



Work Plan 2

(8st May 2019 - 7st May 2019)

ASEAN Regional Integration Support by the European Union - (ARISE) Plus





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Project No. ACA/2016/389774

Service Contract No. EUROPEAID/138416/DH/SER/MULTI



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ARISE PLUS FACT SHEET

Project Title	ASEAN Regional Integration Supported by EU (ARISE Plus)
Project Number	ACA/2016/389774
Service Contract	EUROPEAID/138416/DH/SER/MULTI
Service Contractor	AETS, in consortium with FratiniVergano, AECOM and ARTEMIS
Project Location	ASEAN Secretariat Jakarta (with activities in ASEAN and EU)
Contracting Authority	EU Delegation to Indonesia & Brunei Darussalam
EUD Task Manager	Celine Prudhomme (Celine.PRUDHOMME@eeas.europa.eu)
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Project Dates	8 th November 2017 to 7 th November 2020
Project Budget	€13,833,125.00
Working Days available	KE 2508, SNKE 6,765, JNKE 400.
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LIST OF ABBREVIATIONS

ABAC	ASEAN Business Advisory Council
ACCSQ	ASEAN Consultative Committee on Standards and Quality
ACCC	ASEAN Connectivity Coordinating Committee
ACCP	ASEAN Committee on Consumer Protection
ACD	ASEAN Cosmetic Directive
AC-SPS	ASEAN Committee on Sanitary and Phytosanitary Measures
ACSS	ASEAN Community Statistical System
ACTD	ASEAN Common Technical Dossier
ACTR	ASEAN Common Technical Requirements
ACTS	ASEAN Customs Transit System
ACTS-IP	ACTS Information Portal management
AEC	ASEAN Economic Community
AECB	ASEAN Economic Community Blueprint
AEGFS	ASEAN Expert Group on Food Safety
AEO	Authorised Economic Operators
AFAFGIT	ASEAN Framework Agreement on the Facilitation of Goods in Transit
AFAFIST	ASEAN Framework Agreement on the Facilitation of Inter-State Transport
AFAMT	ASEAN Framework Agreement on Multimodal Transport
AFFA	ASEAN Federation of Forwarders Associations
AFS-CC	ASEAN Food Safety Coordinating Committee
AHEEERR	ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime
AIMD	ASEAN Integration Monitoring Division
AMS	ASEAN Member State
API	Active Pharmaceutical Ingredient(s)
APLAC	Asia Pacific Laboratory Accreditation Cooperation
ARAC	ASEAN Risk Assessment Centre for Food Safety
ARASFF	ASEAN Rapid Alert System for Food and Feed
ARISE	ASEAN Regional Integration Support by the EU
ASEAN	Association of South East Asian Nations
ASEAN-CBTP	ASEAN agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle
ASEC	ASEAN Secretariat
ASI-CT	ASEAN Statistical Indicators-Consolidated Template
ASOA	ASEAN Standard for Organic Agriculture
ASPC	ACCSQ's 2016-2025 Strategic Plan for Standards and Conformance
ASSIST	ASEAN Solutions for Services, Investment and Trade
ATFJCC	ASEAN Trade Facilitation Joint Consultative Committee
ATFW	ASEAN Trade Facilitation Work Plans
ATT	Authorised Transit Trader
ASW-SC	ASEAN Single Window Steering Committee
ATIGA	ASEAN Trade in Goods Agreement
ATR	ASEAN Trade Repository
AWP	Annual Work Plan
BE	Bio-equivalence
BFSDAS	Broad Framework for the Sustainable Development of ASEAN Statistics
CCA	ASEAN Coordinating Committee on the Implementation of ATIGA
CCI	ASEAN Coordinating Committee on Investment



CCBWG	Customs Capacity Building Working Group
CCC	ASEAN Customs Coordinating Committee
CCS	ASEAN Coordinating Committee on Services
CECWG	Customs Enforcement and Compliance Working Group
CEN-CENELEC	European Committee on Standardization – European Committee for Electrotechnical Standardisation
CIFOCos	Chronic Individual Food Consumption Database – summary statistics
CLMV	Cambodia, Lao PDR, Myanmar, Viet Nam
COB	Council of ASEAN Insurance Bureaux
COST	Committee on Science and Technology
CPTFWG	Customs Procedures and Trade Facilitation Working Group
DSG	Deputy Secretary General
DG-DEVCO	Directorate-General for International Cooperation and Development
EC	European Commission
EDSM	Enhanced Dispute Settlement Mechanism
EEE	Electrical and Electronic Equipment
EU	European Union
EUABC	EU-ASEAN Business Council
FA	Financing Agreement
FAO	Food and Agriculture Organization
GIFT	Global Individual Food Consumption Data Tool
GMP	Good Manufacturing Practice
ICH	International Council for Harmonization
IGDRP	International Generic Drug Regulators Program
KE	Key Expert(s)
KLTPSP	Kuala Lumpur Transport Strategic Plan
JNKE	Junior Non-Key Expert
LSAD	Legal Services and Agreements Directorate
MIS	Management Information System
MPAC	Master Plan on ASEAN Connectivity
MRA	Mutual Recognition Arrangement
MSME	Micro-, Small-, and Medium-Sized Enterprises
MST	Malaysia, Singapore, Thailand
NKE	Non-Key Expert
NAB	National Accreditation Body
NFAB	National Focal Points for Accreditation
NTM	Non-Tariff Measure(s)
NTR	National Trade Repository
NTTCC	National Transit Transport Coordinating Committees
OWP	Overall Work Plan
PFPWG	Prepared Foodstuffs Product Working Group
PIC/S	Pharmaceutical Inspection Co-operation Scheme
PPWG	ACCSQ Pharmaceutical Product Working Group
PSC	Project Steering Committee
PWG	Product Working Group(s)
SC-AOO	Sub Committee on ATIGA Rules of Origin
SNKE	Senior Non-Key Expert
SPCD	Strategic Plans for Customs Development
STOM	ASEAN Senior Transport Officials
SOM AMAF	Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry
TA	Technical Assistance



TAT	Technical Assistance Team
TBT	Technical Barriers to Trade
TFD	Trade Facilitation Division
TFWG	ASEAN Transport Facilitation Working Group
TRIM	Trade-related Investment Measure
TTCB	Transit Transport Coordinating Board
UNCTAD	United Nations Conference on Trade and Development
VCO	Visibility, Communication and Outreach
WGDSA	Working Group on Data Sharing, Analysis, Dissemination and Communication
WHO	World Health Organization
WTO	World Trade Organization



EXECUTIVE SUMMARY

This document constitutes the Second Work Plan of the ASEAN Regional Integration Supported by the EU (ARISE) Plus. The Technical Assistance provided under ARISE Plus will further contribute to the on-going ASEAN Regional Economic Integration, with a particular perspective to the commitments made under the AEC Blueprint 2025 by Member States.

This Work Programme, planned for the period 8th May 2019 to 7th May 2020 is the second under ARISE Plus, with the planned activities continuing to build on the previous successes of the ARISE family of projects. It should be noted that there is high ongoing demand for ARISE Plus support.

Following the extensive consultation process with: the ASEAN Secretariat, relevant sectoral working groups, committees and the EUD Jakarta, the activities planned for Work Plan 2 have been finalised. The activities planned for this period are derived from both the ToR, and the OWP. As such they have been structured along the following lines: 5 high-level components, 15 sub-components, 44 activities, and in the area of statistics 14 sub-activities. This represents an ambitious work programme and is reflective of the high demand for ARISE Plus services. The expected results of the activities for WP2 will contribute to the outcomes as shown below.

Project Structure and Outcomes

Component 0.0 Management and Outreach	Outcomes
Sub-component 0.1 Project Management and Coordination	<i>Project resources managed to ensure the efficient and effective realisation of outcomes at all levels.</i>
Sub-component 0.2 Demand Driven Contingency	<i>Demand-driven activities facilitated to support the implementation of the AEC Blueprint 2025.</i>
Sub-component 0.3 Visibility, Communication and Outreach	<i>Communication objectives of the AEC is achieved whilst providing visibility to ARISE Plus as a flagship programme of the EU and the strategic nature of the EU's support.</i>
Component 1.0 Trade Facilitation and Transparency	Outcomes
Sub-component 1.1 ATIGA Implementation with Focus on Transparency and NTMs	<i>Enhanced trade-related regulatory transparency and progressive NTMs' streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSS' National Trade Repositories (NTRs).</i>
Sub-component 1.2 Trade Facilitation and Private Sector Engagement	<i>Private sector engagement is enhanced through increased participation in the activities of ATF-JCC and other ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, including in the areas of trade in services and trade-related investment measures.</i>



Sub-component 1.3 'On-Demand' Activities	<i>Legal certainty, commercial predictability and trade facilitation are enhanced through specific on-demand support for, inter alia, self-certification of goods of ASEAN origin, the trade facilitation related activities of the ASEAN Consultative Committee on Connectivity, and the transposition of regional agreements at national level.</i>
Component 2.0 Standards and Conformity Assessment in Particular Healthcare and Agro- based products	Outcomes
Sub-component 2.1 Supporting the Development Quality Infrastructure and Related Policies in ASEAN	<i>Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.</i>
Sub-component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards	<i>Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry</i>
Sub-component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	<i>Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.</i>
Sub-component 2.4 Support to the Pharmaceutical Sector	<i>The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.</i>
Component 3.0 Customs, Transport and ACTS	Outcomes
Sub-component 3.1 Customs Measures	<i>Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.</i>
Sub-component 3.2 Transport Measures	<i>An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.</i>
Sub-component 3.3 ACTS Implementation	<i>The ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the</i>



	<i>ASEAN north-south and east-west transit transport corridors.</i>
Component 4.0 ASEAN Economic Integration Monitoring and Statistics	Outcomes
Sub-component 4.1 ASEAN Economic Integration Monitoring	<i>Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.</i>
Sub-component 4.2 ASEAN Statistics Capacity Building	<i>Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all ASM (and particularly but not limited to CLMV countries).</i>

Implementation of activities will be carried by the technical assistance team, a total of 836 key expert days (KE), 3787 senior non-key expert days (SNKE), 150 junior non-key expert days (JNKE) and €2,333,085 of incidentals are expected to be utilised.

The allocation of resources for WP2 is shown below at the component level aggregation, with full breakdown provided in the body of the report. The individual activity sheets are the basis for the aggregation and it is at this level that financial management reporting and monitoring will occur.

Work Plan 2 Resource Allocation

Component	KE days	Senior NKE days	Junior NKE days	Incidentals
0.0 Management and Outreach	220	50	150	€50,000
1.0 Trade Facilitation and Transparency	176	490	0	€433,300
2.0 Standards and Conformity Assessment in particular Healthcare and Agro-based products	220	635	0	€716,600
3.0 Customs, Transport and ACTS	220	1855	0	€693,335
4.0 ASEAN Economic Integration Monitoring and Statistics		857	20	€537,120
Total	836	3887	170	€2,430,355



1.0 PROJECT OVERVIEW

The ASEAN Regional Integration Supported by the EU (ARISE) Plus, service contract number EUROPEAID/138416/DH/SER/MULTI, started officially on 8th November 2017 by means of an administrative order from the Delegation of the European Union in Jakarta. The initial contract finish date of the project is 7th November 2020, therefore a duration of 36 months. Additional services related to the activities may be contracted through a negotiated procedure for an additional 24 months for an estimated additional cost of up to €7,400,000 subject to the decision of the EU Delegation in conjunction with the Project Steering Committee and their relevant parties.

The project builds on the achievements of the predecessor EU funded projects, most notable ARISE, (recognised as a highly successful project) and the first year of full ARISE Plus activities.

This Work Plan (WP) outlines the activities as well as the operational modalities of the project. The implementation phases covered by WP 2 covers the period 8th May 2019 to 7th May 2020.

ARISE PLUS has two types of resources at its disposal: expert working days and funds for incidental expenditures. Out of the total 9673 expert working days, 2508 days are assigned to key experts 1-4, 6765 expert working days are available for senior non-key experts (SNKE) and 200 days for junior non-key experts (JNKE). A total of €3,500,000 is available to cover incidental expenditures as defined in the ToR.

Guiding Project Documents

The Guiding documents of the project are:

- The Financing Agreement (FA) between the Association of Southeast Asian Nations (ASEAN) and the European Union (EU).
- The Terms of Reference (ToR), which are the basis for the service contract between the EU and AETS Consortium.
- The revised Logical Framework which forms an integral part of the OWP.

Governance

Project Steering Committee

The Project Steering Committee (PSC) is the highest body governing ARISE Plus.

The EU Delegation and the ASEAN will set up a regional Project Steering Committee (PSC) and act collectively as Co-Chairs for the overall implementation of ARISE Plus. It will include representatives from the AMS, the relevant ASEAN sectoral bodies and the ASEAN Secretariat, it shall meet annually and will:

- Supervise and ensure that the programme is implemented in accordance with sound verifiable and transparent reporting and auditing standards;
- Review plans of operations, Annual Work Plans, including technical assistance requirements, taking into account the recommendation of the Reference Groups;



- Review monitoring and progress reports and participating in all monitoring and evaluation processes based on a single integrated monitoring framework;
- Provide guidance and facilitate overall programme implementation.

Project Structure

Overall objective

The ASEAN Regional Integration Supported by the EU (**ARISE**) plus, has the overall **objective** “To support greater economic integration in ASEAN through the implementation of the ASEAN Economic Community Blue Print 2025 (AECBP)”.

Purpose

The purposes of this contract are as follows:

- To improve customs, transport facilitation, trade facilitation, and standards with a view to achieve a highly integrated cohesive economy;
- To strengthen institutional capacities through, in particular, managing the integration process with an emphasis on strengthening compliance, outcomes monitoring, and impact assessment including statistics, coordination and management and improved capacity among ASEAN bodies and the ASEAN Secretariat (ASEC).

Results

The results to be achieved by the project follow the component-based structure, which is further elaborated upon under the activities for each component and related subcomponent.

Component 1 Trade Facilitation and Transparency

Implementation of the ASEAN Trade Facilitation Framework (ATFF) and implementation of the ASEAN Trade in Goods Agreement (ATIGA) through addressing non- tariff barriers (NTB's) in particular through the ASEAN Trade Repository (ATR)/ National Trade Repositories (NTR's), ASSIST, an ASEAN wide self-Certification of product origin scheme and maintaining an NTM database.

Component 2 Standards and Conformity Assessment in particular Healthcare and Agro-based Products

Implementation of harmonised Standards and Mutual Recognition Arrangements (MRA's) in Specific sectors.

Component 3 Customs, Transport and ACTS

Harmonisation of Customs and Transport facilitation procedure; development of an implementation framework for the ASEAN Framework Agreement on Multimodal Transport (AFAMT) and Implementing Guidelines of the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (CBTP) and implementation of the ASEAN Customs Transit System (ACTS).



Component 4 ASEAN Economic Integration Monitoring and Statistics Capacity

Enhancement of the ASEAN Economic Community Integration Monitoring Framework through better monitoring of the progress and outcomes / impact of regional integration to facilitate the implementation of measures and enhanced outreach and reporting.

Consultation Process

To facilitate the preparation and approval of WP 2, consultation was held with the beneficiaries and stakeholders of the project. The ARISE Plus project has many different stakeholders within ASEAN Secretariat (ASEC), ASEAN Member States and the EU. This adds an additional level of complexity to both the management of the project and the delivery of the results. The detail of those consulted for each sub component is listed below and are also the direct beneficiaries.

Sub Component	Bodies Consulted/Beneficiaries
1.1 ATIGA Implementation with Focus on Transparency and NTMs	ASEAN Secretariat’s Trade Facilitation Division, Senior Economic Officials Meeting (SEOM), ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC), ASEAN Coordinating Committee on the Implementation of ATIGA (CCA), ASEAN Trade Repository/National Trade Repository (ATR/NTR) Focal Points in AMSs and Private Sector in ASEAN.
1.2 Trade Facilitation and Private Sector Engagement	SEOM, ATF-JCC, CCA, ASEAN Coordinating Committee on Services (CCS), ASEAN Coordinating Committee on Investment (CCI), AMSs’ ASSIST Focal Points, ASEAN DSG for AEC, ASEAN Secretariat Trade Facilitation Division, ASEAN Transport Facilitation Working Group (TFWG), ASEAN Single Window-Steering Committee (ASW-SC), ASEAN Consultative Committee on Standards and Quality (ACCSQ), ASEAN Committee on Sanitary and Phytosanitary Measures (AC-SPS), ASEAN Member States and Private Sector in ASEAN.
1.3 ‘On-Demand’ Activities	CCA, Sub Committee on ATIGA Rules of Origin (SC-AROO), ASEAN Connectivity Coordinating Committee (ACCC), SEOM, ACCSQ, AMSs’ Regulators, ASEAN Secretariat’s Legal Service and Agreement Directorate, ASEAN Secretariat’s Trade Facilitation Division, SEOM, ASEAN Member States and Private Sector in ASEAN.
2.1 Supporting the Development of the Quality Infrastructure and Related Policies in ASEAN	ACCSQ , Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN, ASEAN Product Working Groups Implementing Mutual Recognition Arrangements, ACCSQ Working Group 2, ACCSQ Working Group 3, Member States’ regulatory agencies, ASEAN accreditation bodies and National Accreditation Focal Points, ASEAN Committee on Consumer Protection (ACCP), ACCSQ WG3, the Committee on Science and Technology (COST) and its Experts’ Group on Metrology, Agriculture Working Groups for Crops, Fisheries and Livestock,



	Prepared Foodstuffs Product Working Group for Food Safety , the Pharmaceutical Products Working Group, Health Cluster 3, Health Cluster 4.
2.2 Supporting the Harmonisation of Standards and Compliance to International Standards	Working Group 1 of the ACCSQ, Product Working Groups, National Standards Bodies in Member States, Trade and Industry in ASEAN and regulatory agencies in Member States.
2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector	Health Cluster 4, Prepared Foodstuffs Product Working Group (PFPWG) and Members State’s food safety regulators, ASEAN Risk Assessment Centre for Food Safety (ARAC), ASEAN Rapid Alert System for Food and Feed (ARASFF) Steering Committee, Senior Officials Meeting of the ASEAN Ministers on Agriculture and Forestry (SOM-AMAF), the Task Force ASEAN Standards on Organic Agriculture, the Agriculture Working Groups for Crops, Fisheries and Livestock, ACCSQ Working Group 2, ASEAN Consumers.
2.4 Support to the Pharmaceutical Sector	ACCSQ Pharmaceutical Product Working Group (PPWG), Health Cluster 2 and 3 under the ASEAN Health Ministers.
3.1 Customs Measures	ASEAN Customs Directors-General, Customs Coordinating Committee (CCC) and its Working Groups, the Transit Transport Coordinating Board (TTCB), the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group, and ASEAN Secretariat.
3.2 Transport Measures	TTCB, NTTCC, STOM, ASEAN Transport Facilitation Working AFFA, ASEAN Secretariat.
3.3 Implementation of ACTS	ASEAN Customs Directors-General, STOM, TTCB, CCC and its Working Groups, AFFA, NTTCC, ASEAN Transport Facilitation Working Group and ASEAN Secretariat.
4.1 ASEAN Economic Integration Monitoring	ARISE Plus TAT regional and bilateral projects, EU Mission to ASEAN, EUD to AMS, ASEAN Integration Monitoring Directorate (AIMD), Relevant ASEAN Bodies, ASEAN Member States.
4.2 ASEAN Statistics Capacity Building	ASEAN Integration Monitoring Directorate (AIMD) and Statistic Division (ASEANstats), ASEAN Community Statistical System (ACSS), Sub-Committee on Planning and Coordination (SCPC), Working Group on Data Sharing, Analysis, Dissemination and Communication (WGDSA), Working Groups on relevant domains.



It should also be noted that the team has been involved in extensive discussions with other Dialogue Partners to ensure that there is a complementarity between the activities proposed by ARISE Plus and other donor support to ASEAN.

Planned Overall Resource Use

The tables below show the overall resource allocation WP2 and is based on the aggregation of the indicative resources allocated to each activity. It reflects an ambitious period of activity. The table also shows the committed resources in relation to the overall project budget.

Work Plan 2 Resource Allocation in relation to Project's Overall Budget

	KE1	KE2	KE3	KE4	S-NKE	J-NKE	Incidentals
Cumulative use to 7 th May 2019	317	271	306	328	2449	16	€896,407
Planned resources use WP2	220	176	220	220	3887	170	€2,430,355
Overall ARISE Plus Budget	660	528	660	660	6765	400	€3.500.000
Balance	123	81	134	112	429	214	€173,238

Work Plan 2 Resource Allocation by Component

Component	KE days	Senior NKE days	Junior NKE days	Incidentals
0.0 Management and Outreach	220	50	150	€50,000
1.0 Trade facilitation and Transparency	176	490	0	€433,300
2.0 Standards and Conformity Assessment in Particular Healthcare and Agro-based Products	220	635	0	€716,600
3.0 Customs, Transport and ACTS	220	1855	0	€693,335
4.0 ASEAN Economic Integration Monitoring and Statistics	0	817	20	€511,850
Total	836	3887	170	€2,430,355



2.0 PLANNED PROJECT ACTIVITIES

COMPONENT 0.0 MANAGEMENT AND OUTREACH

Planned Resources

	S-NKE	J-NKE	Incidentals
Sub Component 0.1	0	0	€22,650
Sub Component 0.2	50	130	€25,000
Sub Component 0.3	0	20	€2,350
Total	50	150	€50,000

Sub-Component 0.1 Project Management and Coordination

Outcome

Project resources managed to ensure the efficient and effective realisation of outcomes at all levels.

Activity 0.1.1 Project Management and Coordination

The Team Leader, the Key Experts and the Project Assistants in Jakarta, will coordinate the implementation of WP1. They will coordinate the activities in Components 1-4 which are defined and detailed in the relevant chapters of this WP. The ARISE Team Leader will promote the project to key stakeholders in the ASEAN and the EU with a strong focus on the Private Sector. In addition, he will ensure the coordination between the ARISE Plus ‘Single Market’ project and the EU funded bilateral projects. This will be supported by integrated monitoring framework developed under ARISE Plus and rolled out to the national projects as and when they commence.

The tasks of the Team leader under this activity include but are not limited to:

- Consult all relevant stakeholders, including high level officials in ASEAN and the EU Delegation, representing the Contractor;
- Provide high-level policy / technical / strategic inputs to the project;
- Identify, in cooperation with ASEC and AMSs, areas for further cooperation when needed to achieve the project results;



- Manage the team of Key and non-Key Experts, including the monitoring of their performance;
- Report regularly to the EU Delegation on progress achieved in implementing the project;
- Participate actively in M&E activities, with specific focus on developing and implementing an integrated monitoring framework across ARISE Plus regional and national components;
- Insure coordination across all ARISE Plus' components, including those implemented at the national level.

Planning & Reporting

The Technical Assistance Team will submit the following reports during the period of ARISE Plus Work Plan 2 implementation:

Period	Reports
8 th May 2019 – 7 th November 2019	Six-monthly Report 4
8 th November 2019 – 7 th May 2020	Six-monthly Report 5

The technical reports referred to above will be accompanied by the financial report including the project invoice and associated expenditure verification report.



Sub-Component 0.2. Demand Driven Contingency

Outcome

Demand-driven activities facilitated to support the implementation of the AEC Blueprint 2025.

Activity 0.2.1 Demand Driven Contingency

The budget allocation here represents an unallocated pool of resources to meet the demand of activities which had not been planned under the respective Work Plans.

Discussions with SEOM and their associated bodies, have confirmed the need for this type of demand driven provision to be continued. Therefore, to meet this request, under ARISE Plus the allocation of resources made in this WP are considered as indicative, and under Activity 0.2.1 a pool of SNKE days and incidentals are budgeted which will be used to respond to demand driven unforeseen activities.

The modalities of utilisation will follow the process which reflects the standard procedures agreed between ASEAN, ASEC, EUD and ARISE Plus. It should be noted that the request for such activities will be initiated by the Coordinating Committees / sectoral bodies who are direct beneficiaries of the ARISE Plus

Sub-Component 0.3. Communication and Outreach

Outcome

Communication objectives of the AEC is achieved whilst providing visibility to ARISE Plus as a flagship programme of the EU and the strategic nature of the EU's support.

Activity 0.3.1 Visibility, Communication and Outreach (VCO)

The Team Leader in conjunction with the Key Experts and the backstopping team will maintain visibility of project during this period. This will be done using the project website ariseplus@asean.org , outreach events which are planned and budgeted at the activity level and selected flash reports for key events.

The development and implementation of a more comprehensive plan for Communications and Outreach has been put on hold until such a time resolution can be found on eligibility of expenditure in terms of SNKE days and incidental expenditure for this category of activity.

COMPONENT 1.0: ASEAN TRADE FACILITATION

Component 1 on Trade Facilitation is organized on the basis of three Sub-Components of activities in order to logically reflect the areas of ARISE Plus intervention mandated under the ToRs, better organize the provision of support in light of the results to be achieved and the needs expressed by ASEAN during the coordination meetings and consultations held during the final part of AWP1 with the relevant sectoral bodies (i.e., SEOM, ATF-JCC, CCA, Thailand’s 2019 ASEAN Chair, ASEC, etc.), and leverage on the combined resources of each cluster of activities for purposes of achieving the overall trade facilitation results mandated under ARISE Plus.

Planned Resources

Component 1.0	S-NKE	J-NKE	Incidentals
Sub Component 1.1	290	0	€183,100
Sub Component 1.2	100	0	€175,200
Sub Component 1.3	100	0	€75,000
Total	490	0	€433,300

Sub-Component 1.1: ATIGA Implementation with Focus on Transparency and NTMs

This Sub-Component groups together the three activities where implementation started during AWP1. These were Activity 1.1.1 on “*Supporting the Effective Implementation of the ATIGA (ATR and NTRs)*”, Activity 1.1.2 on “*Identifying, Classifying and Notifying NTMs*”, and Activity 1.1.3 on “*Supporting the Coordinating Committee for the Implementation of the ATIGA*”. In light of the experience drawn from AWP1 and to further synchronize the work needed to operationalize the ATR, Activities 1.1.1 and 1.1.2 are merged into a single activity, renamed “*Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs*”.

The key objective of this cluster of activities is the effective implementation of the ATIGA, particularly its commitments on trade-related regulatory transparency and the operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs’ National Trade Repositories (NTRs). A critical element of this transparency effort will be the identification, classification and upload on the NTRs/ATR of the AMSs’ non-tariff measures (NTMs) and the updating of the ‘*NTMs Database*’. This is mandated under Articles 11, 13 and 40 of the ATIGA, which looks poised to enhance trade facilitation within the region and allow for progressive NTMs’ streamlining, where need be, and for the NTMs that amount to non-tariff barriers (NTBs) to be removed.

The continuation of these Activities is fully in line with the programme of progressive ATR operationalization agreed by SEOM and CCA, particularly with respect to the NTMs category. After the focus placed on SPS (Sanitary and Phytosanitary) NTMs during AWP1, AWP2 will focus on the vast area of trade-related technical regulation known as TBT (Technical Barriers to Trade). ‘*On demand*’



assistance will be provided to CCA and coordination will be ensured between the activities to effectively implement the ATIGA and those focussing on trade facilitation, private sector engagement, as well as with the ARISE Plus National Programmes and the other development partners, as relevant.

Outcome

Enhanced trade-related regulatory transparency and progressive NTM's streamlining through the effective operationalization of the ASEAN Trade Repository (ATR) and the network of AMSs' National Trade Repositories (NTRs).

Activity 1.1.1 Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs

Rationale:

Enhanced trade-related regulatory transparency is critical for the effective implementation of the ATIGA and is one of the key objectives of the AECB 2025. There is no better catalyst for effective regional economic integration, intra-ASEAN trade facilitation, increased legal certainty and commercial predictability than transparency. The ATIGA requires that an ASEAN Trade Repository (ATR) be established and fed trade-related information from a network of National Trade Repositories (NTRs) located in the AMSs. The ATR has been established and is operational, but not all AMSs have completed the process of setting up NTRs (in some countries these are called trade portals) or online repositories, and much remains to be done for the ATR to become a comprehensive, reliable and ATIGA-compliant repository or trade-related information from all AMSs.

ARISE Plus continues to support the effective implementation of the ATIGA through improved transparency, by assisting AMSs to complete the development of the ATR and the NTRs in all AMSs, improving the quantity and quality of the information uploaded on those electronic platform in line with the ATIGA Article 13 requirements and the UNCTAD 2012 NTMs classification structure. This will encourage the use of more uniform content, and ensure regular maintenance. Additional support to CLMV will be provided as required. The ATR can only be considered operational insofar as information is available on the NTRs and properly web-linked to the ATR. Therefore, the continuing focus is to ensure that AMSs' NTRs are sufficiently organized, populated and up to date; this in turn requires information to be properly collected, classified, packaged and uploaded on the NTRs throughout ASEAN.

