advanced countries in the relevant new statistical domains, and the implementation plan for</p> <p>Q3-Q4</p>



Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains		
	acquiring the new statistical data and developing the new statistical domains.	
Expected Results	ASEANstats databases, with support from ACSS, are able to cater for relevant new data requests from ASEAN Sectoral Bodies and are in line with global statistical initiatives.	Q3-Q4
NKE required	Senior Statistician with experience in ASEAN and in relevant statistical domains.	
Resources (inputs)	Expert days	
	Experts	Work Days
	SNKE	20
	Incidentals	0

Activity 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics

Rationale:

Adopted in April 2012, the Bandar Seri Begawan Plan of Action to Strengthen the ASEAN-EU Enhanced Partnership (2013-2017) renewed the two regions' commitment to continue to support (including through technical assistance), "the gathering and availability of reliable statistical data at regional level, in order for ASEAN to be able to assess its current evolution as an integrated economic region and support further integration measures". Its successor, the ASEAN-EU Plan of Action (2018-2022), further confirmed support for effective implementation of the ASEAN Economic Community (AEC) Blueprint at regional and national levels. The endorsement of the AEC 2025 Monitoring and Evaluation (M&E) Framework by ASEAN Economic Ministers (AEM) in August 2016 and the AEC Council in September 2016 was to help ensure the timely and effective implementation of the AEC Blueprint 2025.

To this end, the holding of the ASEAN-EU Policy Dialogue on M&E and Statistics can contribute to achieve the objective of gathering and providing reliable statistical data at regional level through exchanges on topics of common interests, particularly those related to the M&E of regional integration efforts through the provision of regional statistical data. These dialogues would not only lead to identification of possible areas for further bilateral and multilateral cooperation but also help improving the operationalisation of the AEC 2025 M&E Framework and regional integration monitoring more broadly, in line with the Bandar Seri Begawan Plan of Action, its successor the ASEAN-EU Plan of Action, the AEC Blueprint 2025 and the ACSS Strategic Plan 2016-2025.

Activity 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics		
Main Beneficiaries	ASEAN Integration Monitoring Directorate (AIMD), ASEAN Community Statistical System (ACSS)	
Planned Tasks	<p><i>Note: All activities planned in AWP1 under component 4.2 ASEAN Statistics are planned based on the assumption of Compass finishing September and will be readjusted following the assessment of COMPASS achievements.</i></p> <ul style="list-style-type: none"> Identify themes and mobilise technical expertise to facilitate the respective dialogues 	
Outputs		Time of delivery
	Conceptual note on ASEAN-EU Policy Dialogue on M&E and Statistics allowing to identify themes and propose activities to be supported	Q2
Expected Results	<ul style="list-style-type: none"> Better awareness and understanding of M&E best practices and other regional integration monitoring experiences, and the identification of way forward for enhancement of the operationalisation of the AEC 2025 M&E Framework 	Q3-Q4
	<ul style="list-style-type: none"> Enhancement of regional statistical cooperation and outputs through better awareness and understanding of 	Q3-Q4



Activity 4.2.5 Supporting ASEAN-EU Policy Dialogue on M&E and Statistics		
	statistical best practices, cooperation experiences and lessons learned.	
NKE required	Senior Statistician with experience in ASEAN	
Resources (inputs)	Expert days	
	Experts	Work Days
	SNKE	7
	Incidentals	0



3.0 PLANNED USE OF RESOURCES

	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
COMPONENT 0.0	Management and Outreach							
Sub-component 0.1	Project Management and Coordination	240	38	46	46	0	0	30.856
Activity 0.1.1	Project Management and Coordination	240	38	46	46			30.856
Sub Component 0.2	Demand Driven Contingency	0	0	0	0	267	0	83.411
Activity 0.2.1	Demand Driven Contingency					267		83.411
Sub-Component 0.3	Visibility, Communication and Outreach	0	0	0	0	100	0	40.000
Activity 0.3.1	Visibility, Communication and Outreach					100		40.000
COMPONENT 1.0	Trade Facilitation and Transparency							
Sub Component 1.1	ATIGA Implementation with Focus on Transparency and NTMs	0	93	0	0	282	50	161.469
Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)		64			155	50	92.209
Activity 1.1.2	Identifying, Classifying and Notifying NTMs		14			112		54.565