With particular regard to NTMs, in light of the ATIGA (Articles 11, 13 and 40), AMSs must ensure the transparency of their NTMs by: 1) notifying new or modified NTMs to ASEC and SEOM; 2) having them incorporated by ASEC in a dedicated database (*i.e.*, the '*NTMs Database*'); and 3) reflecting the adopted NTMs on their respective NTRs and web-linking them to the ATR.

The focus of the support on NTM's during AWP1 has been the SPS (Sanitary and Phytosanitary) trade-related regulation. Most AMSs now have their SPS NTMs properly classified, packaged, validated and uploaded on the ATR. In line with the programme and timeframe of progressive ATR operationalization (agreed with SEOM and CCA), the focus of AMSs is now shifting to the vast area of trade-related technical regulation known as TBT (Technical Barriers to Trade). ARISE Plus aims at greatly advancing the process of identification, classification and notification of all AMSs' NTMs,



reflected on their respective NTRs and via the ATR. Also at assisting ASEC and AMSs to update the ASEAN ‘NTMs Database’, which shall be maintained by the ASEC in line with the ‘NTMs Guidelines’ adopted by the AFTA Council in July 2018, on the basis of data notified by AMSs.

ARISE Plus intends to support the work to identify, classify, package, validate and upload all AMSs’ TBT NTMs by the end of WP2, together the required information under the following categories of the ATR: 1) Tariff nomenclature; 2) MFN tariffs, preferential tariffs offered under the ATIGA and other Agreements of ASEAN with its Dialogue Partners; 3) Rules of origin; and, under category 4 (in addition to sub-category 4.2 on TBT) the sub-categories 4.3) Pre-shipment inspection and other formalities; 4.4) Contingent trade protective measures; 4.5) Non-automatic licensing, quotas, prohibitions, and quantity control measures other than for SPS or TBT reasons; 4.6) Price-control measures including additional taxes and charges. This programme is very ambitious, and it may not be fully completed for all AMSs by May 2020, but the related processes will continue to unfold on a rolling basis. Implementation of the activity will need to factor in AMSs’ needs, other donors’ initiatives, and the ability of ARISE to co-ordinate with other EU technical assistance projects in the ASEAN region (notably in Cambodia, Indonesia, Lao PDR, Myanmar, the Philippines and Viet Nam).

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs
Main Beneficiaries	ASEC’s TFD, SEOM, ATFJCC, CCA, ATR/NTR Focal Points in AMSs and Private Sector in ASEAN
Planned Tasks	<ul style="list-style-type: none"> • Deploy specialised teams of up to three experts (<i>e.g.</i>, two SNKEs and one local JNKE) to engage with the authorities of each AMS in charge of the respective NTRs and of the web-linkages to the ATR. Each mission will last approximately 1-2 weeks and be conducted together with the tasks under Activity 1.1.2 (in relation to NTMs). The objective of the mission is to gather quality information, particularly in relation to the vast and all-important sub-category 4.2 of the ATR on TBT NTMs, but also on the other scheduled areas of ATR advancement: 1) Tariff nomenclature; 2) MFN tariffs, preferential tariffs offered under the ATIGA and other Agreements of ASEAN with its Dialogue Partners; 3) Rules of origin; 4.3) Pre-shipment inspection and other formalities; 4.4) Contingent trade protective measures; 4.5) Non-automatic licensing, quotas, prohibitions, and quantity control measures other than for SPS or TBT reasons; 4.6) Price-control measures including additional taxes and charges, as mandated under Article 13 of the ATIGA, properly ‘package’ the information, have it ‘validated’ by AMSs, upload it on the respective NTRs (where available), upload it on the ATR, and provide ‘on the job’ training to AMSs’ Government officials; • Hold, upon demand by each individual AMS, an average of one NTM training workshop and capacity building mission in each AMS to engage with the authorities of each AMS competent for the adoption of NTMs, including small groups of beneficiaries from specialised line agencies (<i>e.g.</i>, Customs, TBT). The training modules shall focus on the process of NTM identification, classification, notification, ‘packaging’ for NTR upload, and web-linking to the ATR, as well as on the regular notifications of NTMs and



Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs		
	<p>updating of the ‘<i>NTMs Database</i>’. The focus of these tasks during AWP2 shall be on the following NTMs sub-categories of the ATR: 4.2) Technical barriers to Trade (TBT); 4.3) Pre-shipment inspection and other formalities; 4.4) Contingent trade protective measures; 4.5) Non-automatic licensing, quotas, prohibitions, and quantity control measures other than for SPS or TBT reasons; and 4.6) Price-control measures including additional taxes and charges.</p> <ul style="list-style-type: none"> • Hold, as part of the deployment of the teams of ARISE Plus experts and where necessary, specialised training and capacity building events in the AMSs, targeting small groups of beneficiaries from line agencies (<i>e.g.</i>, Customs, TBT, etc.), or outreach and awareness-creation initiatives to the benefit of the private sector; • Define (in cooperation and agreement with SEOM and CCA, if finally endorsed), design the IT software, and operationalize a new page on the ATR where users (<i>e.g.</i>, private sector) can interactively seek feedback from AMSs on transparency and obtain specific missing trade-related information, including on NTMs, through a possible ‘<i>Report an NTM</i>’ interactive feature; • Assist selected AMSs and the ASEC, ‘<i>on-demand</i>’, <i>visa-vis</i> the process of systematically notifying NTMs to the ASEC and SEOM, in line with Articles 11, 13 and 40 of the ATIGA and the ‘<i>NTMs Guidelines</i>’, with the ASEC consolidating and maintaining/updating the required ‘<i>NTMs Database</i>’. This task would likely be implemented by means of a regional workshop to train AMSs’ Focal Points in notifying NTMs and the ASEC is maintaining the NTMs Database; and • Pursue co-operation and synergies with other EU technical assistance projects in selected AMSs (<i>i.e.</i>, ARISE Plus national programmes in CLMV, plus Indonesia, Malaysia and Thailand) and/or with other donors active in the NTMs area (<i>e.g.</i>, World Bank, USAid, UNCTAD/ERIA, etc.). 		
Major Outputs		Time delivery	of
	<ul style="list-style-type: none"> • Report indicating, for each AMS, the number of NTMs and trade-related information entries (<i>i.e.</i>, web links) uploaded on the ATR at the end of AWP2. Each report shall refer to the progress made <i>vis-à-vis</i> the baselines in the May 2018 Mapping Reports of each AMS. It shall also contain the individual mission and country reports of the individual NKEs that provided the technical assistance in each AMS during AWP2; • Report(s) on the specialised training and capacity building events conducted in the AMSs, if any and upon request of the individual AMSs, targeting small groups 	Q4	
		Q1-Q4	

Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs	
	<p>of beneficiaries from line agencies (<i>e.g.</i>, Customs, TBT, etc.), and/or outreach and awareness-creation initiatives (<i>e.g.</i>, seminars, conferences, tutorials) implemented to the benefit of the private sector;</p> <ul style="list-style-type: none"> • Report on the IT software design and new ATR webpage where users (<i>e.g.</i>, private sector) can interactively seek feedback from AMSs on transparency and obtain specific missing trade-related information, including on NTMs (<i>i.e.</i>, the ‘<i>Report an NTM</i>’ interactive feature to be further discussed with ASEAN and endorsed by SEOM/CCA); • Report(s) on the on-demand support provided to AMSs, as required, to address needs in relation to the processes of information gathering, proper ‘<i>packaging</i>’, uploading on the respective NTRs, and web-linking to the ATR; and • Report on the regional workshop held to train selected AMSs’ Focal Points in notifying NTMs and the ASEC in maintaining the NTMs Database. 	<p>Q1-Q4</p> <p>Q1-Q4</p> <p>Q3 or Q4</p>
Expected Results	<ul style="list-style-type: none"> • The categories and sub-categories of the ATR that need to be populated for each AMS (<i>i.e.</i>, 1) Tariff nomenclature; 2) MFN tariffs, preferential tariffs offered under the ATIGA and other Agreements of ASEAN with its Dialogue Partners; 3) Rules of origin; 4.2) Technical barriers to trade; 4.3) Pre-shipment inspection and other formalities; 4.4) Contingent trade protective measures; 4.5) Non-automatic licensing, quotas, prohibitions, and quantity control measures other than for SPS or TBT reasons; and 4.6) Price-control measures including additional taxes and charges) and the related number of weblinks are quantitatively increased and qualitatively improved, both on the respective AMSs’ NTRs and on the ATR (<i>vis-à-vis</i> the baselines contained in the May 2018 ‘<i>Mapping Reports</i>’); and • A new interactive page on the ATR is operationalized, <u>if agreed by ASEAN and endorsed by SEOM/CCA</u>, thereby allowing users to request AMSs for trade-related information that is still missing on the NTRs/ATR or to ‘<i>report an NTM</i>’ for greater transparency to be provided. 	
NKE required	<ul style="list-style-type: none"> • Up to 5 SNKEs with legal expertise in WTO/ASEAN transparency processes, NTMs identification, classification and ‘<i>packaging</i>’ for ATR upload, and academic/professional background in international trade law; and • One NKE proficient in IT design and knowledgeable with respect to online repositories, preferably the ATR. 	



Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), Including Identifying, Classifying and Notifying NTMs	
Resources (inputs)	Category	
	SNKE (working days)	280
	Incidental Budget (Euro)	€133,600

Activity 1.1.2 Supporting the Coordinating Committee for the Implementation of the ATIGA

Rationale:

As described in Activity 1.1.1, transparency stands out as one of the most important drivers of trade facilitation and the attainment of TF objectives under both the ATIGA and the AEC Blueprint 2025. The ATR structure is one of the most ambitious ASEAN undertakings with tools for regulatory and trade-related transparency in the world. Transparency is systematically recalled in all the high-level legal instruments adopted by ASEAN and in all declarations made by ASEAN leaders. Therefore, the support to ASEAN and AMSs for the effective implementation of the ATIGA depends on constant coordination, support and engagement with the relevant ASEAN bodies: primarily the Senior Economic Officials Meeting (SEOM) and the Coordinating Committee on the Implementation of the ATIGA (CCA), also vis-à-vis the ASEAN Trade Facilitation Joint Consultative Committee (ATF-JCC), and other ASEAN bodies, such as ACCSQ, and the Customs DGs.

ARISE Plus aims at providing further institutional support to the relevant ASEAN bodies, primarily to CCA, and at systematically coordinating the technical assistance activities under ARISE Plus in light of AMSs’ needs, priorities and objectives, particularly with respect to transparency (Activity 1.1.1). The focus of this activity should be on the regular engagement with CCA and the other relevant ASEAN bodies (*inter alia*, ASEC’s DSG for AEC, ASEC’s Trade Facilitation Division, SEOM, and ATF-JCC). This proximity, and the systematic participation (upon invitation) to meetings of these ASEAN bodies, will be instrumental to the success of ARISE Plus.

Activity 1.1.2	Supporting the Coordinating Committee for the Implementation of the ATIGA	
Main Beneficiaries	CCA and ASEC’s TFD	
Planned Tasks	<ul style="list-style-type: none"> • Participate (upon invitation) to all meetings of the CCA and (upon invitation) to meetings of the other ASEAN bodies relevant for purposes of ATIGA implementation (<i>i.e.</i>, SEOM and ATF-JCC, <i>inter alia</i>) for purposes of giving technical presentations and reports to AMSs on the ARISE Plus activities, on the continuing needs for ARISE Plus support towards ATIGA implementation, and on the proposals for cooperation and implementation to be endorsed by AMSs; and • Hold, as requested, ‘<i>on demand</i>’ events (<i>i.e.</i>, seminars, workshops, trainings, etc.) on issues of particular AMSs’ interest (<i>e.g.</i>, the implementation of the ‘<i>NTMs Guidelines</i>’, the socialization of the ‘<i>ATIGA Review</i>’, etc.), back-to-back with a meeting of the relevant ASEAN body 	



Activity 1.1.2	Supporting the Coordinating Committee for the Implementation of the ATIGA							
	(e.g., CCA) and thereby provide ‘on demand’ assistance to AMSs on selected issues of ATIGA implementation.							
Major Outputs		Time of delivery						
	<ul style="list-style-type: none"> Regular Progress Reports given to CCA and AMSs on ARISE Plus activities to support ATIGA implementation; and Report(s) on the dedicated events organized ‘on demand’ on selected issues of relevance to ATIGA implementation and falling within the scope of CCA. 	Q1 – Q4						
Expected Results	<ul style="list-style-type: none"> Continued enhancement of the institutional capacity of the relevant ASEAN bodies, and particularly of CCA, thereby ensuring that the objectives under the ATIGA, the AEC Blueprint 2025 and AEC 2025 CSAP are achieved, particularly in the areas of ATR/NTRs transparency; implementation of the ‘<i>NTMs Guidelines</i>’, classification and notification of NTMs; ATIGA review; ASSIST operationalization; and trade facilitation. 							
NKE required	<ul style="list-style-type: none"> SNKEs, with the technical expertise required to deliver the ‘on demand’ assistance requested by CCA, at the dedicated event(s). 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2">Category</th> </tr> </thead> <tbody> <tr> <td>SNKE (working days)</td> <td>10</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€49,500</td> </tr> </tbody> </table>		Category		SNKE (working days)	10	Incidental budget (Euro)	€49,500
Category								
SNKE (working days)	10							
Incidental budget (Euro)	€49,500							

Sub-Component 1.2: Trade Facilitation and Private Sector Engagement

This Sub-Component groups together Activity 1.2.1 on the “*Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors*”, Activity 1.2.2 on “*Supporting the ASEAN Trade Facilitation Joint Consultative Committee*”, and Activity 1.2.3 on “*Strengthening Public-Private Cooperation*”). The AWP1 Activities “*Assisting ASEC and AMSs in TF-Related Policies and Dialogue*” and “*Supporting the EU-ASEAN Policy Dialogue on Trade Facilitation*” (formerly, Activity 1.2.3 and Activity 1.2.4, respectively) have been discontinued in coordination with the ASEC, SEOM and ATF-JCC. In light of the lack of demand from the Beneficiary and the parallel scope and implementation of the E-READI project. The key objective of this cluster of activities remains the enhancement of trade facilitation within the region through the support and coordination of private sector engagement, primarily through ABAC, the Joint Business Councils and the ASEC, in line with the objectives in the AEC Blueprint 2025.

The broader context of this cluster of activities is ASEAN trade facilitation, which will be driven by ARISE Plus mainly through the full operationalization and proper management of ASSIST for Trade in Goods and Trade in Services, on-demand support of the ATF-JCC, and the setting and implementation



of ASEAN trade facilitation policies. Considerable efforts will be made to further operationalize and build greater awareness among the ASEAN private sector on ASSIST, as the preeminent tool of public-private cooperation and trade facilitation. Additionally, at the request of the ATF-JCC, the project will support the conceptualization, IT design and operationalization of the e-platform for private sector engagement on the ASSIST webpage. In support of the Coordinating Committee on Investment (CCI), the initial definition of the concept and structures in relation to ASSIST for Investment will be developed for possible roll-out in early AWP3, following endorsement by CCI and SEOM.

A stronger interface between ASEAN institutions and the private sector is foreseen within the context of the efforts being made by ASEAN to increase AMSs' regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), and other key ASEAN trade facilitation instruments adopted for the primary benefit of the private sector (e.g., ACTS, ASW, Tariff Finder, MRAs, etc.). Support will also be provided to 'institutionalize' and assist the newly-formed *forum* of interaction between the ASEC and the ABAC plus associated business councils, in order to facilitate public-private dialogue and coordinate the issues referred to the relevant ASEAN sectoral bodies.

Outcome

Private sector engagement is enhanced through increased participation in the activities of the ATF-JCC and other relevant ASEAN sectoral bodies, in line with the applicable Guidelines for Private Sector Engagement, and by greater usage of ASSIST, particularly in the areas of trade in goods and trade in services, as well as by operationalising the e-platform for private sector engagement on ASSIST

Activity 1.2.1 Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors

Rationale:

ASSIST is one of the key trade facilitation tools adopted by ASEAN to implement the ATIGA and allow the private sector (*i.e.*, ASEAN-based businesses, chambers of commerce and trade associations) to directly and autonomously engage with the AMSs with respect to the regional integration agenda and to address NTMs, NTBs and/or other operational issues affecting intra-ASEAN cross-border trade. Initially operationalized for trade in goods only, ASSIST has been rolled-out for trade in services under the AWP1 (official launch and full operationalization on 1 May 2019), together with new features on anonymity that have been operational since 1 January 2019. To date, only few cases have been triggered through ASSIST (6 in total since 2016). However, 3 of them have been lodged since the new features have been introduced (*i.e.*, in the March-April 2019 period), which confirms that the improvements went in the right direction and that the efforts to build greater awareness on ASSIST within the ASEAN private sector are bearing fruits. More needs to be done to increase the awareness of the private sector within the region, primarily by means of holding dedicated trainings/seminars/workshops to the benefit of the private sector, translating the website and the operating manual and tutorials into all ASEAN languages other than English, as continuously requested by SEOM, the ATF-JCC and CCA, and to ensure that the system performs as desired and the AMSs' Focal Points are able to manage cases timely and in line with the agreed procedures.



During the AWP2, ARISE Plus aims at further supporting the full operationalization and management of ASSIST (for Goods, Services and, ultimately, Investments), including through the conceptualization, IT design and operationalization of an e-platform for private sector engagement on the ASSIST webpage (as endorsed by CCA and SEOM) and the initial definition of the concept and structures to be rolled-out in relation to ASSIST for Investments, which will be implemented in early AWP3. This should provide ASEAN with an improved and more effective tool for trade facilitation and private sector engagement, in line with the ATIGA, the AEC Blueprint 2025 and the ‘NTMs Guidelines’.

Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors	
Main Beneficiaries	SEOM, ATF-JCC, CCA, CCA, CCI, AMSs’ ASSIST Focal Points, ASEC’s TFD and Private Sector	
Planned Tasks	<ul style="list-style-type: none"> • Cooperate with ASEC, SEOM and ATF-JCC in order to conceptualize, define, structure, and roll-out the ASSIST e-platform for private sector engagement (PSE) with the relevant ASEAN sectoral bodies. This task will include the necessary IT design and system upgrades, as well as a dedicated regional workshop to the benefit of ABAC and the associated business councils and, ‘on demand’, national workshop to create awareness among the respective AMSs’ private sector organizations (<i>i.e.</i>, trade associations, business councils, chambers of commerce and business federations) on the availability and functioning of the ASSIST e-platform for PSE; • Hold regular awareness creation events and initiatives on ASSIST, in cooperation with relevant private sector stakeholders (<i>i.e.</i>, ABAC; national or regional chambers of commerce, trade associations, business councils, and business federations; law societies; academia; media, etc.), in all AMSs and in regional contexts (<i>e.g.</i>, during the Roundtable Discussions on Trade Facilitation); • Provide training in all ASEAN languages covering the ASSIST website pages, the operating manual, and the tutorials; and • Cooperate with ASEC (<i>i.e.</i>, SID), SEOM and the respective AMSs’ Focal Points for ASSIST for Investment in order to conceptualize, define and structure ASSIST in the additional area of investment. This task will include the necessary IT design and system upgrades. The training of the ASSIST Investment Focal Points in each AMS will take place during Q1 and Q2 of the AWP3. 	
Major Outputs		Time of delivery



Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors							
	<ul style="list-style-type: none"> • Reports on the conceptualization, definition, structure, and roll-out of the ASSIST e-platform for private sector engagement with the relevant ASEAN sectoral bodies; • Reports on the dedicated regional workshop held to the benefit of ABAC and of the associated business councils, including the possible national workshops held, ‘<i>on demand</i>’, in the given AMSs to create awareness among the respective AMSs’ private sector organizations (<i>i.e.</i>, trade associations, business councils, chambers of commerce and business federations) on the availability and functioning of the ASSIST e-platform for PSE; • Reports on the awareness creation events and initiatives on ASSIST, held in cooperation with relevant private sector stakeholders (<i>i.e.</i>, ABAC; national or regional chambers of commerce, trade associations, business councils, and business federations; law societies; academia; media, etc.), in all AMSs and in the available regional contexts (<i>e.g.</i>, during the Roundtable Discussions on Trade Facilitation); • Training materials developed and to conduct training events in relation to the use of the ASSIST website, the ASSIST operating manual, and tutorials; and • Report on the conceptualization, definition and structure of ASSIST for Investment. 	<p>Q1 – Q2</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q2</p> <p>Q4</p>						
Expected Results	<ul style="list-style-type: none"> • ASSIST for Trade in Goods and ASSIST for Trade in Services are properly managed by the ASEC and the AMSs, while ASSIST for Investment is prepared for purposes of the training of the AMSs’ Investment Focal Points and for roll-out during Q1 and Q2 of AWP3; and • Private sector engagement and awareness increases, both in terms of the quantity of recommendations put forward by the business councils and the quality of the solutions provided by AMSs, through the operationalization of the e-platform for PSE on the ASSIST webpage. 							
NKE required	<ul style="list-style-type: none"> • SNKEs proficient in alternative dispute settlement solutions, particularly ASSIST, for creating awareness on ASSIST among the private sector in each AMS; and • SNKE with the IT skills to design and upgrade the ASSIST platform (e-platform for PSE and software design for ASSIST for Investment) 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2" data-bbox="432 1850 1362 1883">Category</td> </tr> <tr> <td data-bbox="432 1883 903 1928">SNKE (working days)</td> <td data-bbox="903 1883 1362 1928">50</td> </tr> <tr> <td data-bbox="432 1928 903 1968">Incidental budget (Euro)</td> <td data-bbox="903 1928 1362 1968">€42,000</td> </tr> </table>		Category		SNKE (working days)	50	Incidental budget (Euro)	€42,000
Category								
SNKE (working days)	50							
Incidental budget (Euro)	€42,000							



Activity 1.2.2 Supporting the ASEAN Trade Facilitation Joint Consultative Committee

Rationale:

In view of the need to enhance cross-sectoral coordination, both the ATIGA and the AEC Blueprint 2025 call for a strengthened ATF-JCC and more effective interaction, respectively, with the relevant ASEAN bodies, private sector, and international institutions. Considerable amount of work needs to be conducted in order to meet the objectives of the Strategic Action Plan on Trade Facilitation (ATFSAP) for 2017 to 2025 and the biannual ASEAN Trade Facilitation Work Plans (ATFWPs) called for by ATIGA. Such ATFWPs will then need to be implemented, monitored, and reported to SEOM with support from the ATF-JCC. Institutional capacity building is needed, in particular, to support ATF-JCC’s engagement with the private sector (*i.e.*, ABAC and other business councils) and its co-ordination with the relevant ASEAN sectoral bodies. The ATF-JCC is expected to meet 3-4 times per year, with ASEC’s TFD servicing the meetings. A critical step will be the operationalization of the ATF-JCC’s engagement with the relevant ASEAN bodies in those areas where the bulk of work planning, monitoring and co-ordination activities are needed.

ARISE Plus aims at ensuring that the ATF-JCC is effectively supported in its engagement with the private sector and with other ASEAN bodies, delivering the results required of it, starting from the definition and delivery of its ATFWPs and meeting the objectives set forth in the ATFSAP, in line with the drivers of the AEC Blueprint 2025. The focus of the activity should be on the effective and regular engagement of all relevant ASEAN bodies involved in the process of trade facilitation: ASEC’s DSG for AEC; ASEC’s Trade Facilitation Division (TFD); SEOM; ATF-JCC; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; etc. Most importantly, the ATF-JCC needs to be supported in its engagement of the private sector, working closely with ABAC and the other associated business councils and private sector organizations to develop, execute and monitor its ATFWPs and ATFSAP, as well as catering to the specific requests of the private sector.

Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee
Main Beneficiaries	ATF-JCC; ASEC’s DSG for AEC; ASEC’s TFD; SEOM; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; AMSs and Private Sector
Planned Tasks	<ul style="list-style-type: none"> • Support ATF-JCC by participating (upon invitation) in all ATF-JCC meetings, with assistance provided through technical presentations and reporting, especially where TF issues of interest to the private sector, and issues where ARISE Plus is providing assistance to ASEAN, are being discussed; • Hold up to three 1-day ARISE Plus Roundtable Discussions on Trade Facilitation, back-to-back to the meetings of the ATF-JCC or in stand-alone events between July 2019 and April 2020, in order to foster informal dialogue between ASEAN officials, private sector representatives and independent experts on specific trade facilitation issues; • Meet regularly with ASEAN-based private sector representatives (<i>i.e.</i>, ABAC and other relevant ASEAN business councils and private sector organizations) in order to facilitate their engagement with ASEAN institutions and relevant bodies within ATF-JCC; and • Provide ‘on demand’ institutional support and capacity building to the ATF-JCC and ASEC’s TFD, particularly in terms of discussing, drafting,

Activity 1.2.2		Supporting the ASEAN Trade Facilitation Joint Consultative Committee							
	monitoring, evaluation and reporting on the implementation of the ATFWPs and ATFSAP.								
Major Outputs		Time delivery	of						
	<ul style="list-style-type: none"> • Regular Progress Reports are delivered at the ATF-JCC meetings in order to brief the ATF-JCC on the trade-facilitation support being provided by ARISE Plus and to build capacity on issues of relevance and need for AMSs; • Reports on the informal dialogues held, within the ARISE Plus Roundtable Discussions on Trade Facilitation, back-to-back to the meetings of the ATF-JCC, between ASEAN officials, private sector representatives and independent experts on specific trade facilitation issues; and • Reports on the ‘on demand’ capacity building provided on selected issues of trade facilitation, as and if requested by the ATF-JCC and/or ASEC’s TFD. 	Q1 – Q4							
		Q1 – Q4							
		Q1 – Q4							
Expected Result	<ul style="list-style-type: none"> • Greater institutional coordination and cooperation among ASEAN relevant bodies and AMSs, within the ATF-JCC, as well as greater private sector engagement within the ATF-JCC and ability of ASEAN to cater for private sector’s requests, particularly in terms of addressing trade irritants, facilitating trade, streamlining NTMs and removing NTBs. 								
NKE required	<ul style="list-style-type: none"> • SNKEs with the technical expertise required to assist ATF-JCC with the ‘on demand’ activities. 								
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2">Category</th> </tr> </thead> <tbody> <tr> <td>SNKE (working days)</td> <td>30</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€60,000</td> </tr> </tbody> </table>			Category		SNKE (working days)	30	Incidental budget (Euro)	€60,000
Category									
SNKE (working days)	30								
Incidental budget (Euro)	€60,000								

Activity 1.2.3 Strengthening Public-Private Cooperation

Rationale:

The role of the private sector in ASEAN integration is important as a key stakeholder in the process. The AEC Blueprint 2025 recognises that greater involvement of the private sector and more structured participation will be beneficial to the achievement of all ASEAN goals. Private sector inputs and partnerships are essential not only in designing regional strategies and initiatives, but also in identifying impediments to realising deeper regional economic integration. Currently, the private sector only engages with some of the many ASEAN sectoral bodies, in diverse forms and with differing degrees of participation, sophistication and success. The AEC Blueprint 2025 calls for the redoubling of efforts to engage the business sector, particularly MSMEs, to provide easier access to official information on implementation, and obtain timely feedback on policies and trade-related measures.



A stronger interface between ASEAN institutions and the private sector must be seen within the parallel contexts of efforts being made by ASEAN to increase AMSs’ regulatory and trade-related transparency (ATR/NTRs), to streamline NTMs and remove NTBs (ASSIST), and other key trade facilitation instruments that ASEAN is implementing to the primary benefit of the private sector (e.g., ACTS, ASW, Tariff Finder, MRAs, etc.).

The ABAC, as the primary private sector apex body channelling the positions and needs of nine ASEAN+1 business councils and of 66 business entities, is the institutionalised representative of the private sector before the ATF-JCC, SEOM and the other relevant ASEAN sectoral bodies. Its role and capacity need to be further strengthened to ensure that it can convey the needs, views and contributions of the ASEAN private sector at large. Besides the now institutionalized engagement of private sector within the ATF-JCC, on the basis of the Guidelines for Private Sector Engagement, which have been drafted and adopted in line with the Outline of the Rules of Procedures for Private Sector Engagement under the AEC, there is a need to support the activities of the ABAC and the other business councils and ASEAN-representative associations, particularly in the CLMV and vis-à-vis MSMEs, in order to enable their effective participation to ASEAN initiatives and dynamics.