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Activity 1.1.3	Supporting the Coordinating Committee for the Implementation of the ATIGA		15			15		14.695
Sub Component 1.2	Trade Facilitation and Private Sector Engagement	0	58	0	0	138	0	72.493
Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors		32			74		40.747
Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee		12			18		18.246
Activity 1.2.3	Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation		0			6		0
Activity 1.2.4	Assisting ASEC and AMSs in TF-Related Policies and Dialogue		0			10		0
Activity 1.2.5	Strengthening Public-Private Cooperation		14			30		13.500
Sub-component 1.3	On-demand Activities	0	0	0	0	70	0	0
Activity 1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme		0			35		0
Activity 1.3.2	Supporting the ASEAN Connectivity Coordinating Committee		0			20		0
Activity 1.3.3	Supporting the Transposition of Regional Agreements at National Level		0			15		0
COMPONENT 2.0	Standards and Conformity Assessment in Particular Healthcare and Agro-based products							



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub component 2.1	Supporting the Development of Quality Infrastructure and Related Policies in ASEAN	0	0	74	0	50	0	80.689
Activity 2.1.1	Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standards and Conformance			30		0		26.187
Activity 2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations			12		0		24.059
Activity 2.1.3	Strengthening Conformity Assessment and Accreditation			15		40		28.315
Activity 2.1.5	Enhancing Co-ordination across ASEAN Sectoral Working Groups			17		10		2.128
Sub-component 2.2	Supporting the Harmonisation of Standards and Compliance to International Standards	0	0	15	0	50	0	4.256
Activity 2.2.1	Supporting Harmonisation of Standard			15		50		4.256
Sub-component 2.3	Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	0	0	80	0	290	40	253.615
Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety			25		30		58.492
Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs			15		60		33.327
Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety			10		50		75.720



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Activity 2.3.4	Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN			8		30	10	29.819
Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)			5		20	20	7.888
Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture			12		80		43.989
Activity 2.3.7	Training in Food Safety			5		20	10	4.380
Sub-component 2.4	Support to the Pharmaceutical Sector	0	0	25	0	70	0	52.374
Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory framework			15		50		50.246
Activity 2.4.2	Combatting Falsified Medicines in ASEAN			5		10		1.064
Activity 2.4.3	Enhancing Pharmaceuticals Standardization in ASEAN			5		10		1.064
COMPONENT 3.0	Customs, Transport and ACTS							
Sub component 3.1	Customs Measures	0	0	0	49	120	0	145.756
Activity 3.1.3	Supporting Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)				25	60		71.234



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)				24	60		74.522
Sub-component 3.2	Transport Measures	0	0	0	80	120	0	103.843
Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)				20	40		36.010
Activity 3.2.2	Supporting Implementation of the Action Plan for the ASEAN Framework Agreement on the Facilitation of Multi Modal Transport (AFAMT)				30	50		60.253
Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)				30	30		7.580
Sub component 3.3	ACTS Implementation	0	0	0	65	762	0	211.397
Activity 3.3.1	Supporting Implementation of the ASEAN Customs Transit System (ACTS) legal framework				10	30		13.217
Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)				15	77		59.976
Activity 3.3.3	Rolling Out of ACTS in CLMV Countries				20	470		138.204
Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)				10	45		0
Activity 3.3.5	Carrying out a Functional Upgrade of the ACTS				10	140		0



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Component 4.0	ASEAN Economic Integration Monitoring and Statistics							
Sub-component 4.1	ASEAN Economic Integration Monitoring	0	0	0	0	160	0	31,000
Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects					50		
Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework					50		10.300
Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025					60		20.700
Sub component 4.2	ASEAN Statistics Capacity Building							
Activity 4.2.1	Enhancing AEC Monitoring Databases	0	0	0	0	204	20	45.000
Activity 4.2.2	Supporting the ACSS in the Context of the AECB 2025					77	20	15.000
Activity 4.2.3	Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV)					100		30.000
Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains					20		0
Activity 4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics					7	0	0
TOTAL Work Plan 1		240	189	240	240	2683	110	1.422.747