The objective of this activity is to enable the private sector to improve its ability to coordinate and cooperate with the ATF-JCC and all other relevant ASEAN sectoral bodies, particularly in view of the applicable Guidelines for Engagement and the ATF-WPs. One such opportunity, which ARISE Plus intends to support, is the newly-formed *forum* of interaction between the ASEC and the ABAC, plus its associated business councils, in order to facilitate public-private dialogue and coordinate the issues referred to the relevant ASEAN sectoral bodies. ARISE Plus shall support the ‘institutionalization’ of such *forum*. In general terms, ARISE Plus aims at being a catalyst for the regular engagement of the ASEAN institutions with ABAC and the other key ASEAN business councils. For instance, ARISE shall continue to hold the Roundtable Discussions on Trade Facilitation and co-ordination, support and guidance shall be provided to the EU-ASEAN Business Council (EUABC), which has been tasked by ABAC to take primary responsibility for trade facilitation issues.

The implementation of this activity has a horizontal nature across the three Components of ARISE Plus and shall also benefit of the support and overall coordination by Key Expert 1 (Team Leader) through Activity 0.2 and by Key Expert 3 (Customs Expert) within the framework of Activity 3.1.2 ‘Supporting Partnership with Businesses and the Trading Community (SPCD 06)’, which is intended to support the implementation of the Strategic Plan of Customs Development (SPCD 06).

Activity 1.2.3	Strengthening Public-Private Cooperation
Main Beneficiaries	ABAC, EABC, ATFJCC, ASEC
Planned Tasks	<ul style="list-style-type: none"> • Support of and coordination with ABAC and EABC, as well as ASEC’s TFD and ATF-JCC Chair, with preparatory meetings, technical presentations and <i>ad hoc</i> reports, as required, for purposes of their attendance at meetings of the ATF-JCC with the private sector and of the private sector with the other relevant ASEAN sectoral bodies within (<i>i.e.</i>, SEOM; ATF-JCC; CCA; CCC; TWFG; ASW-SC; ACCSQ; AC-SPS; etc.); • Support of the <i>forum</i> of interaction between the ASEC and the ABAC, plus its associated business councils; and

Activity 1.2.3	Strengthening Public-Private Cooperation							
	<ul style="list-style-type: none"> • Hold, ‘on demand’, dedicated national workshops on trade facilitation issues of particular interest to ABAC and selected business councils, chambers of commerce, business federations and/or trade associations to support their engagement with the ATF-JCC. 							
Major Outputs		Time of delivery						
	<ul style="list-style-type: none"> • Regular Progress Reports given to ABAC meetings, upon invitation, and reports provided on the meetings with ABAC and the associated business councils; • Reports on the informal meetings held within the <i>forum</i> of interaction between ASEC and ABAC, plus its associated business councils; and • Reports on the ‘on demand’ national workshops held on trade facilitation issues of particular interest to ABAC and the associated business councils. 	<p>Q1 – Q4</p> <p>Q1 – Q4</p> <p>Q1 – Q4</p>						
Expected Result	<ul style="list-style-type: none"> • ABAC, EABC and other associated business councils, as relevant, are able to more effectively articulate and convey their requests and comments to the ATF-JCC and other ASEAN bodies, in line with the applicable ASEAN Guidelines for Engagement. 							
NKE required	<ul style="list-style-type: none"> • NKEs with the technical expertise required to implement the ‘on demand’ activities. 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2">Category</td> </tr> <tr> <td>SNKE (working days)</td> <td>20</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€73,200</td> </tr> </table>		Category		SNKE (working days)	20	Incidental budget (Euro)	€73,200
Category								
SNKE (working days)	20							
Incidental budget (Euro)	€73,200							

Sub-Component 1.3: ‘On Demand’ Activities

This Sub-Component groups together the activities that have been requested by the ASEC and/or the relevant ASEAN sectoral bodies and prioritised for implementation during AWP2. These ‘on demand’ activities take the place of the ones that had been tentatively scheduled and programmed for implementation during AWP1, which were ultimately not activated by the Beneficiary and have been deemed no longer necessary or have been postponed (*i.e.*, former Activity 1.3.1 on “Assisting in the Implementation of an ASEAN-Wide Self-Certification of Origin Scheme”, Activity 1.3.2 on “Supporting the ASEAN Consultative Committee on Connectivity”, and Activity 1.3.3 on “Supporting the Transposition of Regional Agreements at National Level”).

The newly-requested activities, for implementation during the AWP2, are the following: Activity 1.3.1 on “General Review of the ASEAN Trade in Goods Agreement (ATIGA)” and Activity 1.3.2 on “Supporting the Implementation of the NTMs Guidelines”.

The key objective of this cluster of activities is to provide specific ‘on demand’ support to ASEAN in areas that are intimately linked, conducive and relevant to the trade facilitation agenda of ASEAN. The specific tasks under these activities shall be defined and prioritized, on a rolling basis and on the



basis of the requests by ASEAN, in coordination with the relevant ASEAN sectoral bodies. This cluster, together with the ‘*on demand*’ resources earmarked under Sub-Components 1.1 and 1.2, will ensure that ARISE Plus be flexible in nature and adaptable to the changing needs of ASEAN, while adhering to the broader objectives and outcomes mandated in the ToRs of ARISE Plus.

Outcome

The modernisation of ASEAN obligations and trade facilitation are enhanced through specific ‘on demand’ support for, inter alia, the general review of the ASEAN Trade in Goods Agreement (ATIGA), in light of relevant developments in similar preferential trade agreements around the world and then implementation of the NTMs Guidelines, with particular regard to the principles and mechanisms of transparency, notification and consultations.

Activity 1.3.1 General Review of the ASEAN Trade in Goods Agreement (ATIGA)

Rationale:

It has been nine years since the ATIGA entered into force in 2010. There have been several developments in the areas covered by the ATIGA, *inter alia* the progress in ASEAN’s economic integration agenda, ASEAN’s and AMSs’ expanding FTA networks, as well as the ongoing negotiation of the Regional Comprehensive Economic Partnership (RCEP) and new mega-regional FTAs that include some AMSs as Parties (*e.g.*, the Comprehensive and Progressive Agreement for Trans-Pacific Partnership, or CPTPP).

ASEAN believes that it is timely to undertake the review of the ATIGA for the following reasons: 1) The AEC 2025 Blueprint mandates ASEAN to review the ATIGA in order to enhance its provisions in view of bringing down the remaining barriers to intra-regional trade, so as to further remove impediments to increase trade and investment, contribute to deepening and broadening the trade and economic relationship between the Parties, support the ASEAN objectives of narrowing development gap, respond to the evolving regional and global economic architecture. The review also seeks to ensure that the quality, relevance, and ‘*centrality*’ of ATIGA is preserved in light of the review and upgrade of the ASEAN+1 FTAs and negotiations of RCEP; 2) ATIGA Article 5 on Most Favoured Nation (MFN) Treatment establishes the basis for preserving the ‘*preferentiality*’ of the agreements/arrangements for trade in goods under ASEAN vis-à-vis other agreements/arrangements, including those that are entered with partners outside of ASEAN; 3) ATIGA should be upheld as the model agreement from which ASEAN’s external engagements are to be based. A number of ASEAN+1 FTAs have more progressive TIG-related provisions and commitments relative to ATIGA; 4) ATIGA should provide for the most liberal and progressive Rules of Origin which would encourage economic growth and development in the region. A number of ASEAN+1 FTAs applies a broader range of origin criteria, namely the application of the rule “*Produced exclusively from originating materials*” and the adoption of more liberal Product Specific Rules (PSRs), which make it easier to meet originating status, as well as more flexible operational certification procedures that facilitate the claim and grant of tariff preferences; and 5) The regional and multilateral agenda on trade facilitation has advanced, following the developments within the multilateral and regional *fora*. This includes the entry-into-force of the WTO Agreement on Trade Facilitation, and developments in ASEAN such as the adoption of the ASEAN Trade Facilitation



Framework (ATFF) in 2016, and succeeding endorsement of its implementation plans (*i.e.*, the ASEAN Trade Facilitation Strategic Action Plan and the ASEAN Trade Facilitation Work Programme). Finally, NTMs-related actions are being prioritized, following the ATIGA success in tariff liberalization. The recent development of the ‘*NTM Guidelines*’ seeks to ensure the effective use of the ATIGA in the work towards the full elimination of non-tariff barriers and in addressing the ‘*barrier*’ effect of NTMs, as well as in embedding good regulatory practices (GRPs) in designing and implementing trade regulations and measures to minimize the compliance cost of meeting these requirements.

ARISE Plus was asked by CCA to assist in carrying out the General Review of the ATIGA, in line with the drivers identified above, the ToRs of the action being developed by the ASEC, CCA and SEOM, and the broader context of the AEC Blueprint 2025, the implementation of the ‘*NTMs Guidelines*’, and the intervention rationale of ARISE Plus, particularly under Components 1.1 and 1.2. With the exclusion of the econometric and economic impact assessment of the application of an ‘*automatic MFN*’ clause in a reformed ATIGA, which ARISE Plus declined as going beyond the mandate and the resources available under the project, the other drivers are relevant and manageable for the study to be conducted during AWP2. Coordination will be achieved with the ASEC, CCA and SEOM in order to define in detail the scope of the study, as well as the deliverables, methodology and modalities of the General Review of the ATIGA.

Activity 1.3.1	General Review of the ASEAN Trade in Goods Agreement (ATIGA)	
Main Beneficiaries	SEOM, CCA, SCA-ROO, AMSs, Private Sector	
Planned Tasks	<ul style="list-style-type: none"> • Conduct the general review of the ATIGA on the basis of the ToRs to be agreed with ASEAN and of the stated reasons for the need to review the ATIGA. The general review will be legal in nature and focus on the current structure of the ATIGA, the best practices and relevant benchmarks in bilateral and regional FTAs of comparative interest, and the critical assessment of the regional implementation of the current ATIGA obligations. It shall not engage in a process of implementation review of the ATIGA at the national AMS level; • Hold a two-day regional workshop to assess the preliminary results of the general ATIGA review and seek feedback and commentary from AMSs’ representatives, CCA and the chairs of the relevant ASEAN sectoral bodies; and • Finalize the report on the general review of the ATIGA and host a high-level event to present the findings to SEOM and the Chairs of the relevant ASEAN sectoral bodies. 	
Major Outputs	<ul style="list-style-type: none"> • Draft Report on the general review of the ATIGA, completed on the basis of the agreed ToRs and <u>to be treated confidentially (<i>i.e.</i>, not for submission to the EU or any other party outside of ASEAN)</u>; • Technical Materials used to socialize the participants to the regional workshop on the preliminary findings of the 	<p>Time of delivery</p> <p>Q1 – Q2</p>

Activity 1.3.1	General Review of the ASEAN Trade in Goods Agreement (ATIGA)							
	<p>general review of the ATIGA. These technical materials are <u>to be treated confidentially (i.e., not for submission to the EU or any other party outside of ASEAN) to be treated confidentially (i.e., not for submission to the EU or any other party outside of ASEAN)</u>;</p> <ul style="list-style-type: none"> • Final Report on the general review of the ATIGA, completed on the basis of the feedback and commentary received at the regional workshop, <u>to be treated confidentially (i.e., not for submission to the EU or any other party outside of ASEAN)</u>; and • Technical Materials used to present the findings to SEOM and the Chairs of the relevant ASEAN sectoral bodies. These technical materials are <u>to be treated confidentially (i.e., not for submission to the EU or any other party outside of ASEAN)</u>. 	<p>Q2 – Q3</p> <p>Q3 – Q4</p> <p>Q3 – Q4</p>						
Expected Result	<ul style="list-style-type: none"> • Specific recommendations are made for ASEAN to consider amending and improving the ATIGA in light of the evolved ASEAN, mega-regional and global international trade contexts. 							
NKE required	<ul style="list-style-type: none"> • NKEs with the legal expertise required to conduct the general review of the ATIGA, particularly with respect to the benchmarking of the key chapters of the ATIGA (i.e., Tariff Liberalization; Rules of Origin; Non-Tariff Measures; Trade Facilitation; Customs; Standards, Technical Regulations and Conformity Assessment Procedures; Sanitary and Phytosanitary Measures; Trade Remedy Measures; and Institutional Provisions) vis-à-vis other relevant Preferential Trade Agreements. 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2" data-bbox="432 1328 1362 1368">Category</th> </tr> </thead> <tbody> <tr> <td data-bbox="432 1368 903 1411">SNKE (working days)</td> <td data-bbox="903 1368 1362 1411">60</td> </tr> <tr> <td data-bbox="432 1411 903 1449">Incidental budget (Euro)</td> <td data-bbox="903 1411 1362 1449">€44,000</td> </tr> </tbody> </table>		Category		SNKE (working days)	60	Incidental budget (Euro)	€44,000
Category								
SNKE (working days)	60							
Incidental budget (Euro)	€44,000							

Activity 1.3.2 Supporting the Implementation of the ‘NTMs Guidelines’

Rationale:

In accordance with the ATIGA and the relevant WTO rights and obligations, the ASEAN ‘*NTMs Guidelines*’ provide a general framework to: 1) Improve the transparency and management of NTMs in ASEAN; and 2) Minimise the trade-distortive effects of NTMs, while allowing AMSs to pursue legitimate policy objectives. 4. The Guidelines are intended to operationalise the following ATIGA elements: Article 11 (Notification Procedures); Article 12 (Publication and Administration of Trade Regulations); Article 13 (ASEAN Trade Repository); Article 40 (Application of Non-Tariff Measures); Article 42 (Elimination of Other Non-Tariff Barriers); and Article 44 (Import Licensing Procedures), including the Guidelines for the Implementation of Import Licensing Procedures in ASEAN (ILP Guidelines).

Inter alia, AMSs must ensure that NTMs conform to the following main principles: 1) Necessity and proportionality; 2) Consultations and engagement; 3) Transparency; and 4) Non-discriminatory and impartiality. In this regard, the ‘*NTMs Guidelines*’ provide that AMSs must also take into consideration the Good Regulatory Practice Core Principles, which comprise: (a) Clarity in policy rationale, objectives, and institutional frameworks; (b) Produce benefits that justify costs and be least distortive to the markets; (c) Be consistent, transparent, and practical; (d) Support regional regulatory cooperation; (e) Promote stakeholder engagement and participation; and (f) Be subject to regular review for continued relevance, efficiency, and effectiveness.

In light of the decisions taken by SEOM, ATF-JCC and CCA on the sequenced implementation of the ‘*NTMs Guidelines*’, ASEAN has requested ARISE Plus to provide support with particular regard to Principles 2 and 3 thereof (*i.e.*, Consultations and engagement; and Transparency, respectively), considering the focus of ARISE Plus on assisting the process of progressive operationalization of the ATR and on fostering private sector engagement (Sub-Components 1.1 and 1.2). This line of technical assistance is highly synergic with the other activities being implemented by ARISE Plus during AWP2. ARISE Plus will work with the ASEC and relevant ASEAN sectoral bodies to identify the priority actions to advance the implementation of Principles 2 and 3 of the ‘*NTMs Guidelines*’ with assistance to be provided for purposes of, *inter alia*: 1) Launching a Pilot Project on NTMs Notification based on the standardized notification templates developed by ARISE upon CCA’s request back in 2014, which could now be implemented electronically via the ATR or the e-platform for private sector engagement; 2) Enabling more systematic, expedited and rules-based communications and consultations between AMSs, the relevant ASEAN sectoral bodies and the ASEAN private sector; and 3) Defining a dedicated monitoring mechanism to review the implementation of Principles 2 and 3 of the ‘*NTMs Guidelines*’.

Activity 1.3.2	Supporting the Implementation of the ‘NTMs Guidelines’
Main Beneficiaries	SEOM, ATF-JCC, CCA, ACCSQ, AMSs, ASEC
Planned Tasks	<ul style="list-style-type: none">• Launch, in cooperation with ASEC, CCA and the other relevant ASEAN sectoral bodies, a Pilot Project on NTMs Notification based on the standardized notification templates developed by ARISE in 2014, now to be filed electronically by AMSs via the ATR or the e-platform for private sector engagement. These notifications should be based on the same transparency requirements and methodologies used to identify, classify and package for upload AMSs’ NTMs onto the ATR;• Enable more systematic, expedited and rules-based communications and consultations between AMSs, the relevant ASEAN sectoral bodies and the ASEAN private sector with respect to the notified NTMs ahead of their adoption by AMSs. This consultative process needs to be enhanced, socialized among ASEAN’s private sector by holding a workshop in each AMS to the benefit of their respective private sector (<i>i.e.</i>, trade associations, chambers of commerce, business councils and business federations), and measured in its ability to be an effective conduit of recommendations and requests by the private sector to AMSs, so that the comments made can be taken into consideration before the adoption of the respective NTMs; and



Activity 1.3.2	Supporting the Implementation of the ‘NTMs Guidelines’							
	<ul style="list-style-type: none"> • Define and implement a dedicated monitoring mechanism to review the implementation of Principles 2 and 3 of the ‘<i>NTMs Guidelines</i>’. 							
Major Outputs		Time of delivery						
	<ul style="list-style-type: none"> • Report on the proposal for a Pilot Project on NTMs Notification based on the standardized notification templates developed by ARISE in 2014; 	Q1						
	<ul style="list-style-type: none"> • Technical Materials prepared for the socialization workshops held in each AMS to the benefit of their respective private sector constituencies (<i>i.e.</i>, trade associations, chambers of commerce, business councils and business federations) in order to build awareness on the new consultations opportunities and procedures; 	Q1 – Q2						
	<ul style="list-style-type: none"> • Report on the proposal for a dedicated monitoring mechanism to review the implementation of Principles 2 and 3 of the ‘<i>NTMs Guidelines</i>’; and 	Q2 – Q3						
	<ul style="list-style-type: none"> • Monitoring Report with the preliminary assessment of the ability of the Pilot Project on NTMs Notifications, and the related consultations with the private sector, to be an effective conduit for the recommendations and requests of the private sector to AMSs on the proposed NTMs. 	Q4						
Expected Result	<ul style="list-style-type: none"> • The process of consultations with the private sector, ahead of the adoption by AMSs of new NTMs or the modification of existing ones, is improved, more systematically implemented and measured as effective to deliver the recommendations and requests of the private sector to AMSs. 							
NKE required	<ul style="list-style-type: none"> • NKE with the technical expertise required to assist the KE2 in defining and proposing a Pilot Project on NTMs Notification and to develop and deliver the socialization workshops held in each AMS to the benefit of their respective private sector constituencies; and • NKE with the technical expertise required to develop and conduct the monitoring programme and draft the preliminary assessment of the Pilot Project on NTMs Notifications and related processes of consultation with the private sector. 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2" data-bbox="443 1704 1361 1742">Category</th> </tr> </thead> <tbody> <tr> <td data-bbox="443 1742 903 1780">SNKE (working days)</td> <td data-bbox="903 1742 1361 1780">40</td> </tr> <tr> <td data-bbox="443 1780 903 1818">Incidental budget (Euro)</td> <td data-bbox="903 1780 1361 1818">€31,000</td> </tr> </tbody> </table>		Category		SNKE (working days)	40	Incidental budget (Euro)	€31,000
Category								
SNKE (working days)	40							
Incidental budget (Euro)	€31,000							



COMPONENT 2.0 STANDARDS AND CONFORMITY ASSESSMENT IN PARTICULAR HEALTHCARE AND AGRO-BASED PRODUCT

This component focusses on horizontal support for the ASEAN Committee on Standards and Quality (ACCSQ), continuing and advancing the progress made. Additionally, specific and comprehensive assistance is provided for two sectors; the Agro-based goods and Pharmaceuticals sectors. Component 2.0 comprises of 4 Sub-Components, each with a set of activities that reflect Technical Assistance mandated under the terms of reference of ARISE Plus for component 2.0.

The main counterparts are the Standards Division of the Market Integration Directorate of the ASEAN Secretariat, the ASEAN Consultative Committee for Standards and Quality and its relevant working groups, i.e ACCSQ Pharmaceutical Product Working Group (PPWG), ACCSQ Prepared Foodstuff Product Working Group (PPFWG); Heath Cluster 3 (Strengthening health system and access to care) Cluster 4 (Ensuring food safety). Additionally, this component will provide support in horizontal issues under the purview of ACCSQ Working Group 1 (Standards) and Working Group 2 (Conformity Assessment and Accreditation). The activities will focus on providing support to the specific regional institutions and sectoral bodies serviced by the Market Integration Directorate and that are responsible for the formulation and implementation of integration policies in the specific areas.

Planned Resources

Component 2.0	S-NKE	J-NKE	Incidentals
Sub Component 2.1	110	0	€126,800
Sub Component 2.2	30	0	€25,000
Sub Component 2.3	425	0	€444,800
Sub Component 2.4	70	0	€120,000
Total	635	0	€716,600

Sub-component 2.1 Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance

This Sub-Component groups together Activity 2.1.1 on “Supporting ACCSQ to Implement the 2016-2025 ASEAN Strategic Plan for Standard and Conformance, Activity 2.1.2 is discontinued as an independent activity with direct support being provided to the implementation of the MRAs and Harmonised Regimes as reflected in the specific sectoral activity plan”, Activity 2.1.3 on “Strengthening Conformity Assessment and Accreditation”, Activity 2.1.4 on “Transposing Regional Commitments into National Legislation” and Activity 2.1.5 being discontinued as an independent activity with all the planned outputs for crosscutting activities are reflected in other relevant activity plans.



The key objective of this cluster of activities is the effective implementation of the **2016-2025 ASEAN Strategic Plan for Standard and Conformance**.

Outcome

Technical barriers to trade for intra-ASEAN trade are reduced through the adoption of policies, mutual recognition arrangements, harmonisation of regulatory regimes and the development of the quality infrastructure and supporting mechanisms for market integration.

Activity 2.1.1 Supporting ACCSQ to implement the ACCSQ Strategic Plan for Standards and Conformance

Rationale:

ACCSQ’s 2016-2025 Strategic Plan for Standards and Conformance (ASPSC), developed with the assistance of ARISE in 2016, provides direction for ACCSQ and its working groups in achieving the targets of the 2016-2025 ASEAN Economic Community Blueprint (AECB). ACCSQ has established key performance indicators (KPIs) for the 6 strategic thrusts identified in the Plan and these strategies have been referenced by the working groups to develop their respective action plans and expected outcomes.

The support provide to the ACCSQ is focused to assist the Committee to implement the Strategic Plan. With the development of the key policies and guidance documents on standards, conformity assessment, accreditation and technical regulations, in place the focus has now shifted to dissemination of these policies and guidelines and supporting implementation.

Key stakeholders are ACCSQ Members, ACCSQ Working Groups (WGs) and Product Working Groups (PWGs), Desk Officers in ASEAN Secretariat responsible for ACCSQ, ACCSQ Working Groups and Product Working Groups.

Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance
Main Beneficiaries	ACCSQ, Product Working Groups under ACCSQ and Regulatory Agencies in ASEAN.
Planned Tasks	<ul style="list-style-type: none"> • Continue supporting the dialogue between CEN-CENELEC Management Centre (CCMC) and ACCSQ towards the development of cooperation arrangements. Develop proposals for ASEC on cooperation arrangements including meetings between ACCSQ representatives and CEN-CENELEC Management Centre officials and study visits. • Support a review of the ACCSQ policy for the harmonisation of standards in ASEAN considering the role of WG 1, national standards bodies and the product working groups. • Support the publication documents and dissemination of new ACCSQ policy documents (e.g. <i>ASEAN Principles for the development and implementation harmonised regulatory regimes</i> and the revision to the <i>MRA Framework MRA Agreement</i>).



Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance							
	<ul style="list-style-type: none"> • Support ACCSQ's efforts in disseminating and implementing the ACCSQ Policy Guides on STRACAP (Standards, technical regulations, accreditation conformity assessment procedures). • Continue Support on the finalisation of the revised MRA Framework Agreement. 							
Major outputs		Time of delivery						
	<ul style="list-style-type: none"> • Study Tour of ACCSQ to CEN-CENELEC Management Centre conducted. 	Q2 – Q3						
	<ul style="list-style-type: none"> • ACCSQ deliberations on the establishment of a formal link with CEN-CENELEC Management Centre and plans on the mode of implementation 	Q4						
	<ul style="list-style-type: none"> • ACCSQ reviews policies and arrangements for the harmonisation of Standards in ASEAN 	Q3-Q4						
	<ul style="list-style-type: none"> • Revised MRA Agreement endorsed by the ACCSQ and submitted to the Senior Officers for acceptance and Signature by Ministers and its publication 	Q4						
Expected Results	<ul style="list-style-type: none"> • ACCSQ has review the arrangements for the harmonisation of standards in ASEAN for effectiveness. • ACCSQ members have enhanced knowledge of CEN-CENELEC systems. • Revised MRA Framework Agreement endorsed by the ACCSQ and submitted to the Senior Officers for acceptance and Signature by Ministers and its publication 							
NKE required	Senior International Standards Experts with relevant European knowledge of CEN-CENELEC;							
Resources (inputs)	<table border="1"> <tr> <td colspan="2" data-bbox="443 1541 903 1576">Category</td> </tr> <tr> <td data-bbox="443 1576 903 1621">SNKE (working days)</td> <td data-bbox="903 1576 1362 1621">15</td> </tr> <tr> <td data-bbox="443 1621 903 1659">Incidental budget (Euro)</td> <td data-bbox="903 1621 1362 1659">€50,000</td> </tr> </table>		Category		SNKE (working days)	15	Incidental budget (Euro)	€50,000
Category								
SNKE (working days)	15							
Incidental budget (Euro)	€50,000							

Activity 2.1.2 Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations

Rationale:

ACCSQ has been leading the development of mutual recognition arrangements (MRAs) and development of harmonised regulator regimes since the conclusion of the ASEAN Framework Agreement on Mutual Recognition Arrangements in 1998. MRA's have been implemented for the Electrical and Electronic Equipment (EEE), and for Good Manufacturing Practice (GMP) inspections of



manufacturers of medicinal products. Recently sectoral MRAs for Bio-equivalence (BE) Study Reports for Generic medicinal products, and for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Products have been adopted and are in process to be fully implemented. The ACCSQ has additionally overseen the adoption of the harmonised regulatory regime for the Cosmetics sector of the ASEAN Cosmetic Directive (ACD) and the ASEAN Harmonised Electrical and Electronic Equipment Regulatory Regime (AHEEERR). The newly concluded ASEAN Medical Devices Directive is yet to be implemented. MRAs for Construction and Building Products and Automotive Products and an ASEAN Agreements on Traditional Medicines and Health Supplements are under development. ACCSQ is currently in the process of updating its ASEAN Framework Agreement on Mutual Recognition Arrangements (MRAs) to ensure that it caters for the changing situation.

The MRAs and Harmonised Regulatory Regimes are the main instruments utilised by ACCSQ and its product working groups to remove specific technical barriers to intra ASEAN Trade. The interventions by ARISE Plus will focus on development of best practices and procedures for successful implementation.

Activity 2.1.2 is discontinued as an independent activity in Work Plan 2. All the planned inputs are transferred Activity 2.1.1 as the ACCSQ takes on the role of directly managing the implementation of the mutual recognition of conformity assessment and harmonisation of technical regulations. Direct support provided to the implementation of the MRAs and Harmonised Regimes is reflected in the specific activity plans.

Activity 2.1.3 Strengthening Conformity Assessment and Accreditation

Rationale:

ASEAN market integration initiatives depend on the mutual acceptance of accredited conformity assessment. Seven Member States have established national accreditation bodies (NABs). The remaining 3 Member States are in the process of establishing NABs and, in the interim, have established national focal points for accreditation (NFABs). ASEAN has limited direct co-operation mechanism or recognition arrangement between NABs through Working Group 2, and relies on the recognition arrangements administered by Asia Pacific regional organisations, APLAC and PAC. The ACCSQ's 2016-2025 Strategic Plan in its Strategic Thrust 1 recognises the need for structured co-operation between National Accreditation Bodies (NAB) and National Accreditation Focal Points (NAFP Laboratory Networks). Much deeper co-operation would facilitate mutual recognition of conformity assessment between countries, especially in AMSs that do not yet have NABs. This would also enable the sharing of scarce resources.

A Guideline for ASEAN Laboratory Networks was developed by WG2 in 2016. This guideline was developed to better define the role of laboratory networks in supporting market integration but is non-binding. Networks have been established in the Cosmetics and Food sectors and networks are proposed for other sectors such as Rubber products. This guideline is yet to be utilised for reviewing and upgrading the laboratory networks.



Support to WG 2 in developing and implementing co-ordinated plans and programmes for capacity building, development of accreditation schemes, and delivery of services and sharing of personnel and resources would help achieve the targets of ACCSQ’s 2016-2025 Strategic Plan.