4.0 TIMING OF ACTIVITIES

Activity		Q1	Q2	Q3	Q4
0.1.1	Project Management and Coordination	X	X	X	X
0.2.1	Demand Driven Contingency	X	X	X	X
0.3.1	Visibility, Communication and Outreach (VCO)	X	X	X	X
1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs)	X	X	X	X
1.1.2	Identifying, Classifying and Notifying NTMs		X	X	X
1.1.3	Supporting the Coordinating Committee for the Implementation of the ATIGA	X	X	X	X
1.2.1	Full Operationalisation and Management of ASSIST, including in the Services and Investment Sectors	X	X	X	X
1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee	X	X	X	X
1.2.3	Supporting the EU-ASEAN Policy Dialogues on Trade Facilitation	X	X	X	X
1.2.4	Assisting ASEC and AMSs in TF-Related Policies and Dialogue	X	X	X	X
1.2.5	Strengthening Public – Private Cooperation	X	X	X	X
1.3.1	Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme	X	X	X	X
1.3.2	Supporting the ASEAN Consultative Committee on Connectivity	X	X	X	X
1.3.3	Supporting the Transposition of Regional Agreements at National Level	X	X	X	X
2.1.1	Supporting ACCSQ to Implement the 2016 – 2025 ASEAN Strategic Plan for Standards and Conformance	X	X	X	X
2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations	X	X	X	X
2.1.3	Strengthening Conformity Assessment and Accreditation	X	X	X	X
2.1.5	Enhancing Co-ordination across ASEAN Sectoral Working Groups	X	X	X	X
2.2.1	Supporting Harmonization of Standards	X	X	X	X
2.3.1	Developing and Implementing a Regulatory Framework for Food	X	X	X	X
2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	X	X	X	X
2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety	X	X	X	X



Activity		Q1	Q2	Q3	Q4
2.3.4	Supporting the Collection, Management and Harmonization of Food Consumption Data to Strengthen Risk Assessment in ASEAN	X	X	X	X
2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and Integrating Them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)	X	X	X	X
2.3.6	Strengthening ASEAN Co-operation on Organic Agriculture	X	X	X	X
2.3.7	Training in Food Safety			X	X
2.4.1	Strengthening the Pharmaceuticals Regulatory Framework	X	X	X	X
2.4.2	Combating Falsified Medicines in ASEAN				X
2.4.3	Enhancing Pharmaceuticals Standardisation in ASEAN	X	X	X	X
3.1.3	Supporting the Development of Standard Authorised Economic Operator (AEO) Programmes and AEO Mutual Recognition Arrangements (MRA) in ASEAN (SPCD 07)	X	X	X	X
3.1.5	Assistance to Narrowing the Development Gap in Customs	X	X	X	X
3.2.1	Operationalising the AFAFGIT and AFAFIST	X	X	X	
3.2.2	Supporting Implementation of the Action Plan for AFAMT		X	X	X
3.2.3	Supporting Implementation of the AFA-CBTP by Road Vehicles		X	X	X
3.3.1	Supporting Implementation of the ACTS Legal Framework		X		
3.3.2	Supporting the Full Roll-Out of the ACTS in Malaysia, Singapore and Thailand	X	X	X	X
3.3.3	Rolling Out of ACTS in CLMV countries	X	X	X	X
3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)	X	X	X	X
3.3.5	Carrying Out a Functional Upgrade of the ACTS	X	X	X	X
4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects	X	X	X	X
4.1.2	Supporting Implementation of AEC 2025 M&E Framework		X	X	X
4.1.3	Demand Driven Research, Capacity Building and Outreach to Support AECB Implementation	X	X	X	X
4.2.1	Enhancing AEC Monitoring Databases	N/A	N/A	N/A	N/A
4.2.2	Supporting the ACSS in the Context of the AECB 2025		X	X	X
4.2.3	Strengthening AMSS' Statistical Capacities (particularly in but not limited to CLMV)		X	X	X
4.2.4	Expanding ACSS Capacity in New Statistical Domains			X	X



Activity		Q1	Q2	Q3	Q4
4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics		X		

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