Activity 2.1.3	Strengthening Conformity Assessment and Accreditation	
Main Beneficiaries	ACCSQ WG 2; ASEC; ASEAN NABs & NAFPs; Regulatory bodies in AMS	
Planned Tasks	<ul style="list-style-type: none"> • Support and facilitate a workshop, on the development of an ASEAN Certification Scheme on Organic Agriculture and related accreditation requirements and the preparation of related documents. • Support and facilitate a workshop to review, discuss and make recommendations on possible arrangements to formalise the implementation of collaboration initiatives among National Accreditation Bodies /National Accreditation Focal Points. • Assist ACCSQ Working Group 2 (WG 2) in the development of procedures for the implementation of collaboration programmes between National Accreditation Bodies and National Accreditation Focal Points of Member States to build capacity. Implement specific initiatives as identified by Member States requiring as support. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • Workshop held and recommendations developed on the development of a Framework of the ASEAN Certification Scheme on Organic Agriculture and harmonised guidelines for accreditation of Organic Agriculture 	Q1 – Q2
	<ul style="list-style-type: none"> • Workshop held and recommendations developed on the mechanisms for implementation of collaboration initiatives among National Accreditation Bodies /National Accreditation Focal Points for adoption by ACCSQ WG 2. 	Q4
<ul style="list-style-type: none"> • Implementation of selected collaboration initiatives among National Accreditation Bodies /National Accreditation Focal Points, (e.g. (1) establishing a common pool of assessment resources (2) sharing of assessment resources (3) training of assessment personnel from other National Accreditation Bodies /National Accreditation Focal Points 	On-going /on demand	



Activity 2.1.3	Strengthening Conformity Assessment and Accreditation							
Expected Results	<ul style="list-style-type: none"> • Consensus on the harmonisation of Organic Certification Requirements paving the way to mutual recognition and acceptance of certifications issued by Certification Bodies accredited by National Accreditation Bodies of other Member States. • Procedures developed for National Accreditation Bodies and National Accreditation Focal Points of to collaborate on a regular basis on capacity development and service delivery for mutual benefit. 							
NKE required	<ul style="list-style-type: none"> • Senior Expert on Accreditation & Conformity Assessment. 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2">Category</td> </tr> <tr> <td>SNKE (working days)</td> <td>45</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€46,800</td> </tr> </table>		Category		SNKE (working days)	45	Incidental budget (Euro)	€46,800
Category								
SNKE (working days)	45							
Incidental budget (Euro)	€46,800							

Activity 2.1.4 Transposing Regional Commitments into National Legislation

Rationale:

The MRAs and harmonised regulatory regimes developed in ASEAN are intended to remove or reduce technical barriers and facilitate cross-border trade. For the MRAs and harmonised regulatory regimes to be implemented, AMSs need to ensure that domestic legislation is compatible with the stipulated conditions and regulatory requirements. This may require the amendment of existing legislation (to plug gaps or remove inconsistencies), or enactment of new legislation where the required provisions do not exist.

Such gaps in national legislation and regulatory coverage in the AMSs, hinders the full implementation of MRAs and harmonised regulatory regimes. This in turn delays implementation processes and stakeholders from deriving expected benefits, which include removal of TBTs, improvements to safety, public health and consumer protection.

Market integration initiatives implemented by ACCSQ include varying obligations for AMSs with respect to national enactment and legislation. With new initiatives to deepen the removal of TBTs, the need to review their existing laws and regulations or development of new legislation increases. There is more significant concern in Cambodia, Lao PDR and Myanmar, where there are larger gaps.

This activity will be implemented on an on-demand basis.

The work undertaken under this activity replace *Activity 1.3.3: Supporting the Transposition of Regional Agreements at National Level*; in the context of for Standards and Conformance.

Activity 2.1.4	Transposing Regional Commitments into National Legislation
Main Beneficiaries	Electrical and Electronic Equipment regulatory authority in Myanmar, ASEAN Joint Sectoral Committee on MRA on Electrical and Electrical Equipment



Activity 2.1.4	Transposing Regional Commitments into National Legislation							
Planned Tasks	<p>Support provided to Myanmar to implement the EEE MRA through the implementation of new regulations on electrical Safety under recently enacted consumer protection law. This will include briefing sessions and internal workshops.</p> <p>Support a study tour for regulators from Myanmar to learn about the effective implementation of Electrical and Electronic Equipment regulations in an ASEAN Member State.</p>							
Major outputs		Time of delivery						
	<ul style="list-style-type: none"> • New technical regulations produced that are coherent with AHEEER and EEE Regulatory regime; 	Q1 – Q3						
	<ul style="list-style-type: none"> • Implementation plan for AHEEER 	Q1 – Q3						
	<ul style="list-style-type: none"> • Officials trained to implement the revised regulations 							
Expected Result	Myanmar authorities are able to fully participate in the MRA.							
NKE required	<ul style="list-style-type: none"> • Senior Expert on ASEAN EEE Regulations 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2">Category</th> </tr> </thead> <tbody> <tr> <td>SNKE (working days)</td> <td>50</td> </tr> <tr> <td>Incidental budget utilised (Euro)</td> <td>€30,000</td> </tr> </tbody> </table>		Category		SNKE (working days)	50	Incidental budget utilised (Euro)	€30,000
Category								
SNKE (working days)	50							
Incidental budget utilised (Euro)	€30,000							

Sub-Component 2.2 Supporting the Harmonisation of Standards and Compliance to International Standards

The one activity planned for in this subcomponent is to assist ASEAN ensure that there are sufficient relevant harmonised standards to support planned market integration initiatives. This will be achieved by reviewing the methods for the identification standards needed and the process of harmonisation that are adopted. Together with this, it is intended that the dissemination of information on harmonised standards to stakeholders will be improved and result in increased use of international standards in ASEAN. The harmonisation of Member States' Standards when undertaken on the basis of international standards will in turn facilitate the strengthening of global value chains.

Outcome

Market integration advanced with the increased number of standards harmonised in ASEAN that are aligned with international standards which are adopted by regulatory authorities and referenced by ASEAN businesses and industry.

Activity 2.2.1 Supporting harmonisation of Standards

Rationale:

Since the 1980s, efforts have been made to harmonise selected product standards in ASEAN. ACCSQ's current policy provides that international standards should serve as a basis for harmonisation and the development of unique ASEAN standards is not pursued. ARISE supported Working Group 1 to clarify and document the broad principles for the harmonisation of Member States' standards in the "ASEAN Guidelines for Harmonisation of Standards". Progress on the harmonisation process, however, has been slow with approximately 320 standards currently listed as 'harmonised'. The processes for harmonisation relies on ACCSQ's Product Working Groups and are implemented on what amounts to a voluntary basis. The approach adopted has focussed on a few Product Working Groups that have established harmonised regulatory regimes. Given the significance of harmonised standards in enabling market integration, there is a strong need for a more ambitious approach, with greater involvement of national standards bodies and the pro-active identification of the most relevant standards to be harmonised.

Technical assistance is needed to support the efforts of Working Group 1 of the ACCSQ, that underpins the development of harmonised standards, Harmonised Regulatory Regimes, Mutual Recognition Arrangements.

Activity 2.2.1	Supporting harmonisation of Standards
Main Beneficiaries	ACCSQ WG 1, National Standards Bodies of AMS, Regulatory authorities, manufacturers and traders
Planned Tasks	<ul style="list-style-type: none">• Conduct workshop for Working Group 1 (WG 1), representatives of National Standards Bodies and Product Working Groups (PWGs) in the context of the ACCSQ policy review with the aim of redesigning the process for identification standards to be harmonised in ASEAN and the adoption of ASEAN harmonised standards by Member States.• Assist WG 1 in developing tools / methodologies that have been developed and utilising them to identify increased numbers of standards to be considered for harmonisation.• Assist WG 1 in reviewing the structure, scope and functionality of the ASEAN Harmonised Standards Database with a view to improving and upgrading it to better manage the harmonisation processes.

Activity 2.2.1		Supporting harmonisation of Standards	
Major outputs			Time of delivery
	<ul style="list-style-type: none"> Recommendations of the workshop on new operating procedures to be developed and revision of existing procedures and the revised roles and responsibilities of key players involved in the harmonisation processes viz. Product Working Groups and Task Forces. 		Q2
	<ul style="list-style-type: none"> Lists of identified standards in prioritised sectors for consideration by the Product Working Groups / Task Forces / WG 1 to initiate the harmonisation process. 		Q3
	<ul style="list-style-type: none"> Recommendations for enhancing the scope and incorporating additional functions to the ASEAN Harmonised Standards Database. 		Q4
Expected Result	A well-defined and transparent process for the harmonisation of standards in ASEAN leading to a substantial increase in the number of ASEAN harmonised standards and a higher level of adoption of harmonised standards at the national-level in AMS.		
NKE required	Senior Expert in standards development and international standardisation		
Resources (inputs)	Category		
	SNKE (working days)	30	
	Incidental budget utilised (Euro)	€25,000	

Activity 2.2.2 Promoting compliance to international standards in the business

This activity will start implementation in Work Plan 3 and is dependent on their being significant progress from the previous Activity 2.2.1 Harmonisation of Standards.



Sub-Component 2.3 Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector

In the agro-based sector, the seven activities provide support to the relevant ASEAN Working groups in the agriculture, economic and health sectors that are expected to lead to an integrated approach to food safety with the establishment of an overall regulatory framework on food safety through the development of a legal instrument for the 'ASEAN Food Safety Regulatory Framework' that ensures that food safety is implemented across the food chain. This in turn will be supported by assistance to the PFPWG in implementing the MRA for Inspection and Certification Systems on Food Hygiene for Prepared Foodstuff Product that has been recently agreed by all Member states and the ongoing institutional arrangements for the ASEAN Risk Assessment Centre for Food Safety (based in Malaysia) and the Rapid Alert System for Food and Feed (RASFF). The support to the agriculture sector aims to develop a credible, verifiable common approach to certification of organic agricultural produce in ASEAN in order that the acceptance of certified products is enhanced and producers are encouraged to adopt organic agricultural practices. Specifically, it is intended that an integrated regulatory framework is established that is supported by regional arrangements for risk assessment, a food and feed alerts system. A training network that provides training services to regulators for improving the robustness and effectiveness of the competent authorities of the ASEAN Member States in discharging their regulatory functions in the food sector will support the implementation.

Outcome

Reduced barriers for trade in food products and essential food safety levels ensured across AMS through the establishment of an integrated regulatory framework for food safety based on harmonised standards and measures.

Activity 2.3.1 Developing and Implementing a Regulatory Framework for Food Safety

Rationale:

Several ASEAN Bodies under the Economic, Health, and Agriculture Ministerial Meetings are pursuing initiatives related to removing technical trade barriers and addressing accompanying food safety concerns. Considerable progress has been made on harmonising specific requirements, however the adoption of these harmonised requirements into technical regulations is uneven and remains voluntary. In 2016, ASEAN Member States committed themselves to establishing a binding ASEAN Food Safety Regulatory Framework (AFSRF). This is designed to facilitate improved co-ordination among the different bodies involved in food safety risk management in ASEAN, and enable the transposition and implementation of harmonised technical requirements in each Member State. This is expected to be a complex undertaking, given the multitude of ASEAN bodies involved in the process and the far reaching impact.



Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety					
Main Beneficiaries	PFPWG, Health Cluster 4, ASEAN Sectoral Working Groups Working Group on crops, on fisheries, on livestock					
Planned Tasks	<ul style="list-style-type: none"> • Review of comments from Member States' on the 4th draft ASEAN Food Safety Framework Agreement (AFSRF Agreement) and proposed new text incorporating comments. • Organise and conduct a workshop for the Task Force for the AFSRF to discuss the draft 4th draft of the AFSRF Agreement, the finalisation of terms of reference of the ASEAN Food Safety Coordinating Committee and identification of proposed protocols that will be considered to be annexed to the AFSRF Agreement. • Develop a revised draft (5th) of the AFSRF agreement- incorporating comments and decisions of Task Force members at the workshop for consultation with relevant ASEAN bodies. • Organise and conduct a workshop for the AFSRF Task Force to consider final endorsement of the draft AFSRF Agreement and the terms of reference of the AFSRF Coordinating Committee. 					
Major outputs		Time of delivery				
Expected Results	<ul style="list-style-type: none"> • The ASEAN Food Safety Coordinating Committee mandated through the adoption of Terms of reference; • AFSRF Agreement ready for legal scrubbing. 					
NKE required	<ul style="list-style-type: none"> • Nil 					
Resources (inputs)	<table border="1"> <tr> <td colspan="2" data-bbox="432 1715 1362 1749">Category</td> </tr> <tr> <td data-bbox="432 1753 903 1794">Incidental budget (Euro)</td> <td data-bbox="906 1753 1362 1794">€95,000</td> </tr> </table>		Category		Incidental budget (Euro)	€95,000
Category						
Incidental budget (Euro)	€95,000					



Activity 2.3.2 Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs

Rationale:

The Prepared Foodstuffs Product Working Group (PFPWG) finalised a Mutual Recognition Arrangement of inspection and certification systems on food hygiene for prepared foodstuffs in 2016. This MRA enables food regulators in AMSs to accept the results of tests, inspections and certifications that are conducted by their counterparts in other Member States upon implementation of the agreement.

The conditions of recognition and the methods for sharing results are broadly defined in the provisions of MRA by reference to the ASEAN Common Food Control Requirements (ACFCRS) adopted by the PFPWG. In order to operationalise the MRA, the details must be defined and agreed by the Joint Sector Committee established under the MRA. This Committee has started work upon the formal entry into force of the MRA. Common administrative processes and procedures enabling mutual recognition also need to be agreed. A comprehensive plan will be required to map the details to be defined and established.

Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs	
Main Beneficiaries	Prepared Foodstuffs Product Working Group (PFPWG) and Members State's food safety regulators	
Planned Tasks	<ul style="list-style-type: none"> • Continue Assistance to the Joint Sector Committee for the Food Hygiene MRA to complete implementation procedures supporting the review refining the drafts developed and discussed at the March 2019 Workshop . • Support the PFPWG to identify the priorities in terms of products and food hygiene systems to commence the implementation of the MRA by conducting an investigation of food hygiene implementation in Member States. • Conduct 2 workshops for the Joint Sector Committee to review the revised draft of the implementation procedures and to recommend the priorities in terms of products and food hygiene systems for the implementation of the MRA • Conduct a gap analysis in selected Members States to prepare for the implementation of the MRA on inspection and certification systems of food hygiene for prepared foodstuffs. 	
Major outputs		Time of delivery



Activity 2.3.2		Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs							
	<ul style="list-style-type: none"> • Procedures for the implementation of the Food Hygiene MRA are finalised for adoption by the Joint Sector Committee 		Q1						
	<ul style="list-style-type: none"> • Recommendations for priorities in terms of products and systems to be recognised for the MRA on inspection and certification systems of food hygiene for prepared foodstuffs are developed and presented to the JSC for consideration and decision. 		Q2						
	<ul style="list-style-type: none"> • A workshop conducted for the Joint Sector Committee for the MRA to deliberate and consider plans for implementation of the MRA based on the identified priorities. 		Q2						
	<ul style="list-style-type: none"> • Infrastructure gaps for the implementation of the MRA on inspection and certification systems of food hygiene for prepared foodstuffs are identified for identified Member States requesting assistance. 		Q4						
			On demand						
Expected Result	<ul style="list-style-type: none"> • Two to three member states have commenced the recognition process, and the gaps in the infrastructure and legislation for the implementation of the MRA s are identified in selected Member States. 								
NKE required	<ul style="list-style-type: none"> • Senior food safety experts with knowledge of regulations and infrastructure for food hygiene certification and inspection systems. 								
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2">Category</th> </tr> </thead> <tbody> <tr> <td>SNKE (working days)</td> <td>105</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€80,000</td> </tr> </tbody> </table>			Category		SNKE (working days)	105	Incidental budget (Euro)	€80,000
Category									
SNKE (working days)	105								
Incidental budget (Euro)	€80,000								

Activity 2.3.3 Supporting the ASEAN Risk Assessment Centre for Food Safety

Rationale:

The ASEAN Risk Assessment Centre was established with ARISE support to the ASEAN Experts Group on Food Safety (AEGFS) under the authority of the AMSs’ Health Ministers. Following the development of the concept and consensus of Food Safety Regulators in ASEAN, the AEGFS proposal to establish an ASEAN Risk Assessment Centre (ARAC) in Kuala Lumpur received endorsement from the ASEAN Health Ministers Meeting in August 2014.

ARAC is a key element in the ASEAN Regulatory Framework for Safety. The analysis that it conducts will provide a basis for the development off harmonised standards and food safety measures



undertaken to mitigate risks. With support from the Ministry of Health of Malaysia which provides a Secretariat, ARAC began by establishing a Scientific Committee to oversee its technical work and a first panel to evaluate risks with respect to aflatoxins. The potential role of ARAC is vast as ASEAN seeks to harmonise its food safety standards and measures. The current operations should serve as a nucleus and provide lessons for future expansion to food additives, food contaminants, food contact materials and the agricultural working groups dealing with pesticide residues and drug residues.

As ASEAN accelerates its harmonisation of food standards and food safety measures, there is a need for risk assessments to be undertaken to provide data and results to risk managers, to ASEAN Bodies undertaking harmonisation, and to AMSs. Support for ARAC will underpin the assistance ARISE Plus will provide in the development of an ASEAN Food Safety Regulatory Framework by supporting the scientific basis for harmonisation in ASEAN.

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety	
Main Beneficiaries	ARAC, Health Cluster 4, Food Safety Regulators in ASEAN Member States	
Planned Tasks	<ul style="list-style-type: none"> • Conduct a workshop for Health Cluster 4 to review the progress made and to consider recommendations made in by the ARISE Plus Expert to enhance the effectiveness and operations of ARAC in November 2018 ; • Supporting awareness and outreach programmes with other relevant ASEAN Bodies to inform of ARAC's operations and identify risk assessment needs; • Assisting in the development of technical reports for the identification and initiation of risk assessment needs in ASEAN; • Supporting ARAC secretariat and its' Scientific Committee in updating its operations and procedures and in identifying risk assessment needs in ASEAN by supporting a workshop. • Provide technical support to risk managers in formulation of requests for risks assessment for one risk assessment to assist in defining the scope and the risk questions. 	
Major outputs		Time of delivery

Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety							
	<ul style="list-style-type: none"> • Recommendations developed by Health Cluster 4 on enhancing the effectiveness of ARAC. • Technical and Scientific reports are developed to identify risk assessment needs in ASEAN • ARAC Secretariat have adopted changes in procedures and processes as identified by Health Cluster 4 	<p>Q1</p> <p>Ongoing</p> <p>On-going</p>						
Expected Result	<p>Operations of ARAC improved and expanded, with the capacity to deliver Technical and Scientific Reports enhanced, enabling the identification of Risk Assessment needs.</p>							
NKE required	<ul style="list-style-type: none"> • Senior food safety experts with experience in risk assessment and management 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2" data-bbox="432 992 1361 1032">Category</th> </tr> </thead> <tbody> <tr> <td data-bbox="432 1032 903 1072">SNKE (working days)</td> <td data-bbox="903 1032 1361 1072">50</td> </tr> <tr> <td data-bbox="432 1072 903 1111">Incidental budget (Euro)</td> <td data-bbox="903 1072 1361 1111">€46,800</td> </tr> </tbody> </table>		Category		SNKE (working days)	50	Incidental budget (Euro)	€46,800
Category								
SNKE (working days)	50							
Incidental budget (Euro)	€46,800							

Activity 2.3.4 Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN

Rationale:

Food consumption data is a key requirement for assessing dietary exposure to chemical and biological contaminants in food. As such, it is an essential tool in the process of risk assessment, which itself is a prerequisite to risk management calls made to set food safety standards (MRLs, ML and microbiological criteria).

National food consumption data can be used as such by national food safety authorities to assess the risk and ensure the protection of consumers. However, this data cannot be combined with similar surveys from other ASEAN Member States without a significant effort of harmonisation in food classification. In the absence of such harmonisation, the national data cannot be used to support regional risk assessment. Harmonised food consumption data will therefore support ASEAN’s food safety strategy through contributing to the work of the ASEAN Risk Assessment Centre (ARAC). Harmonised classification will greatly facilitate discussions between ASEAN countries and the international community and improve access to international trade market for food commodities produced in the region. However, progress has been made in coming to an agreement on the food category template (i.e. only 2 levels consisting of Food Group and Food Subgroup) to be used for the compilation of food consumption data, which was based on the FAO/WHO format, while retaining

regional specificity. Currently, existing food consumption data in ASEAN are mostly collected for nutrition purposes and not available to risk assessors to do exposure assessments.

Improvement of the current situation for an equal, usable, and powerful collection of microdata is undertaken through global initiatives are described below. Food consumption data is stored in the Chronic Individual Food Consumption Database – Summary Statistics (CIFOCOs), using the standardized Food Classification and Description System (FoodEx2). Data will be collected to enable the future completion of the Global Individual Food Consumption Data Tool (GIFT).

- FAO/WHO CIFOCOs currently includes summary statistics from 37 food consumption surveys conducted in 26 countries (including 17 EU countries) and presenting mean, standard deviation, high and low percentiles (P5, P10, P50, P90, P95) in total population and consumers only at a refined level of food categorization (about 500 items).
- FAO/WHO GIFT consists of a global database, containing individual quantitative food consumption data from any country disregarding their level of income, made freely accessible online through an interactive web platform. FAO/WHO GIFT aims to be a multipurpose tool, providing information on specific indicators in the field of nutrition, food safety and environment, in addition to data on food consumption.

The interventions by ARISE Plus are aimed at integrating ASEAN data into the global database and hence ASEAN to utilise the tools that these global initiatives provide for analysis and with this essential tools and data, it is foreseen that ARAC will contribute to enhancing food safety in the ASEAN region.

Activity 2.3.4	Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN
Main Beneficiaries	ASEAN Risk Assessment Centre, Health Cluster 4, Prepared Foodstuffs Working Group
Planned Tasks	<ul style="list-style-type: none">• Organising a workshop to discuss the harmonisation of food consumption data on the basis of the FoodEx2 data tool, FAO/WHO Chronic Individual Food Consumption database and the FAO/WHO Global Individual Food Consumption Data Tool (GIFT) as proposed in the Concept Note of the ASEAN Health Cluster 4;• Supporting the ASEAN Member States in addressing the issues faced in the collection of data, the harmonization of their individual food consumption data and concentration data of food contaminants in the ASEAN region by providing support to the development of food data survey tools and protocols;• Supporting member States in integrating the individual food consumption data harmonised and provided by the ASEAN Member States into FAO/WHO CIFOCOs and FAO/WHO GIFT;

Activity 2.3.4		Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN	
Major outputs			Time of delivery
	<ul style="list-style-type: none"> Documented Agreement by ASEAN Member states on a coordinated and harmonised approach for collecting individual food consumption data based on the FAO/WHO guidelines. 		Q2
	<ul style="list-style-type: none"> Member States equipped on the use of tools as required for global database for the collection and harmonization of existing data collected within individual food consumption surveys of the ASEAN Member States 		On-going
	<ul style="list-style-type: none"> Individual food consumption data of Member States increasingly harmonised and Integrated into the FAO/WHO CIFOCoSS and FAO/WHO GIFT databases 		On-going
Expected Result	Through the increased availability of harmonised food consumption data ARAC capacity to conduct Risk Assessment is enhanced.		
NKE required	<ul style="list-style-type: none"> Senior food expert with knowledge and experience in food data collection, classification and storage. 		
Resources (inputs)	Category		
	SNKE (working days)	70	
	Incidental budget (Euro)	€40,000	

Activity 2.3.5 Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)

Rationale:

Growing intra ASEAN food trade is increasing the risk of having a major food safety crisis hit the region in the future, and food safety authorities have to face the challenge. In case of such food safety crisis, communicating on related risks is key in implementing corrective measures, including identifying the source and sites where contaminated products have been distributed to.

Article 77 of the ASEAN Trade in Goods Agreement (ATIGA) has identified this potential problem by specifying that “*effective post market surveillance systems shall be further enhanced through the establishment of Alert Systems among Member States*”.

In response, the ASEAN Rapid Alert System for Food and Feed (ARASFF) mechanism was developed in 2005-2007 and was endorsed by the 34th Special SOM - AMAF, held on August 2013. This decision

resulted in the creation of a Steering Committee and appointment of National Contact Points by the national agencies in charge of food safety. It is implemented on a voluntary understanding between Member States.

ARASFF is a web-based application of regional scope enables Competent Authorities in Food Safety and Public Health of all ASEAN Member States to rapidly notify and exchange information on direct or indirect risks to human deriving from food or feed being traded in ASEAN, and measures taken to prevent them entering the food chain. The ARASFF application was developed with support from the EU and resides in a server provided by Thailand. Over the last 10 years efforts have been placed on the development of regional tools and processes to operate the ARASFF, but only a limited number of notifications into ARASFF have been observed to date. Insufficient food safety alert systems at national level do not allow concerned authorities to generate the information which is needed to tackle risks on their domestic markets and to be shared with other AMS in case of food safety crisis at regional level.

Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)	
Main Beneficiaries	ARASFF Steering Committee, ASEAN Ministerial Meeting on Agriculture and Forestry (AMAF), PFPWG, Health Cluster 4 and food safety authorities and agriculture in Member States	
Planned Tasks	<ul style="list-style-type: none"> • Developing a proposal for an ASEAN Guideline on the Traceability of Food and Feed to support the ASEAN Rapid Alert System for food and Feed. • Organising and conducting a workshop for representatives of ASEAN food safety regulators from ASEAN Member States to deliberate on ASEAN Guideline on the Traceability of Food and Feed. • Developing a proposal to establish legal instrument with obligations on ASEAN Member States with regard to participation in the ARASFF for consultation by the ARASFF Steering Committee/National Contact Points. • Organising and conducting a workshop for ARASFF Steering Committee/National Contact Points to deliberate on the establishment of a legal instrument for the operations of ARASFF. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • Draft of the <i>ASEAN Guideline on the Traceability of Food and Feed</i> incorporating comments and views of Member States developed and deliberated at a workshop; 	<p>Q1 -Q2</p> <p>Q2</p>

Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for FOOD and Feed (ARASFF)							
	<ul style="list-style-type: none"> Workshop on the traceability guidelines/ development of a legal instrument for ARASFF held and recommendations on follow-up action; 							
Expected Result	Substantial consensus on the <i>ASEAN Guidelines for Traceability for Food and Feed</i> achieved within the ARASFF Steering Committee/NCP, Health Cluster 4 and the Prepared Foodstuffs Working Group and deliberations commenced on development of a legal instrument for ARASFF and its integration into the ASEAN Food Safety Regulatory Framework.							
NKE required	<ul style="list-style-type: none"> Senior food safety experts with experience in handling alerts and food safety emergencies. 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2" data-bbox="432 880 903 913">Category</td> </tr> <tr> <td data-bbox="432 913 903 958">SNKE (working days)</td> <td data-bbox="903 913 1361 958">35</td> </tr> <tr> <td data-bbox="432 958 903 990">Incidental budget (Euro)</td> <td data-bbox="903 958 1361 990">€28,000</td> </tr> </table>		Category		SNKE (working days)	35	Incidental budget (Euro)	€28,000
Category								
SNKE (working days)	35							
Incidental budget (Euro)	€28,000							

Activity 2.3.6 Strengthening ASEAN Co-operation on Organic Agriculture

Rationale:

The availability of standards and credible certification services enable producers to provide the confidence to consumers on the organic nature of their products and essential for the adoption of organic practices by producers. ASEAN initiated regional co-operation for Organic Agriculture with the development of the ASEAN Standard for Organic Agriculture (ASOA) which was endorsed by for the ASEAN Ministers Agriculture and Forestry (-AMAF) in 2014.

The ASEAN Standard is voluntary and the Expert Working Group on Organic Agriculture (EWG-OA) has recommended that Member States adopt this Standard or align their national standards with the ASOA. The EWG-OA has developed the "*ASEAN Guide for Organic Certification*" which was endorsed by the 40th SOM-AMAF meeting in 2018. The EWG-OA has held discussions on potential future co-operation. Co-operation in organic agriculture is at an early stage in ASEAN. Representatives of Member States have explored in furthering co-operation beyond the development of the ASEAN Standard on Organic Agriculture. The co-operation proposals include activities such as promotion of Organic Agriculture, and harmonisation of regulations and mutually recognising certification systems. In this context, it is noted that there are several private and public organic certification programmes operating in ASEAN with significant differences in regulatory requirements in Member States, with some Member States not regulating organic certification. The interventions that are planned have the objective enhancing the development of credible and harmonised organic certification services in ASEAN Member States that will in turn provide support and stimulate adoption of organic agricultural practices by producers.



Activity 2.3.6	Strengthening ASEAN co-operation on organic agriculture	
Main Beneficiaries	SOM AMAF, the Expert Working Group on Organic Agriculture (EWG-OA), the ASEAN Sectoral Working Group on Crops, and Working Group 2 of the ACCSQ.	
Planned Tasks	<ul style="list-style-type: none"> • Develop proposals for the Experts Group on Organic Agriculture for an MRA for Organic Agriculture Certification. • Conduct a workshop to development of an MRA for organic certification and labelling and harmonised requirements for organic agricultural products in ASEAN to view available options. • Support the Experts Group in harmonising requirements for certification and labelling of organic agricultural products and accreditation certification bodies for Organic agricultural products. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • The approach for harmonised organic agricultural product certification in ASEAN is agreed • A plan for future harmonisation of Organic Agriculture certification and a draft instrument for mutual recognition is developed. 	<p>Q1</p> <p>Q3</p>
Expected Result	The Experts Working Group on Organic Agriculture commences joint development of harmonised accreditation, certification systems for Organic Agriculture with Working Group 2 (Conformity Assessment and Accreditation.	
NKE required	<ul style="list-style-type: none"> • Senior Expert on standards and conformity assessment. 	
Resources (inputs)	Category	
	SNKE (working days)	20
	Incidental budget (Euro)	€25,000

Activity 2.3.7 Training in food safety

Rationale:

The capacity and capability of the food safety authorities to conduct their activities in a competent, effective and responsible manner is a critical factor for the success of food safety strategies. Insufficient capacity and inadequate capability leads to sub-standard enforcement of requirements and compromises public health and consumer protection.

Training of staff ensures that technical expertise of staff and in turn the capability of regulatory authorities.



In addition, lack of specialized education and of experience in - or exposure to - international processes and discussions undermines the quality of regional cooperation and inhibits progress on harmonization of technical requirements. Even more importantly, it seriously and systematically compromises the actual implementation of harmonised standards at Member States level, a critical factor that affects the development of a coherent and sustainable food safety system in ASEAN.

Several ASEAN Member States have established or are establishing training academies in the food sector which could be used as centres of excellence to develop a network at the ASEAN level, in cooperation with similar initiatives in the EU, notably the Better Training for Safer Food (BTSF) programme of the European Commission – DG SANTE. These include - Malaysia: International Food Safety Training Centre Malaysia (under Ministry of Health-MoH), - Singapore: Agri-Food and Veterinary Authority (AVA), and Thailand: Food and Drug Administration (Thai FDA).

Activity 2.3.7	Training in Food Safety	
Main Beneficiaries	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, Health Cluster 4, ASEAN Consumers.	
Planned Tasks	<ul style="list-style-type: none"> • Preparing a proposal and convening meetings and holding discussions with identified parties in ASEAN to deliberate on the establishment of an education and training platform on food safety and nutrition for ASEAN of identified experts and institutions for food safety. • Establishing the terms of reference and operational arrangements for the functioning of an ASEAN Network of Food Safety Training providers • Initiating the identification and/or development of existing specialised complementary education/training modules for various areas of food safety and nutrition (such as microbiology, contaminants, food contact materials, plant health, labelling). • Developing, in cooperation with academia and training institutions in ASEAN and in the EU, a standard curriculum on the general principles of food law (risk analysis, traceability, governance, etc.) and on the international framework governing the food sector (WTO, SPS, Codex, etc.). 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • ASEAN Standard Education and Training Curriculum on Food Safety and Nutrition established with key education/training areas listed, education and training methods defined in consultation with host agencies/technical coordinators identified 	<p>On-going</p> <p>On-going</p>

Activity 2.3.7	Training in Food Safety							
	<ul style="list-style-type: none"> • Sectoral education and training modules, including E-learning modules available for ASEAN Member States • ASEAN food safety officials supported to participate in the initial training modules • ASEAN Education and Training Platform/Network on Food Safety and Nutrition established; 	Ongoing Q4						
Expected Result	Cooperation on training between ASEAN Food Safety Authorities established to enhance availability of training resources for ASEAN, leading to better trained officials in ASEAN Food Safety authorities who are able to implement regulations and other initiatives more effectively;							
NKE required	<ul style="list-style-type: none"> • Senior food safety expert with experience in training. 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2" data-bbox="432 920 1361 958">Category</th> </tr> </thead> <tbody> <tr> <td data-bbox="432 958 903 1003">SNKE (working days)</td> <td data-bbox="903 958 1361 1003">120</td> </tr> <tr> <td data-bbox="432 1003 903 1037">Incidental budget (Euro)</td> <td data-bbox="903 1003 1361 1037">€70,000</td> </tr> </tbody> </table>		Category		SNKE (working days)	120	Incidental budget (Euro)	€70,000
Category								
SNKE (working days)	120							
Incidental budget (Euro)	€70,000							

Activity 2.3.8: Harmonised Nutritional Labelling Requirement

Rationale:

ASEAN Member States have differing requirements for nutritional labelling. Food Industry Associations in ASEAN have made representations that the differing requirements impose additional technical and trade barriers for intra-ASEAN trade in packaged food. The differences result in differences in the parameters for the labelling and differences in the manner of displaying the information. This in turn requires different methods for evaluation of the foodstuffs.

The ASEAN Prepared Foodstuffs Product Working Group has now decided to commence deliberations on harmonising the requirements and has requested assistance from ARISE Plus.

The development of harmonised requirements within ASEAN will facilitate intra ASEAN trade in prepared foodstuffs and reduces costs for food suppliers intending to export to other ASEAN Member States.

Activity 2.3.8	Harmonised Nutritional Labelling Requirements	
Main Beneficiaries	Food Safety Regulatory Agencies in ASEAN Member States, Prepared Foodstuffs Working Group, Health Cluster 4, ASEAN Consumers.	
Planned Tasks	<ul style="list-style-type: none"> • Conduct investigations on the current state of implementation of regulations on nutritional labelling in ASEAN Member States and develop recommendations on options that ASEAN may use to harmonise nutritional labels. 	

Activity 2.3.8	Harmonised Nutritional Labelling Requirements	
	<ul style="list-style-type: none"> • Organise a workshop for PFPWG to discuss the optional approaches to harmonise nutritional label and develop a consensus on the approach. • Assist in developing a draft document containing harmonised requirements for nutritional labelling. • Conduct 2 workshops to deliberate on the draft of proposed ASEAN Guidelines for nutritional labelling. 	
Major Outputs		Time of delivery
	<ul style="list-style-type: none"> • Harmonised requirements, in draft form for nutritional labelling for ASEAN Member States are developed for consultation and confirmation. 	Q2
	<ul style="list-style-type: none"> • The outline and objectives, of the draft guideline are produced. • Recommendations for implementation of the harmonised requirements for nutritional labelling developed. 	Q2 & Q4 Q4
Expected Result	<ul style="list-style-type: none"> • ASEAN Member States commence the development of harmonised requirements for nutritional labelling and plan for implementation in all members states; 	
NKE required	<ul style="list-style-type: none"> • Senior Food Safety and Nutrition Specialist 	
Resources (inputs)	Category	
	SNKE (working days)	25
	Incidental budget (Euro)	€60,000

Sub-Component 2.4 Support to the Pharmaceutical Sector

The activities under this Sub-component are targeted at facilitating the development of a regulatory framework to support trade and investment in line with the ACCSQ 2025 strategic plan and, the implementation of the components of the ASEAN Post 2015 Health Development Agenda that deal with access to health care. A major target is the development of an overall framework for pharmaceuticals regulation that will incorporate and strengthen the several ongoing initiatives of the Pharmaceutical Product Working Group on mutual recognition and harmonisation of requirements. It is intended that the assistance provided will contribute towards the development of the regulatory framework for ASEAN. The support provided is expected additionally to assist in enhancing the existing official network of pharmaceutical laboratories that will serve to develop sustainable cooperation programmes that will support capacity development and mutual recognition of analytical results as part of the planned integration of the ASEAN pharmaceutical sector.



Outcome

The adoption of a common approach and agenda for pharmaceutical regulation that enhances the regulatory systems for pharmaceuticals in turn facilitating the production of pharmaceuticals and improving access to medicines in ASEAN.

Activity 2.4.1 Strengthening the Pharmaceuticals Regulatory Framework

Rationale:

A robust regulatory framework is essential to ensure the availability of safe, effective and high quality medicinal products and is part of a modern healthcare system. This involves a range of regulatory processes including pre-marketing authorization of products; controls on manufacturing, importation, distribution and retail; post-marketing surveillance; and promotion of the rational use of medicines. These actions will be undertaken in support of the parts of ASEAN Post-2015 Health Development Agenda that is coordinated by Health Cluster 3.

Regulatory cooperation and indeed international harmonization is a necessity for all regulatory authorities with pharmaceutical research, development and production getting increasingly global. On the other hand, medical treatments are getting more and more complex, as evidenced by the emergence of advanced therapies (gene therapy, cell therapy, tissue engineering, etc.). New drug reviews require the consideration of massive amounts of information, and the understanding of a host of highly complex disciplines.

ASEAN Member States, in addition to substantial domestic production, are significantly dependent on imported medicinal products, in particular highly innovative ones, to satisfy their health needs. Substantial progress, mainly driven by the ACCSQ-Pharmaceutical Product Working Group, has been made towards cooperation and harmonization within ASEAN in the pharmaceutical sector. The main achievements include the ICH-derived ASEAN Common Technical Dossier (ACTD), ASEAN Common Technical Requirements (ACTR) the ASEAN Sectoral MRA on GMP Inspection, the newly completed ASEAN MRA on the acceptance of Bioequivalence Reports for Generic Medicines and in post-marketing information sharing.

Implementation of the ACTD and ACTR remains voluntary and there are significant differences in, regulations, technical capabilities and institutional capacity between ASEAN Member States.

Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework
Main Beneficiaries	Pharmaceutical Product Working Group (PPWG), ASEAN Member State Pharmaceutical Product Regulators,
Planned Tasks	<ul style="list-style-type: none"> • Conduct 2 workshops to deliberate on the scope and objectives and outline content a framework for harmonisation the pharmaceutical sector and preliminary draft proposals,



Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory Framework	
	<ul style="list-style-type: none"> Develop on a preliminary draft of the proposed framework arrangement for review by the PPWG. Support the implementation of the Mutual Recognition Arrangement Bioequivalence Study Reports of Generic Medicines through training and advisory services to ASEAN Member States. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> Recommendations developed for a common ASEAN pharmaceutical regulatory agenda for increased cooperation and harmonisation adopted so as to allow for informed and coordinated decision-making on the establishment of a regulatory Framework. Support provided to ASEAN Member States officials for the implementation of the MRA for the acceptance of the results of bioequivalence of medicinal products. 	<p>Q2 – Q3</p> <p>On-going</p>
Expected Results	<ul style="list-style-type: none"> PPWG commences development of a comprehensive regulatory framework for pharmaceuticals in ASEAN incorporating existing Member States commence implementing the MRA for the acceptance of Bioequivalence of medicinal products. 	
NKE required	<ul style="list-style-type: none"> Senior Pharmaceutical Regulations Expert 	
Resources (inputs)	Category	
	SNKE (working days)	40
	Incidental budget (Euro)	€60,000

Activity 2.4.2 Establishing an ASEAN Network of Official Pharmaceuticals Laboratories

Rationale:

It is noted that the PPWG expressed interest in the establishment of a laboratory network at its November 2018 meeting. While there is a common intention to collaborate assist in capacity development, there is a need to identify the specific objectives and purpose of the network. It would be essential that objectives of the network and its role in supporting the plans of the PPWG be deliberated on and agreed by PPWG prior to the commencement of specific work on its establishment. *The Guidelines for ASEAN Sectoral Laboratory Networks* states that such networks may be established for a variety of objectives ranging from supporting the implementation of harmonised regulations of MRAs to supporting the development of regional technical capacity,



information sharing and technical cooperation objectives. The Guidelines acknowledge that terms of reference of a laboratory network is dependent on its role and objectives. The terms of reference of the network will depend on PPWG reaching agreement on the objectives and role and mandate. PPWG members represent Member States’ national drug regulatory authorities. The authorities require the services of official laboratories for supporting regulatory authorities in the evaluation of products and for support in market surveillance. It would be most logical that the network established should be in support of the PPWG’s current and planned future initiatives on regulatory harmonisation. This activity will assist the PPWG to clarify its objectives and assist in developing network of the existing official network of pharmaceutical laboratories that will serve to develop sustainable cooperation programmes that will support capacity development and mutual recognition of analytical results as part of the planned integration of the ASEAN pharmaceutical sector.

Activity 2.4.2 Establishing an ASEAN Network of Official Pharmaceuticals Laboratories		
Main Beneficiaries	Pharmaceutical Product Working Group, Official Pharmaceutical Laboratories in ASEAN Member States, Regulatory Agencies for Pharmaceutical Products in ASEAN	
Planned Tasks	<ul style="list-style-type: none"> • Plan, Organise and Conduct a workshop for members of the Pharmaceutical Product Working Group and representatives from Official Pharmaceuticals Laboratories to deliberate on the role, objectives and organisational arrangements of a proposed network of official pharmaceutical laboratories. • Assist the Pharmaceutical Product Working Group to develop the terms of reference and operating procedures for the establishment of the network of pharmaceutical laboratories. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • 2 Workshop on the proposed network of official pharmaceutical laboratories held and recommendations on the role, objectives and organisation developed. • Terms of Reference for an ASEAN network of official pharmaceutical laboratories drafted and reviewed by the Pharmaceutical Product Working Group and establishment of the network initiated and initial activities identified. 	<p>Q1 & Q4</p> <p>Q4</p>
Expected Result	The ASEAN Network of Pharmaceutical Laboratories is operational	
NKE required	Senior Pharmaceutical laboratory expert	



Activity 2.4.2 Establishing an ASEAN Network of Official Pharmaceuticals Laboratories	
Resources (inputs)	Category
	SNKE (working days) 30
	Incidental budget (Euro) €60,000

Activity 2.4.3 Combatting Falsified Medicines in ASEAN

Rationale:

Falsified medicines are fake medicines that pass themselves off as real, authorized medicines. They are not the same as counterfeit medicines, which are medicines that do not comply with intellectual-property rights or that infringe trademark law, although counterfeited medicines are almost always also falsified medicines.

The phenomenon of falsified medicines is on the increase. The World Health Organization (WHO) estimates that a significant part of the world supply of medicinal products is counterfeited and falsified. Falsified medicines are an even bigger threat in Asia in general, and ASEAN in particular. On the one hand, they are even more widely spread than in the EU. On the other hand, in ASEAN, falsified medicines include medicines used to treat life-threatening conditions such as malaria, tuberculosis and HIV/AIDS.

The EU strengthened the protection of patients and consumers by adopting Directive 2011/62/EU on falsified medicines for human use. This Directive aims to prevent falsified medicines from entering the legal supply chain and reaching patients. It introduces harmonised safety and strengthened control measures across Europe, including: safety features (barcodes will be printed on or attached to every single pack of medicines, which will be checked into a database by the manufacturer and when dispensed by a pharmacy) and control on internet sales of medicines (an obligatory logo that will be placed on the websites of legally operating online pharmacies).

This activity will seek to reinforce ASEAN Member States' controls over the medicinal products on their market, through a coordinated approach at ASEAN level notably in respect of the production and importation of Active Pharmaceutical Ingredients (APIs) and in respect of internet sales of finished pharmaceuticals, and increased international cooperation, notably with the EU.

Activity 2.4.3	Combatting Falsified Medicines in ASEAN	
Main Beneficiaries	Pharmaceutical Product Working Group, Health Cluster 3, ASEAN Drug Regulators	
Planned Tasks	<ul style="list-style-type: none"> Specific Tasks will be formulated on confirmation that the Pharmaceutical Product Working Group has an intention to embark on addressing this issue this during the planned period. 	
Major outputs		Time of delivery



Activity 2.4.3	Combatting Falsified Medicines in ASEAN	
	<ul style="list-style-type: none"> To be determined based on the tasks to be defined 	On-going
Expected Result	To be determined based on the tasks to be defined	
NKE required	<ul style="list-style-type: none"> Nil 	

Activity 2.4.4 Enhancing Pharmaceuticals Standardization in ASEAN

Rationale:

As pharmaceutical research, development and production is getting more and more global, pharmaceutical regulators have not only increased cooperation at the international level, but have also undertaken harmonization of technical requirements.

Whilst it was initially (1990) meant to provide harmonization between the EU, the U.S. and Japan, ICH (the International Council for Harmonization) has gradually evolved to respond to the increasingly global challenge of drug development. The recent (2015) reform of ICH, to become a truly global forum not only means that more countries around the world now participate in the formulation of ICH Guidelines, but also that more countries make a commitment to implement the ICH Guidelines. ASEAN has long been an observer in ICH, and a series of ASEAN Common Technical Requirements (ACTR) as well as the ASEAN Common Technical Dossier (ACTD) are directly derived from the corresponding ICH products.

In the area of quality control, the Pharmaceutical Inspection Co-operation Scheme (PIC/S) aims at harmonizing inspection procedures worldwide by developing common standards in the field of GMP and by providing training opportunities to inspectors. Indonesia, Malaysia, Singapore and Thailand, amongst ASEAN Member States, are members of PIC/S. The European Pharmacopoeia (Ph. Eur.) provides common quality standards (in the form of monographs) to control the quality of medicines, and the substances used to manufacture them. The EU itself, and 37 European countries are members of the Ph. Eur., which also counts 26 observer countries, including Singapore and WHO. The IGDRP (International Generic Drug Regulators Program), of which Singapore is a member, aims at facilitating the timely authorization and availability of generic medicinal products.

Greater involvement of ASEAN and its Member States in international regulatory initiatives in the pharmaceutical sector will provide an impetus for regional harmonization, greater convergence with international requirements, and new opportunities for cooperation with regulatory authorities on the global scene. It will further result in strengthening the institutional set up and contribute to the development of pools of qualified and experienced staff in all ASEAN Member States.



Activity 2.4.4	Enhancing Pharmaceuticals Standardization in ASEAN	
Main Beneficiaries	Pharmaceutical Product Working Group, Health Cluster 3, ASEAN Drug Regulators	
Planned Tasks	<ul style="list-style-type: none">Specific Tasks will be formulated on confirmation that the Pharmaceutical Product Working Group has an intention to embark on addressing this issue this during the plan period.	
Major outputs		Time of delivery
	<ul style="list-style-type: none">To be determined based on the tasks to be defined	On-going
Expected Result	<ul style="list-style-type: none">To be determined based on the tasks to be defined	
NKE required	<ul style="list-style-type: none">Nil	
Resources (inputs)		

COMPONENT 3.0 CUSTOMS, TRANSPORT AND ACTS

Planned Resources

Component 3.0	S-NKE	J-NKE	Incidentals
Sub Component 3.1	110	0	€ 103,141
Sub Component 3.2	95	0	€ 144,900
Sub Component 3.3	1650	0	€ 445,294
Total	1855	0	€693,335

Sub-component 3.1 Customs Measures

ASEAN has developed a new set of Strategic Plans of Customs Development (SPCD) for the years 2016-2020, and has requested the support of ARISE Plus for the implementation of key aspects of these plans. Under Annual Work Plan 2 of ARISE Plus, the SPCDs involved are ‘Supporting Partnership with Businesses and the Trading Community (SPCD 06)’ and continuing the support for ‘Narrowing the Development Gap in Customs (SPCD 13)’. ARISE Plus will support the implementation of national AEO programmes if required, and will also promote the mutual recognition of AEO programmes within ASEAN.

Consultations have been carried out with ASEAN Customs sectoral groups and the Senior Officer, Customs Integration in the ASEAN Secretariat. The sectoral groups are represented by the Customs Procedures and Trade Facilitation Working Group (CPTFWG) and the Customs capacity Building Working Group (CCBWG).

Outcome

Enhanced harmonisation of the Customs environment within ASEAN in support of the facilitation of ASEAN trade with more effective partnerships between Customs Authorities and the business community.

Activity 3.1.2 Supporting Partnership with Businesses and the Trading Community (SPCD 06)

Rationale:

The objective of this activity is to support implementation of the Strategic Plan of Customs Development (SPCD 06), which aims to pursue an effective partnership with businesses and the trading community for enhancement of competitiveness as well as for ASEAN economic integration. SPCD 06 is managed by the Customs Procedures and Trade Facilitation Working Group (CPTFWG). This activity will be carried out in coordination with the support being provided by ARISE Plus in this annual work-plan 2 (AWP2) under activity 1.2.2, specifically in supporting the ASEAN Trade



Facilitation Joint Consultative Committee (ATF-JCC) to enhance cross-sectoral coordination, and under activity 1.2.3, Strengthening Public-Private Cooperation. Both the ATIGA and the AEC Blueprint 2025 call for a strengthened ATF-JCC and more effective interaction, respectively, with the relevant ASEAN bodies, private sector, and international institutions. The focus of the activity will be on the effective and regular engagement of all relevant ASEAN bodies involved in the process of trade facilitation: ASEC’s DSG for AEC; ASEC’s Trade Facilitation Division (TFD); CCC; and TWFG.

Activity 3.1.2	Supporting Partnership with Business and the Trading Community	
Main Beneficiaries	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA). ASEAN Business Advisory Council (ABAC) Joint Business Councils, EU ASEAN Business Council	
Planned Tasks	<ul style="list-style-type: none"> • Perform an assessment of the status of implementation of the SPCD covering partnership with business and the trading community; • Develop an action plan for the implementation of this SPCD in co-operation with the ASEAN Customs Procedures and Trade Facilitation Working Group (CPTFWG); • Draft harmonised guidelines on proposed procedures for partnership between ASEAN Customs Authorities and business and the trading community; and • Support the implementation of detailed programmes of support for the application of the SPCD. 	
Major outputs	<ul style="list-style-type: none"> • Analysis of the status of implementation of the Strategic Plan of Customs Development (SPCD) covering Partnership with Businesses and the Trading Community; 	Time of delivery Q1 – Q2
	<ul style="list-style-type: none"> • Production of an action plan for the development of proposed procedures for enhancing partnership with business and the trading community; 	Q2 – Q3
	<ul style="list-style-type: none"> • Formulation of detailed programmes of support for the implementation of detailed procedures for partnership between Customs services and the business and trading community; 	Q3
	<ul style="list-style-type: none"> • Delivery of support for Customs Authorities by means of a workshop and resulting training material and report 	Q3 – Q4
	<ul style="list-style-type: none"> • Harmonised procedures in place for partnership between Customs services and business and the trading community. 	Q3 – Q4
Expected Results	<ul style="list-style-type: none"> • Enhanced arrangements in place for harmonised procedures for partnership with business and the trading community. 	



Activity 3.1.2	Supporting Partnership with Business and the Trading Community	
NKE required	<ul style="list-style-type: none"> Senior Customs expert with in-depth knowledge of the Revised Kyoto Convention (RKC) of the World Customs Organisation (WCO) 	
Resources (inputs)	Category	
	SNKE (working days)	50
	Incidental budget (Euro)	€45,253

Activity 3.1.5 Assistance to Narrowing the Development Gap in Customs (SPCD 13)

Rationale:

This activity was started under the first Annual Work Plan (AWP1) of ARISE Plus. A Gap Analysis was performed of the needs of the Customs Authorities of Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV) in respect of capacity building and reform of legislative frameworks. In view of the large number of continuing needs identified for CLMV Customs services, it is recommended that ARISE Plus support for this activity is continued under AWP2. This activity will continue to support the implementation of the SPCD, which aims to assist the CLMV Customs Authorities in catching up with new developments in Customs techniques and strengthening their capability to conduct national programmes in a more sustainable manner, through the following:

- a. Enhancing the technical and managerial capability of Customs officers and institutions of Customs Administrations of CLMV with new developments in Customs techniques; and
- b. Providing recommendation to modernise the legislative frameworks relevant to Customs.

Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs	
Main Beneficiaries	ASEAN Secretariat; ASEAN Customs Directors-General; Customs Coordinating Committee (CCC) and its Working Groups; the Transit Transport Coordinating Board (TTCB); the ASEAN Federation of Forwarders Associations (AFFA).	
Planned Tasks	<ul style="list-style-type: none"> Recommend follow-up technical support activities to Customs Authorities in CLMV, based on the gap analysis of the training and capacity-building needs of CLMV Customs Administrations carried out under the first ARISE Plus Annual Work Plan (AWP1); Analyse the status of the legislative frameworks relevant to CLMV Customs services; Develop an action plan for the continued implementation of this SPCD in co-operation with the ASEAN Customs Capacity Building Working Group (CCBWG), to include: <ul style="list-style-type: none"> ○ Capacity building to enhance the technical and managerial capability of CLMV Customs officers and institutions with new developments in Customs techniques; and ○ Recommendations for the modernisation of the legislative frameworks relating to Customs administration and operations. 	



Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs							
	<ul style="list-style-type: none"> • Implement detailed programmes of support for the application of the SPCD; and • Conduct training and capacity building of Customs officials where required. 							
Major outputs	Time of delivery							
	<ul style="list-style-type: none"> • A further analysis of the status of the legislative frameworks relevant to CLMV Customs services; 	Q1 – Q2						
	<ul style="list-style-type: none"> • An action plan developed for the implementation of this SPCD in co-operation with the ASEAN Customs Capacity Building Working Group (CCBWG), to include: <ul style="list-style-type: none"> ○ Capacity building to enhance the technical and managerial capability of CLMV Customs officers and institutions with new developments in Customs techniques; and ○ Recommendations for the modernisation of these legislative frameworks. 	Q2 – Q3						
	<ul style="list-style-type: none"> • Detailed programmes of support implemented for the application of the SPCD; and 	Q3 – Q4						
	<ul style="list-style-type: none"> • Training and capacity building provided to Customs officials with new developments in Customs techniques. 	Q3 – Q4						
Expected Result	<ul style="list-style-type: none"> • Increased capacity within CLMV Customs services to conduct national programmes in a more sustainable manner. 							
NKE required	<ul style="list-style-type: none"> • Senior Customs expert 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2" data-bbox="432 1308 1362 1348">Category</th> </tr> </thead> <tbody> <tr> <td data-bbox="432 1348 900 1388">SNKE (working days)</td> <td data-bbox="900 1348 1362 1388">60</td> </tr> <tr> <td data-bbox="432 1388 900 1429">Incidental budget (Euro)</td> <td data-bbox="900 1388 1362 1429">€57,888</td> </tr> </tbody> </table>		Category		SNKE (working days)	60	Incidental budget (Euro)	€57,888
Category								
SNKE (working days)	60							
Incidental budget (Euro)	€57,888							

Sub-component 3.2 Transport Measures

Progress has been made over the years by ASEAN Member States (AMS) in signing and ratifying the ASEAN framework transport facilitation agreements and their related protocols. These agreements are the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT), the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST) and the ASEAN Framework Agreement on Multimodal Transport (AFAMT). Also included is the ASEAN Framework Agreement on the Facilitation of Cross Border Transport of Passengers by Road Vehicles (CBTP), which has been signed by all ASEAN Member States.

Support is now required to assist AMS in implementing these agreements, in particular the relevant protocols of the AFAFGIT. Consultations have been carried out with the Senior Officer and Assistant Director, Transport. In addition, the leaders of the Transport Facilitation Working Group have been consulted.



Outcome

An enhanced cross-border transport environment implemented within ASEAN, for the benefit of the private sector cross-border trading community and passengers.

Activity 3.2.1 Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)

Rationale:

This activity was started under the first annual work plan of ARISE Plus (AWP1). ARISE Plus will continue to support this activity under AWP2. The objective of this activity is to support the implementation of both the AFAFGIT and the AFAFIST. Specifically, support is required for the development and implementation of practices, processes and procedures for the operationalisation of these agreements across the range of areas covered by key Protocols 1, 2, 3, 4, 5 and 7.

Activity 3.2.1		Operationalising the AFAFGIT and the AFAFIST	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).		
Planned Tasks	<ul style="list-style-type: none"> • Analyse of the obstacles that exist to full operationalisation of AFAFGIT and AFAFIST; • Support the development and implementation of transport facilitation-related procedures and documentation required for practical operationalisation of the agreements (with updating of current texts as required); • Perform training and capacity-building events for public and private sector stakeholders that will participate in these agreements; and • Ensure live the running of trucks across ASEAN borders. 		
Major outputs			Time of delivery
	• An updated analysis of the obstacles that exist to full implementation of AFAFGIT and AFAFIST;		Q1 – Q2
	• Signature and ratification of the protocols of AFAFGIT and AFAFIST;		Q1 – Q2
• A transport facilitation-related implementation action plan in co-operation with the AMSs and ASEC, along with development of the relevant procedures and documentation; and			Q2

Activity 3.2.1		Operationalising the AFAFGIT and the AFAFIST	
	<ul style="list-style-type: none"> • Training and capacity-building events, as required, to support all stakeholders in the implementation of these agreements; • Practical implementation of these agreements in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector. 	Q2 – Q3	Q2-Q4
Expected Result	<ul style="list-style-type: none"> • The AFAFGIT and AFAFIST implemented practically in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector. 		
NKE required	<ul style="list-style-type: none"> • Senior Customs and Transport experts 		
Resources (inputs)	Category		
	SNKE (working days)	5	
	Incidental budget (Euro)	€4,500	

Activity 3.2.2 Supporting Implementation of the Action Plan for ASEAN Framework Agreement on Multi Modal Transport (AFAMT)

Rationale:

The objective of this activity is to continue to support the implementation of the AFAMT by all AMS. This will act as a basis for the development and implementation of an ASEAN-wide approach to the legal, regulatory and procedural framework of this multi-modal transport agreement, with a view to implementing a harmonised multi-modal transport environment for the benefit of the business community. There is a need for further support to the agreed activities, specifically with respect to the development of Implementing Guidelines to provide detailed guidance on the operationalisation of AFAMT in coordination with the AFAMT Implementation Framework and national-level Action Plans already developed under ARISE Plus first Annual Work Plan (AWP1). As a longer-term strategy, analysis needs to be carried out of the scope, implications and benefits of linking AFAMT to the ASEAN Customs Transit System (ACTS).

Activity 3.2.2		Supporting the Implementation of the Action Plan for AFAMT	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat; the ASEAN Federation of Forwarders Associations (AFFA).		
Planned Tasks	<ul style="list-style-type: none"> • Finalise development of a ‘Going Multimodal’ capacity building programme; • Produce Implementation Guidelines to support the operationalisation of the AFAMT; 		



Activity 3.2.2	Supporting the Implementation of the Action Plan for AFAMT	
	<ul style="list-style-type: none"> • Develop a platform to act as a host for AFAMT technical documentation, registration of Multi-Modal Transport Operators (MTO) and online training resources; • Continue delivery in co-operation with AFFA capacity building and knowledge exchange programme; • Arrange a Study Tour to Europe to assess existing solutions and practicalities of managing multi-modal transport; • Develop and implement agreed multi-modal transport laws at national level, including harmonised regulations and procedures; and • Support the implementation of these procedures at regional level; • Analyse the implications of coordinating AFAMT with ACTS. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • A ‘Going Multimodal’ support programme developed and delivered, including support for convening expert group meeting in finalising Implementation Framework for AFAMT; 	Q1 – Q2
	<ul style="list-style-type: none"> • In cooperation with AFFA, a capacity building and knowledge exchange programme developed and delivered,; 	Q1 – Q3
	<ul style="list-style-type: none"> • A platform developed to hold information documents, capacity building materials and registers of Multimodal Transport Operators (MTO); 	Q3
	<ul style="list-style-type: none"> • Agreed multi-modal transport regulations and procedures developed and delivered; 	Q2-Q4
	<ul style="list-style-type: none"> • An analysis of linking AFAMT to ACTS carried out, with recommendations on the way forward; and • A Study Tour to Europe carried out. 	Q4 Q4
Expected Result	<ul style="list-style-type: none"> • The AFAMT is implemented practically in cooperation with the stakeholders in Government Transport agencies, Customs Authorities and the private sector, leading to enhanced logistics performance in ASEAN. 	
NKE required	<ul style="list-style-type: none"> • Senior Transport expert 	
Resources (inputs)	Category	
	SNKE (working days)	60
	Incidental budget (Euro)	€95,900



Activity 3.2.3 Supporting Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicle (ASEAN-CBTP)

Rationale:

The objective of this activity is to support the implementation of the ASEAN-CBTP agreement and its associated Implementing Guidelines is to facilitate the cross-border transport of passengers by road vehicles between and among ASEAN contracting parties. The intention is also to simplify and harmonise transport, Customs, immigration and quarantine procedures that apply to passengers travelling within ASEAN by scheduled and non-scheduled passenger transport services. There is a need for more detailed Implementing Guidelines to be developed, taking into account that the ASEAN CBTP has been signed and proper mechanism for implementation of the agreement needs to be revisited, and support provided to AMSs in the practical implementation of the agreement. These activities will include the development of a permit system and procedures for Member States to notify each other of the cross-border passenger vehicle transport permits that have been issued for non-scheduled transport services, where the limit is 500 vehicles per Country.

Activity 3.2.3	Supporting the Implementation of the ASEAN-CBTP by Road Vehicles	
Main Beneficiaries	ASEAN Transit Transport Coordinating Board (TTCB), National Transit Transport Coordinating Committees (NTTCC), ASEAN Senior Transport Officials (STOM), ASEAN Transport Facilitation Working Group and ASEAN Secretariat.	
Planned Tasks	<ul style="list-style-type: none"> • Support the implementation of the ASEAN-CBTP agreement by Contracting Parties, initially by the implementation of a Pilot; • Finalise development of more detailed Implementing Guidelines to the agreement; • Develop and implement procedural guides for public and private sector operatives; • Develop a platform to act as a host for ASEAN-CBTP technical documentation, registration of non-scheduled Cross-Border Transport Permits and online training resources; and • Develop and implement an action plan for the practical operation of the provisions of the agreement; • Operationalise the CBTP; 	
Major outputs		Time of delivery <ul style="list-style-type: none"> • More detailed Implementing Guidelines to the ASEAN CBTP agreement produced; Q1 – Q2 • Procedural guides developed for the public and private sector officials responsible to managing passage transport in ASEAN; Q1 – Q3 Q3

Activity 3.2.3		Supporting the Implementation of the ASEAN-CBTP by Road Vehicles	
	<ul style="list-style-type: none"> A permit system developed and in place to control the number of non-scheduled passenger transport vehicles permitted to operate under the ASEAN-CBTP agreement; this system will include cross-border notification of the permits that have been issued by each contracting party; 		Q2-Q3
	<ul style="list-style-type: none"> A platform developed to act as a host for ASEAN-CBTP technical documentation, registration of non-scheduled Cross-Border Transport Permits and online training resources; 		Q3
	<ul style="list-style-type: none"> An action plan developed and delivered for the operationalisation of the ASEAN-CBTP agreement; and 		Q3-Q4
	<ul style="list-style-type: none"> A Pilot carried out, and results analysed in preparation for full operationalisation of CBTP; 		Q3-Q4
	<ul style="list-style-type: none"> CBTP operationalised. 		Q4
Expected Result	<ul style="list-style-type: none"> Enhanced efficiency of the transport of passengers by road vehicles in ASEAN. 		
NKE required	<ul style="list-style-type: none"> Senior Transport expert 		
Resources (inputs)	Category		
	SNKE (working days)		30
	Incidental budget (Euro)		€44,500

Sub-component 3.3 ACTS Implementation

The ASEAN Customs Transit System (ACTS) is defined under Protocol 7 of the AFAFGIT and is one of the main drivers for facilitating trade in goods in ASEAN. Under ARISE, and predecessor programme to ARISE Plus, ACTS was successfully piloted along north-south transport corridor of ASEAN involving Malaysia, Singapore and Thailand (MST). This sub-component will support the piloting of ACTS along the east-west corridor of ASEAN, involving Cambodia, Lao PDR, Myanmar and Viet Nam. The full roll-out of ACTS in MST will also be supported. Further capacity building will be supplied to the ACTS Central Management Team (CMT) based in the ASEAN Secretariat in Jakarta, Indonesia. Training programmes will be delivered to all stakeholders in CLMV countries, supported by procedural manuals for official and private sector operatives.

ARISE Plus will also support the full implementation of the AFAFGIT and its protocols. This will ensure that the full benefits of the ASEAN Customs Transit System are realized, in support of the free movement of goods foreseen under the ASEAN Economic Community Blueprint 2025. Consultations have been carried out with the Senior Officer, Customs Integration in the ASEAN Secretariat and ASEAN Customs sectoral groups.



Outcome

The ASEAN Customs Transit System (ACTS) installed and operating live within a ratified legal environment on the ASEAN north-south and east-west transit transport corridors.

Activity 3.3.1 Supporting the Implementation of ACTS

Rationale:

The objective is to support the implementation of ACTS, and to ensure that the ACTS legal framework is in place. AMS are making good progress on the ratification of Protocol 2 of AFAFGIT (the ASEAN Framework Agreement on the Facilitation of Goods in Transit). All AMS have ratified the AFAFGIT itself and the other Protocols relevant to ACTS implementation (Protocols 1, 3, 4, 5 and 7).

Activity 3.3.1		Supporting the Implementation of the ACTS	
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.		
Planned Tasks	<ul style="list-style-type: none"> • Support ACTS implementation; • Briefing AMSs at Ministerial level on activities required to be performed by participating ASEAN Member States in order to ensure success of ACTS implementation; and • Support ACTS Launch event. 		
Major outputs			Time of delivery
	<ul style="list-style-type: none"> • Support provided in the implementation of ACTS; • ACTS Launch event held in one of the participating AMS, most probably Thailand. 		Q1 – Q2 Q3-Q4
Expected Result	<ul style="list-style-type: none"> • ACTS implemented and launched successfully. 		
NKE required	<ul style="list-style-type: none"> • Senior Customs Expert • Lead ACTS IT Implementation Expert • ACTS IT Application Expert 		
Resources (inputs)	Category		
	SNKE (working days)	46	
	Incidental budget utilised (Euro)	€58,326	



Activity 3.3.2 Supporting Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)

Rationale:

The objective of this activity is to complete the roll-out of ACTS to MST, with additional training for Customs Authorities and the private sector before, during and after the system goes live. With all technical solutions previously defined and comprehensively tested, follow-up technical advice and training may be required for ICT support staff and end-users in the AMSs.

Activity 3.3.2	Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand	
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.	
Planned Tasks	<ul style="list-style-type: none"> • Maintain in conjunction with SWG-ACTS an agreed plan for the roll-out of ACTS to MST; • Deliver training for: <ul style="list-style-type: none"> ○ Customs Authorities in ACTS procedures as more Customs offices are added to ACTS; ○ Transport Authorities; ○ Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS; and ○ IT support staff as scope of ACTS IT operations increases. • Support Live Run (Pilot Phase C) in MST; • Support roll-out of the ACTS to full live operations in MST; • Support other full roll-out activities in MST. 	
Major outputs	<ul style="list-style-type: none"> • An agreed plan maintained for the roll-out of ACTS to MST; • Training delivered providing enhanced capacity to: <ul style="list-style-type: none"> - Customs Authorities in ACTS procedures as more Customs offices are added to ACTS; - Transport Authorities; - Additional private sector operatives wishing to submit electronic Customs transit declarations to ACTS; and - IT support staff as scope of ACTS IT operations increases; and 	<p>Time of delivery</p> <p>Q1</p> <p>Q2-Q3</p> <p>Q3-Q4</p>



Activity 3.3.2		Supporting the Full Roll-out of the ACTS in Malaysia, Singapore and Thailand	
	<ul style="list-style-type: none"> ACTS rolled out successfully to the ASEAN north-south corridor; Perform an end-to-end time release study to assess the logistical efficiency of the ACTS and potential enhancements of ACTS based on the experience gained by AMS. 	Q4	
Expected Result	<ul style="list-style-type: none"> ACTS rolled-out successfully in MST and operating to reduce transit transport times. 		
NKE required	<ul style="list-style-type: none"> Lead ACTS IT Implementation Expert ACTS IT Application Expert Senior Software Analyst Senior Software Tester Senior Software Developer(s) 		
Resources (inputs)	Category		
	SNKE (working days)	155	
	Incidental budget (Euro)	€155,844	

Activity 3.3.3 Rolling Out of ACTS to Cambodia, Lao PDR, Myanmar and Viet Nam (CLMV)

Rationale:

The objective is to support the roll-out of ACTS to CLMV, installation of the necessary software at national level, associated training of stakeholders in the public and private sector, national level testing, and pilot operations of the ACTS in CLMV.

Activity 3.3.3		Rolling Out of ACTS to CLMV Countries	
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.		
Planned Tasks	<ul style="list-style-type: none"> Maintain in conjunction with the SWG-ACTS an agreed plan for the roll-out of ACTS to CLMV; Implement system changes required for the deployment of ACTS along the east-west corridor comprising Cambodia, Lao PDR, Myanmar and Vietnam (CLMV); 		

Activity 3.3.3	Rolling Out of ACTS to CLMV Countries	
	<ul style="list-style-type: none"> • Setup and configure the ACTS at the national level, including the setup and configuration of system software and network components, such as firewalls; and setup and configuration of ACTS software components; • Train all stakeholders in the public and private sectors, consisting of Customs Authorities, Government transport agencies and the private sector both on ACTS procedures and using the ACTS; • Test ACTS after each delivered software release using User Acceptance Testing (UAT) and Conformance Testing (CT) procedures; • Execute an ACTS Pilot Phases A and B in CLV that will possibly include Thailand; • After testing of the system in the east-west corridor is complete, make the necessary arrangements to link it up with the north-south corridor; • Support Live Run (Pilot Phase C) in CLV; • Support roll-out of the ACTS to full live operations in CLV • Support other full roll-out activities in CLMV; and • Perform an end-to-end time release study to assess the logistical efficiency of the ACTS and potential enhancements of ACTS based on the experience gained by AMS. 	
Major outputs	Time of delivery	
	<ul style="list-style-type: none"> • An agreed plan maintained for the roll-out of ACTS to CLMV; Q1 • Suitable pilot routes identified; Q1 • System installation, setup and configurations performed; Q2 – Q3 • Training provided to stakeholders in the public and private sectors, consisting of Customs Authorities, Government transport agencies and the private sector both on ACTS procedures and using the ACTS; Q2 – Q3 • Pilot ACTS desk-based scenarios and business cases managed between CLV countries and Thailand; Q2 – Q3 • A parallel and live run of ACTS conducted in CLV and Thailand; Q3 • ACTS successfully implemented operationally in the east-west corridor of ASEAN and linked to MST for Pilot Phase C – Live Run and subsequent full live operations; and Q3 • Q3 – Q4 	



Activity 3.3.3		Rolling Out of ACTS to CLMV Countries	
	<ul style="list-style-type: none"> End-to-end time release study report produced on the logistical efficiency of the ACTS and a set of future enhancement recommendations 		Q4
Expected Result	<ul style="list-style-type: none"> ACTS installed and piloted successfully in CLMV countries. 		
NKE required	<ul style="list-style-type: none"> Lead ACTS IT Implementation Expert ACTS IT Application Expert Senior Software Analyst Senior Software Tester Senior Software Developer(s) 		
Resources (inputs)	Category		
	SNKE (working days)	335	
	Incidental budget (Euro)	€199,120	

Activity 3.3.4 Developing the Capacity of the ACTS Central Management Team (CMT)

Rationale:

The ACTS Central Management Team (CMT) was established under the ARISE programme and operates within the Trade Facilitation Division of the ASEAN Secretariat. Initial training to the team was provided under the ARISE programme. The CMT is responsible for the management of on-going operations of ACTS. This team will require additional training and know-how to manage ACTS from the technical and procedural perspectives.

Activity 3.3.4		Developing the Capacity of the ACTS Central Management Team (CMT)	
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.		
Planned Tasks	<ul style="list-style-type: none"> Provide training, advice and support to CMT, to enable them to discharge their responsibilities in relation to the management of on-going ACTS operations, system and support activities; and Support setup of new ACTS environments in Central Services 		
Major outputs			Time of delivery
	<ul style="list-style-type: none"> Training carried out of the CMT on the technical, procedural and documentary aspects of the ACTS, providing them with the necessary skills, knowledge and confidence to manage all regional aspects of on-going ACTS operations; and 		Q1-Q4



Activity 3.3.4		Developing the Capacity of the ACTS Central Management Team (CMT)	
	<ul style="list-style-type: none"> Advice and support provided for on-going management of CMT operations; New ACTS environments are setup and operational in Central Services. 	Q1-Q4	Q2
Expected Result	<ul style="list-style-type: none"> CMT in place with the capacity to manage ongoing ACTS operations effectively. 		
NKE required	<ul style="list-style-type: none"> Lead ACTS IT Implementation Expert ACTS IT Application Expert Senior Software Developer(s) 		
Resources (inputs)	Category		
	SNKE (working days)	34	

Activity 3.3.5 Carrying out a Functional Upgrade of the ACTS

Rationale:

The objective of this activity is to assess and implement changes identified by Customs, Transport, and private sector according to a controlled and orderly change management procedure. Under the previous annual work plan (AWP1), ASRISE Plus has supported the establishment of the Change Management Board (CMB) to ensure the effective management of all agreed changes to the ACTS. This activity 3.3.5 will also cover helpdesk, corrective maintenance and release management to ensure business continuity of the ACTS.

Activity 3.3.5		Carrying Out a Functional Upgrade of the ACTS	
Main Beneficiaries	ASEAN Customs Directors-General; ASEAN Senior Transport Officials (STOM), the Transit Transport Coordinating Board (TTCB), Customs Coordinating Committee (CCC) and its Working Groups, the ASEAN Federation of Forwarders Associations (AFFA), National Transit Transport Coordinating Committees (NTTCC), ASEAN Transport Facilitation Working Group (TFWG) and ASEAN Secretariat.		
Planned Tasks	<ul style="list-style-type: none"> Analyse the priority, impact and initial implementation approach and plans of additional CRs under the purview of the Change Management Board (CMB); Perform detailed analysis of implementation of CRs approved by SWG-ACTS; Implement the approved CRs based on detailed analysis and agreed timescales; 		



Activity 3.3.5	Carrying Out a Functional Upgrade of the ACTS							
	<ul style="list-style-type: none"> • Deploy the enhanced system with implemented CRs in ACTS software/systems; • Test the newly deployed ACTS software/systems in participating AMS; • Assess the implementation of the CRs – to be performed by CMB and reported to the SWG-ACTS; • Provide helpdesk and corrective maintenance services to participating AMS after expiration of current helpdesk and corrective maintenance arrangements on 31 December 2019, to ensure business continuity; • Perform ACTS Release Management, covering release of ACTS versions including corrective maintenance and CR implementation; • Support the ACTS Central Management Team (CMT) and AMS in acceptance testing and deployment in production. 							
Major outputs		Time of delivery						
Expected Result	<ul style="list-style-type: none"> • Enhanced functionality of ACTS responding to AMS requirements. 							
NKE required	<ul style="list-style-type: none"> • Lead ACTS IT Implementation Expert • ACTS IT Application Expert • Senior Software Analyst • Senior Software Tester • Senior Software Developer(s) 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2" data-bbox="432 1697 1361 1733">Category</th> </tr> </thead> <tbody> <tr> <td data-bbox="432 1738 900 1774">SNKE (working days)</td> <td data-bbox="903 1738 1361 1774">1,080</td> </tr> <tr> <td data-bbox="432 1778 900 1814">Incidental budget (Euro)</td> <td data-bbox="903 1778 1361 1814">€32,004</td> </tr> </tbody> </table>		Category		SNKE (working days)	1,080	Incidental budget (Euro)	€32,004
Category								
SNKE (working days)	1,080							
Incidental budget (Euro)	€32,004							



COMPONENT 4.0 ASEAN ECONOMIC INTEGRATION MONITORING AND STATISTICS

Planned Resources

Component 4.0	S-NKE	J-NKE	Incidentals
Sub Component 4.1	254	20	€ 20,000
Sub Component 4.2	603	0	€ 517,120
Total	857	0	€537,120

Sub-component 4.1 ASEAN Economic Integration Monitoring

Outcome

Through the operationalisation of the AEC 2025 M&E Framework analysis, reporting and awareness on the progress of AEC integration facilitated and ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.

Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects

Rationale:

The main objective of the *ARISE Plus* programme is to improve connectivity between the AMSs through sustainable, inclusive economic integration and trade facilitation. It is also tasked to continuing the EU's economic and development assistance to ASEAN and individual AMSs in consolidating the establishment of the AEC, comprised of a Single Market and Production Base and Competitive Economic Region, with Equitable Economic Development and enhanced Integration into the Global Economy. It should also provide further support for improved intra-regional connectivity in relation to these goals, progress towards which must be monitored. ARISE Plus is therefore a comprehensive package of trade related technical assistance (TRTA) operating at both regional and national levels.

This activity focusses on two core areas of monitoring: firstly, an internal monitoring system of the ARISE Plus regional project; and secondly on an integrated monitoring framework for the ARISE Plus regional and national projects as a key mechanism for coherence and coordination within the ARISE Plus Programme.



A robust monitoring system will ensure the ARISE Plus regional project is monitored against expected results, outcomes and impact and changes adopted as necessary during implementation and planning cycles. An appropriate internal monitoring system is an important pre-requisite to guide management and decision making in the ARISE Plus regional project.

To ensure coherence and synergy within the ARISE Plus programme it is essential that the monitoring framework drafted during AWP1 is agreed upon and operationalised to link national and regional components of ARISE Plus. This will provide a strategic programmatic view of ARISE Plus to stakeholders including the EUDs in the AMS and DEVCO in Brussels. The monitoring framework will also provide an overview of the strategic support provided by the EU to economic integration in the region and with communication support can create greater awareness on the importance of the EU as a dialogue partner amongst beneficiaries including ASEAN Secretariat and AMS Governments.

Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects
Main Beneficiaries	ARISE Plus TAT of regional project, ARISE Plus TAT of other regional projects, ARISE Plus TAT of national projects, EU MISSION TO ASEAN, EUD to AMS, ASEAN Integration Monitoring Division (AIMD), Relevant ASEAN Bodies
Planned Tasks	<p>ARISE Plus regional project internal monitoring:</p> <ul style="list-style-type: none"> • Update ARISE Plus regional project log frame for year 2 • Update the mapping of ARISE Plus regional project indicators with AEC indicators for compliance monitoring and AEC outcome monitoring • Strengthen feedback mechanisms with beneficiaries and conduct communication and outreach activities on key achievements of ARISE Plus • Develop case studies to illustrate supported provided by ARISE Plus and demonstrate AEC integration efforts • Analyse and report on monitoring findings of ARISE Plus regional project at six-monthly intervals including relevance, efficiency, effectiveness, lessons learnt to support decision making, planning, management and communication/outreach. <p>ARISE Plus regional and national projects monitoring and coordination</p> <ul style="list-style-type: none"> • Undertake meeting/workshop with TATs of ARISE Plus regional and national projects and relevant AMS EUDs to finalise ARISE Plus programme level monitoring framework • Undertake follow-up workshops with ARISE Plus national and regional projects to assess monitoring arrangements and outreach so as to enhance coherence and coordination at the programme level • Analyse, consolidate and triangulate data gathered from ARISE Plus national and regional projects



Activity 4.1.1 Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects	
	<ul style="list-style-type: none"> Develop an annual ARISE Plus Programme Monitoring brief to support decision making, planning, management, communication/outreach and programming at the programme level
Outputs	Time of delivery
	<p>ARISE Plus regional project internal monitoring</p> <ul style="list-style-type: none"> Updated ARISE Plus regional project log frame Q1 Updated mapping document linking ARISE Plus regional project indicators with AEC indicators for compliance monitoring and AEC outcome monitoring Q1 Communication materials reporting progress made by ARISE+ (infographics, e-Newsletters, executive briefs, etc.) at quarterly intervals Q2 Case studies to illustrate supported provided by ARISE+ and demonstrate AEC integration efforts (individual, institutional, thematic) Q1-Q4 Monitoring report for ARISE Plus regional project at six-monthly interval with detailed relevance analysis (beneficiaries, SDGs, ASEAN-EU POA, AECB, AEC CSAP, AMS policies), efficiency analysis (cost efficiency, timeliness of activities), effectiveness (outputs generated, quality of outputs, dissemination / communication, gender, results generated) Q2-Q4 <p>ARISE Plus regional and national programme monitoring and coordination</p> <ul style="list-style-type: none"> Workshop report from discussion with TATs of ARISE Plus regional and national projects and relevant AMS EUDs Q2 Reports from follow-up workshops with ARISE Plus national and regional projects to assess monitoring arrangements, outreach and sharing of lessons learnt Q3-Q4 ARISE Plus Programme Monitoring brief of regional and national projects and as a programme as a whole at annual intervals Q3 or Q4
Expected Results	<ul style="list-style-type: none"> Enhanced management and decision making in the ARISE Plus regional project through a robust internal monitoring system Coherence and coordination between the national and regional ARISE Plus projects through an integrated monitoring framework
NKE Required	Senior NKE M&E



Activity 4.1.1		Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects	
		Senior NKE outreach and qualitative M&E	
Resources (inputs)	Category		
	SNKE (working days)	59	
	Incidental budget (Euro)	€10,000	

Activity 4.1.2 supporting implementation of AEC 2025 M&E framework

Rationale

The development of an enhanced monitoring framework for the ASEAN Economic Community (AEC) is envisaged in the AEC Blueprint (AECB) 2025. The AEC 2025 Monitoring and Evaluation (M&E) Framework was endorsed by the ASEAN Economic Ministers and AEC Council in 2016 and has been disseminated to the AEC sectoral bodies. The AEC 2025 M&E Framework consists of four components:

- Compliance monitoring (based on the Consolidated Strategic Action Plan (CSAP) and Annual Priorities drawn from sectoral work plans with reporting taking place every year)
- Outcomes monitoring (based on sectoral key performance indicators, with reporting every 2-3 years)
- Impact evaluation (based on socio-economic indicators, mid-term and end-term evaluations)
- Reporting, verification and review.

The Framework guides AEC M&E processes and initiatives and facilitates the reporting on both compliance and outcomes at the sector implementation level as well as overall outcomes of the AECB 2025. Its operationalisation is expected to result in the enhancement of M&E processes at AMS, sectoral bodies' and broad AEC levels. The Framework introduces new M&E tools such as annual prioritisation and country visits.

AEC monitoring is coordinated by the ASEAN Integration Monitoring Directorate (AIMD) of the ASEAN Secretariat. One of the challenges ASEAN and AIMD face is to co-ordinate monitoring activities based on AMS submissions at the level required to identify what initiatives have been completed and where further efforts are required. Another key challenge is to keep the M&E process technical, robust and evidence based as it has the risk of being politicised, especially when M&E reporting is taken as synonymous with public relations.

Following its regularisation in 2016, as part of the exercise of strengthening the ASEAN Secretariat to support the newly established ASEAN Community, and with excellent staff, AIMD is now in a position, to more impactfully monitor and report on ASEAN economic integration, including in many of the areas supported via ARISE Plus.



<p>Activity 4.1.2</p> <p>Main Beneficiaries</p>	<p>Supporting implementation of AEC 2025 M&E framework</p> <ul style="list-style-type: none"> • ASEAN Integration Monitoring Division (AIMD) • AEC Divisions including Standards and Conformance Division (SCD) • ASEAN Sectoral Bodies including ACCSQ • AMS <p>Support AIMD:</p> <ul style="list-style-type: none"> • Improve the reporting/presentation of outcome-level Key Performance Indicators (KPIs) • Develop approaches/methodologies for AEC impact evaluations • Develop the concept note for the MTR including best practices, recommendations for scope, methodologies, approaches and techniques and guidelines for conduct of AEC MTR evaluations, and support for implementation of this concept note in the conduct of the MTR including among others the development of the TOR, follow up steps such as development of selected parts of the MTR Report • Develop a strategy for engaging the private sector and other relevant stakeholders, including within the context of MTR. • Publish the MTR Report including proofreading, typesetting, production of derivatives outputs from the MTR (infographics, leaflets, etc.) <p>Support AEC Divisions and ASEAN Sectoral bodies:</p> <ul style="list-style-type: none"> • Support SCD and ACCSQ to develop the detailed M&E system for the ASEAN Strategic Plan on Standards and Conformance (2016-2025) Strategic Plan SCD and ACCSQ including templates for baseline data collection, template for regular data collection, templates for reports • Present M&E system including templates to pilot ACCSQ working groups • Update M&E system including templates based on working group feedback • Support SCD to table M&E system including templates to 51st ACCSQ meeting • Support SCD to implement M&E system including collection of baseline data • Support SCD to compile pilot reports for compliance and outcome monitoring • Support other divisions (e.g. Trade Facilitation, Enterprise & Stakeholders Engagement, etc.) and sectoral bodies in operationalising AEC M&E framework through the development of M&E mechanisms for the respective Sectoral Work Plans as required.
<p>Outputs</p>	<p>Time of delivery¹</p>

¹ Timing to be aligned with AIMD



Activity 4.1.2		Supporting implementation of AEC 2025 M&E framework			
	Support AIMD:	<ul style="list-style-type: none"> Improved reporting mechanism of the KPIs Strategies/guidelines on impact evaluation Concept note for the MTR including best practices, recommendations for scope, methodologies, approaches and techniques and guidelines for conduct of AEC MTR evaluations and implementation of the concept note in the conduct of the MTR including development of the TOR, follow up steps such as development of selected parts of the MTR Report. Strategy for key-stakeholders engagement, including for MTR MTR Report and other derivatives outputs (infographics, leaflets, etc.) 	<p>Q2-Q4</p> <p>Q2-Q4</p> <p>Q1-Q4</p> <p>Q1-Q2</p> <p>Q4</p>		
	Support AEC Divisions and ASEAN Sectoral bodies:	<ul style="list-style-type: none"> Detailed M&E system for the ASEAN Strategic Plan on Standards and Conformance (2016-2025) for SCD and ACCSQ including templates for baseline data collection, template for regular data collection, templates for reports and SOPs Updated M&E system including templates based on working group feedback Updated M&E mechanism document to be presented by SCD at 51st ACCSQ meeting Pilot reports for compliance and outcome monitoring M&E mechanisms documents for the respective Sectoral of other divisions and sectoral bodies as required. 	<p>Q2-Q4</p> <p>Q3-Q4</p>		
Expected Result	<ul style="list-style-type: none"> Operationalisation of the AEC 2025 M&E Framework supported, enhanced private sector engagement and increased awareness on the progress of AEC integration efforts 				
NKE Required	SNKE M&E SNKE Mid-term reviews of regional organisations / bodies SNKE Private sector outreach and socialisation SNKE qualitative data analysis				
Resources (inputs)	Category <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">SNKE (working days)</td> <td style="width: 40%; text-align: center;">85</td> </tr> </table>			SNKE (working days)	85
SNKE (working days)	85				



Activity 4.1.2 Supporting implementation of AEC 2025 M&E framework

Incidental budget (Euro)	€5,000
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Activity 4.1.3 Demand-Driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025

Rationale:

As stipulated in the AEC Blueprint 2025, in addition to its role in monitoring and evaluating the progress and the impact of the AEC Blueprint 2025 measures, the ASEAN Secretariat also continues to assume an active role in the dissemination of information to all stakeholders, and in the conduct of research or other initiative that are relevant to, and supportive of, the AEC Blueprint 2025 (Paragraph 85 of the AEC Blueprint 2025). To implement the agreed measures, the ASEAN would continue to facilitate the mobilisation of resources from ASEAN Member States, Dialogue Partners and international institutions, in terms of, but not limited to, funding, expertise, and capacity building support (Paragraph 87 of the AEC Blueprint 2025).

The ASEAN Integration Monitoring Directorate (AIMD) at the ASEAN Secretariat is responsible for: (i) spearheading the implementation of regional surveillance and economic integration monitoring in ASEAN; (ii) providing high level policy and technical advice and recommendations to support ASEAN economic integration initiatives; (iii) shaping the economic research and policy analysis programme of ASEAN Secretariat; (iv) ensuring effective information dissemination, communication and reporting on ASEAN economic integration; and (v) providing in-house capacity development for economic integration monitoring, analysis and research.

Existing publications include AIMD’s flagship publication the ASEAN Integration Report 2015 (the next issue is planned for 2018); the bi-annual ASEAN Economic Integration Briefs, along with internal reports including regular sectoral surveillance reports such as the annual ASEAN Investment Surveillance Reports and the annual ASEAN Financial Integration Monitoring Reports. In addition to periodic internal and public research outputs, the AIMD also responds to the research needs of the AEC, at times in co-ordination with leading regional and international research institutions.

Given its resource constraints, increased demand for analytical work highlights the increased needs for resources including those required to articulate and disseminate results from the monitoring and evaluation of the AEC Blueprint 2025 as well as other ASEAN-related research outputs conducted by the ASEC to key regional stakeholders including relevant non-state actors to promote their support and participation in ASEAN regional economic integration efforts. To this end, the proposed activity 4.1.3 would provide on-demand support for the ASEAN Secretariat’s particularly AIMD’s relevant research, capacity building, and outreach activities to assist the implementation of the AEC Blueprint 2025.

Activity 4.1.3		Demand Driven Research, Capacity Building and Outreach to Support AECB Implementation							
Main Beneficiaries	<ul style="list-style-type: none"> The ASEAN Secretariat, particularly the ASEAN Integration Monitoring Directorate (AIMD) ASEAN Sectoral Bodies ASEAN Member States 								
Planned Tasks	<ul style="list-style-type: none"> Develop and enhance the AEC Monitoring Website to optimise dissemination and outreach potential to its target users Provide on-demand assistance to develop research approach, methodology and data analysis Provide on-demand assistance to support the publication and launch of the ASEAN Integration Report (AIR) 2019 Provide on-demand assistance to develop the capacity of the ASEC staff in the implementation of the above activities Develop capacity in structured qualitative methodologies by developing guidelines, training material and conduct of workshops in the areas of qualitative coding/analysis and case study development. 								
Expected outputs			Time of delivery²						
	<ul style="list-style-type: none"> Enhanced AEC Monitoring Website including edited content, infographics, icons, case studies, stories Concept notes on appropriate research approach, methodology and analysis AIMD's ASEAN Integration Report (AIR) 2019 Guidelines, training material and workshops reports in the areas of qualitative coding/analysis and case study development Capacitated ASEC staff and training materials 		Q1-Q3 Q2-Q4 Q1-Q2 Q2-Q4 Q2-Q4						
Expected result	ASEAN research, capacity and awareness strengthened to facilitate the implementation of the AEC Blueprint 2025.								
NKE Required	SNKE M&E SNKE outreach, communication and editing SNKE qualitative data analysis								
Resources (inputs)	Category <table border="1"> <tbody> <tr> <td>SNKE (working days)</td> <td>110</td> </tr> <tr> <td>JNKE (working days)</td> <td>20</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€5,000</td> </tr> </tbody> </table>			SNKE (working days)	110	JNKE (working days)	20	Incidental budget (Euro)	€5,000
SNKE (working days)	110								
JNKE (working days)	20								
Incidental budget (Euro)	€5,000								

² Subject to changes based on AIMD priorities and work-plan

Sub-Component 4.2 ASEAN Statistics Capacity Building

Outcome

Strengthened coordinating role of the ACSS as the apex statistical entity, with Improved statistical production capacities to cater for relevant new data requests from ASEAN Sectoral Bodies in all ASM (and particularly but not limited to CLMV countries).

Activity 4.2.1 Enhancing AEC Monitoring Databases

Rationale:

In 2017, AIMD developed two internal databases, namely the “AEC 2025 Compliance Monitoring Database” (CM Database), and the “AEC 2025 Integration Monitoring Database” (IM Database) to help operationalise compliance and outcomes monitoring, respectively, for the AEC. The main features of the databases are as follows:

- The CM Database tracks the implementation of AEC 2025 sectoral work plans at different tiers/levels of implementation; will be updated by the AIMD and AEC desk officers based on the progress of activities, meeting reports, and other official documents of AEC sectoral bodies.
- The IM Database consolidates statistical data from ASEANstats and third-party sources; includes historical data for Key Performance Indicators (KPIs) adopted in AEC sectoral work plans, as well as other relevant statistical data.

Both databases, whose development has been supported by the COMPASS project, will contribute to a robust operationalisation of the AEC 2025 M&E Framework and will be utilised starting 2018 to systematically generate inputs to the preparation of internal and external reports on implementation of the AEC Blueprint 2025.

The immediate priority is to fully utilise these databases. This include to enhance the familiarity of ASEC sectoral bodies on using the compliance monitoring database namely, but not exclusively, at desk officers’ level. In parallel to the use of the databases, there is a need to continuously enhance the technical features/functions of the databases to respond to the challenges or additional requirements that may arise from the first year/s of operationalisation of the databases.

Activity 4.2.1	Enhancing AEC Monitoring Databases
Main Beneficiaries	ASEAN Integration Monitoring Directorate (AIMD), Statistic Division (ASEANstats), ASEC sectoral bodies
Planned Tasks	<ul style="list-style-type: none"> • Finalise the AEC Compliance Monitoring Database • Undertake an assessment of the implementation of the databases to identify issues with their usage and how to address them • Conduct a socialisation campaign to maximise buy-in and take-up amongst end-users of the database • Conduct a familiarisation workshop on the AEC Compliance Monitoring Database and the AEC Integration Monitoring Database • Undertake a follow-up assessment to assess database usage



Activity 4.2.1		Enhancing AEC Monitoring Databases	
Outputs			Time of delivery
	• Finalised AEC Compliance Monitoring Database		Q1
	• Socialisation campaign materials (e.g. key messages – why the database is relevant and useful to end-users, email bulletin, poster, social media posts using ASEC communication channels)		Q1-Q2
	• Workshop material and report		Q1
	• Baseline assessment report on the use of the databases		Q1
Expected Result	• End-of year assessment report on the use of the databases		Q2
	• Internal ASEC skills strengthened to enable a proper use of the monitoring databases in the context of the AEC 2025 Monitoring and Evaluation (M&E) Framework		
NKE required	<ul style="list-style-type: none"> • Senior ITD expert with experience of Database Management and Reporting (Knowledge in Statistics is an advantage) • Senior Communication expert • Senior M&E expert 		
Resources (inputs)	Category		
	SNKE (working days)	35	

Activity Area 4.2.2: Supporting the ACSS in the Context of the AECB 2025

Rationale:

The ASEAN Community Statistical System (ACSS) is still a relatively new entity, as it was formally established only in 2011. Its vision to be “A responsive ASEAN Community Statistical System providing high quality statistics” is bringing about great challenge to AMS NSOs and ASEANstats. Being the apex entity of the ASEAN statistical co-operation framework, the ACSS institutional strengthening is of crucial importance for ensuring the implementation of the ACSS work programmes. Over the past 6 years, the ACSS has made significant progress in strengthening of its institutional framework. ACSS Committee is the highest policy-making and co-ordinating body on statistical matters in the ASEAN region, and is the unique ASEAN body dealing with ASEAN statistics. An ACSS Code of Practice was adopted in 2012. The ACSS operates according to the ACSS Strategic Plan 2016-2025 and its annual work plans (AWPs).

The ACSS Strategic Plan 2016-2025 clearly identifies the outstanding challenges to be met. Among these are the further strengthening of the role of the ACSS Committee as the highest regional body in statistical policy-making needs. It includes supports to the ACSS in the implementation of ACSS Strategic Plan 2016-2025 and its AWPs; in the establishment of the ACSS Strategic Plan Monitoring and Evaluation (M&E) system based on its KPIs; in further improvements to the response to greater ASEAN data need; in enhancing appropriate IT tools to further improve the ASEANstats data production and dissemination/communication system; in the alignment of regional and national statistical development strategies; and in the enforcement of an operational statistics quality framework.



Sub-Activity 4.2.2.1	Supporting the ACSS in Implementation of SNA 2008	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Undertake Phase 2 TA on the Development of the Operational Guidelines for implementation of the ACSS Regional Roadmap on SNA 2008. Draft Operational Guidelines is expected to be available for AMS review and comments, prior to and during the WGSNA meeting. ASEANstats, Jakarta, Indonesia, 13-17 May and 23-24 May 2019. • Organise and support Regional Workshop on Practical experiences in SNA 2008 implementation and use of SUTs in national accounts. This aims at learning and sharing the experiences in the use of SUTs in national accounts and at addressing the first priority issues of conceptual compliance. For 3 days, 2 participants from each AMS WGSNA and ASEANstats. Jakarta, Indonesia, 20-22 May 2019; • Organise and support Regional Trainings on the SNA 2008 Manual. This is meant for beginners and will cover among others, the SNA 2008 concepts and recommendations, the changes introduced, their links with BPM6, MSITS 2012, and GFS, IMTS Manual 2010, and issues on MRDS, SUTs, etc. For 4 days, 2 participants from each AMS WGSNA focal points and ASEANstats. Outside Jakarta, Indonesia, 23-27 September, 2019. • Organise and support Workshop on Finalisation of the Operational Guidelines for implementation of the ACSS Regional Roadmap on SNA 2008. For 3 days, 2 participants from WGSNA and ASEANstats. Thailand, Q1 2020. 	
Major outputs	<ul style="list-style-type: none"> • Draft document Operational Guidelines for implementation of the ACSS Regional Roadmap on SNA 2008 • Workshop materials and report of the Regional Workshop on practical experiences in SNA 2008 implementation • Training materials and report of the Regional Trainings on the SNA 2008 Manual. • Final Draft document Operational Guidelines for implementation of the ACSS Regional Roadmap on SNA 2008 	Time of delivery Q1 Q1 Q1 Q1
Expected Results	<ul style="list-style-type: none"> • Coordinated, documented, and harmonised development and implementation of the SUTs in national accounts 	



Sub-Activity 4.2.2.1	Supporting the ACSS in Implementation of SNA 2008							
	<ul style="list-style-type: none"> Continuity and strengthened capacity in the compilation of national accounts, supported by regular training and a culture of experience sharing ad ASEAN-help-ASEAN 							
NKE required	<ul style="list-style-type: none"> Senior Expert on SNA with experience in use of SUTs in national accounts, and in the various institutional accounts 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2">Category</td> </tr> <tr> <td>SNKE (working days)</td> <td>118</td> </tr> <tr> <td>Incidental budget utilised (Euro)</td> <td>€79,540</td> </tr> </table>		Category		SNKE (working days)	118	Incidental budget utilised (Euro)	€79,540
Category								
SNKE (working days)	118							
Incidental budget utilised (Euro)	€79,540							

Sub-Activity 4.2.2.2	Supporting the ACSS in Furthering the Development of the IMTS	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> Organise and support Regional Workshop on IMTS Data Quality Assessment. This covers Mirror Analysis, Seasonal Adjustment, Trade Indices, and country sharing on border trade survey. ASEANstats provide its resource persons to prepare and present IMTS mirror tables, as well as Seasonal Adjustment. The external expert shall present the methodology and examples of trade indices and seasonal adjustment, oversee and lead the discussion on and provide inputs pertaining to the process and issues encountered during the mirror and seasonal adjustment analysis. For 3 days, 2 participants from each AMS WGIMTS focal points and ASEANstats. Thailand, W3 June 2019. Organise and support Regional Training on the IMTS 2010 Manual (IMTS 2010-CM) under the framework of Training of Trainers, in support of ASEAN-help-ASEAN. This is meant for IMTS data compiler, and to be delivered by regional experts from AMS, under the guidance of an IMTS external expert. The last day is devoted for roll-playing and preparation of national training agenda. For 4 days, 3 participants from each AMS WGIMTS focal points and ASEANstats. Da Nang, Viet Nam, W2/W3 August 2019. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> Workshop materials and report of the Regional Workshop on IMTS Data Quality Assessment 	Q1
	<ul style="list-style-type: none"> Recommendations on the conduct of Mirror Analysis, Seasonal Adjustment, and Trade Indices 	Q1



Sub-Activity 4.2.2.2	Supporting the ACSS in Furthering the Development of the IMTS							
	<ul style="list-style-type: none"> • Training materials and report of the Regional Trainings on the SNA 2008 Manual • Recommendations on the conduct of training in the framework of ASEAN-help-ASEAN 	<p>Q1</p> <p>Q1</p>						
Expected Result	<ul style="list-style-type: none"> • Strengthened capacity in IMTS data analysis and quality assessment, supported with an established culture of proper quality management, experience sharing and ASEAN-help-ASEAN 							
NKE required	<ul style="list-style-type: none"> • Senior expert in IMTS familiar with mirror analysis, seasonal adjustment, and trade analysis; 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2">Category</th> </tr> </thead> <tbody> <tr> <td>SNKE (working days)</td> <td>21</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€74,490</td> </tr> </tbody> </table>		Category		SNKE (working days)	21	Incidental budget (Euro)	€74,490
Category								
SNKE (working days)	21							
Incidental budget (Euro)	€74,490							

Sub-Activity 4.2.2.3	Supporting the ACSS in Furthering the Development of the SITS	
Main Beneficiaries	ASEAN Member States (AMs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Organise and support Regional Training on MSITS 2010 on the Methodology for Data Estimation, under the framework of training of trainers, in support of the ASEAN-help-ASEAN. This is to address data gaps and missing data (aggregated/disaggregated). For 3 days, 2 participants from each AMS WGSITS focal points and ASEANstats. Outside Jakarta, Indonesia, W2 November 2019. 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • Training materials and report of the MSITS 2010 and Methodology for Data Estimation for addressing data gaps • Recommendations on the conduct training of trainers and experience sharing for addressing data gaps 	<p>Q1</p> <p>Q1</p>
Expected Result	<ul style="list-style-type: none"> • Enhanced SITS data submission and coverage, meeting the requirements for partner country and SITS component breakdown, supported by an established culture of experience sharing and ASEAN-help-ASEAN 	
NKE required	<ul style="list-style-type: none"> • Senior Expert on SITS with knowledge and experience in addressing data gaps 	



Sub-Activity 4.2.2.3	Supporting the ACSS in Furthering the Development of the SITS	
Resources (inputs)	Category	
	SNKE (working days)	8
	Incidental budget (Euro)	€24,180

Sub-Activity 4.2.2.4	Supporting the ACSS in Furthering the Development of the FDIS	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Organise and support Regional Workshop/Training on FDIS Compilation Using ITRS and Survey Based Data. This also covers utilisation of data collected from Financial Statement, integrated framework for the compilation of investments statistics, LGU License and PPP data, and satellite image, as well as accounting principle and valuation, survey methodologies, FDIS stock, and FDI outward flow. For 4 days, 2 participants from each AMS WGSII focal points and ASEANstats. Hanoi, Vietnam, W3 September 2019 	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • Workshop materials and report of the use of ITRS and Survey data. • Recommendations towards the utilisation of various data sources and proper methodology, to improve FDIS indicator coverage and quality 	Q1 Q1
Expected Result	<ul style="list-style-type: none"> • Enhanced submission and dissemination of FDIS indicator coverage and quality, with solid methodology and data sources, supported with an established culture of experience sharing and ASEAN-help-ASEAN 	
NKE required	<ul style="list-style-type: none"> • Senior Expert on FDIS with knowledge and practical experience in dealing with various data sources 	
Resources (inputs)	Category	
	SNKE (working days)	11
	Incidental budget (Euro)	€32,040



Sub-Activity 4.2.2.5	Supporting the ACSS in Furthering the Development of the SDGI	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Undertake TA on Assessment of the Quality and Comparability of the 27 SDG Indicators of Tier 1. This is in support of the internal ASEAN online publication on ASEAN SDG preliminary indicators. Approximately 12 days, ASEANstats, Jakarta, W3 June-W2 July 2019 • Organise and support Regional Workshop for the Evaluation and Analysis of the First Round Submission of the 27 SDGIs of Tier 1 from AMSs. This is meant for addressing data submission and quality issues as well as potential coordination issues (between NSO and line ministries). For 2 days, for 2 participants from WGSDGIs focal point, and 2 participants from the line ministries or national SDG coordinator. Bali, 16-17 July 2019 	
Major outputs		Time of delivery
	• Assessment report on the quality and comparability of the Tier 1 SDGIs	Q1
	• Recommendations for improved SDGIs quality and submission	Q1
	• Workshop materials and report on Evaluation and Analysis of the First Round Submission of the 27 SDGIs of Tier 1.	Q1
Expected Result	<ul style="list-style-type: none"> • Improved process, mechanism, coordination, and submission of SDGIs, in support of quality and enhanced dissemination. 	
NKE required	<ul style="list-style-type: none"> • Senior Expert on SDG with knowledge about ASEAN countries 	
Resources (inputs)	Category	
	SNKE (working days)	14
	Incidental budget (Euro)	€19,120

Sub-Activity 4.2.2.6	Supporting the ACSS in Furthering the Information and Technology Development (ITD)	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Support Regional Training Workshop on ASEAN Data Visualization, under the Framework of ASEAN-Help-ASEAN and Training of Trainers. This is meant for beginners in the field of data visualization/ staff assigned to deal with dissemination of SDG indicators. Trainer(s)/resource person(s) will 	

Sub-Activity 4.2.2.6	Supporting the ACSS in Furthering the Information and Technology Development (ITD)	
Major outputs	<p>come from ASEANstats (prepared to be a qualified trainer), under the guidance and technical support from a Senior Expert on data visualization. For 3 days, 2 participants from each AMS. Outside Jakarta, W2 December 2019.</p> <ul style="list-style-type: none"> • Support Training on the use of Infographics and Video graphics, in Preparation of the Conduct of a Major Statistical Event within the Framework of the Training for Trainers and in Support of ASEAN-help-ASEAN. Resource person will come from ASEANstats (prepared to be a qualified trainer), under the guidance and technical support from a Senior Expert on Infographics / Video graphics. Different themes related to major statistical events can be selected (e.g. 2020 population census, promotion of SDIs, national statistics day, user-producer forum, etc.) for advocacy and dissemination and communication of statistics. For 3 days, 2 participants from the WGDSA/related WG. Viet Nam, 2019 Q4 • Undertake the Development of ASEANstats Database Mobile Application and its Regular Maintenance, Jakarta, 2019 Q4 -2020 Q1 • Undertake the development of a Concept Note and Detailed Plan for ASEAN and ARISE Plus Visibility, in line with the Ten Year celebration of the ACSS. This would be more on the design and communication plan, defining and specifying what products and services to be produced, the overall setup of the events, including the timing and scenarios and other detailed plan for visibility and communication of statistics. Along this line, it will therefore, need to consult both Viet Nam and ASEANstats. ASEANstats, Jakarta. Approximately 20 days, Q3 2019 • Undertake Training for ASEANstats staff on Eurotrace latest version and SQL in support of the sustainability of Eurotrace-based IMTS production in CLM and at ASEANstats. For 8-10 participants, for 10 days. ASEAN Secretariat, Q2-Q3 2019. 	
	Time of delivery	<ul style="list-style-type: none"> • Workshop materials and report on ASEAN Data Visualization. Q2 • Workshop materials and report on the use of Infographics and Video graphics Q2 • Recommendations for the conduct of Training for Trainers and experience sharing within the framework of ASEAN-help-ASEAN Q3 • IT Application on ASEANstats Database Mobile Application Q3 • Concept Note and detailed Communication Plan for ACSS Ten Year Celebration and visibility



Sub-Activity 4.2.2.6	Supporting the ACSS in Furthering the Information and Technology Development (ITD)							
	<ul style="list-style-type: none"> • Training materials on Eurotrace latest version and SQL 							
Expected Result	<ul style="list-style-type: none"> • Improved IT support, and skills and knowledge for dissemination and communication of statistics, including promotion, and advocacy on statistics as well as ASEAN and ARISE Plus visibility • Improved IT support for enhanced IMTS data submission and production using Eurotrace 							
NKE required	<ul style="list-style-type: none"> • Senior Expert on Data Visualization • Senior Local IT Expert on mobile application • Senior Expert on Video graphics and Infographics • Senior Expert on Eurotrace with knowledge about the tools, IT support, as well as the use of it. 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2">Category</td> </tr> <tr> <td>SNKE (working days)</td> <td>108</td> </tr> <tr> <td>Incidental budget (Euro)</td> <td>€49,090</td> </tr> </table>		Category		SNKE (working days)	108	Incidental budget (Euro)	€49,090
Category								
SNKE (working days)	108							
Incidental budget (Euro)	€49,090							

Sub-Activity 4.2.2.7	Supporting the ACSS in Furthering the Development of the Other Field of Statistics (OFS)	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Undertake Mid-Term Review (MTR) and Drafting of the ACSS Multiyear Work Plan 2021-2025. • Organise and support Regional Workshop on ACSS Multiyear Plan 2021-2025. This workshop is organised for the SCPC to discuss and provide inputs for the draft ACSS Multiyear Plan 2021-2025, in time for further consideration and recommendations by the ACSS Committee at its 9th Session in October 2019. For 2 days, 2 participants per country. Bangkok, Q2 2019 • Organise and support Training on Data Estimation Technique for Economic and Social Indicators, within the Framework of Training of Trainers, in Support of the ASEAN-help-ASEAN. This will cover the minimum basic econometric and time series analysis, and data estimation for addressing data gaps, in both aggregated/disaggregated data, as well as simple back casting and forecasting. For 4 days, 2 participants from AMS and ASEANstats, Jakarta, Q4 2019 	
Major outputs		Time of delivery

Sub-Activity 4.2.2.7	Supporting the ACSS in Furthering the Development of the Other Field of Statistics (OFS)							
	<ul style="list-style-type: none"> • Report on Mid-Term Review • Draft MYP 2021-2025 • Workshop materials on MYP 2021-2025 • Training materials and report on Data Estimation Technique for Economic and Social Indicators • Recommendations for the conduct of Training for Trainers and experience sharing on data estimation technique, within the framework of ASEAN-help-ASEAN 	<p>Q1</p> <p>Q2</p> <p>Q3</p> <p>Q3</p>						
Expected Result	<ul style="list-style-type: none"> • Improved strategy, mandate, governance, coordination and country commitment in support of strengthened statistical capacity, institutional building and better response to the increasing demand for statistical services in AMS and ASEANstats, and in support of narrowing statistical development gaps amongst AMSs. • Strengthened other relevant skills which can contribute to data estimation and analysis. 							
NKE required	<ul style="list-style-type: none"> • Senior Experts on Statistics with knowledge and experience of Strategic Planning and institutional building and sound experience in the review and evaluation of statistical programme • Senior Expert on statistics modelling with experience in data estimation and forecasting 							
Resources (inputs)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left;">Category</th> </tr> </thead> <tbody> <tr> <td style="width: 60%;">SNKE (working days)</td> <td style="text-align: center;">54</td> </tr> <tr> <td>Incidental budget utilised (Euro)</td> <td style="text-align: center;">€48,210</td> </tr> </tbody> </table>		Category		SNKE (working days)	54	Incidental budget utilised (Euro)	€48,210
Category								
SNKE (working days)	54							
Incidental budget utilised (Euro)	€48,210							

Activity Area 4.2.3: Strengthening AMS’ Statistical Capacities (Particularly in but not Limited to CLMV)

Rationale:

The ACSS supports the monitoring of the implementation of ASEAN integration, notably the AEC 2025 M&E Framework. In this regard, there is an increasing need for robust, quality data. Over the last 5 years, working groups were established first for International Merchandise Trade Statistics (IMTS), Foreign Direct Investment Statistics (FDIS), Statistics of International Trade in Services (SITS), and more recently for Sustainable Development Goals Indicators (SDGI) and System of National Account (SNA). The existence of formally constituted working groups reflects their permanent status within the decision-making framework of the ACSS Committee. This is already a first key step from an institutional perspective. In the fields of IMTS, FDIS and SITS, progress in harmonisation is ongoing. The work of SDG and SNA is more recent and is still at the initial stage.

Despite progress made since 2012, the statistical development of CLMV countries in these key areas lag behind those of the ASEAN-6 countries. There is a need for those countries to catch up and benefit



from the ASEAN-6 experience and practices. At the same time, some ASEAN-6 may have also issues within these key areas that require specific support for further improvements.

Sub-Activity 4.2.3.1	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Implementation of SNA 2008							
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.							
Planned Tasks	<ul style="list-style-type: none"> • Undertake TA mission to Cambodia on SUTs and National Accounts. The TA aims at helping NIS Cambodia with planning/addressing preparatory and practical issues associated with the use of SUT in national accounts, and to help address the first priority issues of conceptual compliance. Approximately 6 days. Cambodia, 27-31 May 2019 • Undertake TA Mission to the Philippines on the Overall Revisions and Re-basing of the Philippines National Accounts. Manila, the Philippines, 16-20 September 2019 <p>(If other needs emerged for other AMS, they could be included in the WP2 if validated and depending on the resources available)</p>							
Major outputs		Time of delivery						
	<ul style="list-style-type: none"> • Report of TA mission on SNA in Cambodia • Report of TA mission on SNA in the Philippines • Recommendations on measures for addressing SUTs-related issues and Re-basing of national accounts. 	<p>Q1</p> <p>Q2</p> <p>Q2</p>						
Expected Result	<ul style="list-style-type: none"> • Strengthened skills for addressing various country's issues and enabling all AMSs, including CLMV, to provide timely and reliable statistical data for supporting ASEAN Integration on regular basis. 							
NKE required	<ul style="list-style-type: none"> • Senior Expert on SNA with experience in delivering TA on the use of SUTs in national accounts in developing countries and on re-basing of the national accounts. 							
Resources (inputs)	<table border="1"> <tr> <td colspan="2">Category</td> </tr> <tr> <td>SNKE (working days)</td> <td>66</td> </tr> <tr> <td>Incidental budget</td> <td>€4,700</td> </tr> </table>		Category		SNKE (working days)	66	Incidental budget	€4,700
Category								
SNKE (working days)	66							
Incidental budget	€4,700							

Sub-Activity 4.2.3.2	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Development of IMTS	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Undertake TA to CLMV and organise a sub-regional workshop in support of the IMTS Data Quality Assessment through the Production and Conduct 	



Sub-Activity 4.2.3.2	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Development of IMTS					
	of Mirror analysis and Seasonal Adjustment. This is a follow-up to the Regional Workshop on IMTS Data Quality Assessment. Bilateral exports and imports among the CLMV and Thailand with high and very high discrepancies as shown in the mirror table, are selected for a follow-up discussion during the sub-regional workshop among CLMV and Thailand, involving more detailed HS breakdown. In one of the CMLV country, Q1 2020					
Major outputs		Time of delivery				
	<ul style="list-style-type: none"> • Report of the TA on Strengthening IMTS Data Quality Assessment in CLMV • Recommendations related to data quality assessment, mirror analysis, and the use of seasonal adjustment and trade indices 	Q3				
		Q3				
Expected Result	<ul style="list-style-type: none"> • Strengthened capacity for quality assessment, and increased use of trade indices and seasonal adjustment 					
NKE required	<ul style="list-style-type: none"> • Senior expert in IMTS familiar with mirror analysis, seasonal adjustment, and trade indices and analysis 					
Resources (inputs)	Category <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%;">SNKE (working days)</td> <td style="text-align: center;">10</td> </tr> <tr> <td>Incidental budget</td> <td style="text-align: center;">€19,630</td> </tr> </table>		SNKE (working days)	10	Incidental budget	€19,630
SNKE (working days)	10					
Incidental budget	€19,630					

Sub-Activity 4.2.3.3	Strengthening AMS' Statistical Capacities (particularly in but not Limited to CLMV), in Furthering the Development of SITS	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Organise and Support Study Visit to Malaysia, on Compilation of Partner Country Breakdown and Breakdown of Selected BPM6 Components, for CLMV Participants, under the Framework of an ASEAN-help-ASEAN. For 3 participants per CLMV country, and 2 participants from ASEANstats, for 3 days. Malaysia 2020 Q1 (If TA needs emerged for other AMS, they could be included in the WP2 if validated and depending on the resources available)	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • Report on the study visit to Malaysia 	Q3
		Q3



Sub-Activity 4.2.3.3	Strengthening AMS' Statistical Capacities (particularly in but not Limited to CLMV), in Furthering the Development of SITS	
	<ul style="list-style-type: none"> • Recommendations related to addressing SITS issues through experience sharing, study visits and ASEAN-help-ASEAN 	
Expected Result	<ul style="list-style-type: none"> • Strengthened skills in CLMV, supported by an established culture of experience sharing and ASEAN-help-ASEAN 	
NKE required	<ul style="list-style-type: none"> • None 	
Resources (inputs)	Category	
	Incidental budget (Euro)	€15,070

Sub-Activity 4.2.3.4	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Development of FDIS	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Organise and Support Study visit for CLM Countries to Vietnam, on the Best Practices in the Conduct of FDIS Survey, under the Framework of ASEAN-help-ASEAN. For 3 participants per CLMV and 2 participants from ASEANstats. Hanoi, Viet Nam, 2020 Q1 <p>(If TA needs emerged for other AMS, they could be included in the WP2 if validated and depending on the resources available)</p>	
Major outputs		Time of delivery
	<ul style="list-style-type: none"> • Report on the study visit to Viet Nam, on the Best Practices in the Conduct of FDIS Survey 	Q3
	<ul style="list-style-type: none"> • Recommendations on the use of FDI Survey 	Q3
	<ul style="list-style-type: none"> • Recommendations on enhancing experience sharing, and ASEAN-help-ASEAN 	Q3
Expected Result	<ul style="list-style-type: none"> • Strengthened skills in CLMV, supported by an established culture of experience sharing and ASEAN-help-ASEAN 	
NKE required	<ul style="list-style-type: none"> • None 	
Resources (inputs)	Category	
	Incidental budget (Euro)	€11,630



Sub-Activity 4.2.3.5	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Development of SDGI	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Undertake TA on the Assessment of the Quality of the SDGIs Indicators and Preparation of ASEAN SDGIs Report, Tier 2. This is meant for addressing coordination and technical issues facing the data collection and submission of Tier 2 indicators in selected AMSs, by conducting a thorough review of quality their SDGs indicators. • Organise and support a regional workshop on the evaluation and analysis of the 2nd round of submission of SDGIs. 	
Major outputs		Time of delivery
	• Report on TA missions on the assessment of selected AMS	Q1
	• Recommendations for improved quality and statistical process	Q1
	• Workshop materials and report on evaluation and analysis of the second round of submission of SDGIs	Q2
Expected Result	• Strengthened national capacity, coordination, and advocacy for SDGIs in all AMS.	
NKE required	• Senior SDGI expert with experience in developing countries	
Resources (inputs)	Category	
	SNKE (working days)	50
	Incidental budget	€28,000

Sub-Activity 4.2.3.6	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Information and Technology Development (ITD)	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Undertake TA to assist Viet Nam and ASEANstats on the Preparation of Infographics and Video graphics, in line with the Preparation of ACSS Ten Decade celebrations and Vietnam Chairmanship in 2020. This is to be conducted following the assessment of the quality of the SDGIs of AMS (scheduled for 2019 Q3). The full concept and design of the ASEAN Ten Years Celebration will be developed in a separate sub-activity (i.e. 4.2.2.6 on visibility and communication plan). The SDGIs will serve as the main theme under this communication plan. Approximately 20 days. Hanoi, Viet Nam, Q1 2020 	



Sub-Activity 4.2.3.6	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Information and Technology Development (ITD)							
	<ul style="list-style-type: none"> • Undertake TA on Eurotrace and the use of Eurotrace to enhance production and in support of dissemination of IMTS in Myanmar, and on the follow-up to TA on Eurotrace in support of production and dissemination of IMTS in Lao PDR. Approximately one week each. Q2-Q3 2019. <p>(If other needs emerged for other AMS, they could be included in the WP2 if validated and depending on the resources available)</p>							
Major outputs		Time of delivery						
	<ul style="list-style-type: none"> • Report on TA mission to Viet Nam on preparation of infographics and Video graphics for the ACSS Ten Decade Celebrations • Report on TA mission to Myanmar and Lao PDR 	Q3						
Expected Result	<ul style="list-style-type: none"> • Successful presentation of the infographics and video graphics of Viet Nam's major statistical achievements in SDGs in particular, and strengthened capacity for statistical communication and advocacy in general. • Continuity in the use of Eurotrace for improved IMTS data 							
NKE required	<ul style="list-style-type: none"> • Senior Expert on Infographics/video graphics 							
Resources (inputs)	<table border="1"> <thead> <tr> <th colspan="2">Category</th> </tr> </thead> <tbody> <tr> <td>SNKE (working days)</td> <td>22</td> </tr> <tr> <td>Incidental budget</td> <td>€5,840</td> </tr> </tbody> </table>		Category		SNKE (working days)	22	Incidental budget	€5,840
Category								
SNKE (working days)	22							
Incidental budget	€5,840							

Sub-Activity 4.2.3.7	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Development of Other Field of Statistics (OFS)	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Organise and support National Workshop on ACSS User-Producer Engagement, for Appropriate Advocacy and Cascading Practices, in Viet Nam. This will be replicated in other member states which already have a plan for promotion and advocacy on topics relevant to a major statistical event. Attendance includes representatives of various data producing agencies at the national level, major users, and representatives from one-two AMS and ASEANstats, within the framework of establishment of a culture of peer review, cascading practices, and ASEAN-help-ASEAN. Countries which already have experience of a user-producer engagement are expected to share their experiences in other countries in need. An external expert is to be engaged to help plan and prepare materials, in 	

Sub-Activity 4.2.3.7	Strengthening AMS' Statistical Capacities (Particularly in but not Limited to CLMV), in Furthering the Development of Other Field of Statistics (OFS)							
Major outputs	<p>collaboration with ASEANstats and AMSs. Organisation cost shall be borne by the country initiating the event. External expert and participation of other countries and ASEANstats will be supported by ARISE Plus. Hanoi, Viet Nam, Q1 2020.</p> <ul style="list-style-type: none"> • Define a strategy and criteria to decide whether a national technical assistance mission is relevant considering the regional needs and priorities and the mission of ASEANstat that is to provide timely and reliable data; and whether the mission can be technically and financially supported in the context of the ARISE Plus project 							
		Time of delivery						
	<ul style="list-style-type: none"> • Report of the national workshop on ACSS User-Producer Engagement 	Q3						
	<ul style="list-style-type: none"> • Recommendations related to strengthening the User-Producer consultation and advocacy for statistics, making the best use of the newly developed cascading practice, peer review and ASEAN-help-ASEAN • Eligibility criteria for technical assistance missions that will be supported within the ARISE Plus project 	Q3 Q1						
Expected Result	<ul style="list-style-type: none"> • Successful implementation of the User-Producer engagement in Viet Nam, • Practical mechanism for a more regular User-Producer consultation and statistical advocacy in AMSs, • Effective and efficient use of the statistical resources of the ARISE Plus project for activities having a sustainable impact for the countries and for the mission of the ASEANstat that is to provide timely and reliable data for supporting policy analysis (synergy with 4.2.2). 							
NKE required	<ul style="list-style-type: none"> • A Senior Statistics Expert with experience in statistical institutional building 							
Resources (inputs)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left;">Category</th> </tr> </thead> <tbody> <tr> <td style="width: 60%;">SNKE (working days)</td> <td style="text-align: center;">12</td> </tr> <tr> <td>Incidental budget</td> <td style="text-align: center;">€3,110</td> </tr> </tbody> </table>		Category		SNKE (working days)	12	Incidental budget	€3,110
Category								
SNKE (working days)	12							
Incidental budget	€3,110							

Activity 4.2.4: Expanding ACSS Capacity in New Statistical Domains

Rationale:

The ACSS shall be responsive to new statistical needs emerging from major ASEAN initiatives and global agenda. Beyond the ASEAN monitoring initiatives under AEC, there are initiatives under other ASEAN Community pillars and global initiatives on statistics. The ACSS aims to improve its response

to initiatives launched by the ASEAN Community pillars and the respective ASEAN sectoral bodies as well as international organisations (UNSC, ILO, UNESCAP, EUROSTAT), as appropriate.

As part of their outcomes monitoring effort, several ASEAN sectoral bodies are currently requesting ACSS/ASEANstats to consider including new data/indicators in the ASEAN Statistical Indicators Consolidated Templates (ASI-CT) within the Broad Framework for Sustainable Development of ASEAN Statistics (BFSDAS). These newly requested data/indicators include SME, ASEAN connectivity, investment, minerals, agriculture and forestry, disaster and transport statistics. In following up these new data request, there is an urgent need for the ACSS to have a formal clearing mechanism for ensuring that the newly requested data is feasibly collected, and most importantly, these must be statistical data which is directly under AMSs NSOs’ mandate. Hence, ACSS/ASEANstats is currently preparing “Protocol for New Data Request by ASEAN Sectoral Bodies”.

New statistical needs from the global statistical initiatives include among others on SDG, open and big data for official statistics, and – increasingly also of interest to ASEAN - digital economy and/or ecommerce statistics.

The key stakeholder of this activity is ACSS i.e. ASEANstats and AMS NSOs, as well as AIMD, other analysis and monitoring division/directorate in the ASEAN Secretariat, and the ASEAN Community more broadly.

Sub-Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains, in Oher Fields of Statistics (OFS)	
Main Beneficiaries	ASEAN Member States (AMSs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> • Organise and support Follow up Workshop on Open Data for the Definition of the ACSS Open Data Assessment System and Enhancement of ASEAN Official Statistics Dissemination. This is to be organised back-to-back with a workshop on ASEAN Big Data. For 3 days, 3 participants from WGDSA, SCPC, and other relevant WGs, and ASEAN Secretariat. Thailand, Q4 2019/Q1 2020 • Organise and support Follow up Workshop on Big Data, for Defining a Concept for “ASEAN Big Data and beyond, such as Trusted Smart Statistics”, for Enhancement of the Process, Efficiency, and Sustainability of Official Statistics. This is to be organised back-to-back with a workshop on ASEAN Open Data. For 2 days, 3 participants from WGDSA, SCPC, and other relevant WGs, and ASEAN Secretariat. Thailand, Q4 2019/Q1 2020 • Organise and support Regional Workshop on Further Development of ASEAN Statistical Indicators, Support for the Protocol for New Data Request and other urgent Agenda on Statistical Dissemination and Communication. For 3 days, 2 participants from each AMSs and ASEANstats. Ha Noi, Q1 2020 	
Major outputs		Time of delivery

Sub-Activity 4.2.4 Expanding ACSS Capacity in New Statistical Domains, in Other Fields of Statistics (OFS)							
Expected Result	<ul style="list-style-type: none"> • Workshop materials and report on the Definition of the ACSS Open Data Assessment System and Enhancement of ASEAN Official Statistics Dissemination • Recommendations related to the Open Data assessment system and enhancement of dissemination of official statistics • Workshop materials and report on the Concept of “Big Data and beyond” for ASEAN, in support of the production of official statistics. • Recommendations related to use of Big Data for official statistics. • Workshop materials and report on Data Dissemination, Communication, and Confidentiality • Recommendations related to Data Dissemination, Communication, and Confidentiality 						
Expected Result	<ul style="list-style-type: none"> • Strengthened Data Dissemination, Communication, and Confidentiality Policy and System • Increasing practice of assessment and experience sharing of Open Data in the context of Official Statistics • Increasing practices of experience sharing on and evidence of the use of Big Data for official statistics 						
NKE required	<ul style="list-style-type: none"> • Senior expert on Open Data with experience of promoting statistical dissemination • Senior expert on Big Data with experience of IT and database and use of big data • Senior Expert on Data Dissemination and Communication/ Data Disclosure and Confidentiality 						
Resources (inputs)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th colspan="2" style="text-align: left;">Category</th> </tr> </thead> <tbody> <tr> <td style="width: 60%;">SNKE (working days)</td> <td style="text-align: center;">49</td> </tr> <tr> <td>Incidental budget</td> <td style="text-align: center;">€102,470</td> </tr> </tbody> </table>	Category		SNKE (working days)	49	Incidental budget	€102,470
Category							
SNKE (working days)	49						
Incidental budget	€102,470						

Activity 4.2.5: Supporting EU-ASEAN Policy Dialogue on M&E and Statistics

Rationale:

Adopted in April 2012, the Bandar Seri Begawan Plan of Action to Strengthen the ASEAN-EU Enhanced Partnership (2013-2017) renewed the two regions’ commitment to continue to support (including through technical assistance), “the gathering and availability of reliable statistical data at regional level, in order for ASEAN to be able to assess its current evolution as an integrated economic region and support further integration measures”. Its successor, the ASEAN-EU Plan of Action



(20182022), further confirmed support for effective implementation of the ASEAN Economic Community (AEC) Blueprint at regional and national levels. The endorsement of the AEC 2025 Monitoring and Evaluation (M&E) Framework by ASEAN Economic Ministers (AEM) in August 2016 and the AEC Council in September 2016 was to help ensure the timely and effective implementation of the AEC Blueprint 2025.

To this end, the holding of the ASEAN-EU Policy Dialogue on M&E and Statistics can contribute to achieve the objective of gathering and providing reliable statistical data at regional level through exchanges on topics of common interests, particularly those related to the M&E of regional integration efforts through the provision of regional statistical data, EUROSTAT has been identified as a suitable partner for this Dialogue. These dialogues would not only lead to identification of possible areas for further bilateral and multilateral cooperation but also help improving the operationalisation of the AEC 2025 M&E Framework and regional integration monitoring more broadly, in line with the Bandar Seri Begawan Plan of Action, its successor the ASEAN-EU Plan of Action, the AEC Blueprint 2025 and the ACSS Strategic Plan 2016-2025.

Sub-Activity 4.2.5 Supporting EU-ASEAN Policy Dialogue on M&E and Statistics		
Main Beneficiaries	ASEAN Member States (AMs), Statistics Division (ASEANstats), ACSS Committee, Sub-Committee on Planning and Coordination (SCPC), all working groups under the ACSS Committee.	
Planned Tasks	<ul style="list-style-type: none"> Identify in the ASEAN–European political forum or policy dialogue priority themes where M&E and statistics have a role to play Define an approach to increase the visibility of the AIMD work in the field of monitoring and statistics. This approach can include production and disseminate of infographics, case studies, audio-video presentation, a user-friendly statistical leaflet, discussion papers on some themes of a policy forum, or to organise a high-level seminar focusing on M&E/Statistics and related to priority themes of the ASEAN-EU policy dialogue... 	
Major outputs	<ul style="list-style-type: none"> Strategy and action plan to identify the actions to undertake to support the ASEAN-EU Policy Dialogue on M&E and statistics 	Time of delivery Q1
	<ul style="list-style-type: none"> Visibility materials (e.g. infographics, case studies, a user-friendly statistical leaflet) 	Q1-Q2
Expected Results	<ul style="list-style-type: none"> Better awareness and understanding of M&E best practices and other regional integration monitoring experiences, and the identification of way forward for enhancement of the operationalisation of the AEC 2025 M&E Framework; Enhancement of regional statistical cooperation and outputs through better awareness and understanding of statistical best practices, cooperation experiences and lessons learned. 	



Sub-Activity 4.2.5		Supporting EU-ASEAN Policy Dialogue on M&E and Statistics	
NKE required		<ul style="list-style-type: none">• A Senior Statistics Experts with experience M&E and in statistical institutional building• A senior communications expert	
Resources (inputs)		Category	
		SNKE (working days)	25



3.0 PLANNED USE OF RESOURCES

	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
COMPONENT 0.0	Management and Outreach	220				50	150	50,000
Sub-component 0.1	Project Management and Coordination							22,650
Activity 0.1.1	Project Management and Coordination							22,650
Sub Component 0.2	Demand Driven Contingency					50	130	25,000
Activity 0.2.1	Demand Driven Contingency					50	130	25,000
Sub-Component 0.3	Communication and Outreach						20	2,350
Activity 0.3.1	Visibility, Communication and Outreach						20	2,350
COMPONENT 1.0	ASEAN Trade Facilitation		176			490		433,300
Sub Component 1.1	ATIGA Implementation with Focus on Transparency and NTMs					290		183,100
Activity 1.1.1	Supporting the Effective Implementation of the ATIGA (ATR and NTRs), including Identifying, Classifying and Notifying NTMs					280		133,600
Activity 1.1.2	Supporting the Coordinating Committee for the Implementation of the ATIGA					10		49,500
Sub Component 1.2	Trade Facilitation and Private Sector Engagement					100		175,200



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Activity 1.2.1	Full Operationalisation and Management of ASSIST, Including in the Services and Investment Sectors					50		42,000
Activity 1.2.2	Supporting the ASEAN Trade Facilitation Joint Consultative Committee					30		60,000
Activity 1.2.3	Strengthening Public-Private Cooperation					20		73,200
Sub-component 1.3	On-demand Activities					100		75,000
Activity 1.3.1	General Review of the ASEAN Trade in Goods Agreement (ATIGA)					60		44,000
Activity 1.3.2	Supporting the Implementation of the 'NTMs Guidelines					40		31,000
COMPONENT 2.0	Standards and Conformity Assessment in Particular Healthcare and Agro-based products			220		635		716,600
Sub component 2.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance					110		126,800
Activity 2.1.1	Supporting ACCSQ to Implement the ACCSQ Strategic Plan for Standards and Conformance					15		50,000
Activity 2.1.2	Supporting Mutual Recognition of Conformity Assessment and Harmonisation of Technical Regulations							
Activity 2.1.3	Strengthening Conformity Assessment and Accreditation					45		46,800
Activity 2.1.4	Transposing Regional Commitments into National Legislation					50		30,000



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub-component 2.2	Supporting the Harmonisation of Standards and Compliance to International Standards					30		25,000
Activity 2.2.1	Supporting Harmonisation of Standard					30		25,000
Sub-component 2.3	Supporting the Market Integration and Enhancing Food Safety in Agro-based Sector					425		444,800
Activity 2.3.1	Developing and Implementing a Regulatory Framework for Food Safety							95,000
Activity 2.3.2	Implementing the MRA on Inspection and Certification Systems of Food Hygiene for Prepared Foodstuffs					105		80,000
Activity 2.3.3	Supporting the ASEAN Risk Assessment Centre for Food Safety					50		46,800
Activity 2.3.4	Supporting the Collection, Management and Harmonisation of Food Consumption Data to Strengthen Risk Assessment in ASEAN					70		40,000
Activity 2.3.5	Establishing Rapid Alert Systems for Food and Feed (RASFF) in Member States and integrating them in the ASEAN Rapid Alert Systems for Food and Feed (ARASFF)					35		28,000
Activity 2.3.6	Strengthening ASEAN Cooperation on Organic Agriculture					20		25,000
Activity 2.3.7	Training in Food Safety					120		70,000
Activity 2.3.8	Harmonised Nutritional Labelling					25		60,000
Sub-component 2.4	Support to the Pharmaceutical Sector					70		120,000



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Activity 2.4.1	Strengthening the Pharmaceuticals Regulatory framework					40		60,000
Activity 2.4.2	Establishing an ASEAN Network of Official Pharmaceuticals Laboratories					30		60,000
Activity 2.4.3	Combatting Falsified Medicines in ASEAN							
Activity 2.4.4	Enhancing Pharmaceuticals Standardisation in ASEAN							
COMPONENT 3.0	Customs, Transport and ACTS				220	1855		693,335
Sub component 3.1	Customs Measures					110		103,141
Activity 3.1.2	Supporting Partnership with Business and the Trading Community (SPCD 06)					50		45,253
Activity 3.1.5	Assistance to Narrowing the Development Gap in Customs (SPCD 13)					60		57,888
Sub-component 3.2	Transport Measures					95		144,900
Activity 3.2.1	Operationalising the ASEAN Framework Agreement on the Facilitation of Goods in Transit (AFAFGIT) and the ASEAN Framework Agreement on the Facilitation of Inter-State Transport (AFAFIST)					5		4,500
Activity 3.2.2	Supporting Implementation of the Action Plan for the ASEAN Framework Agreement on Multi Modal Transport (AFAMT)					60		95,900
Activity 3.2.3	Supporting the Implementation of the ASEAN Framework Agreement on the Facilitation of the Cross-Border Transport of Passengers by Road Vehicles (ASEAN-CBTP)					30		44,500



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub component 3.3	ACTS Implementation					1650		445,294
Activity 3.3.1	Supporting Implementation of ACTS					46		58,326
Activity 3.3.2	Supporting Full Roll-out of the ACTS in Malaysia, Singapore and Thailand (MST)					155		155,844
Activity 3.3.3	Rolling Out of ACTS to Cambodia, Lao PDR, Myanmar and Vietnam (CLMV)					335		199,120
Activity 3.3.4	Developing the Capacity of the ACTS Central Management Team (CMT)					34		
Activity 3.3.5	Carrying out a Functional Upgrade of the ACTS					1080		32,004
Component 4.0	ASEAN Economic Integration Monitoring and Statistics					857	20	537,120
Sub-component 4.1	ASEAN Economic Integration Monitoring					254	20	20,000
Activity 4.1.1	Developing an Internal ARISE Plus Monitoring System and an Integrated Monitoring Framework for ARISE Plus Regional and National Projects					59		10,000
Activity 4.1.2	Supporting Implementation of AEC 2025 M&E Framework					85		5,000
Activity 4.1.3	Demand-driven Support for Research, Capacity Building and Outreach Activities to Assist the Implementation of the AEC Blueprint 2025					110	20	5,000
Sub component 4.2	ASEAN Statistics Capacity Building					603		517,120
Activity 4.2.1	Enhancing AEC Monitoring Databases					35		0
Activity 4.2.2	Supporting the ACSS in the Context of the AECB 2025					334		326,670



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub Activity 4.2.2.1	Supporting the ACSS in Implementation of SNA 2008					118		79,540
Sub Activity 4.2.2.2	Supporting the ACSS in Furthering the Development of the IMTS					21		74,490
Sub Activity 4.2.2.3	Supporting the ACSS in Furthering the Development of the SITS					8		24,180
Sub Activity 4.2.2.4	Supporting the ACSS in Furthering the Development of the FDIS					11		32,040
Sub Activity 4.2.2.5	Supporting the ACSS in Furthering the Developments SDGI					14		19,120
Sub Activity 4.2.2.6	Supporting the ACSS in Furthering the Information and Technology Development (ITD)					108		49,090
Sub Activity 4.2.2.7	Supporting the ACSS in Furthering the Development of the Other Field of Statistics (OFS)					54		48,210
Activity 4.2.3	Strengthening AMSs' Statistical Capacities (particularly in but not limited to CLMV)					160		87,980
Sub Activity 4.2.3.1	Strengthening AMS' Statistical Capacities (particularly in but not limited to CLMV), in implementation of SNA 2008					66		4,700
Sub Activity 4.2.3.2	Strengthening AMS' statistical capacities (particularly in but not limited to CLMV), in Furthering the Development of IMTS					10		19,630
Sub Activity 4.2.3.3	Strengthening AMS' statistical capacities (particularly in but not limited to CLMV), in Furthering the Development of SITS							15,070
Sub Activity 4.2.3.4	Strengthening AMS' statistical capacities (particularly in but not limited to CLMV), in Furthering the Development of FDIS							11,630
Sub Activity 4.2.3.5	Strengthening AMS' statistical capacities (particularly in but not limited to CLMV), in Furthering the Development of SDGI					50		28,000



	Title	KE1	KE2	KE3	KE4	SNKE	JNKE	Incidentals (€)
Sub Activity 4.2.3.6	Strengthening AMS' statistical capacities (particularly in but not limited to CLMV), in Furthering the Information and Technology Development (ITD)					22		5,840
Sub Activity 4.2.3.7	Strengthening AMS' statistical capacities (particularly in but not limited to CLMV), in Furthering the Development of Other Field of Statistics (OFS)					12		3,110
Activity 4.2.4	Expanding ACSS Capacity in New Statistical Domains, in Other Fields of Statistics (OFS)					49		102,470
Activity 4.2.5	Supporting ASEAN-EU Policy Dialogue on M&E and Statistics					25		
TOTAL Work Plan 2		220	176	220	220	3887	170	2,430,355



4.0 TIMING OF ACTIVITIES

Activity	Q1	Q2	Q3	Q4
0.1.1	X	X	X	X
0.2.1	X	X	X	X
0.3.1	X	X	X	X
1.1.1	X	X	X	X
1.1.2	X	X	X	X
1.2.1	X	X	X	X
1.2.2	X	X	X	X
1.2.3	X	X	X	X
1.3.1	X	X	X	X
1.3.2	X	X	X	X
2.1.1	X	X	X	X
2.1.3	X	X	X	X
2.1.4	X	X	X	
2.2.1		X	X	X
2.3.1	X	X	X	X
2.3.2	X	X	X	X
2.3.3	X	X	X	X
2.3.4	X	X	X	X
2.3.5	X	X	x	X
2.3.6	X	X	X	x
2.3.7	X	X	X	X
2.3.8		X	x	X
2.4.1		X	X	X



Activity	Q1	Q2	Q3	Q4
2.4.2	X	X	X	X
2.4.3	X	X	X	X
2.4.4	X	X	X	X
3.1.2	X	X	X	X
3.1.5	X	X	X	X
3.2.1	X	X	X	X
3.2.2	X	X	X	X
3.2.3	X	X	X	X
3.3.1	X	X	X	X
3.3.2	X	X	X	X
3.3.3	X	X	X	X
3.3.4	X	X	X	X
3.3.5	X	X	X	X
4.1.1	X	X	X	X
4.1.2	X	X	X	X
4.1.3	X	X	X	X
4.2.1	X	X	X	X
4.2.2				
4.2.2.1	X			
4.2.2.2	X			
4.2.2.3	X			
4.2.2.4	X			
4.2.2.5	X			
4.2.2.6		X	X	
4.2.2.7	X	X	X	



Activity	Q1	Q2	Q3	Q4
4.2.3				
4.2.3.1	X	X		
4.2.3.2			X	
4.2.3.3			X	
4.2.3.4			X	
4.2.3.5	X			
4.2.3.6			X	
4.2.3.7	X		X	
4.2.4			X	
4.2.5	X	X		